COVID-19 Treatment Process Identification: A Case Study in Russian Hospital for Cardiology

Liubov Elkhovskaya¹, Sergey Kovalchuk¹,², Ilya Potapov² and Dmitrii Ovchinnikov²
¹ITMO University, 49 Kronverksky Prospect, 197101 St. Petersburg, Russia
²Almazov National Medical Research Centre, 2 Akkuratova Street, 197341 St. Petersburg, Russia

Keywords: Process Mining, Process Discovery, Clinical Pathway, COVID-19, Healthcare.

Abstract: The COVID-19 pandemic has caused significant strain on medical facilities. The race between global pandemic spread and medical practices progression produced a plethora of clinical guidelines. In Russia, more than ten official versions of such guidelines have been developed since the start of the pandemic. Thus, treatment processes have undergone several changes. Additionally, organizational schemes of patient care delivery were affected by the availability of hospital resources. In our study, we identified the characteristics of COVID-19 treatment processes at a large multidisciplinary hospital, that was adapted for treating COVID-19 several times during disease outbreaks. For this task, we used a process mining technique. Given the peculiarities of the hospital information system, we developed an approach for analysing treatment flow. Then, we compared clinical pathways in different pandemic periods and verified compliance with the official guidelines.

1 INTRODUCTION

The new coronavirus (SARS-CoV-2) infection emerged in the Chinese province of Hubei at the end of 2019. Since then, it has spread throughout the world and has led to ongoing pandemic. COVID-19, a potentially severe respiratory disease caused by the coronavirus, imposed harsh conditions on all countries’ healthcare systems. The growing spread of coronavirus has caused significant strain on medical facilities. Most of them were prepared poorly for increased patient flows; hospitals lacked sufficient bed capacity, medications, and staff resources. Thus, World Health Organization (WHO) developed guidance¹ on treating COVID-19 to provide clinicians with an efficient and safe patient care strategy. Based on these recommendations, many governments proposed their own guidelines to support healthcare systems according to the current situation within a country.

In Russia over more than 1.5 years of fighting COVID-19, The Ministry of Health has developed more than ten versions of clinical practice guidelines² for COVID-19 prevention, diagnosis, and treatment. So, healthcare processes were changed several times during the pandemic. Availability of hospital resources and morbidity “waves” (spikes in cases) also affected the organizational schemes of patient care delivery. Many medical facilities changed their specialty and were adapted, allocating some or all of their bed capacity, for treating COVID-19. Almazov National Medical Research Centre (Almazov NMRC) ³, a major scientific contributor and healthcare provider specialized in cardiology in Russia, was no exception. It provided resources (beds, staff, etc.) several times when morbidity reached its peaks in Saint Petersburg, where the pandemic situation was one of the most intense.

Discovery of clinical pathways or treatment processes aims at indicating current as well as best clinical practices. A better understanding of real-life clinical pathways through process mining can contribute to care and data quality assurance by analysing information system peculiarities, identifying

¹ https://orcid.org/0000-0002-3121-8577
² https://orcid.org/0000-0001-8828-4615
³ https://orcid.org/0000-0003-1756-6951
⁴ https://orcid.org/0000-0001-9430-7561
⁶ minzdrav.gov.ru/ministry/med_covid19 (in Russian)
⁷ www.almazovcentre.ru/?lang=en
unmet needs, and improving patient care and outcomes. In our study, we identified COVID-19 treatment processes in Russian hospital for cardiology during different pandemic periods using a process mining technique. Also, we aimed to see how clinical guidelines that were developed gradually and “bottom-up” (from local facilities practices to global ones), affected or were influenced by best practices. Let us first familiarize a reader with process mining in brief.

2 PROCESS MINING

Process Mining is an emerging discipline adopting a data-driven approach and a classical model-based process analysis. It has been actively developing since there is still a demand for better insight into what is happening at an institution. Process mining is a promising approach to reveal and analyse the real processes existing in all companies today. There are three types of process mining: process discovery, conformance checking, and process enhancement (W. van der Aalst, 2016). With discovery algorithms, one can automatically obtain a (business) process model from routinely recorded data. This type of process mining is a research topic of most interest (Garcia et al., 2019). The results of process discovery techniques can be used further in conformance checking and enhancement. A priori process model (discovered from the data or elaborated “by hand”) is evaluated on its compliance with data by conformance checking techniques, and its enhancement can be proposed after an analysis of process performance measures. In this study, we perform analysis using process discovery techniques.

It is necessary to provide basic definitions and a general view of process discovery. Every process-aware information system that records run-time behavior has an event log. An event log is a file that contains information about process execution. Each record is an event with associated data: timestamp of its start and completion, an activity and resource that executes this activity, and a process case id (instance) the record belongs to. These are the minimal items for compiling a log. However, if activities are considered to be atomic, i.e., have no duration, the last item is needed only for defining the order of them and can be skipped if we a priori know data is stored according to a timeline. We group an ordered set of events containing only activity names into cases, that represent single process runs. This “flat” event log is used as an input for process mining in our discovery algorithm. While an event log is an input, the algorithm’s output is a (business) process model, or a process map. In our case, a process model represents a formal graphical description of the actual process flow, i.e., the precedence of events, where nodes are activities and edges are ordered relationships between them.

As we briefly introduced process mining, we further provide a literature review on the problem we concern and how data and process mining techniques address it.

3 RELATED WORKS

Processes in the healthcare sector are examples of highly varying and distributed processes since they are ad-hoc and healthcare information systems usually are not process-aware (Batista & Solanas, 2019). That is why healthcare is the most researched application domain of process discovery techniques (Erdogan & Tarhan, 2018; Garcia et al., 2019). For example, clinical pathways were derived from different clusters of patient flow in facility departments using a genetic algorithm (Funkner et al., 2017). In study (Baker et al., 2017), the authors pointed out that only little percentage of patients completed the planned six cycles of chemotherapy without unplanned hospital contacts. Information extracted by the process mining pipeline can be also used in prognosis, e.g., to estimate patient recovery time (Kempa-Liehr et al., 2020).

During the review of existing studies, we figured out that only a few works dedicated to process mining application in COVID-19 case has been published. The most of studies share experience in the COVID-19 management, where retrospective data was analysed and some conclusions about resources and treatment process were made. Such works like (Demirhan, 2020) are undoubtedly important in the best clinical practices sharing, and the next researches should use data driven approaches for better analysing real-life clinical pathways. In study (Meng et al., 2020), the authors designed a clinical pathway for pre-operative COVID-19 screening in traumatic fracture patients and assessed surgery waiting times. Safety of medical activities were assured at the cost of increased surgery delays by 2-4 days. The COVID pandemic effects on waiting times of diagnosis and treatment of nasopharyngeal carcinoma were also studied in (Yang et al., 2020). Another retrospective study (Thai et al., 2020) investigated factors, that influenced length of stay (LoS) in Vietnam hospital during this second phase of the COVID-19 pandemic. Age group, region of residence and source of infection were demonstrated to be associated with
longer hospital stay. The most interesting fact here is that the median duration of hospital stay in Vietnam and China was longer than in the United States and several European countries. This can be explained by different process organization structures. Process-oriented data science techniques could study all the direct and indirect problems mentioned above: process model elaborating or discovery and its conformance checking, LoS assessment, bottleneck identification, etc. However, it requires process-aware information system. Current lack of relevant studies may be caused by lack of data on COVID-19 treatment process.

A work directly related to our study was done in (Pegoraro et al., 2021). The authors reconstructed a treatment model for COVID patients in intensive care unit using data from the Uniklinik Aachen hospital covering two first waves between February 2020 and December 2020. Their preliminary results are essential: besides the revealed structure and main flow of the process, the authors calculated the rate of utilization of ventilation machines and average case duration with respect to different waves. Such operational knowledge is vital in a case of resource constraints and may help hospital managers to efficiently allocate them.

4 CASE STUDY

In this section, we describe our experiments on process discovery from not process-aware hospital information system (HIS). Our colleagues from Almazov National Medical Research Centre provided us anonymized database with patient electronic health records (EHR) covering COVID-19 treatment cases in their facility. Almazov Centre arranged pandemic patient beds three times when patient inflows were drastically increased during disease waves¹ (Fig. 1). As it turns out, treatment processes in different pandemic periods were not the same, since clinicians gained more experience in disease management and new government recommendations were stated.

Below we describe data and its issues, how we addressed the challenges to prepare an event log, a tool we used to discover a process model, and what insights we got from results obtained.

4.1 Data Description

We had data on COVID-19 treatment at Almazov NMRC for different periods, during its “routine” and “emergency mode”, from March 2020 to June 2021. Unfortunately, the data covered only two waves in May 2020 and in December 2020. The dataset is a series of records from EHR. One record contains information on patient id, event description and associated EHR section name, event id, timestamp, specialist name and type, department, record status, and semi-structured text, which is, e.g., anamnesis in natural language or supplementary system information. Patients included in the dataset had PCR-confirmed or not PCR-confirmed COVID-19 diagnosis (U07.1 or U07.2 ICD-10 codes, respectively). Table 1 presents some statistics on the dataset we had.

¹ Data from yandex.ru/covid19/stat
As mentioned, the HIS is not process-aware: it contains a collection of fragmented medical records from patient history. However, the data can be transformed to an event log by resolving the problems that might be encountered. We describe event log issues and its remedy in the following subsection.

### 4.2 Event Log Preparation

Here, we outline steps we performed for event log creation from raw data source following event log imperfection patterns (Suriadi et al., 2017). Preliminary data pre-processing included deletion of records with not realized events having status not completed, can-celled, no-show, etc. This pre-step resulted in 9,790,199 records.

Form-based Event Capture. In our case, it is a common pattern since the data is a set of records from EHRs. When users (clinicians, nurses, etc.) save electronic-based forms by clicking ‘Save’ button, they trigger the recording of the data captured by the form with the same timestamp. The order of activities within the form is flatten. One of the Almazov Centre’s HIS feature is possibility to update electronic form in any time, which additionally causes this undesirable side effect. So, we restored date and time from semi-structured texts where it was explicitly associated with corresponding event (record).

Transferre and routine work of the hospital, restructured and routine work of the hospital, respectively. It is important to note that we did not exclude incomplete cases, since we had enough instances to capture the main paths. The reasons for this decision are two-fold: (1) we cannot identify clearly whether a case is complete or not; (2) we want to show the ability of the tool to recover the main process execution from a “slice” of data.

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Num. of unique</th>
<th>Example (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patient ID</td>
<td>3,313</td>
<td></td>
</tr>
<tr>
<td>Event ID</td>
<td>10,655,223</td>
<td></td>
</tr>
<tr>
<td>Event</td>
<td>2,052</td>
<td>First appointment with a cardiologist In-hospital transfer SARS-CoV-2 IgG antibodies test</td>
</tr>
<tr>
<td>description</td>
<td></td>
<td></td>
</tr>
<tr>
<td>EHR Section</td>
<td>587</td>
<td>Patient complaints Hospital diagnosis Thoracic computed tomography</td>
</tr>
<tr>
<td>Specialist</td>
<td>2,201</td>
<td>Cardiologist Infectiologist Nurse</td>
</tr>
<tr>
<td>Specialist Type</td>
<td>178</td>
<td></td>
</tr>
<tr>
<td>Department</td>
<td>248</td>
<td>Laboratory Infectious disease ward Cardiovascular surgery unit</td>
</tr>
<tr>
<td>Status</td>
<td>9</td>
<td>Completed Cancelled Transferred</td>
</tr>
</tbody>
</table>

Distorted Label. As seen from Table 1, Event description column has more than 2,000 unique entities, which can spoil discovery of a main process. A plethora of labs and tests causes such diversity. Moreover, typo or different spelling exacerbates the problem. So, we decided to use EHR Section column as an event attribute since it has a higher level of abstraction but enough information to understand actions taken. Here, EHR Section is a “category” for events. For example, “Biomaterial sampling” (EHR Section) covers test types, which refer to Event description attribute.

Collateral Events. It is a case when multiple events essentially refer to one particular process step. We partially resolved this problem in previous step, but it also could be done within EHR Section level. We aggregated high-level events by case (patient id) and timestamp, since some of them were fragmented in the system because of different supplementary information. We thus had a dataset with 1,035,118 entries.

Homonymous Label. The repeated activities, which actually have different meanings, are grouped into one leading to “overloaded” nodes in the model. Transferring to a higher level of abstraction caused such problem. For example, ‘Biomaterial sampling’ or ‘Test results view’ incorporates a range of tests. In this regard, we preserved only events on a lower level, which are explicitly associated with COVID-19 treatment within tests and nursing. Events corresponding results viewing and patient monitoring routine additionally were aggregated by date but not timestamp as previously.

After these steps, we got an event log where process case is defined by patient id. The final data sorted by a timestamp and event id (to maintain system recording order) contained 307,610 entries. Next, we divided the log into periods of disease growths and declines, which correspond to restructured and routine work of the hospital, respectively. It is important to note that we did not exclude incomplete cases, since we had enough instances to capture the main paths. The reasons for this decision are two-fold: (1) we cannot identify clearly whether a case is complete or not; (2) we want to show the ability of the tool to recover the main process execution from a “slice” of data.

### 4.3 Process Discovery

We use the ideas of Fuzzy Miner (Günther & van der Aalst, 2007) to develop a tool² for log analysis as a Python package. The reasons for the algorithm choice are two-fold: (i) the algorithm is suitable for

---

² github.com/Siella/ProFIT
unstructured and complex processes, which exist in healthcare, due to constructing a model at different levels of details; (ii) a directly-follows graph (DFG) as an algorithm output permits cycles, which are crucial in a concept of meta-states (Elkhovskaya & Kovalchuk, 2021), despite the DFG limitations (W. M. P. van der Aalst, 2019). In healthcare, a cyclic behaviour of the process may represent a routine complex of procedures or repeated medical events, i.e., a patient is at some treatment stage, or a meta-state. We assume a cycle in the model as a meta-state if the estimated probability of the repeating behaviour in the log exceeds the specified threshold. We did not use this feature in the current study, but it is one of the possible directions of a future work.

The main idea of frequency-based miners is to find the most probable events and precedence relationships over them. Here, the fundamental metric is a significance that can be determined for event classes (i.e., activities) and binary precedence relations over them (i.e., transitions). Significance is the absolute or case frequency of activities or transitions that are occurred in the event flow. We decide which elements to remain by evaluating their significance and filtering them: more frequently observed events and transitions are deemed more significant and therefore included in the model.

Fuzzy logic does not guarantee a reachable graph which is desired to see the complete behaviours of process traces. So, we modify model construction by performing the depth-first search to check whether each node of the DFG is a descendant of the initial state and a predecessor of the terminal state. If the model does not match these conditions, we add edges with respect to their significance to the model until we get a reachable graph. This way, we overcome the possibility of discovering an unsound model (without the option to complete the process).

Within the used visual notation, the green vertex (“start”) indicates the beginning of the process and shows the total number of cases presenting in the log, and the red vertex (“end”) is related to a terminal state. The graph’s internal vertices and edges show the absolute frequencies of events and transitions, respectively: more value, darker or thicker element.

5 RESULTS

In this section, all process maps shown are obtained by the tool described previously. They were adjusted manually with activity and transition rates of 70% and 0%, respectively, which mean that only activities and transitions with significance more than or equal to 0.3 and 1.0 are included in the model. In other words, we aim to see only the main paths with some event variations. Below we present a clinicians’ opinion and interpretation of the results we obtained.

5.1 Non-COVID (“routine”) Mode

The difference in sizes of process models immediately catches eye. The models of hospital’s normal mode (Fig. 2) are smaller than clinical pathways during adaptation to COVID-19 treatment (Fig. 3-4). In addition, from these graphs, one can see the increased number of patient inflows in the pandemic waves.

It is very natural, that patients admitted for COVID-19 treatment follow a far more elaborate path. Curiously enough, these patients, in fact, have far more similar (or uniform, even) treatment course in general, in comparison to the patients, who were treated for any other condition at Almazov Centre, when they presented with COVID-19 symptoms and had to undergo treatment for that too. Since these “any other condition” type of patients have different diagnoses that should be treated differently, it is only natural, that they have less in common in terms of clinical pathways.

5.2 COVID-19 (“emergency”) Mode

The processes identified in the periods of infection cases declining (Fig. 2) are pretty like, apart from the fact that number of patients increased after the first wave. So, analysis of treatment processes during COVID-19 outbreaks is of greater interest. As one can see, patients were initially screened by a nurse and after that admitted to the hospital. Next, paperwork was followed by the first examination by a doctor. Here, medical staff gathered information about patients and evaluated their health state. One of the mandatory steps in COVID-19 diagnostics and treatment are PCR or SARS-CoV-2 antibody tests and electrocardiogram (ECG), which completely meet the official recommendations. The fact that ECG appeared in almost all cases is remarkable. The ECG is recommended in all versions of federal clinical practice guidelines, because it is not only a part of standard cardiological screening test, but also an important tool of COVID-19 treatment’s adverse effects prevention. Any viral infection or pneumonia can increase the risk of development abnormal heart rhythms and acute coronary syndrome, which can impact the prognosis very severely, if not detected in a timely manner. Moreover, some types of medication, used for treatment of patients with
COVID-19, are known to cause cardiac cardiotoxicity, which can be detected by screening for QT interval prolongation in a series of ECGs. “Botkin Hospital notes”, which are present in both periods, is a historical name for thermometry records and other nursing care events.

Some important differences between the first and the second “emergency mode” periods should be noted (Fig. 3-4).

Firstly, there are three additional events in the second period: Morse Fall Scale risk assessment, thromboembolic complication risk assessment, and

Figure 2: Clinical pathways for 2020/03/01-2020/05/12 (left) and 2020/08/01-2020/12/08 (right).
Figure 3: Clinical pathway for 2020/05/13-2020/07/31 (1st wave).
Waterloo pressure ulcer risk assessment. These assessments were introduced by the federal control institutions between the first COVID-19 wave and the second one, which explains their absence during the first COVID-19 period; but if we compare second COVID-19 period with periods just right before and after it, it is clear that these assessments were a far more common thing in COVID-19 treatment process. This is probably due to far more severe course of the disease compared to any other conditions that patients might have been admitted to Almazov Centre with. So, this increased attention to prevention of typical inpatient risks can be viewed as a sign of concerns about COVID-19 complications being more prominent during the second COVID-19 period.

Secondly, it seems that during this period more patients had to stay in a hospital (maybe after being transferred to another ward) after the end date of second COVID-19 period, since there are clearly a lot more cases in which the last point in patient’s pathway is “Lab results viewing” event. This could have no particular clinical meaning, since lab test results can be added to EHR after the documents for the discharge have been prepared. However, since some other clinical processes directly precede this event (such as various risk assessments), there is also a very high possibility, that it is caused by the fact that during the second COVID-19 period there was a larger proportion of patients, whose condition was somewhat (or significantly) severe. Our calculations support the fact that an average hospital stay was 2.5 days longer the second time, if we consider the event “New discharge letter” as finalising the treatment process. Although overall the reasons of this feature have to be investigated further.

Finally, a minor change of adding the “Inventory of personal property and goods” event should be noted. Of course, it is a standard procedure for any kind of medical institution, but the fact that it starts to appear in HIS records is a sign of the uptrend for digitalization in health care as well.

6 DISCUSSION

As was assessed from the previous section, the official guidelines were almost fully met in both COVID-19 waves. We say “almost” because we analyse treatment process with high-level abstraction and there are aspects which should be considered more granularly.

First, one is interested in examining the composition and amount of laboratory research since there is a separate section in the clinical guidelines...
Coagulation testing includes assessments of measurements such as a d-dimer, activated partial thromboplastin time, prothrombin ratio, etc.; microbiology and immunology testing determine the presence of SARS-CoV-2 and its antibodies, respectively; hematologic study extends general clinical research by platelet level analysis. This range of tests covers the recommended scope of labs. However, one measurement was not evaluated contrary to the recommendations. It is NT-proBNP, the N-terminal prohormone of brain natriuretic peptide. The main reason is that this test, although being very specific, is quite costly and, realistically, cannot be performed routinely for every patient. Moreover, there are various other methods of diagnosing cardiac failure and cardiac toxicity.

Second, we can see that ECGs were performed almost twice as often in the second wave as in the first wave (Fig. 6). This fact is explained by the differences in treatment schemes. During the first wave, the medication guidelines included drugs with proven cardiac toxicity, such as hydroxychloroquine. So, there was a need in monitoring heart condition more carefully (QT interval prolongation screen in the first admission and then on every fifth day for target patients). To the second wave, the treatment was revised and alternative medications were suggested. Here, ECGs were done in a case of target groups or in a small number of cases, where the previous treatment scheme was chosen for some reasons.
A new CT protocol, dedicated to COVID-19 diagnosis, was developed since the start of the 1st wave, so we can see a lot more of the specific “Thoracic computed tomography – COVID-19 protocol” events. Thermometry was performed more frequently, as it is implied by an increased amount of “Botkin hospital notes” events. Meanwhile, frequencies of performing CT (standard protocol) and lab tests decreased (Fig. 6).

Finally, new treatment recommendations, developed until and during the second wave, stated that virus elimination period was shorter than in case of usage of previous drug combinations. As we revealed in the previous subsection, the length of stay was longer the second time. The second “emergency” mode in Almazov Centre was 1 month longer than the first one (Fig. 1). At the same time, there were more of the completed episodes of care (event “Episode closure” in Fig. 3-4) but less arranged beds during the second wave. Since the number of patients involved in the process (depicted in the green vertex) is less than completed episodes, we can refer to readmission cases or patient transferring. Nevertheless, there are still questions and paradoxes regarding in-hospital times.

7 CONCLUSIONS

Some limitations present in our study and we have to mention them. First, the variation in patient treatment processes due to different patient models was not taken into account. It could affect resulting process models and possibly make them less general as was in case of periods between COVID-19 waves (Fig. 2). For example, patients admitted with a high-tech medical care voucher usually need a surgery, while many patients with other funding sources do not. Also, clinical pathways differ for medical specialties. The similarities and dissimilarities of these kinds of clinical pathways provide a promising substrate for further research. Second, in order to clearly trace the impact of changes in clinical practice guidelines on clinical pathways, the latter should be investigated in more detail, because these changes often were minor and could not possibly affect the key elements of patient’s trajectory, such as necessary laboratory tests or CT scans. Third, data structure we had included static and dynamic elements. For example, “Hospital diagnosis” could be changed several times, and the system recorded it as a new instance. The same can be stated about laboratory tests, ECGs, and other procedures, that were usually performed several to plenty of times during one treatment course. We partially addressed this issue in Section 3.2, but it still could be reflected in the model as most frequent events. Finally, we did not consider other methodologies to address the problem. Clinical pathways can be analysed through modelling, e.g., simulation or other probabilistic models. However, we also aimed to see the capacity of the emerging discipline to model complex and ad-hoc processes.

Nevertheless, this work provides a promising insight into how patient pathways can be modelled. As it turned out, process mining has the potential for addressing this problem. It demonstrates that the more standard is this pathway, the easier it is to see it in full detail, which raises a question of designing proper patient models for any kind of treatment processes research. In this paper, we identified COVID-19 clinical pathways in Russian hospital for cardiology during different pandemic periods using process mining. Given peculiarities of the hospital information system, we developed an approach for analysing treatment flow. We confirmed clinical practices compliance with the official guidelines, which evolved while accumulating experience in disease management.

ACKNOWLEDGEMENTS

This work was supported financially by the Ministry of Science and Higher Education of the Russian Federation, Agreement No. 075-15-2021-1013 (08.10.2021) (Internal project number 13.2251.21.0067). The authors also wish to thank the colleagues from Almazov National Medical Research Centre for the data provided and valuable cooperation.

REFERENCES

Demirhan, R. (2020). The Effects of Hospital Organization on Treatment During COVID-19 Pandemic. Southern


