

Detection of Emotion Categories' Change in Speeches

Anwer Slimi^{1,2}^a, Henri Nicolas¹^b and Mounir Zrigui²^c

¹Univ. Bordeaux, CNRS, Bordeaux INP, LaBRI, UMR 5800, F-33400 Talence, France

²University of Monastir, RLANTIS Laboratory LR 18ES15, Monastir, Tunisia

Keywords: Connectionist Temporal Classification, Emotion Recognition, Neural Networks, Spectrograms.

Abstract: In the past few years, a lot of research has been conducted to predict emotions from speech. The majority of the studies aim to recognize emotions from pre-segmented data with one global label (category). Despite the fact that emotional states are constantly changing and evolving across time, the emotion change has gotten less attention. Mainly, the exiting studies focus either on predicting arousal-valence values or on detecting the instant of the emotion change. To the best of the authors knowledge, this is the first paper that addresses the emotion category change (i.e., predicts the classes existing in a signal such as angry, happy, sad etc.). As a result of that, we propose a model based on the Connectionist Temporal Classification (CTC) loss, along with new evaluation metrics.

1 INTRODUCTION

In conversations, emotions add significance to the speech and help us understand each other. Human emotions have a fundamental part in all social phenomena and some decisions can be made based on the expressed feelings, so they should be explored in depth. Within this context, allowing machines to understand emotions would produce significant improvement in the human-computer interactions in a way that the context and the circumstances of a given conversation would be easily identified and become crystal clear to machines.

Emotions are dynamic in nature and they constantly change throughout time, hence, an intelligent system should be able to identify changes in emotions as they occur when speakers participate in human-computer interaction during which their emotions are identified based on behavioral cues, so that it may react accordingly. Most of the conducted studies have been focusing on pre-segmented speech utterances, where each utterance has one global label (emotion). Such models are not efficient for emotion detection change since the recognition of emotions using pre-segmented speech utterances leads to a loss of continuity between feelings and does not give

insights into emotion changes (Huang et al, 2016). However, despite its importance, research on emotion change detection has gotten less attention than other research aimed at recognizing and predicting emotions from speeches. It is an interesting research area that only few papers have attempted to address. Existing researches have mainly focused on either detecting the instant of emotion change i.e., detecting when exactly an emotion change has occurred or on predicting the change of valence (positive or negative) and the arousal (low or high). To the best of our knowledge, this paper is the first to introduce emotion categories change detection system i.e., detecting if a change has occurred from one category (angry, sad, neutral, etc.) to another. In other words, within the same conversation or let's say within the same part of speech of a given person, if s/he was talking with a particular emotion then suddenly a change took place in his/her tone, the system would be able to detect such change. We aim to design a system that can interpret emotional states in speeches and/or conversations and detect every emotional change either from one person's long speech or the change that occurs when two or more different people have a conversation.

^a <https://orcid.org/0000-0003-0558-2321>

^b <https://orcid.org/0000-0003-2179-4965>

^c <https://orcid.org/0000-0002-4199-8925>

Our proposed model is based on the Connectionist Temporal Classification (CTC) loss. It takes a long sequence of data as an input, processes it through a Convolutional Neural Network (CNN) followed by a Recurrent Neural Network (RNN) to detect pertinent features and feeds it to the CTC which will in return determine the sequence of emotion categories presented in the input speech. To evaluate our model's performance, we have introduced two new evaluation metrics: the ECER (Emotion Change Error Rate) and the ECD (Emotion Change Detection).

In the remainder of this paper, the second section focuses on a few significant works that are connected. The proposed model is detailed in the third section. The fourth section sheds lights upon some information on the datasets that were utilized to assess our model's performance. Detailed results along with the new evaluation metrics are provided in the fifth section. A depth analysis and discussion are presented in section six. Finally, in Section 7, we provide a summary of this article and discuss our future work.

2 THE STATE OF THE ART

Several recent researches have focused on the emotion recognition from speech. The work of Mustaqeem and Kwon (2020a) focuses mainly on the pre-processing phase where they used an adaptive threshold-based algorithm to remove silence, noises and irrelevant information, then a spectrogram is generated and fed to a CNN. In the work of Aouani and Ayed (2020) a vector of 42 features was extracted from each signal. Then, they have deployed an Auto-Encoder (AE) to reduce the data representation and to select pertinent features. The output of the AE will be passed to an SVM to classify speeches and determine emotions. Slimi et al. (2020) have used log-mel spectrograms as an input for a shallow neural network (SNN) to prove that neural networks can work with small datasets. Once the spectrograms were generated, they have resized them to be able to feed them to the first layer of the neural network. In the work of Mustaqeem and Kwon (2020b), different blocks were used in the SER framework. They have used a ConvLSTM (combination of CNN and LSTM) for local feature learning block (LFLB), a GRU (gated recurrent units) for global features learning block (GFLB) and the center loss along with the softmax for multi-class classification. In the work of Issa et al. (2020) five different feature sets were used and tested using a CNN: Mel-frequency Cepstral Coefficients (MFCCs) Mel-scaled spectrogram,

Chromagram, Spectral contrast feature and Tonnetz representation. However, despite the variety of feature extraction algorithms and classification techniques, they all share one common point which is recognizing emotions from pre-segmented data with one global label.

For the emotion change detection, fewer papers have been published. Huang et al (2015) have worked on detecting the instant of emotion change and transition points from one emotion to another. A Gaussian Mixture Models (GMM) with and without prior knowledge of emotion-based methods was used to detect emotion change among only four different emotions. However, their main focus was on arousal and valence. Their method consists of using a double sliding window consisting of both previous and current fixed-length windows. Within these two windows, which span multiple frames, features are extracted based on the frame and used to calculate probabilities. Scores, which comprise a linear combination of log likelihoods, are calculated and compared to a threshold during the detection phase in order to make a decision. If a score is above the threshold within the tolerance range of the actual point of change, then a change occurs. To test their model, they have used Detection Error Trade-off (DET) curve and Equal Error Rate (EER). In the paper of (Huang and Epps, 2016), authors have explored the problem of identifying points of emotional change over time in terms of testing exchangeability using a martingale framework which is a sort of stochastic process that employs conditional expectations. It occurs when a collection of random variables is repeated at a specific time. When a new data point is seen in the martingale framework, hypothesis testing is performed to determine whether a concept change occurs in the data stream or not. In this process data points (frame-based features) of speech are observed point by point. Their goal was to identify changes in emotional categories (neutral and emotional), as well as within dimensions (positive and negative in arousal and valence). They have used two sets of frame-level acoustic features: the MFCCs and the Geneva Minimalistic Acoustic Parameter Set (eGeMAPS). The model of Huang and Epps (2018) consists of detecting the emotion change points in time as well as assessing the emotion change by calculating the magnitude of emotion changes along with the types of emotion change. They have used 88-dimensional eGeMAPS features and three different regression models: Support Vector Regression (SVR), Relevance Vector Machine (RVM) and Output-Associative RVM (OA-RVM).

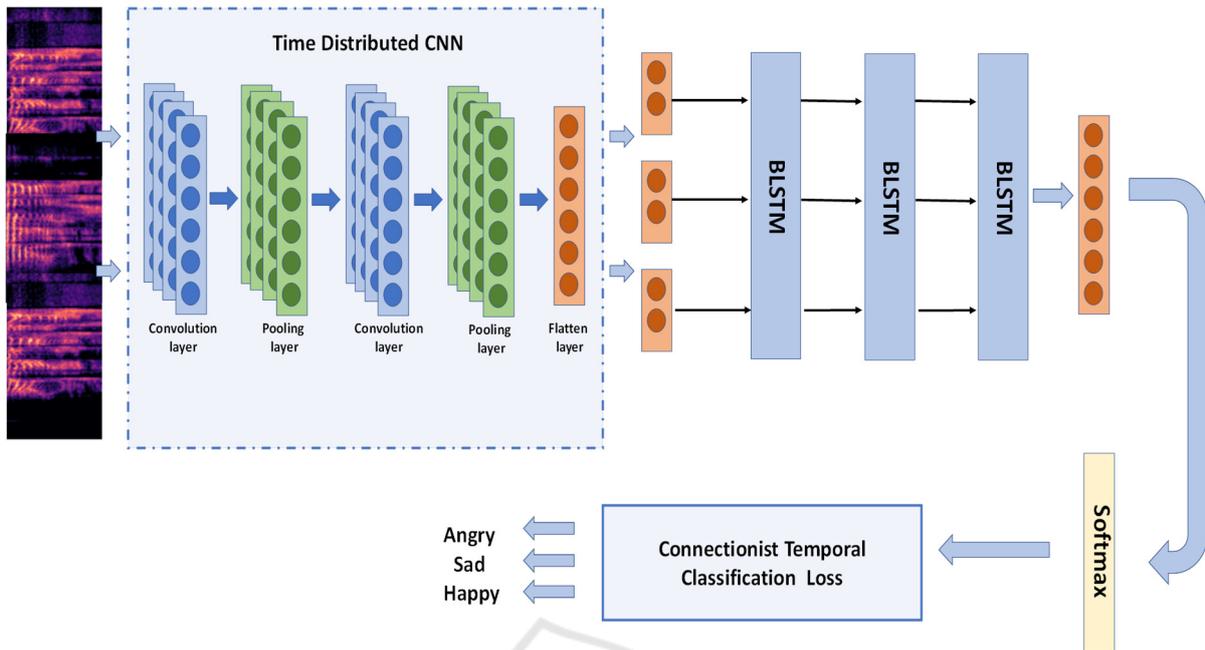


Figure 1: Proposed model.

3 PROPOSED MODEL

The main goal of our work is to predict a sequence of emotions from a given input. Audio signals have been used in a lot of domains such as speech recognition, gender recognition, speaker identification, emotion recognition etc. The first common steps in all of these fields, is to find the best way to represent the audio or to extract information that could be useful for the classification. Depending on the task, feature vectors (Trigui et al, 2016), phonemes (Terbeh and Zrigui, 2017; Maraoui et al., 2018), unsupervised learning of semantic audio representations (Jansen et al., 2018) were used.

Over the past few years, several feature extraction algorithms have been deployed to design a robust speech emotion recognition system such as Mel Frequency Cepstral Coefficients (MFCC), Zero Crossing Rate (ZCR), Harmonic to Noise Rate (HNR) and Teager Energy Operator (TEO) (Aouani and Ayed, 2020). Despite the diversity of the feature extraction approaches, the Log-Mel-Spectrogram, which are the plots of signal frequencies as they change over time, remains to most effective audio representation for speech emotion recognition systems (Slimi et al., 2020; Yenigalla et al., 2018).

3.1 CNN-BLSTM

Since the Spectrograms are 2D plots, it is more suitable to use CNN as a classification model, since they are mainly designed for image recognition tasks. The major benefit of CNN over other architectures is that it automatically recognizes essential features without the need for human intervention. Although the audio signals will be transformed into images, the CNN solely is not enough considering that we dealing with sequential data. For that reason, we considered using the CNN-BLSTM architecture.

The CNN layers were used to extract a sequence of features and RNN layers were used to propagate information through this sequence. Yet, the CNN models are commonly known for receiving and processing only one image at a time. That will be ideal if every input corresponds to one label (emotion) but in our case, every input is aligned with one or more successive emotions. What we need is to determine the sequence of emotions so it is required to repeat several emotion-detection tasks. We can think about cutting up a sequence of data into several frames and determine the emotion category on each single frame. A solution to our problem is to use the Time Distributed Layers¹. Each frame will have its own convolutions flow, where we can see it as one

¹ <https://github.com/keras-team/keras/blob/master/keras/layers/wrappers.py>

neural network per frame. The Time Distributed Layers will apply the same convolution and pooling to several frames and produce output per input.

As shown in Figure 1, the model that was used to detect the change of emotions is composed of a Convolutional Neural Network (CNN) followed by a Recurrent Neural Network (RNN). The RNN here is used to make sure that we process the frames with time notion. The RNNs suffer from the vanishing gradient problem where an information loss can occur for long sequences, and this can be avoided by using an LSTM which uses more special units in addition to the RNN's standard units. A BiLSTM consists of using two LSTMs in both directions, meaning that the first takes the sequence of features in a forward direction and the second takes the sequence of features in a backward direction so that the performance can be enhanced by knowledge of the context.

The last layer of the CNN-BLSTM architecture will be passed to a fully connected layer with a softmax function as an activation function. Usually, the softmax layer contains n units where n represents the number of labels in the dataset. In our work, the softmax will contain $n+1$ units where the additional unit represents the blank label (the separation between two emotions). Its units reflect the likelihood that a given label will be present at a given time step. In section 3.2, we will explain in depth the reason behind adding an extra unit.

3.2 CTC (Connectionist Temporal Classification)

Generally, to perform classification, every input needs to have its own label. In the case of emotion categories change, every part of speech should have its corresponding label. In order to predict the emotions from sequential data, we need to pre-segment the data and specify for each horizontal position of the speech the corresponding label. To make things a bit more formal, we want to map to sequence of audio signals $X = [x_1, x_2, \dots, x_N]$ to a corresponding label sequence $Y = [y_1, y_2, \dots, y_M]$. Unfraternally, such alignment is hard to obtain since emotions are not fixed and unchangeable, yet, they constantly change throughout time and the ratio of the lengths of both sequences can vary. One other thing to be mentioned is that, when there is no accurate alignment, manual alignment is not practical and it is time-consuming.

The CTC loss averts all these challenges by taking as inputs, the output of the CNN-BiLSTM along with the corresponding sequence of ground-truth labels

and accomplish the task without any assistance. It will provide an output distribution across all potential outputs of a specific input. This distribution can be used to infer a likely output or to estimate the likelihood of a particular output. So, what we want is to get the most likely output. We can do that by calculating:

$$Y^* = \underset{Y}{\operatorname{arg\,max}}(p(Y|X)) \quad (1)$$

Note that earlier we have stated that the output of the model is $n+1$. The additional unit, which is a blank that denotes the separation between two different speeches having different emotions. Which means that whenever a change of labels occurs, the blank label is added. For this reason, the CTC uses a function \mathbf{F} to map the sequence of probabilities S to a sequence of predicted labels Y . The function \mathbf{F} works by eliminating the repeated labels along with the blank label. So, given a sequence S , which denotes the output of the Softmax, the conditional probability of having an output sequence Y given an input sequence X is:

$$p(Y|X) = \sum_{S \in F^{-1}(Y)} p(S|X) \quad (2)$$

So, the input of the CTC will be the output of the softmax function of different timesteps. The CTC will parse the output, once it finds the blank label, it eliminates it and combines all successive similar labels into one label.

Solving equation (1) is expensive and time consuming, so in practice, it is recommended to follow the methods of Graves et al. (2006). In their work, the authors have proposed the best path decoding which consists of choosing the most likely label for each time frame, and the prefix search decoding in which the output sequence is divided into time steps on which will apply the standard Forward-Backward algorithm.

4 DATA PREPARATION

Since the existing datasets are already pre-segmented, we create a new dataset by combining two or more utterances together to obtain long input sequences. Combining the utterances involves combining the labels of each single utterance, so we go from an utterance and its corresponding label to a list of utterances and its corresponding list of labels.

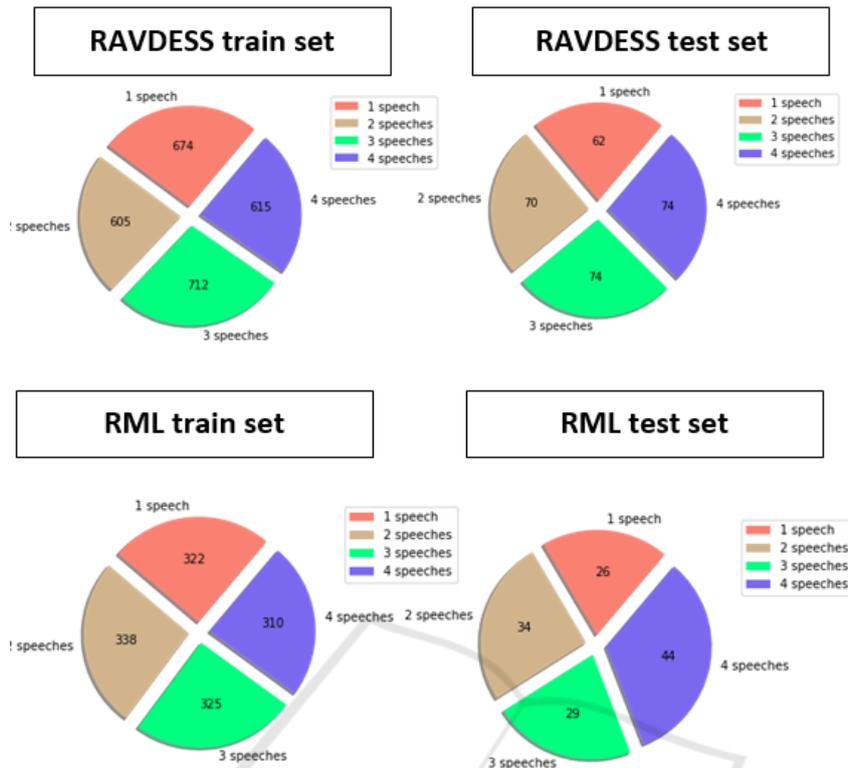


Figure 2: The sequences combinations for both datasets.

4.1 Datasets

Two datasets have been used to test our model: the first is the RAVDESS (Livingstone and Russo, 2018). It is an English dataset that contains 1440 audio files recorded by 24 people. It contains two intensity levels and 8 different categories in total (Happy, sad, disgust, neutral, calm, angry, surprised and fearful).

The second dataset is the RML dataset (Xie and L. Guan, 2013) which consists of 720 files recorded by 8 actors. There are six different languages presented in this dataset (English, Italian, Mandarin, Urdu, Punjabi and Persian) and 6 different emotional categories (Disgust, Happiness, Fear, Anger, Surprise and Sadness).

4.2 Data Augmentation

In practice, DL models require huge amount of data to be well trained, otherwise they will not be able to achieve high accuracy (Slimi et al., 2020). The datasets that are used for emotion recognition from speeches are small and this would lead to low performance. One of the solutions that can prevent this problem is via augmenting the dataset.

Audio Data Augmentation consists of altering existing dataset to get a bigger dataset. Models that are trained with augmented data will be less vulnerable to distortions and consequently more robust, as they have learned to avoid insignificant aspects. Data augmentation was used in a lot of domains such as Computer Vision application, speech recognition and even in speech emotion recognition (Zhu et al., 2018; Padi et al., 2020). There are lots of techniques. In our work, we have used the most known four techniques which are adding white noise with the original signal, shifting the audio signal by a constant factor to move it to the right along time axis, time stretching by changing the speed without affecting the sound's pitch and finally changing the pitch without affecting the speed.

4.3 Sequence Structuring

In order to be able to detect the emotion change, we need long duration audio sequences of one or more person expressing several emotions successively, which is not the case with existing datasets for speech emotion recognition. The existing datasets are composed of pre-segmented speeches where each audio file contains one single person talking and

Table 1: The ECER and Accuracy of both datasets.

		ECER	Accuracy
RML	Original dataset	58.34%	41.66%
	Augmented dataset	16.32%	83.68%
RAVDESS	Original dataset	62.3%	37.70%
	Augmented dataset	21.19%	78.81%

expressing one single emotion. For this reason, we have randomly combined several speeches together. Each sequence contains from one to four different speeches. The speeches are combined randomly where a sequence could contain either several speeches of the same person or different persons.

5 EXPERIMENTS AND RESULTS

Approximately, 80% of the data were used for training, 10% to fine tune and validate the model whereas 10% of the data were used to test it.

5.1 Model Tuning

The CNN is composed of two Convolutional layers with ReLU activation, two Max-Pooling layers and a flatten layer. We have used three layers of BiLSTM followed by a Dense layer and a Softmax layer. A dropout of 0.1 value is used to prevent the overfitting. For the CTC, we have used the CTC Keras model (Soullard et al., 2019). As for the optimization, we have used the Adam optimizer with a learning rate equals to 10^{-4} .

5.2 Evaluation Metrics

As mentioned in Section 1, there was not much research in the domain of speech change detection which makes it hard to establish comparisons. For this particular reason, we propose the ECER metric which is inspired from the WER (Park et al., 2008) that is used to determine a speech recognition system's performance (Labidi et al., 2017). Hence, this metric could be used as reference for future researches.

5.2.1 Emotion Change Error Rate (ECER)

Given two sequences of labels, the first represents the GT labels and the second represents the model's

prediction, the ECER is calculated as follows:

$$ECER = \frac{S + D + I}{N} \quad (3)$$

Where S is the number of labels that were replaced, D is the number of the labels that were disregarded, I is the number of labels that were inserted, C is the number of correct labels and N is the number of emotions in the GT sequence ($N=S+D+C$). The Accuracy is thus can be calculated as:

$$Accuracy = 1 - ECER \quad (4)$$

These two metrics do not only measure if the system has successfully detected emotions change, but they also measure whether or not the system has recognized the expressed emotion.

5.2.2 Emotion Change Detection (ECD)

Although the ECER tells a lot about the system performance, the goal here is to determine whether or not our model is capable of detecting all the emotional changes that have occurred in a sequence.

Given a test set T of size m and a prediction list P of size m, the Emotion Change Detection (ECD) rate is calculated as follows:

$$ECD = \frac{1}{m} \sum_{t \in T, p \in P}^m E(t, p) \quad (5)$$

Where $E(X, Y) = 1$ if the length of X equals the length of Y and 0 if not.

5.3 Results

For each one of the datasets, two experiments have been conducted: the first using the original dataset and the second using the augmented dataset.

Table 1 shows the ECER and the Accuracy of each one of the experiments. For both original datasets (without augmentation) the ECER was too high and the accuracy was too low. The training accuracy was also too low leaning that the model suffers from underfitting and it was not able to learn. The model was too deep and the amount of data was not enough for such model. With more data, both the ECER and the accuracy were improved significantly for both datasets.

Table 2 shows the ECD of the two datasets. Since the model was not able to learn due to the lack of data, the ECD was a little bit low. However, with more data to well train the model, the results were improved.

Table 2: The ECD of both datasets.

		ECD
RML	Original dataset	77%
	Augmented dataset	100%
RAVDESS	Original dataset	65%
	Augmented dataset	100%

6 ANALYSIS AND DISCUSSION

First, we have tested our model on totally random data sequences. The results have shown that the size of the dataset matters and affects the accuracy i.e., the more data we have, the better results we get. And although the RAVDESS has bigger size, it achieved less accuracy values compared to the RML and this can be explained by the fact that RAVDESS is considered to be as one of the hardest datasets since the human accuracy for this dataset is around 60% (Livingstone and Russo, 2018).

Second, we have adjusted manually some of the input sequences for both training and testing datasets. For example, with a dataset of four emotion categories, we would have five possible outputs $\{y_1, \dots, y_5\}$ where y_5 denotes the blank, that we will use to detect the change. If the output of the model is $[y_1 y_1 y_5 y_2 y_5 y_3 y_3 y_3 y_5 y_1]$ then the final result after using the CTC should be $[y_1 y_2 y_3 y_1]$. We have formed some data sequences where there are two consecutive speeches of different people but with the same label and two successive consecutive speeches of the same person with the same label. The goal here is to determine whether the CTC will be capable of

separating between two consecutive utterances with the same label or it will just consider them as one single speech. The ECD was always 100% which means our model has successfully learned to separate between different speeches even though they have the same label. This could be helpful when trying to deploy our model in real time emotion detection system in conversation, which means the system will be capable of determining the emotional state of each speaker independently of the other.

Getting an ECD equals to 100% and a low value for the accuracy, can be interpreted by the fact that the model has succeeded to detect all the emotion changes through all the sequences, yet it failed to recognize the emotions, i.e., for each input sequence, the model succeeded to detect a change has been occurred but sometimes fails the determine what is the label. The task of well recognizing the emotions remains a challenge as, to the best of our knowledge, none of the recent researches have achieved more than 90% accuracy for both datasets.

7 CONCLUSIONS

Detecting categories changes in emotional speeches has been the focus of this research article. we have introduced a model which was capable of successfully detecting emotion categories changes. Yet, the model in some cases struggles to recognize emotion. Our next work should focus on designing a robust emotion recognition model that can be directly deployed in emotion change detection systems.

To evaluate our model, we have proposed new evaluation metrics: the ECER to determine the performance of the system in detecting the emotional change and recognizing emotion, and the ECD to determine whether or not the model has detected all emotional changes. The amount of the data was not enough to train the neural network so we have used data augmentation techniques to increase the amount of data, which helped in improving the accuracy.

REFERENCES

- Zhaocheng Huang and Julien Epps.2018. Prediction of sEmotion Change from Speech. *Frontiers ICT* 5: 11
- Zhaocheng Huang, Julien Epps, Eliathamby Ambikairajah. 2015. An investigation of emotion change detection from speech. *INTERSPEECH 2015*: 1329-1333
- Zhaocheng Huang, Julien Epps. 2016. Detecting the instant of emotion change from speech using a martingale framework. *ICASSP 2016*: 5195-5199

- Hadhami Aouani, Yassine Ben Ayed. 2020. Speech Emotion Recognition with deep learning. KES 2020: 251-260
- Yann Soullard, Cyprien Ruffino, Thierry Paquet. CTCModel. 2019. A Keras Model for Connectionist Temporal Classification. [Research Report] universit  de Rouen Normandie. (hal-02420358)
- Anwer Slimi, Mohamed Hamroun, Mounir Zrigui and, Henri Nicolas. 2020. Emotion Recognition from Speech using Spectrograms and Shallow Neural Networks. The 18th International Conference on Advances in Mobile Computing & Multimedia (MoMM2020), November 30- December 2, 2020, Chiang Mai, Thailand. ACM, New York, NY, USA, 7 pages. <https://doi.org/10.1145/3428690.3429153>
- Dias Issa, M. Fatih Demirci, Adnan Yazici. 2020. Speech emotion recognition with deep convolutional neural networks. Biomed. Signal Process. Control. 59: 101894
- Naim Terbeh, Mounir Zrigui. 2017. A Robust Algorithm for Pathological-Speech Correction. PACLING 2017: 341-351.
- Alex Graves, Santiago Fern ndez, Faustino Gomez, and J rgen Schmidhuber. 2006. Connectionist temporal classification: labelling unsegmented sequence data with recurrent neural networks. In Proceedings of the 23rd international conference on Machine learning, pages 369-376. ACM
- Xie and L. Guan 2013. Multimodal Information Fusion of Audiovisual Emotion Recognition Using Novel Information Theoretic Tools. International Journal of Multimedia Data Engineering and Management, vol. 4, no. 4, pp. 1-14, 2013.
- Livingstone SR, Russo FA. 2018. The Ryerson Audio-Visual Database of Emotional Speech and Song (RAVDESS): A dynamic, multimodal set of facial and vocal expressions in North American English. PLoS ONE 13(5): e0196391.
- Mohsen Maraoui, Naim Terbeh, Mounir Zrigui. 2018. Arabic discourse analysis based on acoustic, prosodic and phonetic modeling: elocution evaluation, speech classification and pathological speech correction. I. J. Speech Technology 21(4): 1071-1090
- Zhu X., Liu Y., Li J., Wan T., Qin Z. (2018) Emotion Classification with Data Augmentation Using Generative Adversarial Networks. In: Phung D., Tseng V., Webb G., Ho B., Ganji M., Rashidi L. (eds) Advances in Knowledge Discovery and Data Mining. PAKDD 2018. Lecture Notes in Computer Science, vol 10939. Springer, Cham. https://doi.org/10.1007/978-3-319-93040-4_28
- Mustaqeem, Soonil Kwon. 2020a. A CNN-Assisted Enhanced Audio Signal Processing for Speech Emotion Recognition. Sensors 20(1): 183 (2020)
- Sarala Padi, Dinesh Manocha, Ram D. Sriram. 2020. Multi-Window Data Augmentation Approach for Speech Emotion Recognition. CoRR abs/2010.09895
- Youngja Park, Siddharth Patwardhan, Karthik Visweswariah, Stephen C. Gates. 2008. An empirical analysis of word error rate and keyword error rate. INTERSPEECH 2008: 2070-2073
- Mohamed Labidi, Mohsen Maraoui, Mounir Zrigui. 2017. Unsupervised Method for Improving Arabic Speech Recognition Systems. PACLIC 2017: 161-168
- Aymen Trigu, Naim Terbeh, Mohsen Maraoui, Mounir Zrigui. 2016. Statistical Approach for Spontaneous Arabic Speech Understanding Based on Stochastic Speech Recognition Module. Research in Computing Science 117: 143-151
- Promod Yenigalla, Abhay Kumar, Suraj Tripathi, Chirag Singh, Sibsambhu Kar, Jithendra Vepa. 2018. Speech Emotion Recognition Using Spectrogram & Phoneme Embedding. INTERSPEECH 2018: 3688-3692.
- Mustaqeem, Soonil Kwon. 2020b. CLSTM: Deep Feature-Based Speech Emotion Recognition Using the Hierarchical ConvLSTM Network. Mathematics 2020, 8, 2133. <https://doi.org/10.3390/math8122133>
- Aren Jansen, Manoj Plakal, Ratheet Pandya, Daniel P. W. Ellis, Shawn Hershey, Jiayang Liu, R. Channing Moore, Rif A. Saurous. 2018. Unsupervised Learning of Semantic Audio Representations. ICASSP 2018: 126-130