

# On the Time Complexity of Simple Cartesian Genetic Programming

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Abstract: Since its introduction, Cartesian Genetic Programming has been mostly analyzed on an experimental level with boolean function problems. Consequently, there is still little theoretical understanding of Cartesian Genetic Programming. In this paper, we present a first time complexity analysis of Cartesian Genetic Programming. We introduce and analyze a simple mathematical problem and a simple logical boolean problem called SUM and AND. The results of our analysis show that simple CGP is able to solve SUM efficiently in time  $\Theta(n \log n)$ . However, our analysis of the AND problem shows that simple CGP is not able to solve AND efficiently.

## 1 INTRODUCTION

Genetic programming (GP) can be described as a paradigm which opens the automatic derivation of programs for problem-solving. First work on GP has been done by Forsyth (1981), Cramer (1985) and Hicklin (1986). Later work by Koza (1990, 1992, 1994) significantly popularized the field of GP. GP traditionally uses trees as program representation. Just over two decades ago Miller, Thompson, Kalganova, and Fogarty presented first publications on Cartesian Genetic Programming (CGP) — an encoding model inspired by the two-dimensional array of functional nodes connected by feed-forward wires of an FPGA device (Miller et al., 1997; Kalganova and Miller, 1997; Miller, 1999). CGP offers a graph-based representation which in addition to standard GP problem domains, makes it easy to be applied to many graph-based applications. Furthermore, CGP has been found for beneficial for the training of computational methods such as neural networks. CGP has been mostly analyzed and investigated on an experimental level with boolean function problems to investigate and proof important dogmas of the CGP functionality such as *Redundancy*, *Computational Efficiency* and *Neutrality*.

For instance, Miller and Smith (2006) showed that the most evolvable representations occur when the genotype is extremely large and in which over 95% of the genes are inactive. The best performance was found to employ extremely high levels of redundancy. Another example is the work of Yu and Miller (2001) which sheds light on the significance of neu-

trality in CGP. Experimental analysis of CGP also gave answers to the question why the candidate programs in CGP doesn't bloat during the evolutionary search Turner and Miller (2014). Despite the fact that those publications significantly contribute to the fundamental understanding of the behavior and computational efficiency of CGP on an experimental level, there is only little theoretical understanding in the field of CGP. Theoretical analyses of CGP have been mainly unattended in the past. Moreover, even if CGP has been found as an efficient approach for solving several problems which can be represented as graphs, there is a significant lack of runtime analysis of the most used CGP algorithms. The amount of experimental results in the field of CGP opens the question if the findings can be reproduced and approved from a theoretical point of view. Furthermore, we feel that the current state of knowledge of CGP is one-sided and has to be balanced by more theoretical work. In this paper, we present a first time complexity analysis of a simple (1 + 1)-CGP algorithm and make one step towards fundamental theoretical knowledge of CGP.

Another purpose of this paper is the introduction of an analysis setup including two simple problems called SUM and AND. Section 2 of this paper describes CGP and the multiplicative drift analysis. Relevant previous theoretical works of GP and CGP are surveyed in Section 3. In Section 4 we introduce two simple test problems for CGP. In Section 5 and 6 we analyze the runtime of the (1 + 1)-CGP algorithm. In Section 7 we discuss the results of our analyses. Finally, Section 8 gives a conclusion and outlines future work.

## 2 RELATED WORK

### 2.1 Cartesian Genetic Programming

Cartesian Genetic Programming is a form of Genetic Programming which offers a graph-based representation. In contrast to tree-based GP, CGP represents a genetic program via genotype-phenotype mapping as an indexed, acyclic, and directed graph. Originally the structure of the graphs was a rectangular grid of  $N_r$  rows and  $N_c$  columns, but later work also focused on a representation with one row. The genes in the genotype are grouped, and each group refers to a node of the graph, except the last one which represents the outputs of the phenotype. Each node is represented by two types of genes which index the function number in the GP function set and the node inputs. The first gene of each node represents the function number and the following genes represent the arity input connections of the node. These nodes are called *function nodes* and execute functions on the input values. The number of input genes depends on the maximum arity  $N_a$  of the function set. The last group in the genotype represents the indexes of the nodes which lead to the outputs. Since the output nodes can be connected to any previous function node, the representation of CGP allows inactive function nodes. A backward search is used to decode the corresponding phenotype. The backward search starts from the outputs and processes the linked nodes in the genotype. In this way, only active nodes are processed during the evaluation procedure. The number of inputs  $N_i$ , outputs  $N_o$ , and the length of the genotype is fixed. Every candidate program is represented with  $N_r * N_c * (N_a + 1) + N_o$  integers. Even when the length of the genotype is fixed for every candidate program, the length of the corresponding phenotype in CGP is variable which can be considered as a significant advantage of the CGP representation. An example of the decoding from genotype to phenotype is illustrated in Figure 1.

CGP is traditionally used with a  $(1+\lambda)$  evolutionary algorithm. The new population in each generation consists of the best individual of the previous population and the  $\lambda$  created offspring. The breeding procedure is mostly done by a point mutation which swaps genes in the genotype of an individual in the valid range by chance. In this way, connection genes can be connected to other previous function or input nodes and function genes can be mutated to other function numbers.

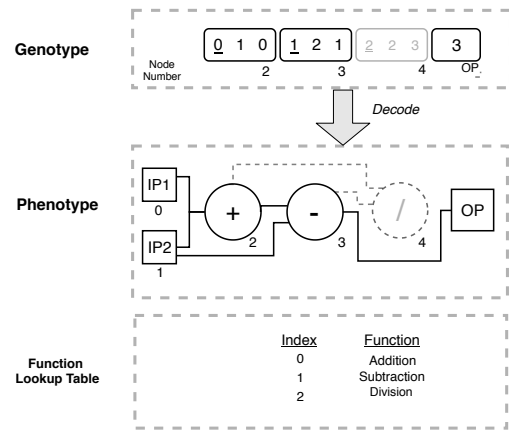


Figure 1: Exemplification of the decoding procedure of a CGP genotype to its corresponding phenotype. The nodes are represented by two types of numbers which index the number in the function lookup table (underlined) and the inputs (non-underlined) for the node. Inactive function nodes are shown in gray color.

### 2.2 Drift Analysis

Drift analysis is one of the *state-of-the-art* techniques to analyze the runtime of randomized search heuristics such as evolutionary algorithms. Furthermore, drift analysis is a powerful tool to analyze the optimization behavior of a randomized search algorithm over a search space by measuring the progress of the algorithm with respect to a *potential function*. Such a function maps each search point to a non-negative real number, where a potential of zero indicates that the search point is optimal. Drift analysis has significantly contributed to the analysis of meta-heuristics. Many important results about the optimization time of meta-heuristics were achieved with drift analysis.

#### Multiplicative Drift Analysis.

*Multiplicative Drift Analysis* as introduced by Doerr et. al. (Doerr et al., 2010, 2012) is based on *Additive Drift Analysis* which has been proposed by He et al. (He and Yao, 2004, 2001). The multiplicative drift theorem can be considered as the multiplicative version of the additive drift theorem.

**Theorem 2.1** (Additive Drift (He and Yao, 2004)). *Let  $S \subseteq \mathbb{R}$  be a finite set of positive numbers and let  $(X^{(t)})_{t \in \mathbb{N}}$  over  $S$  be a sequence of random variables over  $S \cup \{0\}$ . Let  $T$  be the random variable that denotes the first point in time  $t \in \mathbb{N}$  for which  $X^{(t)} = 0$ . Suppose that there exists a constant  $\delta > 0$  such that*

$$E[X^{(t)} - X^{(t+1)} | T > t] \geq \delta \quad (1)$$

*holds. Then*

$$E[T] \leq \frac{X^{(0)}}{\delta} \quad (2)$$

The additive drift theorem describes how to combine the expected time at which the potential function reaches zero to the first time at which the expected value of the potential reaches zero. If the potential decreases in each step and in expectation by  $\delta$  then after  $X^{(0)}/\delta$  steps the expected potential is zero. In order to apply the previous theorem to the analysis of randomized search heuristics over a finite search space  $S$ , the defined potential function  $h : S \rightarrow \mathbb{R}$  maps all optimal search points to zero and all non-optimal search points to values which are larger than zero. The random variable  $X^{(t)}$  is defined as the potential  $h(x^{(t)})$  of a search point  $x^{(t)}$  in the  $t$ -th iteration of the algorithm. The random variable  $T$  is defined as the optimization time of the algorithm which is the number of iterations until the algorithm finds an optimum.

When applying Theorem 2.1, the expected difference between  $h(x^{(t)})$  and  $h(x^{(t+1)})$  is called the drift of the random process  $\{x^{(t)}\}_{t \in \mathbb{N}}$  with respect to  $h$ . This drift is *additive* if condition (1) holds.

The multiplicative method allows easier analyses in those settings where the optimization progress is roughly proportional to the current distance to the optimum. This method requires a progress which *multiplicatively* depends on the current potential value. That is the reason why the method was named multiplicative drift analysis. It has been found that for a number of problems such potential functions are a natural choice (Doerr et al., 2010, 2012). However, since multiplicative drift analysis is derived from the original additive result, it is clear that the multiplicative version cannot be stronger than the original theorem.

**Theorem 2.2** (Multiplicative Drift (Doerr et al., 2010)). *Let  $S \subseteq \mathbb{R}$  be a finite set of positive numbers with minimum  $s_{\min}$ . Let  $(X^{(t)})_{t \in \mathbb{N}}$  over  $S$  be a sequence of random variables over  $S \cup \{0\}$ . Let  $T$  be the random variable that denotes the first point in time  $t \in \mathbb{N}$  for which  $X^{(t)} = 0$ . If there exists  $\delta, c_{\max}, c_{\min} > 0$  such that*

$$E[X^{(t)} - X^{(t+1)} | X^{(t)}] \geq \delta \cdot X^{(t)} \quad (3)$$

and

$$c_{\min} \leq X^{(t)} \leq c_{\max} \quad (4)$$

for all  $t < T$ , then

$$E[T] \leq \frac{2}{\delta} \cdot \ln\left(1 + \frac{c_{\max}}{c_{\min}}\right) \quad (5)$$

The drift of a random process with respect to a potential function  $g$  is multiplicative if condition (3)

holds for the affiliated random variables. The advantage of the multiplicative approach is that it allows using potential functions which are more natural. The most natural potential function can be considered as the distance of the objective value of the current solution to the optimum. This condition has been found to be a good choice in the analysis of combinatorial optimization problems (Doerr et al., 2010, 2012).

### 2.3 Single Active Gene Mutation Strategy

The single active gene mutational strategy as proposed by Goldman and Punch (2013) mutates at least one active gene of an individual in one generation. This means that all genes of active function nodes and the output nodes can be selected for mutation. The active gene is selected by random. The mutation itself is done by a bit flip in the legal range of a certain gene. This procedure is equal to the standard probabilistic CGP mutation. The single active gene strategy has been found as highly beneficial for the performance of CGP. Another benefit of this strategy is the fact that no parameter for the strength of the mutation is necessary.

## 3 PREVIOUS THEORETICAL WORK ON GP AND CGP

A major theoretical contribution to the understanding of GP behaviour has been made by applying schema theory (Langdon and Poli, 2002), (Poli et al., 2004). However, the results of these works do not contribute to the runtime analysis of GP.

According to Mambrini and Oliveto (2016), first studies of runtime analysis in GP focused on two functions which are called ORDER and MAJORITY. For these types of problems, the fitness of an individual depends on the structure of the syntax tree and not on its execution. However, these types of problems can be considered as very simple compared to the problems to which GP is usually applied. However, according to Neumann et al. (2011), the results for the mentioned problems show that GP is able to optimize both functions efficiently.

In their work, Mambrini and Oliveto (2016) reported that a recent study analyzed the same simple GP systems on the MAX Problem. The analysis included a set of functions, a set of terminals and a bound  $D$  on the maximum depth of the solution, the goal is to evolve a tree that returns the maximum value given any combination of functions and termi-

nals (Koetzing et al., 2014). The results of the analysis show that simple GP systems can efficiently evolve MAX with a function set  $F=[+; *]$  and one constant as the terminal set. Compared to the previous functions, MAX is more similar to those evolved by GP in practical applications since the fitness indeed depends on the behavior of the computed function on the input. Still, dependence is not very strong, since the space of possible inputs can be partitioned into just two subsets such that for every input in a subset, the optimal solution to the problem is the same.

Two more theoretical results were obtained by Moraglio et al. (2013) and Moraglio and Mambrini (2013) with the runtime analysis of mutation-based Geometric Semantic Genetic Programming for evolving boolean and basic regression functions. Recently, Mambrini and Oliveto (2016) presented a theoretical analysis of two simple GP algorithms on two boolean problems called AND and XOR. Both algorithms were equipped with a minimal function set with a maximum of two functions. It has been rigorously proved that both algorithms can solve both easy problems with minimal sets efficiently. However, Mambrini and Oliveto (2016) concluded that:

“If an extra function (i.e. NOT) is added to the function set, the algorithms require at least exponential time to evolve the conjunction of  $n$  variables.”

Recently, Lissovoi and Oliveto (2018) presented results on the time and space complexity of GP for evolving boolean conjunctions. The authors present a performance analysis that sheds light on the behaviour of simple GP systems for evolving conjunctions of  $n$  variables ( $AND_n$ ). On one hand the analysis of a random local search GP with minimal terminal and function sets with minimal terminal and function sets revealed the relationship between the number of iterations and the expected error of the evolved program on the complete training set. The authors also considered a more realistic GP system equipped with a global mutation operator and proved that it can efficiently solve  $AND_n$  by producing programs of linear size that fit a training set to optimality and with high probability generalise well. Based on the results of Lissovoi and Oliveto (2018), Doerr et al. (2019) made a considerable step forward by analyzing the behaviour and performance of the GP system for evolving a Boolean function with unknown components, i.e., the function may consist of both conjunctions and disjunctions. In their work Doerr et al. rigorously proved that if the target function is the conjunction of  $n$  variables, then the RLS-GP using the complete truth table to evaluate program quality evolves the exact target function in  $O(\ell \log 2n)$  iterations in expectation,

where  $\ell \geq n$  is a limit on the size of any accepted tree. Regarding the theoretical knowledge of CGP, Woodward (2006) investigated the functional complexity in CGP. To our best knowledge, the work of Woodward seems to be the only theoretical work which has been contributed to the understanding of CGP behavior. Furthermore, Woodward’s work does not contribute to the understanding of the runtime complexity by obtaining upper and lower runtime bounds of the CGP algorithm itself. This significant lack of theoretical knowledge in CGP has been the motivation for our work.

## 4 PRELIMINARIES

We will analyze a  $(1 + 1)$ -CGP algorithm on test problems called SUM and AND. We say that an algorithm solves a problem efficiently if it can evolve a solution in expected polynomial time, where time is defined as the number of fitness function evaluations. As a genetic operator, the *single-active-gene* mutation strategy is in use. We will analyze two scenarios. For the SUM problem, the runtime analysis of the algorithm depends on the number of  $n$  arity connections of a function node which are represented by the connection genes of the CGP genotypes. On the other hand, the runtime analysis of the algorithm depends on the number of  $n$  boolean inputs (terminals) for the given AND problem. We define *Artificial Fitness Levels* for the analysis of the SUM and AND problem. For our analysis, we utilize the *Multiplicative Drift Theorem* which has been described in Section 2. For the analysis of the SUM problem, the CGP is equipped with a function set consisting of three mathematical functions, SUM, MIN, and AVG. For the analysis of the AND problem, the function set only consists of the logical AND function.

### 4.1 The SUM Problem

The SUM problem is a very simple mathematical test problem for the theoretical analysis of CGP behavior. With the SUM problem, we will analyze the  $(1+1)$ -CGP algorithm depending on the number  $n$  of arity connections of a function node. For the analysis of this problem, the number of function nodes in the genotype is limited to 1 and the genotype has two input nodes. The first input node is a terminal with a constant value of  $x = 0$  and the second input is a constant with a value of  $y = 1$ . The goal of this problem is to connect all arity connections of the function node to the second input and to add up the “1” values. The genotype has one output which is connected to

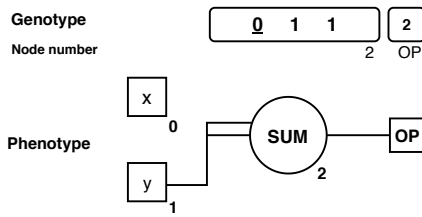


Figure 2: An example of the SUM problem which is used for the analysis. In the example, the function node has two arity connections and adds the input value of the second input node. The sum of this value is then given to the output.

the function node. The function set consists of three functions. In the first place, we have a function SUM which simply adds up all values of the connected inputs of the function node. The function set also consists of a function MIN which calculates the minimum of the given input values. The third function of the function set is a function AVG which calculates the average of the input values. An example of the SUM problem is shown in Figure 2.

#### 4.2 The AND Problem

The AND problem is a simple logical problem with boolean values. With the AND problem we will analyze the (1 + 1)-CGP algorithm depending on the length of  $n$  input nodes which represent the boolean inputs. The number of function nodes in the genotype is set to 1. The AND problem represents a simple boolean problem which has the goal to build up and correct valid logical AND connections between the input nodes.

For both problems, the number of function nodes is fixed and set to 1. The reason for this is that we will focus more on the theoretical analysis of the mutational abilities of CGP to build and reconnect arity connections. This behavior has been found as one of the key features of CGP and is considered highly beneficial for the efficiency of CGP. The output node is connected to the function node which represents a setting of the *levels back* parameter with a value of 1. An example of the AND problem is shown in Figure 3.

### 5 ANALYSIS OF THE SUM PROBLEM

**Theorem 5.1.** *The (1+1)-CGP using  $n$  arity function node connections with a function set  $F = \{ SUM, MIN, AVG \}$  of size  $m := |F|$  solves SUM in expected time  $\Theta(n \log n)$ .*

*Proof.* First, we prove the upper bound using multi-

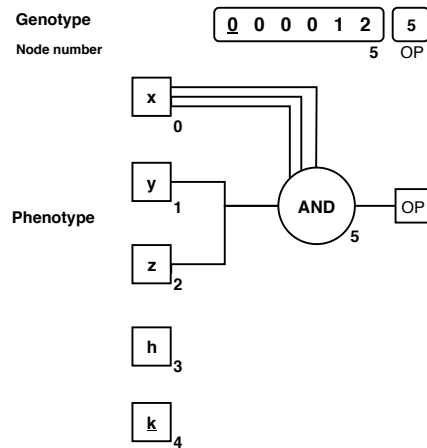


Figure 3: An example of the AND problem which is used for the analysis. In the example, the function node has five arity connections and builds logical AND connections between the five boolean inputs of the inputs nodes.

plicative drift analysis, cf. Proposition 5.2. Second, we prove the lower bound by estimating the probability that at least one connection to the first node does not switch to the second after a certain amount of steps, cf. Proposition 5.3.  $\square$

**Proposition 5.2.** *The expected upper time bound for the SUM problem as defined above is  $O(n \log n)$ .*

*Proof.* Let  $i$  be the number of arity connections which have not been connected to the second input node with the constant. The fitness of the individuals is defined by the value of the output. The fitness value depends on the respective function of the function node and the amount of arity connections which have been connected to the second input. A single connection gene is chosen with probability  $1/(n + 1)$ . Therefore, the probability to achieve a higher fitness in a certain generation is  $i/(n + 1)$ . The negative drift is 0 since solutions with fewer connections to the second input will not be accepted. We have

$$E[X^{(t)} - X^{(t+1)} | X^{(t)}] \geq \frac{i}{n + 1} = \frac{1}{n + 1} \cdot X^{(t)}.$$

Choosing  $\delta = 1/(n + 1)$ ,  $c_{\min} = 1$ , and  $c_{\max} = n$  fulfills the requirements of Theorem 2.2. From it we obtain

$$E[T] \leq \frac{2}{\delta} \cdot \ln\left(1 + \frac{c_{\max}}{c_{\min}}\right) = 2 \cdot (n + 1) \cdot \ln\left(1 + \frac{n}{1}\right) = O(n \log n).$$

For now we did not consider the function gene. We analyze the expected time independently from the connection genes. With probability  $1/(n + 1)$  the function node is chosen for mutation. If SUM is not the current function operator and at least one arity connection is connected to the second input, then

with probability  $\frac{1}{2}$  the function operator is mutated to SUM. The probability that at least one arity connection is connected to the second input is at least  $1 - \frac{1}{2^n}$ . If SUM is the current operator, the function SUM is kept as executing function. The reason for this is that neither the use of the MIN function nor the use of the AVG function can achieve a higher fitness value than the SUM function. Assuming the SUM operator has not yet been chosen, then the probability to mutate to SUM is at least  $\frac{1}{n+1} \cdot \frac{1}{2} \cdot (1 - \frac{1}{2^n})$ , which implies an upper bound for the expected number of turns to mutate to the SUM operator of  $O(n)$ .  $\square$

**Proposition 5.3.** *The expected lower time bound for the SUM problem as defined above is  $\Omega(n \log n)$ .*

*Proof.* We assume the function node is set to SUM during initialization; the expected running time with random initialization of the function node cannot be lower. A given connection flips with probability  $p := 1/(n+1)$ . It does not flip in  $t$  steps with probability  $(1-p)^t$ . Therefore, each of  $n/2$  inputs switch at least once in  $t$  steps with probability  $(1 - (1-p)^t)^{n/2}$ . With  $p$  as above and  $t := n \log n$  we have

$$\begin{aligned} (1 - (1-p)^t)^{\frac{n}{2}} &= \left(1 - \left(1 - \frac{1}{n+1}\right)^{n \log n}\right)^{\frac{n}{2}} \\ &\leq \left(1 - \left(\frac{1}{e}\right)^{\log n}\right)^{\frac{n}{2}} = \left(1 - \frac{1}{n}\right)^{n \cdot \frac{1}{2}} \leq \left(\frac{1}{e}\right)^{\frac{1}{2}} < 0.61 \end{aligned}$$

Therefore, with constant probability  $c > 1 - 0.61 = 0.39$  at least one of  $n/2$  inputs does not switch after  $t$  steps.

With probability at least  $1/2$  at least  $n/2$  connections are initialized to the first input. This follows from the binomial distribution. With the results above we obtain the following estimation on the lower bound.

$$E(T) = \sum_{t=1}^{\infty} t \cdot p(t) \geq n \log n \cdot \frac{1}{2} \cdot 0.39 = \Omega(n \log n)$$

$\square$

## 6 ANALYSIS OF THE AND PROBLEM

**Theorem 6.1.** *The (1+1)-CGP using  $n$  input nodes and  $F = \{ \text{AND} \}$  solves the AND in expected time  $O(n^2 \log n)$ .*

*Proof.* Let  $i$  be the number of input nodes which have not been connected the function node of the genotype.

The fitness of the individuals depend on the boolean value of the output. Consequently, we merely achieve TRUE or FALSE as fitness values. To classify the fitness of an individuals more precisely, we define *Artificial Fitness Levels*  $(A_1, A_2, A_j, \dots, A_n)$ , where  $j$  is the number of input nodes which have been connected to the function node. We observe that in level  $A_j$  at least  $i+1$  connections share another connection to the same input node. In level  $A_n$ , all  $n$  input connections have been connected to the function node and the AND problem is solved. A higher artificial fitness level is achieved, if and only if such a connection is mutated to an unconnected input. The probability to mutate to an unconnected input is  $i/(n-1)$ . We again use multiplicative drift analysis to prove the upper bound. The negative drift is 0 since solutions with more unconnected nodes will not be accepted. We have

$$\begin{aligned} E[X^{(t)} - X^{(t+1)} | X^{(t)}] &\geq \frac{i+1}{n} \cdot \frac{i}{n-1} \\ &= \frac{i+1}{n(n-1)} \cdot i \geq \frac{2}{n^2} \cdot i = \frac{2}{n^2} \cdot X^{(t)}. \end{aligned}$$

Choosing  $\delta = 2/n^2$ ,  $c_{\min} = 1$ , and  $c_{\max} = n-1$  fulfills the requirements of Theorem 2.2. From it we obtain

$$E[T] \leq \frac{2}{\delta} \cdot \ln\left(1 + \frac{c_{\max}}{c_{\min}}\right) = n^2 \cdot \ln\left(1 + \frac{n-1}{1}\right) = O(n^2 \log n).$$

$\square$

**Theorem 6.2.** *The (1+1)-CGP using  $n$  input nodes and  $F = \{ \text{AND} \}$  solves the AND in expected time  $\Omega(n^2)$ .*

*Proof.* The lower bound of  $\Omega(n^2)$  is obvious. The probability to start in fitness level  $A_{n-1}$  is at most  $1/2$ , if  $n > 1$ . The probability to proceed from fitness level  $A_{n-1}$  to  $A_n$  is  $2/n \cdot 1/n$ , therefore the expected time is  $\Omega(n^2)$ .  $\square$

## 7 DISCUSSION

The results of our time complexity analysis show that CGP is able to solve the simple SUM problem in expected time  $\Theta(n \log n)$ . For the AND problem we proved an upper bound  $O(n^2 \log n)$  and a lower bound  $\Omega(n^2)$ . If a function is part of the function set which cannot lead to the correct solution, CGP can efficiently solve the SUM problem. Compared to the conventional tree representation of GP, the graph-based representation enables multiple connections between former nodes and the inputs. Consequently, the probabilities that beneficial mutations are performed

and the algorithm proceeds towards the global optimum can be quite low. Therefore, the result for the SUM problem when the function set includes functions which do not contribute to the evolutionary search is quite interesting. Regarding the analysis of Mambrini and Oliveto (2016) which found that if an extra function was added to the function set, the algorithms require at least exponential time to evolve the simple boolean problems, our result sheds more light on the behavior of CGP when such function sets are in use.

One point which should be discussed is the use of the single active gene mutational strategy. This strategy has been found as more beneficial for the search performance of CGP as the use of classical mutational probabilities on a practical level. However, flipping merely one bit may reduce the probability that a mutation is performed which hopefully processes the algorithm towards the global optimum. Moreover, the use of the single active gene mutational strategy has only been investigated and compared on an experimental level. Therefore, we think a theoretical analysis of a  $(1 + 1)$ -CGP algorithm with classical mutational probabilities is needed and should be considered in future work.

Another point which should be discussed is the fact that both test problems only include one function node. As a first step towards, we focused on the behavior and efficiency of the point mutation operator. Especially in terms of building and reconnecting connections between input nodes and arity connection genes. This behavior has been considered highly important for the search performance of CGP but has never been investigated on a theoretical level. The next step towards profound theoretical knowledge of CGP is the analysis of the mutational behavior of function nodes.

For the AND problem we proved a higher upper bound as for the SUM problem. The results indicate that the expected time of CGP can significantly increase when the given problem enables a high number of combinatorial possibilities.

The last point which should be discussed is the complexity of the test problems itself. From a practical point of view, these problems can be considered as toy problems which have the limitation of being very simple and with characteristics of regularity that make them rather different from any real-life application or practical problem. Furthermore, compared to the state of theoretical knowledge in tree-based GP, our analysis with the introduced test problems is quite simple. For instance Mambrini et al. also investigated incomplete training sets. Nevertheless, as a first step forward we focused more on the development of suit-

able test problems and studied the feasibility of runtime complexity analysis in CGP. The complexity of our problem can easily be increased for further analyses. For instance, the AND problem can be extended to a boolean NAND problem. To solve this problem, two functions (AND & NOT) are necessary and at least two function nodes are needed to find the correct solution. Therefore, we think that the analysis of the NAND problem would be a good step forward.

## 8 CONCLUSION AND FUTURE WORK

A first time complexity analysis for CGP has been presented. We introduced a simple mathematical test problem and a simple boolean test problem for CGP which can be used for the drift analysis of the  $(1 + 1)$ -CGP algorithm. Our analysis has shown that CGP is able to solve the mathematical SUM problem in time  $\Theta(n \log n)$ . Furthermore, adding functions to the function set which do not contribute to the evolution of the correct solution does not degrade the time complexity of the  $(1 + 1)$ -CGP for this problem. However, for the AND problem we proved an upper bound of  $O(n^2 \log n)$  and a lower bound of  $\Omega(n^2)$ . Our result clearly shows that even a simple boolean problem can lead to a significant level of complexity in CGP which makes it difficult to find the ideal solution in polynomial time. In the future, we will focus on a more theoretical understanding of CGP behavior when larger genotypes are in use. In particular, we will create and analyze problems with a higher number of function nodes. Furthermore, since our obtained results are strongly problem dependent, other problems have to be studied in order to make more general statements about the runtime of CGP. Another big question which arises from our analyses is in which way former experimental results in the field of CGP can be verified and proved on a theoretical level. We also have to investigate the question of the results of our study can be replicated for problems that are more complex. As a next step forward we will analyze the discussed NAND problem.

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