# Clustering and Classification of Breathing Activities by Depth Image from Kinect

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Keywords: Breathing Activities, Depth Image, Classification, Support Vector Machine.

Abstract: This paper describes a new approach of the non-contact capturing method of breathing activities using the Kinect depth sensor. To process the data, we utilized feature extraction on time series of mean depth value and optional feature reduction step. The next process implemented a machine learning algorithm to execute clustering on the resulted data. The classification had been realized on four different subjects and then, continued to use 10-fold cross-validation and Support Vector Machine (SVM) classifier. The most efficient classifier is SVM radial with the grid reached the best accuracy for all of the subjects.

## **1** INTRODUCTION

Breathing is a vital physiological task in living organisms including human, and one of critical indicators of a person's health. There are two methods to monitor breathing rate activities, contact or noncontact method. The contact method which frequently called as invasive method, the sensing device or some parts of this is put on to the subject's organs. For non-contact method which frequently called as noninvasive method, there is no direct interaction between the instruments with the subject (Al-Khalidi et al., 2011).

Many medical instruments can be categorized as invasive approaches such as Respiratory Inductance Plethysmography (RIP) (Retory et al., 2016), Thoracic Impedance (Houtveen et al., 2006), Impedance Pneumography (IP) (Seppa et al., 2010), Photoplethysmography (PPG) (Moody et al., 1985), Acoustic Monitoring (Corbishley and Rodriguez-Villegas, 2008 and Harper et al., 2003), Strain Gauges (Groote et al., 2000) and Magnetometers (Levine et al., 1991). All of the methods are implemented to monitor human breathing activities. Those are stateof-the-art devices especially for breathing activities through direct contact. However, these methods' primary drawback is that they interfere with the natural respiration of the subject.

The microwave-based techniques had been developed for some non-contact respiratory measurements (Singh et al., 2011 and Devis et al., 2009). Moreover, the optical-based techniques are refined too includes Structured Light Plethysmography (SLP) (Aoki et al., 2005) and Optoelectronic Plethysmography (OEP) (Aliverti et al., 2000 and Cala et al., 1996). Despite the fact that there is no need to directly contact with the subject while measuring, these instruments tend to have the complicated procedure.

In this research, we proposed a method to measure the morphological changes of the subject's chest area in real-time using Microsoft Kinect V2, which is a commercial depth camera in order to monitor breathing activities. Therefore, we can estimate the activities of the subject based on the monitoring of the subject's breathing without contact directly to the subject.



Figure 1: Microsoft Kinect v2.

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DOI: 10.5220/0007567502640269

In Proceedings of the 12th International Joint Conference on Biomedical Engineering Systems and Technologies (BIOSTEC 2019), pages 264-269 ISBN: 978-989-758-353-7 The Microsoft had released two series of Kinect; they were Kinect version 1 (v1) and Kinect version 2 (v2) (Microsoft, 2018). Kinect v2 applied an active sensor called Time-of-Flight method to measure the distance of a surface by calculating the round-trip time of a pulse of light (Kolb et al., 2009). In other hand, Kinect v1 does not have this ability to do that. As a result, the depth images resulted from Kinect v2 have better quality compared the other one. Figure 1 shows Microsoft Kinect v2. The mean depth value from Kinect was reconstructed in time series signal and after that will be conducted many steps and using a machine learning algorithm, Support Vector Machine (SVM) to get the best accuracy results. The block diagram of this study is shown in figure 2.

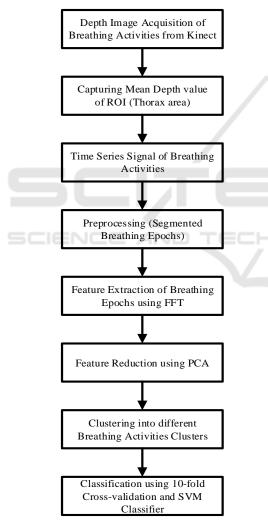


Figure 2: Block diagram of this study.

### 2 MATERIALS AND METHODS

This research had many steps as mentioned previous and conducted on four different subjects of the human. After acquiring the data using Kinect, then processing the time series waveforms into the features. The clustering and classification steps were conducted on R programming.

### 2.1 Acquisition of Depth Image and Capturing Mean Depth Value

In this step, Kinect was utilized to capture the human breathing activities. Kinect can capture depth images at the resolution of 640 x 480 pixels a maximum of 30 fps using IR Receiver. Furthermore, it also can capture color images using an infrared laser emitter combined with a monochrome sensor. The experiment was conducted indoor, and the subjects were asked to sit at a distance of the depth camera (Figure 3). In this research, we recorded four samples three times for different breathing activities in front of MS Kinect. The activities can be separated as follows:

- First 60 seconds, deep and fast breathing
- Second 60 seconds, aloud reading the article in the newspaper
- Last 60 seconds, relaxing by listening deep meditation music.

All the depth image data were captured and then continued to calculate the mean depth value from the Kinect to the subject especially at the Region of Interest (ROI) on the thorax area. The calculation can be depicted into the time series waveforms or time series signal as pointed out in figure 4.





Figure 3: Kinect Depth Image (left) and ROI of Depth Image (right).



Figure 4: Calculation result of subject 1's mean depth value of ROI.

#### 2.2 Feature Extraction and Feature Reduction

Fast Fourier Transform (FFT) is one of the recognized and useful tools for signal processing. To decompose signals into segmented breathing sequences, we used the equal time intervals called epoch. For calculating, the length of each epoch was set to every 30 timeframes. The epochs were then processed using frequency analysis in which frequency spectra were generated using FFT. We used FFT to convert a signal from its original domain to a representation in the frequency domain and vice versa (Nussbaumer, 1982). The FFT analysis had been completed for four samples from four subjects, and the process continues to extract all of the principal components (PCs) as the features from the spectra through Principal Component Analysis (Jolliffe, 2002). PCA is a dimensional reduction technique that is commonly used in the time series signal analysis. To measure breathing activities rate and to process feature extraction and feature reduction for each subject, we did separately for each subject in order to validate the clustering and classification algorithm. Figure 5 shows the PCA result from one of the subject.

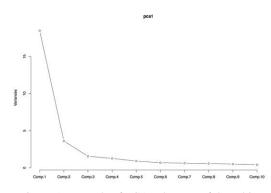


Figure 5: Example of PCA value one of the subject.

#### 2.3 Clustering

After feature reduction, then applies non-parametric density-based clustering to the features to detect clusters in order to validate the annotation of the class label or labeling events on specific breathing activities for every timeframe (Azzalini and Torelli, 2007). The clustering algorithm applied a density-based spatial clustering of Applications with Noise (DBSCAN). Figure 6 until 9 exhibit the clustering result in two dimensional from the subjects.

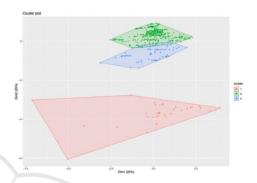


Figure 6: Clustering result of the subject 1.



Figure 7: Clustering result of the subject 2.

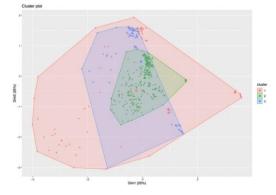


Figure 8: Clustering result of the subject 3.

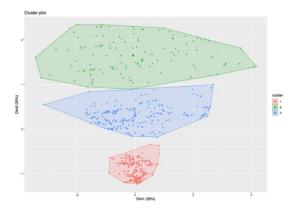


Figure 9: Clustering result of the subject 4.

#### 2.4 Classification

In addition, the data from the subjects were used to be the dataset. Throughout the experiments, all data were divided into a training set and a test set. The classification step was completed with the 10-fold cross-validation. We trained each fold in order to have a better estimation of the true error rate of each set.

The classification was performed using Support Vector Machine (SVM), a supervised machine learning method with having good accuracy as well as being used for Protein Sequence Classification (Faisal et al., 2018). In this part, an overview of the method used in this research was explained. The SVM is a classifier which separates the data in a different class on a maximal-margin hyperplane. A hyperplane is a line that splits the input variable space. SVM changes the information into a higher dimensional space with the goal that the nonlinear separable problem in the first example space can be changed to a linear separable problem. SVM algorithm implements an implicit mapping  $\Phi$  of the input data into a high dimensional feature space as a kernel function turning the inner product of  $\Phi$  (x),  $\Phi$ 

 $(x^{i})$  between the images of two data points x,  $x^{i}$  in the feature space. The data points only appear inside dot products with other points and the process took place in the feature space and called The "kernel trick" which introduced by Scholkopf and Smola (2002). More precisely, if a projection  $\Phi : X \rightarrow H$  is used, the dot product  $\Phi(x)$ ,  $\Phi(x^{i})$  can be represented by a kernel function k.

$$k(x,x^{i}) = [\Phi(x), \Phi(x^{1})]$$
 (1)

which is computationally simpler than explicitly projecting x and xi into the feature space H (Karatzoglou et al., 2006).

The caret package was used to execute this algorithm on R programming. SVM function has a model using linear kernel and non-linear kernel like radial basis function; those were realized to get which one better accuracy in this research. Customization on SVM function by selecting C value (cost) in linear classifier by inputting values in grid search. This step will increase the accuracy result. The results from classifying the Breathing activities data captured by MS Kinect v2 for four subjects with 10-fold crossvalidation and SVM classifiers are presented in Figure 10 and 11. In this paper, we try to use all the PCs and without using the PCs for feature reduction in order to get the highest accuracy of the classification. The classifier was used with SVM linear and radial basis function with grid or no grid in R programming. Based on the results, SVM radial with grid basis function seemed to be a good choice of classifier among SVM function. Moreover, nonparametric density can be implemented to execute clustering of the breathing activities by using depth image from Kinect v2.

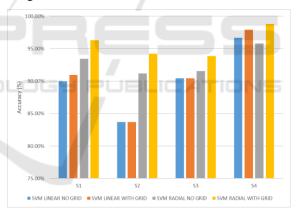


Figure 10: Performance comparison of four subjects using 10-fold cross-validation and SVM classifier with all components of PCA.

From figure 10 and 11, we had seen that the accuracy got better value when we did not use PCA for feature reduction. The performance reached over 95 % for all subjects using SVM radial with the grid as the classifier. For example, on subject 4, when all components on PCA was used for feature reduction, the accuracy reached 98.80%. Hence, PCA was not used, the accuracy up to 99.5%.

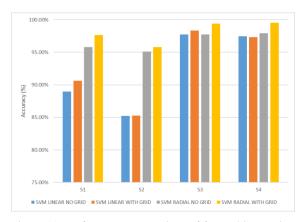


Figure 11: Performance comparison of four subjects using 10-fold cross-validation and SVM classifier without using components from PCA.

## **3** CONCLUSIONS

This paper has presented the method of capturing on breathing activities data from image depth of Microsoft Kinect v2. This method is the noninvasive mechanism to estimate the activities of the subject from breathing activities monitoring. Those data were used to calculate the mean depth value on Thorax area and were displayed on time series signal. FFT had been applied to do the feature extraction from time series into numeric values. PCA is optionally used for feature reduction on this classification, but the result exposed that the highest accuracy was achieved without using PCA components. As a result, we have seen that, feature reduction using PCA is not effective on time series signal in our study. Besides, the process had carried out the clustering using non-parametric density estimation, and the supervised machine learning, classification, the algorithm had been implemented by doing 10-fold cross-validation and using SVM classifier for all four subjects. It has been shown the SVM radial with the grid is the most efficient classifier with the highest accuracy for all the subjects over 99%. The result obtained is promising to predict activities from breathing. However, further work is required, especially for feature selection in order to get better classification results for a larger dataset.

### ACKNOWLEDGEMENTS

The first author would like to gratefully acknowledge the Indonesian Endowment Fund for Education (LPDP) and The Directorate General of Higher Education (DIKTI) for providing BUDI-LN scholarship.

In this research, the super-computing resource was provided by Human Genome Center, the Institute of Medical Science, the University of Tokyo. Additional computation time was provided by the super computer system in Research Organization of Information and Systems (ROIS), National Institute of Genetics (NIG). This work was supported by JSPS KAKENHI Grant Number JP18K11525.

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