

# A Machine Learning Approach for NDVI Forecasting based on Sentinel-2 Data

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**Abstract:** The Normalized Difference Vegetation Index (NDVI) is a well-known indicator of the greenness of the biomes. NDVI data are typically derived from satellites (such as Landsat, Sentinel-2, SPOT, Plèiades) that provide images in visible red and near-infrared bands. However, there are two main complications in satellite image acquisition: 1) orbits take several days to be completed, which implies that NDVI data are not daily updated; 2) the usability of satellite images to compute the NDVI value of a given area depends on the local meteorological conditions during satellite transit. Indeed, the discontinuous availability of up to date NDVI data is detrimental to the usability of NDVI as an indicator supporting agricultural decisions, e.g., whether to irrigate crops or not, as well as for alerting purposes. In this work, we propose a multivariate multi-step NDVI forecasting method based on Long Short-Term Memory (LSTM) networks. By careful selection of publicly available but relevant input data, the proposed method has been able to predict with high accuracy NDVI values for the next 1, 2 and 3 days considering regional data of interest.

## 1 INTRODUCTION

Precision Agriculture (PA) is a whole-farm management approach using information technology, satellite positioning data, remote sensing and proximal data gathering. PA employs data from multiple sources to improve crop yield and increase the cost-effectiveness of crop management strategies including fertilization and irrigation. The goal is optimizing returns on inputs whilst reducing environmental impacts.

In order to implement data-driven PA, suitable metrics of crop vigor, health, or development are needed. The Normalized Difference Vegetation Index (NDVI) is an indicator of the greenness of the biomes. Its simple formulation is:

$$NDVI = \frac{\rho_{NIR} - \rho_R}{\rho_{NIR} + \rho_R} \quad (1)$$

where  $\rho_{NIR}$  and  $\rho_R$  are the spectral reflectance measured in the near-infrared and visible red wavebands respectively. In the last two decades, the NDVI has been widely used for ecosystem monitoring and specifically as a proxy for vegetation vigor, especially

for crop monitoring in agriculture. Accurate and comprehensive NDVI assessment or forecast has been shown to enable effective future projections of crop yield for precise agricultural planning and budgeting (Hatfield et al., 2008; Reddy and Prasad, 2018). Indeed, NDVI is strongly correlated with green canopy cover and the greenness of the vegetation.

Satellite-based multispectral imagery is a major source of data enabling computation of NDVI and other vegetation indices for large cultivated areas. However, satellite data are subject to drawbacks limiting direct NDVI applicability in the context of agricultural decision support systems or crop monitoring. Satellite transit above a given area usually occurs every few days or weeks, depending on the specific satellite, which leads to an intermittent update of *measured* NDVI values. Moreover, possible local cloud cover and other meteorological factors during satellite transit affect the spectral response, leading to further missing NDVI values. For these reasons, accurate NDVI *forecasting* plays a major role for effective use of NDVI as a reliable indicator.

In the NDVI forecasting literature, two different levels of precision are typically examined. The first one, *large-area forecast* involves a model that predicts the NDVI over a large, relatively homogeneous area such as a whole irrigation district or a whole

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forest cover. Conversely, field-level forecast uses a model that attempts to predict the NDVI in smaller parcels that are comparable to the size of a field. One or few hectares can serve as a reference size for field-level NDVI assessment, based on the available satellite data (e.g., NASA MODIS satellites provide a pixel resolution of  $250m \times 250m$  (Ahmad et al., 2020)). In both cases, several issues arise when collecting NDVI data for a dataset suitable for training and testing the models. The major problem is coping with outliers, i.e., pixels whose NDVI value is meaningless, due for example to the presence of obstacles between the measurement device and the target. Consider, e.g., satellite NDVI imagery acquired in the presence of clouds. On the other hand, *field-level forecasts* are more useful to producers, as they provide more comprehensive information about how their fields are evolving. Unfortunately, field-level NDVI is harder to predict, because the NDVI time series of a field-level pixel is much more noisy than the time series of the average observed NDVI over a large area. Another aspect impacting problem complexity is the forecasting horizon: some approaches only predict the next NDVI value, whereas others aim at multi-step predictions.

In this paper, we focus on field-level forecast using very small pixels — at a  $10m \times 10m$  resolution. We propose an LSTM-based forecasting approach taking into account four features, namely NDVI, atmospheric precipitation, minimum and maximum temperature. The proposed forecasting model allows the prediction of the next  $k$  NDVI values, given a time series of  $t$  data items. Each time step corresponds to one day. The method illustrated in the paper has shown the ability to predict the NDVI for the next 1, 2 and 3 days with high accuracy, considering a broad area of cultivated fields in the Emilia-Romagna Region, Italy.

The paper is organized as follows. In section 2, we discuss related work on NDVI forecasting. In section 3, we provide a detailed problem statement, with a list of tasks we focus on. In section 4, we illustrate the proposed approach. In section 5, we present experimental results. Finally, in section 6, we conclude the paper with an outline of future work.

## 2 RELATED WORK

A considerable amount of previous work on the estimation of the NDVI of the current growing season uses traditional time-series forecasting and curve-fitting techniques (Atkinson et al., 2012; Cao et al., 2015; Vorobiova and Chernov, 2017). However, these

techniques are outperformed by methods based on deep learning with time series analysis.

The approach proposed by Gómez-Lagos et al. (Gómez-Lagos et al., 2019) utilizes a standard (non-recurrent) fully connected neural network to predict the NDVI values of a table grape orchard at the field level. One of the drawbacks of this methodology is that it is only tested on the NDVI pixels of one date. Therefore, these results are not comparable with algorithms that measure their accuracy across multiple timestamps over a season. The model would need to be retrained every time an NDVI prediction for a new date is required.

Given the sequential nature of NDVI forecasting, another emerging method to forecast NDVI is the use of an RNN or its variant, the LSTM (Long Short-Term Memory). Reddy’s methodology (Reddy and Prasad, 2018) involves using the LSTM to perform large-area NDVI forecasts and achieves a root mean square error (RMSE) of less than 0.03. While this is a low RMSE, this LSTM is forecasting the average over large areas, which, as mentioned earlier, is an easier task than field-level predictions. Stepchenko and Chizhov (Stepchenko and Chizhov, 2015) perform single-pixel field-level forecasts at a similarly low RMSE of 0.0352, but approximately half pixels in this study contain coniferous vegetation rather than crop fields. Coniferous regions are significantly easier to predict than crop areas due to their homogeneous and regular evolution. Additionally, a smoothing coefficient of 15% is used to reduce noise and remove cloudy data. More recently, Ahmad et al. (Ahmad et al., 2020) have proposed the ConvLSTM methodology to perform field-level multipixel predictions with an RMSE of 0.0782. In the context of field-level NDVI forecasting, this result can be considered as the state of the art. However, (Ahmad et al., 2020) operate with relatively large  $250m \times 250m$  pixels suitable for large fields typical of Southern American agriculture, whereas we aim at the ability to predict NDVI even for the more fragmented fields more customary in Europe.

## 3 PROBLEM STATEMENT

For a given field, let us consider a sequence of daily observations, each one consisting of the following features: NDVI, precipitation level, minimum temperature, maximum temperature. Our goal is to predict the next  $k$  NDVI values — i.e.,  $NDVI(t+1)$ , ...,  $NDVI(t+k)$  — with high accuracy. For this purpose, we will use a suitable ML model trained with historical data.

As NDVI data sources, we rely upon the Sentinel-2A and Sentinel-2B satellites, which are part of the Copernicus Sentinel-2 mission<sup>1</sup> (European Union, 2021). We use the 10m × 10m spatial resolution in order to increase the accuracy of the NDVI estimation by providing as much data as possible. Higher spatial resolutions are also available from other satellites or services.

Of the aforementioned features, the NDVI one may have missing values, due to the fact that the satellites do not pass over the given every day. Indeed, the two satellites provide a 5-day revisiting time within out-of-phase orbits. Hence, the actual time interval between the acquisition of a satellite image of a given plot and the next one is about 2-3 days. Moreover, when it comes to cloudy weather, the NDVI values may also be falsified. The cloud coverage problem has been the subject of several studies (Roerink et al., 2000) (Alvarez-Mendoza et al., 2019) (Tarrío et al., 2020).

Thus, we focus on the following tasks:

- Satellite's near-infrared and visible red bands retrieval;
- Cloud detection in cloudy weather conditions for NDVI validation;
- Weather information retrieval from ARPAE Regional System;
- NDVI regression, i.e., given a multivariate time series with the aforementioned features, predict next NDVI value(s).

In the following section, we describe the proposed approach for coping with these tasks.

## 4 PROPOSED APPROACH

In our method, two independent subsystems extract data from the Sentinel-2 Copernicus repository and from the available weather station, respectively. The resulting multivariate dataset is used to train an LSTM regression model, which will be used to predict, given a  $t$ -step input sequence, the next  $k$  NDVI values. A grid search algorithm provides the best hyperparameter configuration.

### 4.1 Field Data Retrieval

The first step to the construction of the dataset is the selection and collection of the agricultural fields. We consider only fields with a regular shape, preferring polygons with a low number of vertices. As for the

<sup>1</sup><https://www.copernicus.eu/en>

size, we use only pixels with an area of medium or high size, distributing the samples in a balanced way in the range between 10000 and 70000 square meters. With these choices it is possible to obtain a high number of satellite pixels, enabling more effective and robust preprocessing such as cloud removal.

Regarding the geographical position, a reasonable choice is to exclude fields in the mountain and pre mountain areas due to the uncertainty of the meteorological data (few weather stations in relation to the morphology of the terrain) and to the more frequent presence of cloud formations during the growing season of the crop (obscuring the field from satellite).

Concerning the cultivation type, we limit the selection to open field, large-scale herbaceous crops, suitable for a predictive observation of the NDVI curve from sowing to harvesting.

### 4.2 Sentinel-2 Satellite Data

The two satellites of the Sentinel-2 mission have a sun synchronous orbit and together they are able to cover all the mainland surfaces with a time interval of 5 days between two revisits. The satellites supply data products of different types organized in tiles of 100x100 km<sup>2</sup>. Due to the overlap between two adjacent orbits, some areas have more frequent coverage. For example, in the case of the Emilia-Romagna region, coverage is guaranteed by satellite sensing carried out in orbits 22 and 65 (relative numbers) which enable a sampling every 2-3 days.

The Sentinel-2 satellite data of interest are the individual bands needed for computation of the NDVI index, namely the B8 (NIR) and B4 (RED), and the Cloud Mask (obtained by ESA's cloud classification).

For each terrain, we need to obtain the pixel map, through the intersection of the polygon with the 10m × 10m resolution products. Finally, an NDVI value must be calculated and associated with each pixel of each terrain, using the corresponding values in the B8 and B4 band.

### 4.3 Map Validation

The obtained values are affected by noise. First, the data representing the geographical coordinates of the fields, i.e. the perimeter polygons, are usually manually entered into geographical information systems. For this reason, in some cases the process involving this manually inserted data does not exactly reflect the representation of the position of the field, especially in the outermost area. For example, they may include parts of adjacent roads, buildings, or other neighboring fields. For this reason, we choose to remove all

the border pixels within each field.

Another source of uncertainty is related to the georeferencing of satellite data. As described in an official ESA quality report (ESA, 2021), calibration of satellites is constantly evolving in order to improve accuracy: the last calibration for Sentinel-2B was performed on March 30th, 2020, whereas the geolocation performance of Sentinel-2A has remained good since the last calibration in January 2019. According to ESA, provided data may be affected by an error, due to satellite vibration and oscillation, of about 10 m in the worst case.

In order to limit the impact of these errors, we propose to weigh the values based on the pixel position, considering the central pixels as more representative of the crop status than those located close to the border. Accordingly, the latter pixels receive a lower weight than the former ones. The closer one gets to the center of the field, the higher is the weight of pixels.

Table 1: Example of linearly increasing pixel weight levels.

$L_0$	$L_1$	$L_2$	$L_3$	$L_4$
0.00	0.25	0.50	0.75	1.00

Table 1 defines five levels for the pixel weights, which grow linearly from 0 to 1. In general, we denote the set of pixel weight levels as

$$\mathcal{L} = \{L_0, L_1, L_2, L_3, L_4\}. \quad (2)$$

#### 4.4 Cloud Detection for NDVI Validation

Cloud detection is one of the critical points in the analysis of satellite images. Since the NDVI value is very sensitive to cloudy, foggy and rainy weather conditions, the images cannot be analyzed without considering all these meteorological factors.

In cloudy conditions, the NDVI value turns out to be close to 0, sometimes even negative (Lillesand et al., 2015). Considering that water has a uniform and low reflectance in the near-infrared, cloud detection in such environment is much easier, while it is more difficult over land.

An example set of pixel-related NDVI values in different weather conditions is reported in Figure 1. The NDVI value is close to 0 in those pixels where the crop field is not clearly visible, while it continues to grow as the clouds disappear.

This type of analysis must be repeated for all considered fields. We propose to apply a clustering algorithm to separate pixels with high visibility from pixels with low visibility. The latter pixels must be

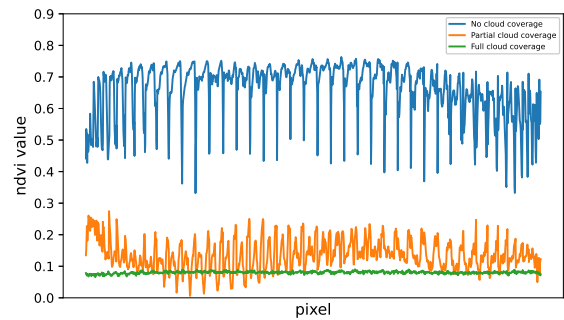


Figure 1: NDVI values for each  $10\text{m} \times 10\text{m}$  pixel in case of no cloud coverage (blue), partial cloud coverage (orange) and full cloud coverage (green).

removed, otherwise they would be detrimental to the final model performance.

The main difference in using a fine-grained approach (with small pixels) instead of a coarse-grained one is that the former enables the selective removal of the outliers. For example, within a  $250\text{m} \times 250\text{m}$  pixel, some of its  $10\text{m} \times 10\text{m}$  subpixels may have a wrong value (due to clouds or fog) while some others may have correct values. Instead of removing the entire large pixel, we propose to reject just those where the NDVI value is below a threshold  $\alpha$  that can be estimated by means of the aforementioned clustering process. As mentioned in Section 4.2, for cloud masking we propose an adaptation of the homonym service offered by Sentinel. Indeed, that service detects most of the clouds but not all of them. For this reason, we combine a more selective algorithm alongside the Sentinel one in order to recognize more precisely where the clouds are located.

Based on the percentage and position of the cloudy pixels, we propose a method that can discriminate the crop's validity. Let us denote as  $\mathcal{P}$  the set of field pixels:

$$\mathcal{P} = \{p_1, p_2, \dots, p_{|\mathcal{P}|}\}. \quad (3)$$

The weighted number of pixels classified as cloudy, according to the threshold we mentioned earlier, is denoted as

$$n_+ = \sum_{\substack{i=1 \\ ndvi_i < \alpha}}^{|\mathcal{P}|} w_i, \quad (4)$$

where  $w_i \in \mathcal{L}$  and  $ndvi_i$  is the NDVI value of the pixel  $p_i$ .

We define the weighted cloud coverage percentage as

$$ccp = \frac{n_+}{\sum_{i=1}^{|\mathcal{P}|} w_i} \cdot 100, \quad (5)$$

which represents the percentage of cloudy pixels within a field, based on their weights. A field is accepted if its  $ccp$  does not exceed 25%.

When the cloud detection algorithm identifies a cloudy field or the satellites do not pass over a field, we propose to perform a linear NDVI interpolation in order to preserve the dataset consistency. Based on these considerations, the weighted average NDVI value for a given field  $f$  and day  $d$  is thus defined as

$$ndvi_{f,d} = \frac{\sum_{i=1}^{|\mathcal{P}|} ndvi_i \cdot w_i}{\sum_{j=1}^{|\mathcal{P}|} w_j}. \quad (6)$$

#### 4.5 Weather Information Retrieval

In order to manage the lack of NDVI values during the days where satellites did not pass over the fields, or just found bad climatic conditions, we propose to take into account other variables. In particular, the amount of precipitation, the minimum temperature and the maximum temperature, together with the NDVI index, are part of the considered dataset. Temporal correlations between NDVI index, temperature and precipitation have been extensively studied (Ichii et al., 2002) (Piao et al., 2006) in the last decades.

Taking advantage of the  $10m \times 10m$  pixels previously defined, our approach avoids a one-by-one weather station with crop field assignment. Indeed, our algorithm makes multiple weighted average assignments between neighboring weather stations and each pixel.

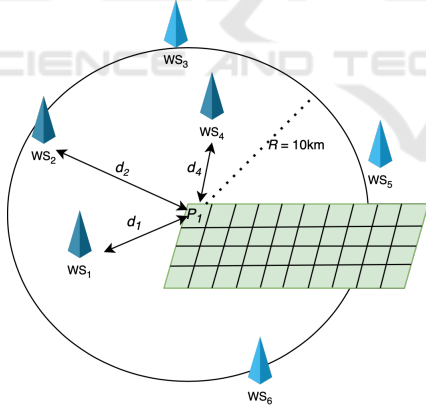


Figure 2: Weighted average of the information provided by the weather stations ( $ws$ ) based on their distance ( $d$ ), for a given pixel ( $P_i$ ) within a circle centered on  $P_i$  of radius  $R=10km$ .

Figure 2 schematizes the algorithm that assigns the values of precipitations, minimum temperature and maximum temperature to a pixel of a specific field. Considering the set of pixels  $\mathcal{P}$  (eq. 3), the circular region of radius  $R$  that surrounds the  $i$ -th pixel  $p_i$  is

$$C_i = \{(lat, lon) : (lat - lat_i)^2 + (lon - lon_i)^2 < R^2\} \quad (7)$$

where  $(lat_i, lon_i)$  are the geographical coordinates of the centroid of  $p_i$ . The set of the weather stations that belong to  $C_i$  is

$$\mathcal{W}_i = \{ws : (lat_{ws}, lon_{ws}) \in C_i\}. \quad (8)$$

Finally, we list the formulas for calculating the precipitation level, minimum temperature and maximum temperature related to  $p_i$ :

$$prec_i = \frac{w_i}{\sum_{i=1}^{|\mathcal{P}|} w_i} \sum_{ws \in \mathcal{W}_i} \frac{prec_{ws} \cdot d_{ws,i}}{\sum_{ws \in \mathcal{W}_i} d_{ws,i}} \quad (9)$$

$$t\_min_i = \frac{w_i}{\sum_{i=1}^{|\mathcal{P}|} w_i} \sum_{ws \in \mathcal{W}_i} \frac{t\_min_{ws} \cdot d_{ws,i}}{\sum_{ws \in \mathcal{W}_i} d_{ws,i}} \quad (10)$$

$$t\_max_i = \frac{w_i}{\sum_{i=1}^{|\mathcal{P}|} w_i} \sum_{ws \in \mathcal{W}_i} \frac{t\_max_{ws} \cdot d_{ws,i}}{\sum_{ws \in \mathcal{W}_i} d_{ws,i}} \quad (11)$$

where  $d_{ws,i}$  is the distance between the  $ws$  position and the centroid of  $p_i$ . The distance is calculated using the spherical law of cosines as

$$d_{ws,i} = \arccos((\sin(lat_{ws}) \cdot \sin(lat_{p_i}) + \cos(lat_{ws}) \cdot \cos(lat_{p_i}) \cdot \cos(lon_{p_i} - lon_{ws})), \quad (12)$$

in order to deal with geodetic coordinates. The average precipitation level, minimum temperature and maximum temperature of a given field  $f$  and day  $d$  are defined as

$$prec_{f,d} = \frac{\sum_{i=1}^{|\mathcal{P}|} prec_i}{|\mathcal{P}|} \quad (13)$$

$$t\_min_{f,d} = \frac{\sum_{i=1}^{|\mathcal{P}|} t\_min_i}{|\mathcal{P}|} \quad (14)$$

$$t\_max_{f,d} = \frac{\sum_{i=1}^{|\mathcal{P}|} t\_max_i}{|\mathcal{P}|}. \quad (15)$$

#### 4.6 Dataset Definition

The set of considered fields is denoted as

$$\mathcal{F} = \{f_1, f_2, \dots, f_{|\mathcal{F}|}\}. \quad (16)$$

Let us define

$$\mathcal{D} = \{D_1, D_2, \dots, D_{|\mathcal{F}|}\}. \quad (17)$$

where

$$D_i = \{d_1, d_2, \dots, d_{|D_i|}\} \quad (18)$$

is the set of available daily data for a specific field.

Therefore, in order to train a ML model that has an input sequence of length  $t$  and an output sequence of length  $k$ , the following dataset must be used:

$$\mathcal{X}_{t,k} = \{\mathbf{x}_{f,1}, \dots, \mathbf{x}_{f,t}, ndvi_{f,t+1}, \dots, ndvi_{f,t+k}\} \quad (19)$$

considering all sequences of daily data of length  $t+k$  in  $D_f$ , assuming that  $|D_f| \geq t+k$ , for all  $f \in \mathcal{F}$ . In eq. 19, the multivariate data item is a tuple

$$\mathbf{x}_{f,d} = (ndvi_{f,d}, prec_{f,d}, t\_min_{f,d}, t\_max_{f,d}). \quad (20)$$

### 4.7 NDVI Regression

Since a multivariate time series must be processed in order to forecast NDVI values, we have adopted a LSTM network (Hochreiter and Schmidhuber, 1997), using a supervised learning approach. The LSTM network is a special case of recurrent neural network (RNN) architecture developed to deal with the exploding and vanishing gradient problems that can be encountered when training traditional RNNs.

## 5 EXPERIMENTAL RESULTS

We have evaluated the proposed approach using Sentinel-2 data referred to the province of Parma in the Emilia-Romagna region in Italy. Each considered cultivated field has been uniquely identified by the triple including geographical position, cultivated crop, and year. Crop history has been provided by the Canale Emiliano-Romagnolo (CER) Irrigation Consortium.

Data retrieval has focused on the four years from 2017 (launch year of the Sentinel-2B satellite) to 2020. For each year, we selected 600 fields, for which we have collected the perimeter polygon (using the WGS84 coordinate systems) and the reference of the cultivated crop.

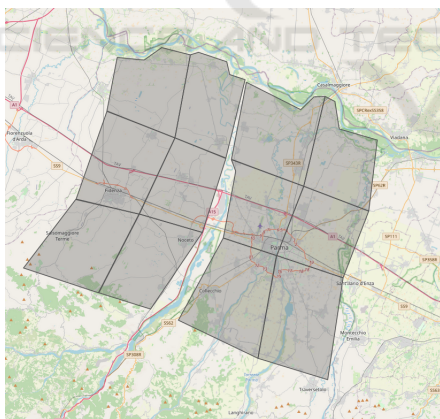


Figure 3: Polygons representing areas of interest during fields selection.

A balanced selection of the fields has been made within the sectors shown in Figure 3, which together represent an area of about 1200 square kilometers. In particular, fields have been equally chosen from three crops as follows: tomato, potato and corn. Indeed the selected crops, in addition to being very relevant from a food and economic point of view in the region (Unioncamere Emilia-Romagna and Regione Emilia-Romagna, 2020), allowed us to obtain, for each year,

a good number of balanced samples in the various cultures and sizes chosen.

Data obtained from the Sentinel-2 satellites have shown some outliers or inconsistencies, most of the times due to maintenance, with consequent information loss. However, compared with the total amount of retrieved data, the missing part is relatively small and can be neglected. The chosen time frame starts on 1st of June and ends on 30th of September (in order to fully follow the ripening cycle of the chosen crops) for each of the following four years: 2017, 2018, 2019, 2020.

Each field has been analyzed with a clustering algorithm in order to distinguish between useful NDVI values and outliers. The result of this analysis is the threshold value of 0.177, below which the pixel is considered as an outlier, i.e., a cloudy pixel. In this way, also thanks to the contribution of the Sentinel cloud detection algorithm, the dataset has been cleaned in a preprocessing phase that removed about 4.6% of the collected fields.

As already highlighted in Section 4.3, the proposed method assigns different weights to each pixel, according to its incidence within the field. These weights are then used to calculate the field’s weighted cloud percentage: if more than 25% of the pixels, according to their impact, are classified as cloudy on a given day, the field is discarded. To calculate the average field NDVI value, the same approach based on pixel weights has been considered.

Meteorological data have been also acquired in the same time range described above. We have looked for the largest number of weather stations within the province of Parma (Emilia-Romagna, Italy) in order to assign to every  $10m \times 10m$  pixel a value of precipitation, minimum temperature, maximum temperature and NDVI. ARPAE, the agency for prevention, environment and energy of Emilia-Romagna region, controls the state of the environment and supports the sustainability of human activities, aiming at the protection of human health and territorial competitiveness. The ARPAE Open Data<sup>2</sup> public service allowed us to extract useful data about weather stations, precipitations and temperatures of the regional territory. Combining the completeness of ARPAE Open Data with the availability of 3Bmeteo<sup>3</sup> historical data, we have been able to associate at least one weather station for each of the 44 municipalities within the province of Parma. Furthermore, some of these municipalities (depending on their size and geographical location) have been associated to more than one weather station for a total of 62.

<sup>2</sup><https://dati.arpae.it>

<sup>3</sup><https://www.3bmeteo.com>

Thus, we have been able to design a dataset with four features:  $prec$ ,  $t_{min}$ ,  $t_{max}$  and  $ndvi$ . Their strong correlation is shown in Figure 4, which is related to a 120-day time range, for a specific field.

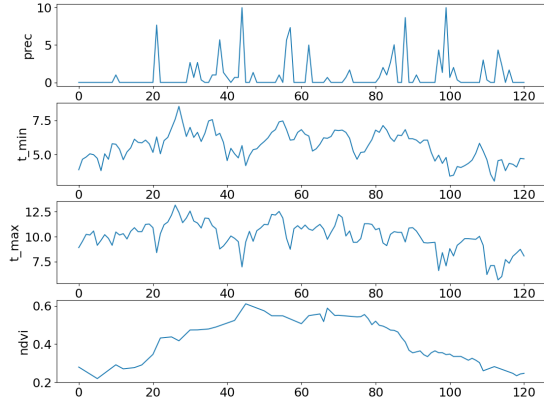


Figure 4:  $prec$ ,  $t_{min}$ ,  $t_{max}$  and  $ndvi$  time series example within a time range of 120 days for a given field.

Model weights are initialized to small random values and updated by an optimization algorithm in response to error estimates on the training dataset. In particular, we have used the Adam optimizer (Kingma and Ba, 2015; Sun et al., 2020). Adam is quite different from stochastic gradient descent, which maintains a single constant learning rate for all the weights without updating it during the training phase. Instead, Adam benefits from AdaGrad and RMSProp optimization algorithms that both use a per-parameter learning rate.

The problem of scaling variable is well known: unscaled input variables may bring to slow or even unstable learning process. Exploding gradients can also appear within regression problems when it comes to unscaled variables. Therefore, data preparation includes a pre-processing phase that consists of input scaling between 0 and 1.

Experiments have been carried out using a workstation with an Intel Core i9 2.80 GHz CPU, 32 GB RAM and an NVIDIA GeForce RTX 3090 GB GPU. The ML software has been implemented in Python 3, using Keras library with TensorFlow backend.

We have focused on a multi-step time series forecasting where  $NDVI(t + 1)$ ,  $NDVI(t + 2)$  and  $NDVI(t + 3)$  values are predicted. For this task, 20 different experiments have been made by changing the input time step range from 1 to 20 days back. Considering (eq. 19),  $t$  ranges from 1 to 20, and  $k = 3$ . Finally, the dataset has been partitioned with 60% for training, 20% for validation and 20% for testing. By means of a grid search algorithm, we have found that the parameters and hyperparameters shown in Table 2 are the best possible choices, dealing with a 1-layer

LSTM architecture.

Table 2: Best parameters and hyperparameters values according to the grid search algorithm, performed for NDVI forecasting at days  $(t + 1)$ ,  $(t + 2)$  and  $(t + 3)$ .

Parameters	$t + 1$	$t + 2$	$t + 3$
days back	2	2	3
lstm units	60	105	100
number of epochs	43	116	134
batch size	256	256	64
learning rate ( $\mu$ )	0.0005	0.0005	0.0005
loss function	MAE	MAE	MAE
optimization alg.	Adam	Adam	Adam

An example of the real  $NDVI(t + 1)$  compared to the  $NDVI(t + 1)$  prediction is shown in Figure (5). The best models that predict NDVI at time  $(t + 1)$ ,  $(t + 2)$  and  $(t + 3)$  achieve a RMSE of 0.03189, 0.06201, 0.08506, respectively, thus outperforming the (Ahmad et al., 2020) ConvLSTM model whose RMSE stands at 0.0782.

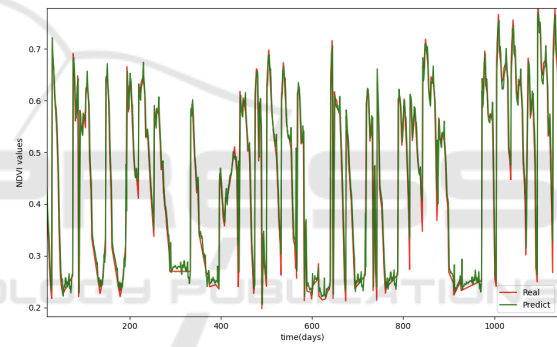


Figure 5: Example of predicted  $NDVI(t + 1)$  (green) compared to real  $NDVI(t + 1)$  (red).

In Figure (6), we show the per-horizon RMSE of the most accurate LSTM models we obtained, ordered from the best model to the worst one.

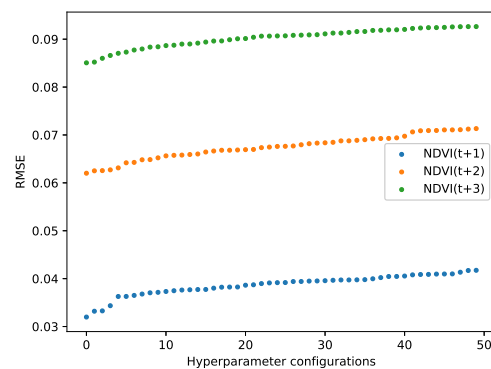


Figure 6: RMSE result of the first 50 best hyperparameters configurations.

## 6 CONCLUSIONS

In this work, we have described a novel LSTM-based approach for NDVI forecasting. In particular, we have proposed a methodology for building a dataset whose entries are characterized by weather information and NDVI values, and a cloud detection algorithm which refines the one used by the Sentinel-2 Copernicus mission. Weather information is directly correlated to the pixels based on their distance, rather than to the field, in order to increase precision and accuracy of the dataset by keeping consistency.

In future work, we plan to adopt the new Mistral Data Hub national platform, which provides meteorological data related to the whole Country. Furthermore, we plan to implement a parallel system for satellite image acquisition, to obtain a larger number of fields in less time. Finally, we will consider alternative vegetation indices, such as the Enhanced Vegetation Index (EVI), to improve vegetation monitoring and crop assessment.

## SOURCE CODE AND DATASETS

The source code of the proposed LSTM network and the training data are available on GitHub: <https://github.com/ML-unipr/ndviforecastingML>.

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