Deep Learning Assisted Retinopathy of Prematurity Screening Technique

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- Keywords: Fundus Image, Retinopathy of Prematurity (ROP), Plus Disease, Computer Aided Diagnosis (CAD), Image Processing, Machine Learning (ML), Deep Learning (DL).
- Abstract: Retinopathy of Prematurity (ROP) is the leading cause of blindness in preterm babies worldwide. By using proper scanning and treatment, the effect of the blindness of ROP can be reduced. However, due to lack of medical facilities, a large proportion of these preterm infants remain undiagnosed after birth. As a result, these babies are more likely to have ROP induced blindness. In this paper, we propose a robust and intelligent system based on deep learning and computer vision to automatically detect the optical disk (OD) and retinal blood vessels and also classify the high severity (Zone-1) case of ROP. To test and validate the proposed system, we present empirical results using the preterm infant fundus images from a local hospital. Our results showed that the YOLO-V5 model accurately detects the OD from preterm babies fundus images. Further, the computer vision-based system accurately segmented the retinal vessels from the preterm babies fundus images. Specifically for the Zone-1 case of ROP, our system is able to achieve an accuracy of 83.3%.

1 INTRODUCTION

Retinopathy of prematurity (ROP) is the leading cause of blindness in premature infants worldwide (Organization et al., 2019). This is caused by abnormal development of retinal blood vessels in a preterm light-weight infant (Brown et al., 2018; Wang et al., 2018). For the past several decades, paediatric ophthalmologists have used retinal images for screening, detection, and monitoring of ROP. An ophthalmologist uses two types of methodology to analyse retinal scan images: manual and automatic. Then they can classify ROP based on anteroposterior location (area), severity (stage) and vascular characteristics (Dogra et al., 2017). One of such classification criteria is the extent of vascularization, where the disease is classified into three zones: Zone-1, Zone-2 and Zone-3 as shown in Figure 1. In clinical practice, it performs a significant role for the ophthalmologist in planning the treatment of ROP and plus disease. Apart from this, it is also helpful to understand the improvement and severity of the disease. Therefore, throughout the treatment of ROP, it is very essential to measure the extent of vascularization of the retinal vessels accurately.

The problem in India is more acute as more than 65% of people live in villages or small-urban areas, and medical facilities (i.e., newborn care, ophthalmologists, ROP screening devices, etc.) and their avail-

abilities are limited (Dogra et al., 2017; Organization et al., 2019). Therefore, a large proportion of these infants remain undiagnosed after birth. As a result, these babies are more likely to have ROP induced blindness. In addition, the neonatal care division and ophthalmologists have a limited period of time to provide appropriate diagnosis and treatment, which makes the problem even more challenging. Therefore, there is an urgent need for an innovative solution to detect and classify the high severity (zone-1) case of ROP that causes the most ROP induced blindness in children.

Given its importance, many authors have proposed several techniques for ROP diagnosis and classification recently. Some of these are based on image processing and some are based on AI techniques. Currently, machine learning (ML) especially deep learrning (DL) based methods have led to remarkable improvement in the performance of ROP diagnosis and classification applications (Brown et al., 2018;



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Tan et al., 2019; Ting et al., 2019b). The DL-based systems can accurately detect and classify the ROP, but, it fails to provide the detail and quantitative information of the disease. As a result, the ophthalmologist is incapable of correlating the results from DL-based systems with the signs and symptoms of disease (Brown et al., 2018; Tan et al., 2019; Ting et al., 2019b). In this paper we will be suggesting a solution keeping this problem in mind as in medical applications explainability of results is considered to be a major factor. Moreover, DL-based systems are data-driven, which require a massive amount of labelled pathological data to train, test and validate a model. In the case of ROP, obtaining a large number of fundus images is challenging. Consequently, it hinders the advancement and use of DL-based systems in such medical applications.

Therefore, in this paper, we have introduced a DLassisted system to detect and classify ROP disease. ROP classification is mainly based on the location and extent of vascularization. It requires a retinal vessels map with the extent and location of the optical disk (OD). The OD is the bright and elliptical region in the fundus image. The ROP classification algorithm uses OD as a reference point to determine the degree and progression of a disease based on the extent of blood vessels. In addition, retinal features can be utilised by the ophthalmologist to determine the correlation between the ML/DL system results and pathological signs and symptoms.

The rest of paper is organized as follows. Section 2, presents the recent work related to ROP screening. Section 3, gives the design details of the proposed DL-Assisted technique for ROP screening. Section 4, presents results, which comes at different stages of the proposed technique's pipeline. Finally, in Section 5, we discuss the proposed technique's shortcomings.

2 RELATED WORK

Over the past several years, ophthalmologists have been using numerous procedures for ROP screening and classification. One of them is manual screening. In this, ophthalmologists examine the symptoms of the retinal image related to the disease. This procedure is a highly biased and stressful practice. The decision in this depends completely on the skill level of the ophthalmologist. The decision is based on the colour, texture, extent and structure of the retinal vessels. This makes the results extremely personspecific, which makes it suffer from inter-expert variability problems.

To overcome these limitations, ophthalmologists and researchers chose computer-aided techniques in their practice for medical diagnosis applications. Using Computer-Aided Diagnosis (CAD), image processing, computer vision and machine learning-based algorithms are used to detect the disease. However, these are not very useful in the diagnostic process to understand the progression of various retinal diseases such as DR, glaucoma, AMD, ROP and plus disease. In the last few years, several DL-based systems have been developed for diagnosis and screening of retinal condition. Self-learning capability, accuracy, and efficiency of DL systems attract special attention of research communities. Therefore, its applications have been successful in the field of ophthalmology, notably for ROP, glaucoma, DR, and AMD, where retinal image features for these diseases are not known (Ting et al., 2019a). These are data-driven techniques in which DL-model is pre-trained with historical pathological datasets related to the specific disease. Many DL based techniques have also developed for ROP screening and diagnosis. Table 1, summarizes some recent DL based systems used for ROP screening and diagnosis. It uses a different variation of the DL network for screening and classification of ROP and plus diseases. The performance of DL-based systems is better than that of traditional CAD applications (Ting et al., 2019b; Zhang et al., 2018; Guo et al., 2020; Ding et al., 2020). Additionally, the DL-based screening technique also resolves the issue of flexibility and adaptability that are absent in the rule-based system.

The ML/DL based system is a data-driven technique. This requires a massive amount of data (labelled/not-labelled) for its training, testing and validation (Ting et al., 2019b; Zhang et al., 2018; Guo et al., 2020; Ding et al., 2020). For medical applications, data collection (pathological, medicine and treatment history) and labelling are tedious tasks. In some settings the incidence of a disease is low and their features depend on the socio-economic situation as well as geographic distribution making it harder challenge to obtain quality datasets. Recently, in (Ting et al., 2019b), the authors proposed DNN-based reinforcement learning techniques for ROP, which reduce the additional burden on the developer or researcher to perform labelling before training a DLmodel. The performance of the trained DL module for a specific dataset is efficient and accurate for that dataset while their accuracy is questionable for any other dataset. Furthermore, for the expert, it is difficult to understand and explain the correlation between the signs and symptoms of the disease with the outcome of the DL-system.



Figure 2: AI-ML assisted ROP feature extraction and disease screening system.

Table 1: Summary table for different ML/DL system for KOP disease screening using fundus image
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Network	Size of datasets	Result (%)
CNN (inception-V1 and U-net)	100	ROP plus: (Se: 93 and Sp: 94), pre-
(Brown et al., 2018)		plus: (Se: 100 and Sp: 94)
Transfer learning; AlexNet, VGG16,	19543	Ac: 98.8 (VGG-16)
and GoogLeNet (Zhang et al., 2018)		
CNN (Tan et al., 2019)		ROP plus: (Se: 97 and negative predic-
		tive value (NPV): 98)
ROPBaseCNN, ROPResCNN (Guo	959	Se: 100, Sp: 96, Pr: 96, Ac: 98
et al., 2020)		
Hybrid (image segmentation +	1199 (in stage three)	Pr: 62, Re: 62, F1: 62
CNN) (Ding et al., 2020)		

(Sp: Specificity, Pr: precision, Ac: Accuracy, Se: Selectivity, Re: recall, F1: F1-score, CNN: convoluted neural network)

Therefore, to solve the above problems, we have proposed a DL-assisted ROP screening technique, which can operate in environments where the availability of large-scale historical datasets is not possible and interpretation of the DL-based system outcomes are highly essential.

3 PROPOSED APPROACH

As shown in Figure 1, ROP can be classified using zones(I/II/III) which can be found using the extent of vessel growth in the fundus image in predefined concentric areas centered at optical disk. A challenge that we face is in regards of plus disease which can be detected and quantified using tortuosity and ratio of width of artery and vein at some predefined distance from the optical disk.

The detailed architecture of the proposed system is shown in Figure 2. It consists of four functional units, namely, fundus imaging (or retinal scanning), pre-processor, features extraction blocks and disease classification unit. Fundus Imaging Unit is responsible for taking and handling retinal scan images, including videos for retinal disease diagnosis and screening. Ophthalmologists often perform retinal examinations using the fundus camera. The fundus image is a colour image of the retinal membrane of the eye, taken with the fundus camera. Scanned images or video data are noisy and suffer from a number of sets of errors caused by uneven illumination, motion blur and sharp and sudden changes in the signal. Therefore, the quality of these images need to be improved. For this, the system has a pre-processing unit. This provides the capability to enhance the quality of the images. It uses various image reconstruction and enhancement algorithms to reduce the effect of noises which are discussed in the following sections.

The pre-processed image is processed by the features extraction unit to extract the pathological features related to ROP. The features extraction unit is made up of two sub-units namely OD extraction and Vessel extraction unit. Both sub-units are jointly responsible for the extraction of the feature related to the ROP from a fundus image. Finally, these features are used by the classification unit to detect the ROP.

In this study, the proposed system uses retinal blood vessel structure and extent to detect and clas-

sify ROP (Dogra et al., 2017). For that, the classification unit uses the extracted features to get the condition of ROP disease. The features set use for ROP is {OD, Vessel}. The classification unit use international classification for retinopathy of prematurity (ICROP) rules for ROP Zoning (shown in Figure 1)(Dogra et al., 2017). In this, the classification is made into three zones based on retinal blood vessel architecture and their extent. Hence, the importance of the feature extraction unit is more as the accuracy of the classification unit depends on how well retinal features like optical disk (OD), blood vessels (Vessel) are extracted from the retina (Dogra et al., 2017). Hence, we have used two dedicated retinal feature extraction unit: OD extraction and blood vessels segmentation are used. Out of these, the OD extraction module uses DL-based systems, while the vessel extraction module is based on image processing and computer vision-based algorithms.

3.1 Data Preparation

A significant number of historical data-points are required for training, testing and validation of the proposed system, especially for DL-based modules. Therefore, we created different sets of fundus datasets for training, testing and validation of different modules as per availability of relevant historical data points (or images). We have collected AIIMS dataset for ROP disease from RP Center, AIIMS Delhi to develop ROP Screening modules, which contains a total of 439 images. The total number of preterm infants, ROP positive or negative, is described in detail in Table 2.

In this study, we have used the dataset in two stages with the proposed system. In the first stage, we have used it for the feature extraction. The proposed system has two feature extraction modules for ROP screening: OD Detection and Vessels Extraction. The OD detection module is a DL-based system. For this, we have labelled a total of 1556 fundus images. Out of this, 990 labelled images are used for module training while the remaining 281 for testing and 285 are used for validation (used to select best DL model from each epoch to avoid overfitting) as explained in Table 3. The vessels extraction module uses image processing and computer vision-based systems and does not require any type of training before use. However, verification of its performance requires a ground truth or gold standard.

In the final stage where we classify particular fundus image into the respective zone, we have used images from the AIIMS dataset in which the OD and blood vessels are clearly visible in the image for validation of our approach. These images have been collected and labelled a neonatal ophthalmologist into four categories, namely Zone-I, Zone-II, Zone-III and healthy, which is the ground truth.

Table 2: AIIMS ROP dataset.

ROP Zone	Total images	Total subject
Zone-I	105	6
Zone-II	217	16
Zone-III	41	5
Healthy	76	4
Total	439	31

3.2 Image Pre-processing

In this study, we have used raw images of the premature infant's retina from a local hospital to test and validate the proposed system. These images suffer from a variety of noises, such as motion blur, irregular illumination and sudden disturbances in image signals, which may reduce the accuracy of the proposed system outcome. Therefore, there is a need to enhance the image quality before using these images to detect disease. We reduce the adverse effects caused by the noise by preprocessing. In this sub-section, we discuss the image enhancement techniques used by the proposed system to minimise the impact of noise occurring in retinal scans (or images).

Colour fundus image of the neonatal is pale yellowish colour. A colour image frame has three colour channels: red (R), green (G) and blue (B). However, the R-channel is saturated and the B-channel is underexposed. Therefore, detailed information related to vessels and OD from the R and B channels is not visible. However, in the G-channel, these features are evident and distinguishable. Hence, we preferred the G-channel and have used it in all further imaging processing modules. We have also used a median filter and contrast-limited adaptive histogram equalization (CLAHE) to improve the quality of the colour image to reduce the effect of uneven illumination and motion blur (Ravichandran and Raja, 2014). However, in the DL-system, we use the colour image as input for feature extraction, disease detection and classification and use CLAHE to correct the effect of colour image quality due to uneven illumination.

3.3 OD Detection

For the ROP diagnosis and classification, we have followed the standard procedure defined by the ICROP (Dogra et al., 2017). According to the ICROP classification of ROP, the reference circles use in zoning are



(a) DRIVE dataset

(b) AIIMS dataset (neonatal retinal images)

Figure 3: Variation in quality of images in various data-sets.

centred at optical nerves in a retinal image. Therefore, the accuracy of an ROP classification depends on how accurately the centre of OD is detected. There are some simple non-data driven as well as data-driven approaches proposed by various researchers in past (Wang et al., 2019; Budai et al., 2013; Yavuz and Köse, 2017; Islam et al., 2019) on this topic, which use standard data-sets like DRIVE (Staal et al., 2004) or STARE (Hoover et al., 2000). However, the main issue in this approach is that it tries to locate optical disk in fundus image using the variation in intensity as the optical disk is (generally) the brightest spot in the fundus image. In the AIIMS-ROP dataset, fundus images of premature infants are taken using retinal camera RetCam. These images are distinct from the standard datasets. We can see the difference between the grids in Figure 3. It may also be noted that these standard datasets are much more consistent in terms of quality and they have very low noise as well as a good contrast. So, feature extraction can be done using one non-data driven approach, but in images acquired in (standard) hospital setups. Hence, we have to deal with a vast spectrum of image quality.

For ROP classification, we use a DL module that can perform the OD detection efficiently and accurately. It also provides the location of the OD centre. For the OD detection, we have created an object detection module using state of the art DL-based object detection model YOLO-v5 (Jocher et al., 2020) as these models assure better accuracy with low latency in prediction. To train these models, we have created one large dataset. For that, we have sourced a total of 6 datasets: five publicly available datasets and one dataset from a local hospital. The details of all datasets are given in Table 3. Some fundus images in the newly created dataset do not have an OD. We need to ensure that if the OD is not present in the image then we do not get a false-positive result (shown in Table 4). YOLO algorithm detects the object and

Table 3: Fundus image datasets for OD detection.

		e			
Dataset	Total	Resolution	Train	Test	Valid
STARE	297	700x605	197	50	50
FIRE	224	2912x2912	144	40	40
DRIVE	40	565x584	30	5	5
HRF	45	3504x2336	25	10	10
IDRiD	511	4288x2848	361	75	75
AIIMS	439	1600x1200	233	101	105
Total	1556	- 7	990	281	285
%	-	-	63.62	18.06	18.32

Train: Training dataset, Test: Testing dataset and Valid: Validation dataset

Table 4: Training datasets for the OD detection (Where, 0: without OD and 1: with OD).

OD exist	0	1	% image with no OD
Train	115	875	11.62
Valid	10	275	3.51
Test	19	262	6.71

also provides their location and bounding box information. Therefore, in the case of fundus image, it detects the optical disk feature such as their bounding box width, height and centre. Moreover, OD's features are utilised by the disease classification module as well as by the expert directly for disease screening and validation task. Redd et. al provide the details network architecture of YOLO algorithm in (Redd et al., 2019). We labelled the compiled dataset as per YOLO model's input and then we trained the network with the input image resolution of 416 x 416.

3.4 Vessels Extraction

In the proposed system, the second most important retinal feature utilised by the ICROP-based ROP zoning algorithms is retinal blood vessel map. Therefore, the proposed system requires a vessel extraction module to produce accurate retinal vessel maps from retinal scans.

Over the last few years, many vessels extraction algorithm have been developed by various researchers (Fraz et al., 2012; Islam et al., 2020). It works very well with an adult person retinal images and makes accurate vessel maps after segmentation. As shown in Figure 5, in the preterm infant, the retinal vascular structure does not develop properly. Due to this, the blood vessels of the preterm infant retina are not clearly visible. Therefore, the traditional algorithms of vessel segmentation, which work well with the publicly available retinal image datasets, do not work with the infant's retinal image.

Recently, some researchers have been developed vessels segmentation techniques that can accurately segment the preterm infant retinal vessels map (Yildiz et al., 2020; Luo et al., 2020). Here the authors used DL-based techniques for segmentation of vessels, which segment the exact vessels map of the retina image of the premature infants. Selection of the training dataset for the DL model is crucial because it influences the output of the system. Training datasets included in these DL systems derived from particular demography that is influenced by gender, race, age, etc. Therefore, these models do not work with local dataset. However, in a specific demography, the model needs to be re-trained before it can be used, which requires a large dataset.

In the proposed system, we have used an algorithm from image processing and computer visionbased vessel segmentation that separates retinal vessels from fundus images. Due to the lack of ground truth or gold standard for verification and testing, it is difficult to measure the performance of the proposed algorithm. However, the retinal vessels segmented by the vessel segmentation unit from the fundus image is sufficient for the ROP zoning application. We have also verified the retinal vessels map obtained from via the vessel segmentation approach with the neonatal ophthalmologist.



(a) Normal fundus image (b) Neonatal fundus image Figure 5: Retinal images of elderly person and a neonatal.

The vessels extraction algorithm pipeline is shown in Figure 4. It consists of mainly three stages named image preprocessing, masks generation and blood vessels segmentation. The preprocessing stage performs all the essential operation to improve the quality of retinal images. We perform a series of morphological operations followed by cluster thresholding and noise reduction to generate results.

3.5 ROP Classification

The proposed system uses an easy and efficient approach for zone detection using the above-discussed modules. In this paper, we consider a single fundus image for zone prediction and hence we cannot cover retinal peripheral areas that are required to efficiently detect Zone-2 or higher or classify an image as no ROP. The focus of our methods is to accurately detect Zone-1 cases of ROP because of the severity. The flowchart for proposed processes in Figure 6.

The accuracy of the classification is defined by the two factors. The first is, how well the vessels are segmented from the retinal image and the second is, how well the OD detection algorithms detect the shape, size and location of an optical disk. Further, the zoning algorithm uses OD location and their size as a reference point for the concentric circle for zone classification. The radius of Zone-1 with approximately equal to the five times of the OD diameter.

In the next section, we evaluate the proposed solution.



Figure 6: Algorithm for ROP Zone classifier.



Figure 4: Vessels extraction form retinal fundus images.



Figure 7: Model training metrics plot with respect to the epoch number. (Where, GIoU: generalized intersection over union loss for training, Objectness: objective loss for the training, val GIoU: validation GIoU loss, val Objectness: validation objective loss, and metrics are Precision, Recall and mAP@x: mean average precision if we consider IoU>x as correct classification).



Figure 8: Confusion Matrix of OD detection (Where, 0: without OD and 1: with OD).

4 RESULTS

The proposed system and its different modules are implemented and tested on a laptop with Intel i7-9750H CPU with 16 GB RAM and NVIDIA GeForce GTX 1660-Ti GPU. In this study, we have proposed a DL-assisted CAD system for ROP diagnosis and screening in premature babies. In this section, we have reported the results obtained in the study of various stages of the proposed system.



Figure 9: Blood vessel extraction results.

Table 5: Performance of YOLO-v5 on different dataset
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Detect	Averag	e IoU%	mAP@0.5 IoU		Accuracy@0.5		Accuracy@0.75	
Dataset	Train	Test	Train	Test	Train	Test	Train	Test
AIIMS	95.59	80.62	0.995	0.973	99.57	96.6	98.71	79.61
HRF	95.71	89.28	0.995	0.995	100	100	100	100
STARE	95.09	83.64	0.995	0.995	100	99	100	90
IDRiD	95.49	88.55	0.995	0.995	100	100	100	97.33
DRIVE	95.69	86.83	0.995	0.995	100	100	100	100
FIRE	89.93	86.31	0.995	0.995	100	100	100	98.75
Overall	94.64	84.48	0.995	0.995	99.9	98.94	99. 7	90.11
* IoI : Intersection over union is the ratio of intersection of predicted bounding								

* **IoU**: Intersection over union is the ratio of intersection of predicted bounding box and ground truth to the union of the both

* mAP@0.5 IoU: Mean Average precision at IoU threshold of 0.5

* Accuracy@x: Accuracy if we consider IoU>x as correct classification

4.1 **OD** Segmentation

After collecting six different datasets (listed in Table 3)), we manually labelled bounding box for OD in these images and then trained YOLO-v5 models. It took us around 10 hours to train this model on out local machine with specifications mentioned above and to detect OD from an image it always took less than 100 milliseconds to get the result. Figure 7 depicts the performance metrics generalized intersection over union (GIoU) loss, objective loss and confusion parameters (i.e., precision, recall and mean average precision (mAP)) of DL-module with train and validation datasets at different epochs. The first two rows in that image shows GIoU and Objective function value that our model is trying to reduce for train dataset in first row and for validation dataset in second row. From that, we can see that Train loss is decreasing and Validation performance is increasing so we have successfully trained the model. In the last two columns

we have plotted various performance metrics for validation dataset as training progressed.

Results that we have got from the trained model are mentioned in Table 5. Here we have created this data set to train YOLO models by combining multiple datasets, so our model must perform well for every dataset. Here from this table, we can see good accuracy figures for all the datasets. Here also one can see that for some standard datasets it is easy to get 100% accuracy even for test dataset and these results are explained by consistency in images of standard dataset.

Also, in one dataset, there were some images for which there were no OD in the image. So if we put that as a binary classification problem of availability of OD, then we obtain the confusion matrix on this dataset using YOLO-v5 as shown in Figure 8 (In this figure 0 means there is no OD in the image and 1 means there is an OD in the image). This shows that in that particular task also our model performs well.



Figure 10: ROP zoning results: blue line depict zone boundary (Left side: original image, Right side: ROP zoning based on vessels extent).

4.2 Vessels Segmentation

Figure 9 shows, the extracted blood vessel from AI-IMS dataset using our approach. From these results, we can observe that this algorithm is some times sensitive to noise or overexposure. We tried to fine-tune every parameter of this algorithm but variation in the dataset is the limitation in our method. However, it is not able to capture the thinner parts of the blood vessels accurately. The results that we have got till now is acceptable for zone detection, which is the task in hand we need vessel extent for now.

4.3 ROP Zoning

Figure 10 shows the visualisation of the proposed solution. As of now, we have 12 images (of 5 different patients) which are of good quality and classified as zone 1 ROP in the ground truth. From that, we can get ten images correctly (83.33% accuracy imagewise). However, if we take top-2 accuracy score, then it comes at 100% patient wise as these two images belong to a patient that had multiple images in the set. Another one was successfully predicted as Zone-1 ROP. Also, if we consider images of Zone-2 ROP, we get 72% accuracy.

5 CONCLUSIONS

In this study, we have reported a proof of concept of the proposed DL-assisted screening system for retinal disease. In this, we studied, designed and applied the new system for ROP diagnosis and classification. We have used image processing and computer vision-based technology for Fundus image preprocessing and vessels extraction while considering and testing YOLO-v5 DL-based algorithms to detect OD. It provides an integrated platform to work datadriven and rules-based system simultaneously. Therefore, this can work successfully even in the absence of a sufficient number of datasets. Also in this approach doctor can see the results of classification along with visualization of detected OD and vessels, so doctor can understand the decision of the system and verify it.

Additionally, we have tested our approach using the local hospital premature infants retinal scan. For the Zone-I ROP, the accuracy of our system is around 83.33%. Currently, the retinal camera uses for retina scanning has a limited field of view (FOV). Therefore, it could not capture the entire periphery of the retina in a single frame. However, for Zone-2 and Zone-3 required a wider view of the retinal surface, which will be not possible with the current set-up. Therefore, the accuracy of our system is low for Zone-2 and Zone-3, which we hope to significantly improve by using multiple images obtained during an examination.

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