# Towards Certification of Autonomous Driving: Systematic Test Case Generation for a Comprehensive but Economically-Feasible Assessment of Lane Keeping Assist Algorithms

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- Keywords: Automated Driving, Advanced Driver Assistance Systems, Test Case Generation, Safety Assessment, Homologation.
- Abstract: Automation of the driving task continues to progress rapidly. In addition to improving the algorithms, proof of their safety is still an unsolved problem. For an automated driving function that does not require permanent monitoring by the driver, a theoretically infinite number of possible traffic situations must be tested. One promising method to overcome this problem is the scenario-based approach. This approach shall enable an economic certification of automated driving functions with sufficient test space coverage. However, even with this approach, the selection of the scenarios to be tested is still problematic. The first step is to consider a driver assistance system in order to reduce complexity. For the Lane Keeping Assist System under consideration, this paper defines a methodology as well as the scenarios for a comprehensive yet economically-feasible certification. Economical-feasibility of the presented methodology is shown in the results by an approximation of the resulting simulation costs for executing the defined test cases.

## **1** INTRODUCTION

Higher levels of automation according to (SAE J3016, 2016) require an increasing amount of testing to release and certify the systems. The crucial difference is in the transition from level 2 (Partial Driving Automation) to level 3 (Conditional Driving Automation). While up to level 2, the driver permanently monitors the system and retains responsibility, this task is omitted in level 3. With permanent driver monitoring, the driver is able to capture any false positives or false negatives made by the system. From level 3, however, if the system makes a wrong decision, this can lead to considerable risks or accidents. For this reason, the test scope for the certification and release of systems from automation level 3 must be more comprehensive and carried out using new methods.

Many companies and projects are currently working on the development of new safety methods for automated vehicles (e.g. PEGASUS (Deutsches Zentrum für Luft- und Raumfahrt e. V., 2018) and ENABLE-S3 (ENABLE-S3, 2018)). A major problem here is the selection of test scenarios. For the validation and release of level 1 and 2 systems (Advanced Driver Assistance Systems, ADAS), a particular focus is on functional safety according to ISO 26262 (International Organization for Standardization, 2011) and the effectiveness of the systems. In order to assess their effectiveness, an examination is carried out of how many real accidents that occurred can be avoided or mitigated by the system. This is sufficient because the permanent monitoring by the driver means that we can assume that previously-safe traffic situations remain safe even with the system, and that, therefore, no significant additional risks are introduced (Suzuki et al., 2017). From automation level 3, formerly-safe situations may potentially become unsafe, and must be taken into account when the driving function is tested. Since every conceivable situation can occur in road traffic, theoretically an infinite number of test scenarios must be covered or tested to prove safety.

The majority of situations occurring in real traffic are uncritical. In scenario-based testing, the focus is, therefore, on relevant critical scenarios for the system to be tested, thus reducing the testing effort. Nevertheless, the complex problem of selecting relevant scenarios that can be implemented with reasonable effort remains. In order to develop a concept for selecting these scenarios, as a first step this paper considers a driver assistance system, the Lane Keeping

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Assist (LKA). The approach presented here will then be extended in future work to highly automated driving functions.

## 2 RELATED WORK

This section lists standardized tests that currently exist for LKA systems. It will subsequently explain related work on the comprehensive creation of test scenarios for LKA systems. Finally, it gives an overview of general procedures for defining test cases.

#### 2.1 Standardized Test Scenarios

Currently, various organizations have standardized tests for testing the functionality of LKA systems. However, these benchmark tests do not provide proof of safety, but only proof that the system meets certain minimum requirements. The most important for the European area are:

- UNECE, especially (UNECE, 2018): required for type approval
- ISO, especially (International Organization for Standardization, 2014): representing current state of the art
- EuroNCAP, especially (EuroNCAP, 2017): provide safety information to consumers

The above tests, carried out by the three organizations mentioned, are comparable in complexity and scope. For the sake of clarity, this section is limited to an explanation of the UNECE tests. For the type approval of LKA systems on the European market, compliance with the current version of UNECE R79 is required by law, which is why it has higher priority than ISO 22170 and EuroNCAP. UNECE R79 divides systems that actively intervene in the steering of the vehicle into three categories, according to the capabilities of the system:

- **Emergency Steering Function (ESF):** a function that automatically detects a possible collision and intervenes in the steering in order to avoid the collision.
- **Corrective Steering Function (CSF):** a function that automatically intervenes in the steering for a short period of time in order to e.g. correct lane departure.
- Automatically Commanded Steering Function (ACSF): a function that automatically intervenes in the steering in order to assist the driver during lane keeping.

An LKA system, as considered in this paper can be assigned to the category ACSF. The UNECE divides this category into the following subcategories:

- **Category A:** a function that operates only at a maximum speed of 10 km/h.
- **Category B1:** a function that assists the driver in keeping the lane for a limited period.
- **Category B2:** a function that assists the driver in keeping the lane for an extended period.
- **Category C:** a function that is able to perform one single lateral maneuver (e.g. lane change). A command by the driver is necessary.
- **Category D:** a function that is able to perform one single lateral maneuver (e.g. lane change). A confirmation by the driver is necessary.
- **Category E:** a function that is able to perform lateral maneuvers (e.g. lane changes). A command or confirmation by the driver is not necessary.

Within the scope of this work, a LKA system of category B1 is considered. In the current version of the UNECE R79, tests already exist for the categories B1 and C. For all other categories, no test procedures are currently available.

The requirements and tests defined in UNECE R79 can be divided into different areas, such as the Human Machine Interface or the Object and Event Detection and Response (OEDR)<sup>1</sup>. This paper focuses on the latter area. This corresponds to the requirements of Annex 6 Paragraph 3.2.1 (Lane keeping functional test) of UNECE R79, which are to be carried out under specified test conditions. For example, the requirements specify that the tests are to be carried out on dry and level roads with clearly-visible lane markings.

In the defined test sequences, tests are carried out in various speed ranges (Table 1). For each speed range, a maximum  $(a_{y,max})$  and a minimum  $(a_{y,min})$ lateral acceleration is specified, which must be able to be applied at most or at least by the steering intervention of the LKA system. For a single test, a test track with a curve is required, which is limited on both sides by clearly-visible lane markings. The speed is selected so that the lateral acceleration required to pass through the curve is 80 to 90 % of the maximum lateral acceleration  $a_{y,max}$ . This test must be fulfilled for at least the four speed ranges given in Table 1.

If the test meets these requirements, the LKA system may be distributed in all member states. A transfer of the functionality of the LKA system to general situations is not foreseen, only in Annex 8

<sup>&</sup>lt;sup>1</sup>According to (SAE J3016, 2016)

Speed range in $\frac{km}{h}$	$a_{y,min}$ in $\frac{m}{s^2}$	$a_{y,max}$ in $\frac{m}{s^2}$
10-60	0.0	3.0
> 60 - 100	0.5	3.0
> 100 - 130	0.8	3.0
> 130	0.3	3.0

Table 1: Speed ranges and lateral accelerations of ACSF Category B1 tests according to UNECE R79.

Paragraph 3.2.1.3 it is required that the manufacturer shall demonstrate to the technical service by appropriate documentation that the system meets the requirements for all possible combinations of speed and lateral acceleration. For the lane keeping functional test, three Key Performance Indicators (KPI) are defined according to Table 2:

Table 2: Key Performance Indicators (KPI) for the assessment of the lane keeping functional tests according to UN-ECE R79.

KPI	Criteria	Description
$\overline{\frac{d_{\text{marking}}}{a_{\text{lat}}}}$	$ \begin{tabular}{l} \ge 0 \\ 3.0m/s^2 \\ 5.0m/s^3 \end{tabular} \end{tabular} \end{tabular} \end{tabular} \end{tabular} \end{tabular} \end{tabular} \end{tabular}$	Not allowed to cross line Not allowed to exceed criteria Not allowed to exceed criteria

The distance of the outer wheel to the lane marking is denoted as  $d_{\text{marking}}$ . The lateral velocity and the lateral jerk of the vehicle is referred to as  $a_{\text{lat}}$  and  $\dot{a}_{\text{lat}}$ , respectively.

In general, standardized tests have the disadvantage that manufacturers can prepare themselves specifically for the tests and optimize the performance of their systems to meet the requirements of the test. A statement about the system behavior in real road traffic is only possible to a limited extent.

### 2.2 Comprehensive Test Scenarios

A first step towards comprehensive test case definition for lane departure warning and lane departure prevention systems is presented in (Kurt et al., 2015). A large number of parameters and their discretization are defined. But most parameter combinations are not considered further because the scenarios are prioritized on the basis of accident databases. Therefore, from this point, only situations that are critical to humans are considered. Once again, this leads to the problem previously described in Section 1 that situations that may be critical to the system are not considered. This procedure is, therefore, not applicable for higher degrees of automation.

HUANG (Huang et al., 2018) proposes a generation of test scenarios for level 2 vehicles built by the permutation and combination of relative position and movement directions of the test vehicle and surrounding traffic participants. The LKA system is only one part of the examined system; therefore, the methodology proposed is not directly applicable to LKA systems. Furthermore, variations at road level (e.g. curvature or lateral slope of the lane) are not taken into account.

SUZUKI (Suzuki et al., 2017) concluded that the risks posed by automated systems in traffic decrease at automation level 2 and will increase again at higher levels. From Suzuki's point of view, this means that systems with a higher degree of automation will have to be tested both systematically and extensively in the future.

### 2.3 General Definition of Test Scenarios

Section 2.1 describes predefined test scenarios. To be able to define scenarios in general, it makes sense to use common terminology and a standardized procedure. At present, these standardizations are still lacking. The terms and procedures explained in the following are also used in the PEGASUS project (Deutsches Zentrum für Luft- und Raumfahrt e. V., 2018) and are already known outside the consortium in industry and universities.

ULBRICH ET AL. define the terms "scene", "situation" and "scenario" both in German (Ulbrich et al., 2015b) and in English (Ulbrich et al., 2015a). While a scene represents the complete snapshot of the surroundings, a scenario describes the chronological sequence of scenes that begins with a certain start scene. (Bagschik et al., 2017) (in German) and (Menzel et al., 2018) (in English) make a further subdivision. The authors distinguish between functional, logical and concrete scenarios:

- **Functional Scenarios:** Semantic description of a scenario. The level of detail transmitted by speech and images is low. An example of a functional scenario is the description of the "Lane keeping functional test" in Section 2.1.
- **Logical Scenarios:** The scenario previously represented by speech and images is converted into a description by parameters and their parameter ranges. As an example, the representation of the "Lane keeping functional test" in Section 2.1 can be considered by the required parameters such as velocity, lateral acceleration, etc., as well as their corresponding parameter ranges.
- **Concrete Scenarios:** If a fixed value is defined for each parameter, this is referred to as a concrete scenario. A logical scenario with continuous parameters can theoretically be used to derive an infinite number of concrete scenarios. Accordingly,

a fixed value can be assigned to each parameter of the lane keeping functional test. Only then can a scenario be clearly tested.

Another challenge in the creation of general scenarios is the definition of all relevant parameters. To achieve this, the required parameters are defined within different levels. This ensures a systematic and complete identification of all parameters. (Schuldt, 2017) proposes a four-level model that (Bagschik et al., 2018) extends to five levels. According to (Bagschik et al., 2018), relevant parameters of a scenario can be divided into the following five levels<sup>2</sup>:

- Road-level (L1)
- Traffic infrastructure (L2)
- Temporary manipulation of L1 and L2 (L3)
- Objects (L4)
- Environment (L5)

The number of parameters and their meaningful discretization leads to an unmanageable number of concrete scenarios. In order to reduce this problem, procedures can be used to reduce the number of test cases, such as the Design of Experiments (DoE). The alternative concept of functional decomposition has been suggested by (Amersbach and Winner, 2017). The automated driving function is divided into six layers based on the Sens-Plan-Act principle. The basis for the layers used comes from (Graab et al., 2008), who has already arranged the human task of driving in a comparable scheme. The purpose of functional decomposition is to divide the entire system into less complex subsystems and to test these subsystems separately. Due to the decreasing number of influencing parameters on the subsystem level, the number of necessary tests can be reduced.

#### 2.4 Aim of the Paper

No comprehensive testing procedure currently exists for LKA systems. This paper aims to close this gap. A methodology, as well as the necessary parameters and scenarios for a comprehensive safety assessment of an LKA algorithm, are presented. To proof economicalfeasibility, the results show an approximation of the resulting simulation costs. Even if the system under consideration is a driver assistance system that must be permanently monitored by the driver, a safe LKA algorithm is an important component on the way to safe automated driving functions.

#### **3** METHODOLOGY

This paper examines an LKA system that assists the driver in holding the lane for a limited period of time. The vehicle is kept within the lane boundaries (e.g. lane markings), but the system does not react to static and dynamic objects within the lane. Because the driver is responsible for the driving task at all times, he must react to objects such as lost freight. Thus, the levels 4 and 5 of the five-level model according to (Bagschik et al., 2018) from Section 2.3 do not have to be considered. In accordance with the UNECE R79 classification from Section 2.1, a system of this type is included in category B1.

As has already been explained in Section 2.1, the tests to be performed for the homologation of the system are fixed. The parameters are only varied on the basis of speed and lateral acceleration. An exact discretization of the parameters is not given. The aim of this paper is to generalize the requirements for all relevant parameters so that safe system behavior can be transferred to general situations. This results in a number of test cases that is several orders of magnitude higher, making the use of simulation indispensable. The precondition for this is an overall model that is valid over the entire parameter space. The methodology for the required model validation is not part of this publication. The interested reader is referred to (Riedmaier et al., 2018).

#### 3.1 Functional Decomposition

The principle of functional decomposition described in Section 2.3 is used to reduce the number of relevant test cases. For this purpose, only the LKA algorithm and the vehicle are considered, which corresponds to all parts of the plan and act layers in Figure 1. The entire area of perception, including the sensor, is not considered. For these reasons, level 5 from the fivelevel model by (Bagschik et al., 2018) (Section 2.3) can be omitted.

An important aspect of functional decomposition is the definition of the interfaces between the layers under consideration and those not under consideration (Figure 1). For an LKA system, the perception module must detect the limits of the lane. These may be represented by lane markings, curbs or similar. If a camera-based LKA system is assumed, an imageprocessing algorithm detects where the lane boundaries are located after the images have been taken. These can then be approximated by cubic splines, for example. This mathematical description may represent the output of the sense plane and the input for the plan plane respectively. The LKA algorithm can use

 $<sup>^{2}</sup>$ The term "level" is used instead of the original term "layer" to avoid confusion with the layers used by (Amersbach and Winner, 2017). Last-mentioned proposes the concept of functional decomposition which is explained at the end of this section.

Towards Certification of Autonomous Driving: Systematic Test Case Generation for a Comprehensive but Economically-Feasible Assessment of Lane Keeping Assist Algorithms



Figure 1: Reduction of complexity through functional decomposition based on the concept by (Amersbach and Winner, 2017). The sense level (gray), which represents the camera sensor and image-processing, is omitted.

these boundaries to control the vehicle. The origin of the lane boundaries is not relevant for the algorithm itself. Within this paper, we assume an ideal and exact representation of lane boundaries as an input for the LKA algorithm.

#### 3.2 Parameter Definition

The selection of relevant parameters is a decisive step in the evaluation of automated driving functions and strongly depends on the specific configuration of the system to be investigated. Due to the application of functional decomposition and the associated omission of sensor technology, level 2 does not have to be considered according to Bagschik's classification (Bagschik et al., 2018). Levels 3 and 4 can also be omitted due to the characteristics of the system, because it does not react to obstacles such as pylons or moving objects.

In order for the parameters in Table 3 to be defined, the levels of (Bagschik et al., 2018) are extended by a level 0, which represents the test object. The parameters of this level can have a big influence on the performance of the system, for example the sensitivity and intensity of the system, which can be adjusted by the driver. Level 1 (road-level) is mainly based on the German Motorway Construction Guideline (Forschungsgesellschaft für Straßenund Verkehrswesen, 2008). This guideline sets limit values for many parameters of German motorways. This paper examines the design class EKA 1, which corresponds to long-distance and supra-regional motorways. Also on the basis of the functional decomposition, almost all of level 5 (environment) can be

Table 3: De	finition of	parameters	and	their	range	for	vali-
dation of the	e LKA algo	orithm.					

Description	Unit	Discretization steps
Test object (level 0)		
Longitudinal velocity	$\frac{m}{s}$	30, 70, 90, 130
Initial lateral pos.	m	left, middle, right
Initial heading	0	-10, 0, 10
Mass	kg	empty, max
System sensitivity	-	low, middle, high
System intensity	-	low, middle, high
Road-level (level 1)		
Surface	-	tarmac, concrete
Friction coefficient	-	0.4, 0.9
Long. slope	%	-4.5, 0, 4.5
Lateral slope	%	-6, 0, 6
Width of lane	m	2.50, 3.25, 3.75
Clothoid parameter	m	240, 2,000, ∞
Curve radius	m	720, 2,000, ∞
Hallow/crest radius	m	-5,700, 10,000, ∞
Ramp gradient <sup>a</sup>	%	$0.1a^b, 0.9$
Length of left spline <sup>c</sup>	%	0, 50, 100
Length of right spline <sup>c</sup>	%	0, 50, 100
Environment (level 5)	7	
Wind velocity	m	0, 20
Wind azimuth angle	0	90, 270

<sup>*a*</sup>Ramp gradient describes of the change of lateral gradient of the lane

- ${}^{b}a \rightarrow Distance of the edge of the road from the axis of rotation$
- <sup>c</sup>Represents the existence or disappearance of lane boundaries. Measured in percentage of the total scenario length

omitted, because these parameters only influence perception. Only wind (especially from the side) has an influence on the performance of the system under consideration.

Taking into account the assumption from Section 3.1 that the exact courses of the lane boundary are made available to the LKA algorithm, and using the parameters from Table 3, the functionality of the LKA algorithm can be tested over the entire operating range. In order to test the robustness of the algorithm, additional parameters must be defined (Table 4). On the one hand, the assumption of ideal input data must be discarded and more realistic input data must be used. For this purpose, the data can be subjected to noise of different intensity. In addition, the missing effect of different environmental conditions (e.g. fog) is replaced by a shortened range of the sensors. A shortened range means that the length of the lane boundary, which is made available to the algo-

Description	Unit	Discretization steps	
Due to functional decor	npositior	1	
Add noise to			
lane boundary splines	dB W	low, mid, high	
Limited rage of			
camera sensor	m	20, 40, 60	
Due to external influence	ces		
Road waviness	-	low, mid, high	
Sinusoidal steering torqu	ie – – – – –		
Amplitude	Nm	low, high	
Frequency	Hz	low, high	
Steering torque impulse			
Amplitude	Nm	low, high	
Duration	c	short long	

Table 4: Definition of parameters used for robustness testing of the LKA algorithm.

rithm in the form of splines, is shortened to the corresponding length.

In addition, external influences must also be taken into consideration to check robustness. Road imperfections can influence the performance of the system and are taken into account by the waviness of the road. In addition, the driver can unintentionally apply steering torque. Because the system provides a steering torque, it is important to test how this deals with the driver's input of disturbance torque.

## 3.3 From Functional to Concrete Scenarios

The next step is to define functional scenarios. These represent basic maneuvers of which the LKA system must be capable. A short description of the scenarios can be found in Table 5. Furthermore, a graphical representation can be seen in Figure 2. The probability of scenarios 7 and 9 from Table 5 and Figure 2 occurring is low, but these can occur in the area of construction sites. They are, therefore, included here for the sake of completeness. The basis for these scenarios is mainly expert knowledge.

The 19 functional scenarios from Figure 2, in combination with the parameters from Table 3 and Table 4, represent the logical scenarios. In order for the LKA algorithm to be evaluated, concrete scenarios are required so that the test cases can be executed. These are achieved by the definition of specific parameter values. In order to achieve sufficient parameter space coverage, so-called N-wise testing is used. Therefore, the combination is divided into two groups. This means that all discretization steps of all parameters are combined with each other. On the one Table 5: Description of functional scenarios (Figure 2).

Standard			
Constant lanes			
Start of lane			
New lane left with road broadening left			
New lane right with road broadening right			
New lane left with road broadening right			
New lane right with road broadening left			
End of lane			
Left lane ends with road narrowing right			
Right lane ends with road narrowing left			
Left lane ends with road narrowing left			
Right lane ends with road narrowing right			
Lane splitting			
Lane widening both directions			
Lane widening right			
Lane widening left			
Lane merging			
Lane narrowing both directions			
Lane narrowing left			
Lane narrowing right			
Road fork			
Inclined new lane			
Lane splitting fork			
Existing lane bends to the right			

hand, the parameters from Table 3 are combined to enable validation of the LKA algorithm. On the other hand, the parameters from Table 4 are combined to verify the robustness of the LKA algorithm.

## 4 **RESULTS**

This section examines the total number of concrete scenarios resulting from the N-wise testing described in Section 3.3. Furthermore, it approximates the time and cost involved for executing the concrete Scenarios.

#### 4.1 Number of Concrete Scenarios

To validate the LKA algorithm, the 19 parameters from Table 3 must be taken into account and combined with each other. The total number of parameter combinations  $n_{\text{comb}}$  results from the multiplication of the number of discretization steps of the individual parameters  $d_{i,\text{val}}$  according to Equation 1 to:

$$n_{\rm comb} = \prod_{i=1}^{19} d_{i,val} = 1.36 \cdot 10^8.$$
 (1)

Towards Certification of Autonomous Driving: Systematic Test Case Generation for a Comprehensive but Economically-Feasible Assessment of Lane Keeping Assist Algorithms



Figure 2: Overview of the defined functional scenarios. A short description can be found in Table 5.

In order to calculate the total number of concrete scenarios  $n_{\text{scen,val}}$  for the validation of the LKA algorithm, the number of functional scenarios  $n_{\text{scen,func}}$  from Figure 2 must be multiplied by the number of parameter combinations  $n_{\text{comb}}$  (Equation 2).

$$n_{\rm scen,val} = n_{\rm scen,func} \cdot n_{\rm comb} = 2.45 \cdot 10^9 \qquad (2)$$

#### 4.2 Estimation of Simulation Costs

The costs associated with the scenarios to be carried out are even more relevant here than the number of actual scenarios. As explained in Section 3, the use of simulation with validated simulation models is inevitable. Even if the costs are much lower compared to testing site tests, the costs for simulation are not negligible. Thus, the costs are to be estimated in the following.

The execution of a simulation can generally be divided into the three steps preprocessing, processing and postprocessing. Preprocessing includes the one-time creation of functional scenarios (Figure 2) with automated parameter variation (Table 3). Processing takes into account the execution of all concrete scenarios  $n_{\text{scen,val}}$ . Finally, the KPIs from Table 2 are automatically evaluated in postprocessing, and a decision is made about pass or fail. This last step is minor in terms of effort. Due to the one-time nature or minimal effort involved in these steps, the costs for preprocessing and postprocessing are omitted from the subsequent steps. Additionally, the costs for validating the simulation models are omitted (Section 3).

The computing costs for the processing depend mainly on the level of detail of the simulation models used. These include models of the vehicle, driver, driving function, environment and sensors. The limiting factors with regard to computing power are usually particularly complex but very valid physical sensor models that use the ray-tracing method, for example. When functional decomposition is used (Section 3.1), the sensors are not considered and instead ideal splines are used as input for the LKA algorithm. Thus, simple models with low computational effort can be used for the simulation. In order to approximate the simulation costs, the required simulation time must first be quantified. In addition to the total number of concrete scenarios  $n_{\text{scen,val}}$ , several factors defined in Table 6 are critical in this regard.

Factor  $t_{\text{scen,real}}$  is the average duration of a scenario measured in real time. This value was determined on the basis of experience. The  $n_{\text{real-time factor}}$  is the factor with which the simulation is executed faster than real time. This factor, as well as the time needed to initialize the simulation  $t_{\text{sim init}}$  and save the simulation results  $t_{\text{save}}$ , has been estimated using a com-

Table 6: Influencing factors for determining the simulation costs.

Factor	Value
n <sub>scen,val</sub>	$2.45 \cdot 10^{9}$
t <sub>scen,real</sub>	15.0s
n <sub>real-time factor</sub>	40.0
t <sub>sim init</sub>	1.0s
t <sub>save</sub>	0.1 s

mercial simulation tool in combination with a standard computer (Intel i7-6820HQ 2.70 GHz, 4 Cores, 16 GB RAM). This results in a total simulation time  $t_{sim}$  of  $1.0 \cdot 10^6$  h according to Equation 3.

$$t_{\rm sim} = \left(\frac{t_{\rm scen,real}}{n_{\rm real-time\ factor}} + t_{\rm sim\ init} + t_{\rm save}\right) n_{\rm scen,val} \quad (3)$$

Due to the long simulation duration, a massive parallelization of the simulation is necessary. In order to execute the specified number of scenarios within one week, 5,975 simulations must be executed in parallel. For example, commercial cloud-based solutions – which provide virtual machines – such as *Microsoft Azure* can be used. A suitable virtual machine as well as the resulting costs can be found in Table 7.

Table 7: Description of an available and suitable virtual machine at *Microsoft Azure* and the resulting costs for executing  $2.45 \cdot 10^9$  scenarios.

			Costs		
Cores	RAM	Priority	Single	Total	
2	4 GB	Low	0.014 <u>€</u> h	€14,053	

The execution of the scenarios on the supercomputer of the Leibniz-Rechenzentrums (Leibniz Supercomputing Centre)<sup>3</sup>, known as the SuperMUC-NG, is regarded as an alternative means of estimating costs. Detailed specifications of the supercomputer can be found in (Leibniz Supercomputing Centre, 2018). According to (Leibniz Supercomputing Centre, 2017), the total costs amount to almost  $\in$  44,000 per day calculated over six years. If one assumes that a simulation can be carried out on each of the cores, of which there are just over 300,000 in total, it will take 3.24 h to execute all scenarios, which is equivalent to a cost of  $\in$  5,914. The pure simulation costs are lower than with the cloud-based solution, but this option does allow more flexible use, and, furthermore, no costs are accrued if it is not used. In summary, both methods result in an acceptable cost expenditure for certification of  $\in$  14,053 and  $\in$  5,914, respectively.

<sup>&</sup>lt;sup>3</sup>https://www.lrz.de/services/compute/

If the validation of the LKA algorithm has yielded a positive result, the robustness of the algorithm can be tested. For example, the  $n_{scen,border}$  scenarios from the validation of the LKA algorithm that came closest to the pass/fail limit can be used. It does not make sense to use all scenarios, because they will implicitly be part of the overall system test as well. Only the general robustness of the algorithm is to be tested here. The selected scenarios are combined with the seven parameters  $d_{i,robust}$  from Table 4 and the test cases are executed. When choosing  $n_{scen,border} =$  $1.0 \cdot 10^3$ , this results in a number of  $4.32 \cdot 10^5$  scenarios (Equation 4) denoted as  $n_{scen,robust}$ .

$$n_{\text{scen,robust}} = n_{\text{scen,border}} \cdot \prod_{i=1}^{7} d_{i,\text{robust}}$$
 (4)

This number of scenarios leads to negligible costs of  $\in 2.48$  for the cloud solution and  $\in 1.04$  when the super computer SuperMUC-NG is used and allows the performance of the algorithm in the overall system to be assessed. Again, the KPIs from Table 2 can be used as evaluation metrics.

Table 8 summarizes the total costs for both the cloud solution and use of the super computer SuperMUC-NG.

Table 8: Summary of costs for executing  $2.45 \cdot 10^9$  scenarios for LKA algorithm validation and  $4.32 \cdot 10^5$  scenarios for LKA algorithm robustness test.

SCIEN	Cloud solution	SuperMUC-NG
Validation Robustness	$ \begin{array}{c} \in 14,053 \\ \approx  \in  2 \end{array} $	$ \begin{array}{c} \in 5,914 \\ \approx  \in  1 \end{array} $
Total	€14,055	€5,915

#### 5 DISCUSSION

When parameters are defined (Section 3.2), continuous parameters such as the speed of the test vehicle must be taken into account. For the execution of concrete scenarios, these parameters must be discretized. An exact representation of a continuous parameter by discrete values is not possible, which is why complete coverage of the test space cannot be provided, even with this procedure. However, complete coverage is also not necessary from a technical standpoint, because too fine a discretization leads to quasi-redundant test scenarios. Furthermore, the generated scenarios must be checked for consistency in order to exclude possible unrealistic parameter combinations. In complex systems, such as the (partially) automated execution of the driving task, a successful test of individual components according to the functional decomposition is no substitute for tests at the overall system level. The reason for this is the increasing interaction between the subsystems. For example, if the sensor module and LKA algorithm have been tested successfully, errors may occur in the overall system due to incorrect transmission of information.

It can be assumed that any change in the algorithm will require re-testing of the entire scenarios. Therefore, the costs of executing the simulation (processing) are of great importance. According to Moore's Law, a further improvement in the performance of the computers used for simulation can be expected in the future, which will lead to a significant reduction in costs. This will be required in order to cope with the increasing number of necessary parameters and, thus, also scenarios for higher degrees of automation.

# 6 CONCLUSION AND FUTURE WORK

This paper proposes a new method for an effective and comprehensive safety assessment of a Lane Keeping Assist algorithm. Proofing completeness in capturing all relevant scenarios is impossible, but with the presented approach, it is well founded to achieve a remarkable level of safety and an economic-feasibility at the same time. Compared to the limited number of standardized tests currently used for homologation, this approach enables the determination of real world driving behavior of the system. In addition, this novel approach can be one component for an evaluation of automated driving functions.

In the next step, the test cases defined must be implemented in the simulation and evaluated using a concrete Lane Keeping Assist algorithm.

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