

Hierarchical Traffic Sign Recognition for Autonomous Driving

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Keywords: Hierarchical Classification, Spectral Clustering, Convolutional Neural Networks, Machine Learning, Data Processing, Image Processing, Pattern Recognition.

Abstract: Traffic Sign Recognition is very crucial for self-driving cars and Advanced Driver Assistance Systems. As the vehicle moves within a region or across regions, it encounters a variety of signs which needs to be recognized with very high accuracy. It is generally observed that traffic signs have large intra-class variability and small inter-class variability. This makes visual distinguishability between distinct classes extremely irregular. In this paper we propose a hierarchical classifier in which the number of coarse classes is automatically determined. This gives the advantage of dedicated classifiers trained for classes which are more difficult to distinguish. This is an application oriented work which involves systematic and intelligent combination of machine learning and computer vision based algorithms with required modifications for designing fully automated hierarchical classification framework for traffic sign recognition. The proposed solution is a real-time scalable machine learning based approach which can efficiently take care of wide intra-class variations without extracting desired handcrafted features beforehand. It eliminates the need for manually observing and grouping relevant features, thereby reducing human time and efforts. The classifier performance accuracy is surpassing the accuracy achieved by humans on publicly available GTSRB traffic sign dataset with lesser parameters than the existing solutions.

1 INTRODUCTION

In recent times there is a rapid growth in the field of intelligent transport system and self driving cars. The revolution in the field of autonomous cars is accompanied by the growth in the field of advanced driving assistance systems which redefines the quality of driving experience and safety (Thrun, 2010). Traffic sign recognition (TSR) systems are used to assist the driver, and to direct the AI systems of autonomous cars. TSR is a challenging task as it has to deal with traffic signs belonging to different countries having different number of traffic sign categories. The real-time automated TSR system should have a high recognition rate and less execution time. It comprises of broadly three levels: Region of interest (ROI) proposal, ROI classification and tracking. This work focuses mostly on the classification part.

In sign classification, some traffic sign classes are harder to distinguish than others. Such difficult classes need exclusively designed classifiers. Keen observation is required in grouping different sign classes to have better recognition. Manual grouping

of the different category of signs and its analysis is time prone and sometimes error prone too. Automating the classification of sign classes guided by machine learning is essential. This automation can be efficiently handled by a hierarchical classifier which is capable enough to make the effortless inclusion of the new category of signboards.

To build a hierarchical classifier, using a bank of classifiers (e.g.: SVM, Adaboost, Polynomial Classifier etc.) is still a widespread method in the current industry. These classifiers are trained by manually grouping similar kind of sign features together and are combined properly in a hierarchy to automate the grouping of different sign classes (Wang et al., 2013). Seeing the hierarchical classifier as a decision tree, the root classifier can be trained to distinguish among the shapes of traffic signs like triangular, rectangular and circular. Following the root node, more dedicated classifiers can be trained which can classify amongst the circular signs, say, using background and rim colors. At the root node of the tree, all classes are available for classification. As we go down the category tree, the number of categories for classification de-

creases.

The existing hierarchical classifier has some drawbacks. Firstly, classifier at each node works as a flat classifier focusing only on those classes for which the particular classifier was trained. It does not consider the relationship between other nodes. Secondly, it is complex to train the classifier and lesser performance in the upper level leads to even lesser performance in the lower levels. The third disadvantage is the need for manually observing and grouping relevant features which needs human time and effort. This calls for a solution which does not require handcrafted features and manual grouping. Convolution neural network (CNN) (LeCun et al., 1999) are known for learning features relevant to the problem. Using weak CNN based classifier we learn the category hierarchy. This eliminates the need for human in the loop thereby reducing human efforts. Another major advantage of using CNN is scalability and less complex design in terms of parameters and architecture. The model should easily adapt to the class level changes and database changes. The work done is not restricted to sign recognition problems and has the flexibility to address any type of classification challenges, example visual object classification.

We propose a simple modification which leads to gain in performance. The main contributions of this work are:

- We use the hierarchical CNN for image classification (Yan et al., 2015) with modifications for TSR. In (Yan et al., 2015) the number of fine classifiers which are dedicatedly trained for similar classes, are fixed apriori. This is not suitable for our application. So, we adopted a method for automatically determining the number of fine classifiers. This is one of the major contribution of our work.
- The second contribution is extensive analysis of performance of hierarchical classifier leading to several methods of improving the performance and achieving a performance better than human for publicly available GTSRB dataset. The architecture is evaluated and analyzed in detail for both publicly available GTSRB dataset and Triangular sign dataset collected in-house.

The paper is organized as follows. Sect. 2 describes review of related literature. Sect. 3 explains the architecture and method for designing hierarchical classifier framework. The experimentation details and results is presented in Sec. 4. The analysis of experimentation done is described in Sec. 5. Finally, conclusions and future work is discussed in Sec. 6.

2 LITERATURE SURVEY

The idea of learning category hierarchies and using it for image classification problem has been there since a very long time. The initial works by (Gavrila, 1998), describes a multi-feature hierarchical method to match N objects of different classes with a test image using distance transforms. The novelty in this idea was that N templates are grouped offline based on similarity and form a template hierarchy. Similar templates were grouped and represented by a prototype template. At every intermediate level of hierarchy, matching is done using these prototype templates and at the leaf level all N templates are available for matching. In the last ten years, deep learning solutions have become prevalent for hierarchical classification problem. (Zhu and Bain, 2017) suggested branch convolution neural network for hierarchical classification. In (Zhu and Bain, 2017) a CNN model was proposed which contains several branch networks along with a main convolution branch. The output of the model are multiple predictions from coarse to fine level but the category hierarchy should be known in advance. (Xiao et al., 2014) explains an incremental learning approach in CNN. As new classes arrive training algorithm grows a network either incrementally or hierarchically according to the similarities between the classes. The model inherits feature from an existing Alexnet architecture. (Xuehong Mao et al., 2016) uses hierarchical classification particularly in the domain of traffic sign recognition. Here, the measure of similarity between the categories is calculated by transferring the images in the frequency domain and then calculating Hadamard matrix product to get a similarity metric. Here the similarity metric is generated by processing training data. A CNN oriented family clustering is done based on similarity metric to obtain the category hierarchy. In the work discussed in this paper, no processing is done on training data to obtain the similarity metric which is used for learning category hierarchy. The network itself learns the category hierarchy from the confusion matrix of a pre-trained model. In (Yan et al., 2015) category hierarchy is learned automatically using spectral clustering of confusion matrix by grouping those classes which can easily be confused. The number of groups formed are predefined in (Yan et al., 2015). In (Sanguinetti et al., 2005) an algorithm for pre-determining the groups in spectral clustering is proposed. We have used this algorithm to automatically identify the optimal number of groups required. Followed by this, dedicated networks for these groups can be trained parallelly for classification by using weighted prediction averaging. The work is explained in more details in next sections.

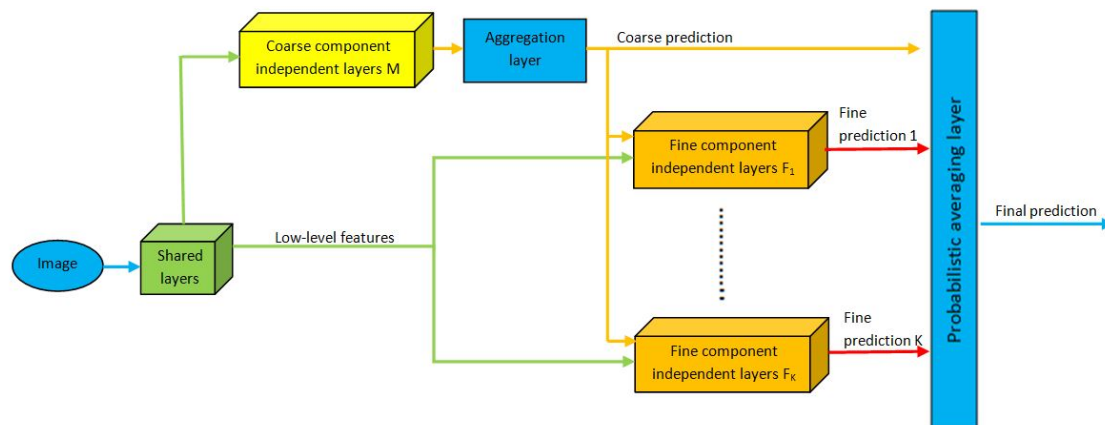


Figure 1: Hierarchical Convolutional Neural Network Architecture.

3 THE PROPOSED APPROACH

Hierarchical classifier design for traffic sign recognition is implemented by combining CNNs in the two-level category hierarchy (Yan et al., 2015). The CNN at the root level known as coarse category classifier is used to classify those classes which can be easily distinguished. At the second level, fine classifiers are trained for each coarse category. Each fine classifier is used to distinguish between the classes which are difficult to discriminate. Thus, these dedicated fine classifiers are expected to improve the classification accuracy. The architecture and algorithm are discussed in further subsections.

3.1 Architecture and Algorithm

The network architecture mainly comprises of four components as shown in Fig. 1. The details of these components are given in Table 1. The building block can be any CNN architecture which performs well as a classifier on the given dataset. The building block layers, its configuration and output size are shown in Table 2. The architecture used is similar to VGG architecture as it uses 3×3 convolution filters and number of filters increases as we go deeper into the network. The shape of the input RGB image is taken as $64 \times 64 \times 3$. The concept of shared layers is useful because of the fact that low-level features are important for both coarse and fine classification. So, lower layers of CNN can be shared which leads to lesser computations, parameters and memory requirements. The overall algorithm for the two-stage hierarchical classification is detailed in Algorithm 1.

3.2 Learning of Category Hierarchy

Spectral clustering is used to learn the category hierarchy automatically i.e., to group similar fine classes together to form a coarse category and for each such coarse category, k , an exclusive fine category classifier, F_k , is trained. This is obtained by doing spectral clustering on a confusion matrix $F \in \mathbb{R}^{C \times C}$ obtained from flat classifier which is trained to classify all C fine categories. Note that a bad classifier leads to a better clustering. For complex clustering problems where data cannot be separated by a hyperplane e.g., concentric circles, spectral clustering algorithm (Ng et al., 2002) is used by transforming original data into a new transformed space in fewer dimensions using Laplacian eigenmaps (Vidal, 2011). In ideal case, when data-points of K different groups are not connected and the connections are present only within the group then Laplacian matrix will have exactly K number of zero eigenvalues. After embedding to a space of fewer dimension, all N data-points map exactly to K distinct points. The spectral clustering algorithm is explained in (Ng et al., 2002). In original spectral clustering algorithm value of K should be known in advance. In next subsection, algorithm is discussed for determining the value of K automatically.

3.3 Algorithm for Determination of Optimal Number of Clusters

If instead of selecting first K eigenvectors, q eigenvectors are selected where $q < K$ this means that q -dimensional subspace in clustering space is selected. Earlier, the transformed points cluster around K mutually orthogonal vectors, now their projection in lower dimensional space will cluster along radial directions. So, clusters will be elongated in the radial direction.

Table 1: Architecture Description.

<i>Component</i>	<i>Layer Configuration</i>
Shared layers	Take input as image and extract low-level features. These are preceding layers from building block CNN.
Single Coarse Category Classifier, M	Generate intermediate fine predictions, $\{M_{ij}^f\}_{j=1}^C$, for image x_i with label y_i . It comprises of end layers from building block CNN. Here C is the total number of fine categories.
Fine-to-coarse aggregation layer	Generate a coarse class prediction, M_{ik} for coarse category k and image x_i , from intermediate fine predictions using many to one mapping, $P : [1, C] \mapsto [1, K]$, where K is the number of coarse categories formed after grouping fine categories. Coarse class predictions are used as weights to combine fine classifier predictions.
K Fine category Classifiers, $\{F_k\}_{k=1}^K$	Generate fine prediction, $P_k(y_i = j x_i)$ by fine component F_k , over a partial group of classes for which it is dedicatedly trained for and probabilities of other fine classes which are not present in the group are set to zero. Layer configuration is the same as building block CNN but the number of neurons in the final output layer is equal to the number of classes present in the partial set instead of the total number of fine classes.
Single probabilistic averaging layer	Input is both fine and coarse category predictions and final output prediction is based on the weighted arithmetic mean.

Table 2: Building block architecture details.

Layer	Configuration	Size
Input		$64 \times 64 \times 3$
Conv	$32, 3 \times 3$ filters	$64 \times 64 \times 32$
Conv	$32, 3 \times 3$ filters	$62 \times 62 \times 32$
MaxPool	2×2	$31 \times 31 \times 32$
Dropout	0.2	
Conv	$64, 3 \times 3$ filters	$31 \times 31 \times 64$
Conv	$64, 3 \times 3$ filters	$29 \times 29 \times 64$
MaxPool	2×2	$14 \times 14 \times 64$
Dropout	0.2	
Conv	$128, 3 \times 3$ filters	$14 \times 14 \times 128$
Conv	$128, 3 \times 3$ filters	$12 \times 12 \times 128$
MaxPool	2×2	$6 \times 6 \times 128$
Dropout	0.2	
Flatten		4608
Dense	512	512
Dropout	0.5	
Dense	No. of classes	No. of classes

Thus, instead of K -means, one can modify it to elongated K -means by decreasing the weight of distances along radial directions and penalizing distances along transversal directions. The elongated K -means clustering algorithm is described in (Sanguinetti et al., 2005). To find optimal number of clusters automatically, cluster detecting algorithm described in (Sanguinetti et al., 2005) is used.

4 EXPERIMENTATION AND RESULTS

4.1 Spectral Clustering

Spectral Clustering is applied on the confusion matrix generated by building block CNN. Modified spectral clustering algorithm gives optimal number of clusters which is then applied to the original spectral clustering algorithm to get required cluster assignments. This algorithm is tested on different confusion matrices with values of hyperparameters as 0.2 for sharpness parameter and 0.01 for epsilon in elongated K -means clustering. Fig. 2 shows the result of the algorithm on three different confusion matrices. In Fig. 2(a), the grouping is done based on shape. Triangular signs are grouped together and circular signs are clustered as another group. Fig. 2(b) shows the result when input is 25×25 confusion matrix which is formed from the confusion matrix used in Fig. 2(a) by considering only circular sign shapes. Three groups are formed based on the color and pattern within the sign. Speed Limit signs are clustered together which are having white background, red rim and numbers written inside. The second group is of Direction signs having blue background and the third group is End signs having diagonal line cutting the sign with white background. Fig. 2(c), the optimal number of clusters

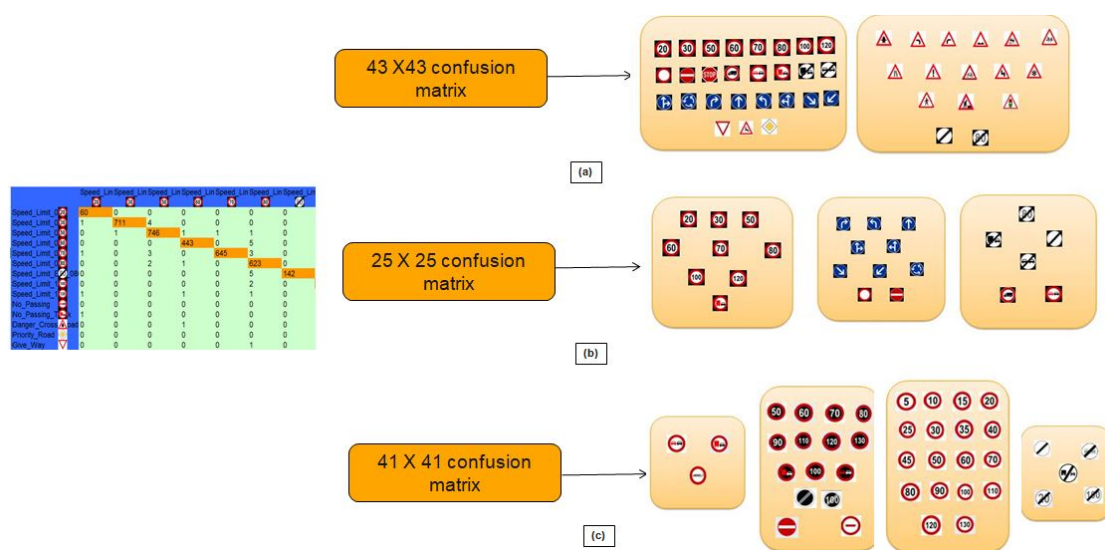


Figure 2: Spectral Clustering output for three cases. (a): Input is 43×43 confusion matrix. (b): Input is 25×25 confusion matrix which is derived from confusion matrix in (a). (c): Input is 41×41 confusion matrix.

is 4. Speed Limit Inverse signs having black background and numeral written are grouped. Speed Limit signs form the second group having the white background, red rim and numeral written. End signs form the third group having white background and diagonal line crossing. Signs with pictogram and text form another group having white background and red rim. The parameters that can be tuned from outside are sharpness parameter and epsilon.

4.2 Hierarchical Classification Framework

This solution is designed for countries which signed the Vienna Convention on Road Signs and Signals, more particularly, European countries. The experiments are done only on a subset of triangular signs which are collected in-house. The dataset is prepared by extracting the cutouts using box labels (x_0, y_0, x_1, y_1). The cutouts obtained are of various sizes from less than 10×10 pixels to greater than 100×100 pixels. Since the dataset is imbalanced (50 to 5000 images per class), image augmentation methods like rotation (less than 15 degrees), zoom, and modification of height and width are used to maintain a constant number of samples across all classes. Training data contains both augmented and real sample whereas the test data is taken from only the real samples. However the images taken in test data are from videos having different track Id (identity) thereby ensuring that model may not have seen those cutouts. During training, the data is from different tracks. The optimizer used is Adadelta which is more robust and

more powerful extension of Adagrad. The loss used is categorical cross entropy. In the following subsections details of experimentation done on triangular traffic signs and GTSRB is discussed. The batch size used in experiments is 50 and the number of epochs are 40.

4.2.1 Triangular Sign Dataset

The first experiment done is on triangular traffic signs which consists of both white and yellow background signs. The total number of classes is 29 and the total number of training samples are 28,789 with around 1000 samples in each class. The building block CNN (flat classifier) is trained with an accuracy of 99.62% and loss of 0.016. After training building block CNN, validation data is applied to pre-trained building block to get the confusion matrix. Validation data should also be balanced. The total validation data tested is 5696 samples with around 300 samples for each class. The accuracy of flat classifier obtained is 92.1%. Now, spectral clustering algorithm is applied to this confusion matrix to get disjoint many to one mapping. The optimal number of clusters is automatically determined by the modified spectral clustering algorithm. The mapping obtained is shown in Fig. 3 and thus, two-level category hierarchy is automatically learned by the model. As shown in figure, three groups are formed. The first group consists of classes having white background and the straight line in the middle of the sign. The second group is formed based on the background color and all the yellow background classes are clustered in this group. And the last group consists of white background signs similar to the first

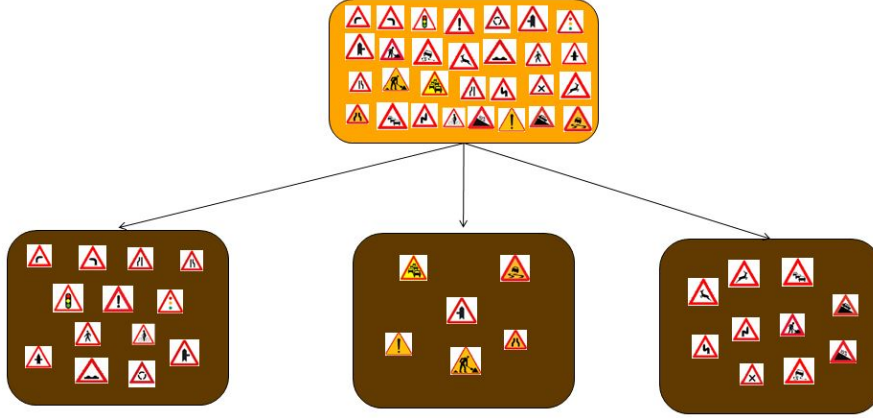


Figure 3: Category Hierarchy formed after spectral clustering for 29 class problem.

group but having an inclined line inside.

To get overlapped fine to coarse mapping the likelihood is calculated for each fine category. Based on the likelihood of each class, categories which are having a likelihood greater than the threshold for a particular coarse category is assigned to that coarse category. Let $M_{i1}^d, M_{i2}^d, \dots, M_{iK}^d$ be the output of aggregation layer by adding intermediate predictions $M_{i1}^f, M_{i2}^f, \dots, M_{iC}^f$ for all those fine classes which belongs to coarse class k based on disjoint many to one mapping P^d . Here, 1 to C are total fine classes which are clustered into K coarse groups.

$$M_{ik}^d = \sum_{j|P^d(j)=k} M_{ij}^f. \quad (8)$$

Let $u^1(j), u^2(j), u^3(j), \dots, u^K(j)$ be the likelihoods for class j . The likelihood is given by,

$$u^k(j) = \frac{1}{|S_j^f|} \sum_{i \in S_j^f} M_{ik}^d. \quad (9)$$

If $u^k(j) > \text{threshold}$ then fine category j is mapped to coarse category k . Here, $1 \leq j \leq C$ and $1 \leq k \leq K$. Note that one particular j can be mapped to multiple coarse classes. Thus, an overlapped fine to coarse mapping P^o is obtained giving final coarse classifier predictions $\{M_{ik}^o\}_{k=1}^K$, where o denotes overlapped map. The threshold used here is 0.02. Then, a fine classifier $\{F_k\}_{k=1}^K$ corresponding to each coarse category is trained by the images belonging to that particular classifier only. For triangular traffic sign dataset, three fine classifiers are trained dedicatedly using images corresponding to each coarse category thereby learn class-specific features. Fine classifier-0 is trained for triangular classes having white background and vertical edge in the middle of pictogram. Fine classifier-1 is trained for yellow background

classes. Fine classifier-2 is trained for white background classes having inclined edge in the pictogram. Let I be the set of indices for fine classes, $I = \{1, 2, \dots, C\}$. I_k is obtained based on overlapped fine to coarse mapping where $I_k \subset I$ such that $P^o(I_k) = k$. The training images of classes having indices as element of I_k , are used to train F_k . F_k which is dedicatedly trained for k^{th} coarse group gives fine classifier predictions as $\{M_{ij}^{fk}\}_{j=1}^{|I_k|}$. The final fine classifier probabilities are,

$$P_k(y_i/x_i) = \begin{cases} M_{ij}^{fk} & j \in I_k \\ 0 & \text{otherwise} \end{cases} \quad (10)$$

To get the final prediction of image, the weighted average of fine class prediction is taken, weighted by their corresponding coarse category prediction by the coarse classifier. Probabilistic averaging is given by,

$$p(y_i = j | x_i) = \frac{\sum_{k=1}^K M_{ik}^o P_k(y_i = j | x_i)}{\sum_{k=1}^K M_{ik}^o}. \quad (11)$$

A case illustrating whole process is pictorially shown in Fig. 4. The results of these steps are shown by taking an example of the Danger Road Works Right sign. It may be noted that the flat classifier classified it wrongly as Danger Traffic Jam Right sign whereas the hierarchical classifier correctly classify it. Thus, accuracy is improved from 92.1% to 93.3% because of the hierarchical architecture.

4.2.2 German Traffic Sign Recognition Benchmark

The same experiment is repeated on the publicly available GTSRB dataset. This dataset contains 43 German traffic sign classes. Unlike earlier dataset which contains sign classes which are having triangular shape only, the GTSRB dataset contains sign

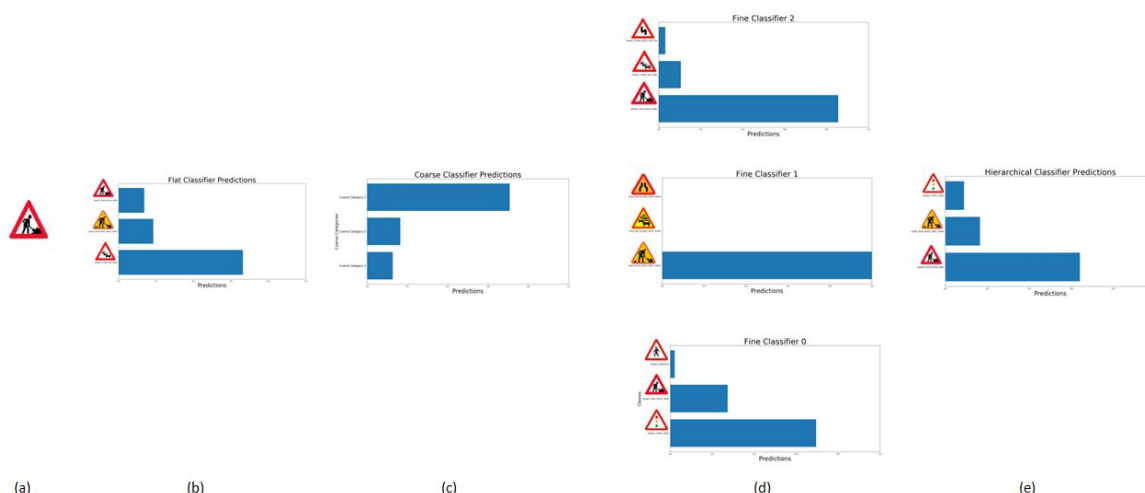


Figure 4: Case study on Triangular sign dataset. (a): Ground truth class of test image. (b): Top-3 predictions of flat classifier. (c): Top-3 Coarse Classifier Predictions. (d): Top-3 Fine classifier predictions. (e): Top-3 Hierarchical Classifier Predictions.

classes which are both circular and triangular in shapes. Thus, classes in this dataset can be easily distinguished as compared to the previous experiment of only triangular sign classes. Training dataset is heavily unbalanced from 210 to 2250 samples per class and total 51,840 images with at least 30 images per track. We maintained 1000 samples per class to train the classifier ensuring that all the classes have equal representative examples for training. The signs obtained are of various sizes from 15×15 pixels to 222×193 pixels or more. In the earlier dataset, groups were formed on the basis of color and pictogram inside the triangular shape. In this experiment, the signs are of various shapes like circle, triangle, quadrilateral, octagon and inverted triangular. Therefore, this time even flat classifier will be able to predict accurately because of large variation among classes. However, still, there are few similarities between some sign classes. Thus, the hierarchy can be obtained. The hierarchy and grouping obtained are shown in Fig. 5 which is now on the basis of shape as well as pictogram and background. The optimal number of clusters comes out to be 3 and the sign classes are broadly grouped in 3 categories which are Direction signs, Speed Limit Signs and Danger Signs. It is observed that the clustering done while learning the category hierarchy have some errors. The reason for this is that the category hierarchy is learned by doing spectral clustering on the confusion matrix formed using the validation data. The idea of spectral clustering is that classes having some similarity have high chances of getting confused and thus exploiting these confusions, similarity or affinity matrix is obtained using confusion matrix. But in this case, the building block classifier is performing really well

on validation data resulting in 99.6% accuracy. Thus, most of the classes are accurately classified showing no confusions with any of the class. Those classes can be included in any of the group thus leading to mistakes in the grouping. The overall improvement of accuracy on test data is from 98.7% to 99.0%. Thus, our method outperforms the human performance on GTSRB which is 98.8% (Stallkamp et al., 2012). The images are misclassified due to motion blur, illumination variation, occlusion, and many other physical factors. Thus, from these experiments, it can be seen that the model can automatically detect the number of clusters, learn the hierarchy, train the dedicated classifiers and thus improving the Top-1 classification accuracy.

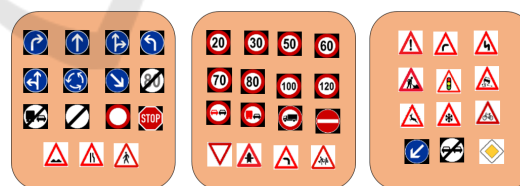


Figure 5: For GTSRB, clustering gives the optimal number of clusters=3.

5 ANALYSIS

There are several issues which needs to be solved for this particular work of hierarchical classification. The first is an improvement in overall classification accuracy. Even though hierarchical classification is improving the performance, it is observed that the difference in classification accuracy between flat and hierarchical classifier is not as high as expected. We

Algorithm 1: Two-level Hierarchical CNN Algorithm.

- Data:** Dataset is $\{x_i, y_i\}$ where x_i is image and y_i is the class label
- Output:** Final class prediction $p(y_i | x_i)$ for input image x_i
- (1) Import, augment and pre-process the dataset.
 - (2) Split training set into the held-out set (sample images from the training set randomly in such a way that it have balanced class distribution) and training set (remaining images). Dataset has fine class labels for every image (labeled from 1 to C) where C is total number of fine categories.
 - (3) Single classifier training: Train flat classifier (Building Block Net) to get C predictions using the training set obtained above.
 - (4) Pass held-out set through trained building block net and get predictions M_{ij}^f where i denote image index, j takes value from 1 to C and f is a flag which denotes intermediate fine predictions.
 - (5) Compute confusion matrix, $F \in \mathbb{R}^{C \times C}$
 - (6) Construct distance matrix,

$$D = \frac{1}{2} [(I - F) + (I - F)^T], \quad (1)$$
 with all diagonal entries set to zero.
 - (7) Convert distance matrix to similarity matrix and use algorithm described in (Sanguinetti et al., 2005) to estimate optimal value of clusters K where K denotes number of coarse categories.
 - (8) From Spectral Clustering algorithm (Ng et al., 2002) the many to one mapping between fine and coarse indices are obtained. The fine to coarse mapping function is given as,

$$P^d : I \rightarrow S, \quad (2)$$
 where $I = \{1, 2, \dots, C\}$ and $S = \{1, 2, \dots, K\}$
 P^d is a many to one function where d denotes disjoint mapping. The function is defined as,

$$P^d(I_k) = k \text{ where } I_k \subset I. \quad (3)$$
 I_k is obtained based on spectral clustering algorithm cluster assignments where k varies from 1 to K . This means that all the fine classes indexed by elements of I_k are mapped to the coarse class indexed by k .

- (9) Evaluate M_{ik}^d by aggregating intermediate fine predictions M_{ij}^f for all those fine classes which belongs to coarse class k based on mapping P^d . In M_{ik}^d , d is a flag denoting the aggregation layer output based on disjoint mapping.

$$M_{ik}^d = \sum_{j|P^d(j)=k} M_{ij}^f. \quad (4)$$

- (10) Calculate the likelihood for each fine category j which is given by,

$$u^k(j) = \frac{1}{|S_j^f|} \sum_{i \in S_j^f} M_{ij}^d, \quad (5)$$

where $\{S_j^f\}_{j=1}^C$ are fine class image indicators. If $u^k(j) > threshold$ then fine category j is mapped to coarse category k where $1 \leq k \leq K$. Note that one particular j can be mapped to multiple coarse classes. Thus, the overlapped fine to coarse mapping P^o is obtained where o denotes overlapped map.

- (11) Build single coarse classifier by adding fine to coarse aggregation layer to give K output predictions. Initialize weights by the weights of pre-trained building block CNN because both the front and end layers in the coarse category component are similar to layers in the building block CNN. Updated coarse category predictions are

$$M_{ik}^o = \sum_{j|k \in P^o(j)} M_{ij}. \quad (6)$$

Also, the predictions are ℓ_1 normalized because $\{M_{ik}^o\}_{k=1}^K$ is greater than 1. In M_{ik}^o , o is a flag denoting the aggregation layer output based on overlapped mapping.

- (12) Build K fine classifiers for each coarse category k . Output layer for each fine classifier will be based on mapping P^o . Train each fine classifier, F_k , in parallel using only image x_i which belongs to coarse category k .
- (13) Probabilistic Averaging:

$$p(y_i = j | x_i) = \frac{\sum_{k=1}^K M_{ik}^o P_k(y_i = j | x_i)}{\sum_{k=1}^K M_{ik}^o}. \quad (7)$$

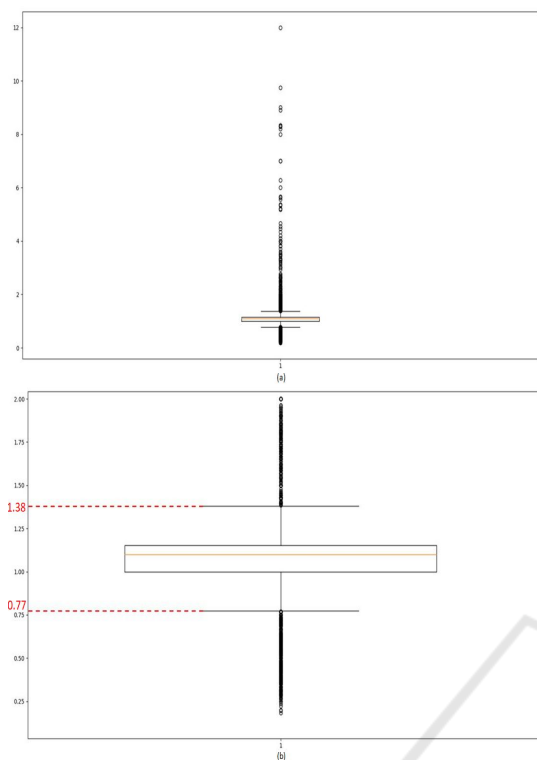


Figure 6: The box plot of aspect ratio for 29 class triangular sign dataset with upper and lower thresholds. (a): Box plot. (b): Zoomed version of box plot.

investigated into the reasons for this. Another problem is cutout sign variations from less than 10×10 pixels to greater than 100×100 pixels. Another operation which can introduce errors is re-scaling of the image using interpolation or downsizing. Yet another issue is that the experiment is performed on the real-time dataset, which means that the images captured are of bad quality and have lots of variations due to occlusions, illumination, scale, physical degradation of sign boards, weather conditions, motion blur, etc. These variations cause a decrease in accuracy because some of the cutouts do not even contain any signs. Thus, these outliers should be automatically detected and removed. Also, some of the examples are mislabeled. These wrong labels also result in a decrease of accuracy. Thus, an analysis is required to be done to explore reasons for all the problems mentioned above.

5.1 Outliers Removal

In the case of real-time traffic signs, we observed that in some bad images, only a little part of the sign is there and no pictogram is actually visible. Thus, even human will not be able to recognize this sign. These outliers are typically captured by the camera when the track is about to end and the last image which is cap-

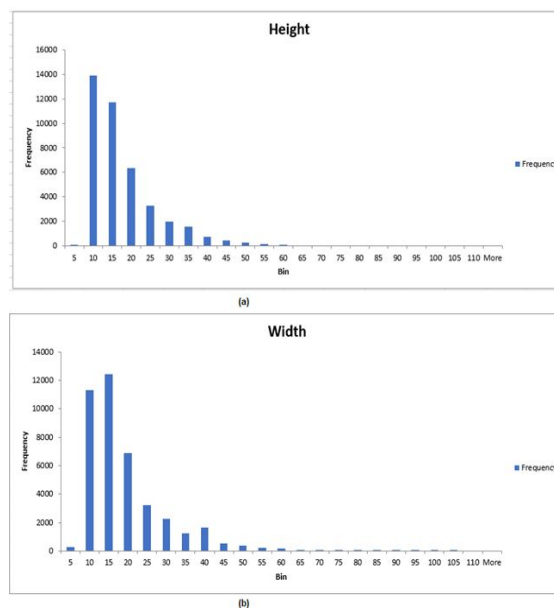


Figure 7: The height and width histogram of 29 class triangular sign dataset.

tured does not actually contain pictogram, however, only the part of the traffic sign is visible. Removal of these outliers will definitely boost the performance, but manual removal of these outliers is a tedious task. The outliers are eliminated by using box plot. The box plot shows quartiles and interquartile which helps in defining lower and upper bounds beyond which any data point will be considered an outlier. In the case of traffic signs, it is observed that the images which are not having complete triangular signs have very long height and small width or short height with a very large width. The parameter which relates to both height and width is aspect ratio which we use to remove the outliers. If the aspect ratio is either very high or low, then the image is considered to be an outlier. The thresholds (upper and lower) are fixed to eliminate the outliers using a box plot. The box plot of aspect ratio and its zoomed version for the original 29 class traffic sign dataset is shown in Fig. 6. The upper and lower threshold for removing the outliers are set as 1.38 and 0.77 as shown in the box plot. While evaluating the pre-trained network, outliers are removed from the data and an improvement in accuracy is noted from 92.4% to 93.8%. The improvement is by 1.4%. Earlier, the accuracy was improved from 92.1% to 93.3% where the improvement was by 1.2%.

5.2 Size of Traffic Sign Images

Another serious issue which we noticed is the size of the real-time traffic sign images. Even if the aspect ratio is acceptable the size of image could be too low. The dataset contains varying image sizes from lesser than 10×10 pixels to greater than 100×100 pixels with varying aspect ratio. The histogram of height and width of all the images in 29 class triangular sign dataset is plotted as shown in Fig. 7. From the histogram, it is observed that most of the images are very small in size and concentrated in the lower bins (0-15). However, such tiny images do not contain any useful information and after resizing to larger size image that information is also lost. For example, an image of size 1×4 contains only 4 pixels which are not enough for classification. Also, very small size images when resized to 64×64 which is desired input for our CNN model, the pixels are interpolated and thus the resized image obtained will not be having the information required for classification. The images having both width and height below 15 are ignored. Thus, even if one dimension is greater than 15 the image is included. The minimum dimension is obtained as 11 or 12 because any image having an aspect ratio less than 0.77 and greater than 1.38 is considered as an outlier. Thus, the minimum size image possible now in the dataset is 11×15 pixels. After removing all the tiny images from the dataset, evaluation is done on a pre-trained network. This shows improvement in accuracy from 96.1% by the flat classifier to 96.9% by the hierarchical classifier. Earlier it was just 93.3%. Thus, removing small size images increase the accuracy of the classifier.

Another problem was resizing the image from small sizes like 11×15 pixels to 64×64 pixels may also lead to artifacts. A solution to this is spatial pyramid pooling (Gupta et al., 2018) which eliminates the need for a fixed size input image. In this method, original size images can be used. The limitation of fixed size image in the input layer of CNN is because of the fully connected layer at the end and not because of convolution layers. We can get fixed length representation from variable sized feature maps using this concept. Table 3, shows feature map sizes for general $M \times N \times 3$ image as input to our building block CNN. It is observed that if we want at least 1×1 feature map to be present till the last layer the minimum size of the image should be $22 \times 22 \times 3$. However, in our case, the smallest image size can be 11×15 . Thus, this concept cannot be used here.

5.3 Results after Removing both Outliers and Small-sized Images

In this section, results are obtained by removing both outliers and small sized images. After this step, the count of training images become lesser than half which is 14097 which means half of the images in the dataset were small sized images. Also, the validation count falls to 2474 from 5948. The pre-trained network is now evaluated by these 2474 images. The result obtained is 96.7% accuracy by the flat classifier and 97.5% accuracy by the hierarchical classifier which is better than all the cases mentioned above. It is observed that 62 examples are misclassified by hierarchical classifier from total 2474 examples. Out of these 62 examples around 50 examples cannot be even correctly classified by the human. The reasons for misclassification are complete and partial occlusion, motion blur, scale variation, illumination variations, and label noise. Thus, the hierarchical classifier performance of 97.5% accuracy is nearly justified.

5.4 Super-resolution

Resizing by interpolation reduces contrast (sharp edges). It is desirable to recover finer texture details when we upscale the image. Super-resolution technique is used to upscale the image to preserve perceptual details. There are many different ways of upscaling the image by super-resolution. Some of those methods are prediction based, image statistics based, edge-preserving based, example pair-based methods etc. Here, single image super-resolution is done using Generative Adversarial Network (SRGAN) (Ledig et al., 2017). Image scaling is required because building block CNN is taking a fixed size image as input which is $64 \times 64 \times 3$. The super-resolution technique is applied to 29 class triangular sign dataset for upscaling small images by a factor of 4. The SRGAN network is not trained from scratch instead a network pre-trained for DIV2K dataset is used. It is observed that the image upscaled using bicubic interpolation is blurred whereas the super-resolved image is perceptually satisfying preserving texture details. To train the network from scratch using super-resolved images we have to restructure our dataset of 29 class triangular sign images. It is observed that after removing small images and outliers, in one of the class there is no example present in the validation set. Also, now the training images are also very less which is 14097. Thus, to train the model from scratch using super-resolved images we have to restructure the dataset. Heavy augmentation is done and now the validation images contain different tracks of signs. In this case

Table 3: Feature map size for $M \times N \times 3$ image as input to building block CNN.

Layer	Configuration	Feature map size
Input		$\lfloor M \rfloor \times \lfloor N \rfloor \times 3$
Convolution	32, 3×3 , same	$\lfloor M \rfloor \times \lfloor N \rfloor \times 32$
Convolution	32, 3×3	$\lfloor M - 2 \rfloor \times \lfloor N - 2 \rfloor \times 32$
Max Pooling	2×2	$\lfloor 0.5M - 1 \rfloor \times \lfloor 0.5N - 1 \rfloor \times 32$
Dropout	0.2	
Convolution	64, 3×3 , same	$\lfloor 0.5M - 1 \rfloor \times \lfloor 0.5N - 1 \rfloor \times 64$
Convolution	64, 3×3	$\lfloor 0.5M - 3 \rfloor \times \lfloor 0.5N - 3 \rfloor \times 64$
Max Pooling	2×2	$\lfloor 0.25M - 1.5 \rfloor \times \lfloor 0.25N - 1.5 \rfloor \times 64$
Dropout	0.2	
Convolution	128, 3×3 filters, same	$\lfloor 0.25M - 1.5 \rfloor \times \lfloor 0.25N - 1.5 \rfloor \times 128$
Convolution	128, 3×3	$\lfloor 0.25M - 3.5 \rfloor \times \lfloor 0.25N - 3.5 \rfloor \times 128$
Max Pooling	2×2	$\lfloor 0.125M - 1.75 \rfloor \times \lfloor 0.125N - 1.75 \rfloor \times 128$
Dropout	0.2	

also the optimal number of clusters is three like the previous cases. The accuracy of the flat classifier is 95.0% which is increased to 97.3% by the hierarchical classifier which is a significant improvement. This shows that accuracy depends on how the image is resized. In this case also most of the examples are wrongly classified because of motion blur, illumination variation and occlusion.

5.5 Comparison with State of Art

In this section, all the results are consolidated and compared with the results present in the (Yan et al., 2015). Table 4 tabulates the results obtained after doing experimentation on various datasets. It is observed that improvement in accuracy is in the range of 0.3% to 2.3%. As the accuracy keeps on increasing the improvement seems to be much lower. The minimum improvement is reported when the building block accuracy is maximum which is 98.7% for GT-SRB dataset. Table 5 shows the state of art improvements using hierarchical classifier. From the table it is observed that, in (Yan et al., 2015) the improvement is in the range of 0.9% to 3.6%. Here, considering the case of ImageNet testing, we observe that the improvement i.e., the reduction in testing error is maximum when the network is NIN and the base network is giving high error. Whereas, when ImageNet testing is done on VGG-16 network then the base network error itself get reduced as compared to NIN case, but the reduction in error from base to hierarchical is low which is 0.96% only. Therefore, in this case also, when the testing error of the base network is already less then the improvement, i.e., that the reduction in testing error is low. Another advantage of proposed solution is lesser number of computations and trainable parameters due to shared layers and less complex architecture. For GTSRB dataset, the train-

able parameters for hierarchical classifier architecture is 10.4M which is lesser than 38.5M (Cirean et al., 2012), 23.2M (Jin et al., 2014), 14.6M (Arcos-Garcia et al., 2018) and other existing solutions giving comparable performance.

6 CONCLUSIONS AND FUTURE WORK

Traffic sign classification problem of uneven visual variability of traffic signs is solved using deep learning based hierarchical classification design. Automatic determination of number of clusters while learning category hierarchy using spectral clustering on the confusion matrix of building block CNN has been adopted. By employing hierarchical classifier, accuracy has improved from 92.1% to 93.3% for Triangular sign dataset. This is improved further by addressing the issues of outliers and size of images which has improved accuracy to 97.5%. The problem of upscaling by interpolation has been solved using super-resolution GAN leading to significant accuracy improvement. GTSRB dataset is showing improvement in accuracy from 98.7% to 99.0% which is better than human accuracy of 98.8%. Here, the improvement in accuracy for flat to hierarchical is low. *It is observed that when base classifier is giving high accuracy then the improvement in accuracy by the hierarchical classifier is less.* Further improvement in accuracy can be made by using modern features and better CNN architecture for building block, changing the values of hyperparameters like overlapping threshold, sharpness parameter, filter parameters, etc. Re-sampling techniques can be used to solve the problem of unbalanced datasets. The challenge of cutout size variations are also required to be handled. To

Table 4: Results of Experimentation done on various different dataset. BB: Building Block, HC: Hierarchical Classifier.

Dataset		Network	Accuracy		Improvement
			BB	HC	
29-Triangular	original	VGG-8	92.1	93.3	1.2
	outliers removed		92.4	93.8	1.4
	small size removed		96.1	96.9	0.8
	both removed		96.7	97.5	0.8
29-super-resolved Triangular	both removed	VGG-8	95.0	97.3	2.3
GTSRB		VGG-8	98.7	99.0	0.3

Table 5: State of Art Results (Yan et al., 2015). BB: Building Block, HC: Hierarchical Classifier.

Dataset		Network	Testing Error		Improvement
			BB	HC	
CIFAR 100	Single view Testing	NIN	37.29	34.36	2.93
	Multi view Testing		35.27	33.33	1.94
ImageNet	Single view Testing	NIN	41.52	37.92	3.62
	Multi view Testing		39.76	36.66	3.1
	Single view Testing	VGG-16	32.30	31.34	0.96
	Multi view Testing		24.79	23.69	1.1

deal with real-time bad quality images pre-processing techniques can be explored. A study of accuracy reduction due to label noise and possible solutions to handle mislabeling need to be explored. Better augmentation techniques and one shot learning can be applied if very less examples of the particular class are available. The hierarchical classifier can be extended to multiple levels in the future.

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