# Effects of HIP and Post Heat Treatment on the **Microstructures and Properties of Laser Direct Deposited** 12CrNi2 Steels

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Abstract. The process optimization of Hot Isostatic Pressing (HIP) and post-heat treatment together with relative microstructure evolution of Laser Direct Deposited (LDD) 12CrNi2 steels for the crankshaft of emergency diesel generator using in nuclear power plants were studied. Optical Microscopy (OM), X-Ray Diffraction (XRD), Scanning Electron Microscopy (SEM), Transmission Electron Microscope (TEM) and electron microprobe together with the microhardness tester and multipurpose material experiment machine were used to analyze and evaluate the microstructures and the mechanical properties of the LDD 12CrNi2 specimens under different post-heat treatment parameters. The stable microstructure in the centre of the as-deposited LDD 12CrNi2 steel specimens is mainly composed of ferrite and granular bainite. After HIPped at 820 °C /2h/120MPa and solid solution treated at 860 °C /10min with oil quenching and tempering at 300 °C /10min with AC, the microstructure obtained was mainly tempered martensite. The average microhardness increased from  $216.2 HV_{0.2}$  for the as-deposited to 329.9 HV<sub>0.2</sub> for the specimens with post heat-treatment. Though HIP and HT afterwards, the yield strength and ultimate tensile strength of the 12CrNi2 steel tensile sample reached 928.7 MPa and 1111.4 MPa respectively, and the elongation is up to 7.3%.

## 1. Introduction

Nuclear energy as a new generation of clean energy has attracted much attention in recent years. Additional emergency power supply systems are needed to ensure the normal operation of the safety automation systems for modern nuclear power plants [1]. High requirements for the power, start and stop, speed regulation, reliability and service life of the emergency diesel generators have to be met for nuclear power plants. The reliability of crankshaft and other parts in the generator must be guaranteed first. The crankshaft of diesel generator required high hardness and wear resistance with good contact fatigue strength for the surface [2]. The materials used for the crankshaft normally are medium carbon alloy steel, low alloy steel etc.. The traditional crankshaft manufacturing method has many difficulties, such as limitation in forming large and complex components, requirement for high precision die, long manufacturing time and the large amount follow-up machining with the low ratio of the materials utilization. Laser Direct Deposition (LDD) adopts a new "addition" process with

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discrete layering and layer by layer addition, which can avoid the waste of materials in the "material reduction" process, and do not need a die, so it can realize the rapid and full dense forming of high performance complex components [3].

The composition, phases and the phase transition of alloy steels are complex, and the temperature changing cycle in the process of laser direct deposition was restricted. It is necessary to study the post-heat treatment for the LDD alloy steels to further regulate stress and microstructure to obtain high-performance components. The study on the hot isostatic pressing (HIP) and post heat treatment of LDD titanium alloys and superalloys showed that HIP with the heat treatment afterwards process was superior to the direct heat treatment process by obtaining the same microstructure [4-5].G.A. Ravi et.al. HIPped the LDD SC420 stainless steel also increased elongation from 2-3% for the as-deposited condition to 9% for the HIPped specimen [6]. Xx He et. al. studied the effects of HIP and HT post-process of IN718 superalloys in which the UTS increased 60-210MPa compared with direct heat treatment without HIP, and the elongation increased 60% [7]. The specific influencing factors for the improvement in elongation are not completely clear. In this paper, the effects of HIP and related heat treatments afterwards on the microstructure and properties of LDD 12CrNi2 alloy steels for emergency diesel generator crankshaft were studied.

#### 2. Experimental procedure

Gas-atomized 12CrNi2 alloy steel powder was used in this study with the particles size varied from 50-150µm. The composition of the as-received 12CrNi2 powder was shown in Table 1. Laserline LDF3000-60 system with Ar gas protecting box was used to fabricate the specimens (100mm×30mm×20mm). The as-deposited 12CrNi2 samples were treated using hot isostatic press (ABB company QIH-15 HIP tester in Southwest Jiaotong Univ.) under argon gas at 820-880°C and a pressure of 120MPa for 2-4h with cooling rate 15°C /min. The Heat Treatment(HT) afterward was 860°C solution treatment for 10min and Oil Quenching(OQ) +300°C tempering for 10min and Air Cooling(AC) (sample size 90mm×9mm×1mm). The samples for microstructure observation were etched using 4% Nital solution. A Shimadzu-SSX-550 SEM was used to examine the microstructure evolution of as-deposited and of HIPped and with HT afterwards specimens and the fracture surface of the tensile test samples. TEM samples were examined a TECNAI G220 transmission electron microscope. SAD pattern was recorded to identify the phases present in the microstructure. XRD study was also performed for all the conditions using an X 'Pert Pro MPD-PW3040/60 X diffractometer with Cu Karadiation at 40kV and 40mA. Microhardness was tested on a Wilson Wolpert 401MVD tester with the load of 200g and loading time 10s. Tensile tests were performed on sample prepared as the standard (gauge length of 15±1mm, total length of 90mm and thickness of  $1.0\pm0.1$ mm). The tests were carried out in a Shimadzu AG-X100KN at a strain rate  $6.7\times10-4$ /s.

### 3. Results and discussion

#### 3.1. X-ray diffraction analysis and microstructure

Figure 1 shows the results of XRD analysis of the as-deposited, HIPped and HIPped with post HT samples. The alpha phase was identified without orientation. Figure 2 shows the optical and SEM micrographs of the as-deposited and of HIPped and HIPped with post HT samples of 12CrNi2. According to the XRD phase analysis above and microstructure observation below, it can be seen that the optical morphology of the as-deposited sample contained mainly ferrite (white) and granular bainite (see Figure 2a) [8-9]. The average size of the ferrite in the microstructure of as-deposited sample was about  $3\mu$ m- $5\mu$ m. Carbon concentrated was observed alone grain boundary of a few ferrite (see Figure 2g). In some as-deposited samples a few pores with size of  $300\mu$ m- $400\mu$ m was found which lead to lower relative density of 98.3% compared with the wrought with density of 7.85 g/cm3. HIPping was performed at 860 °C which higher than the measured AC3 of LDD 12CrNi2 and

the cooling rate during the HIPping cycle was slow(15  $^{\circ}$ C /min) which led to microstructure coarsening after HIPping (see Figure 2b). The microstructure of the HIPped samples composed of ferrite (around 80%) and pearlite. The average size ferrite increased up to about 20µm. The pearlite composed of lamellar structure of ferrite and cementite with the spacing 0.2117µm.Pores were not observed in the HIPped sample with their relative density increased up to 99.3% which led to better mechanical properties. SEM micrographs Figure 1g showed tempered martensite in the microstructure of HIPped+HT samples.

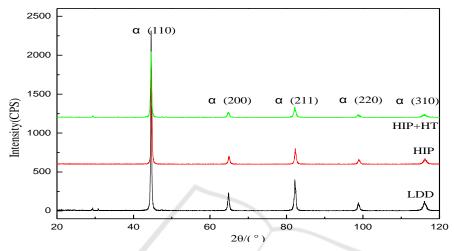


Figure 1. X-ray diffraction analysis of 12CrNi2 alloy steel under different conditions (a)LDD as-deposited,(b) HIPped (c) HIPped+post HT.

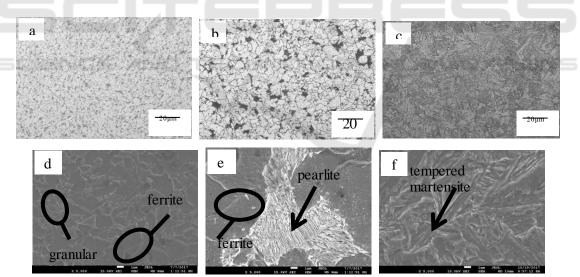
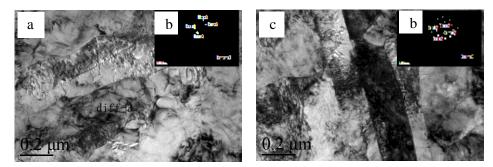


Figure 2. Optical and SEM micrographs of the LDD 12CrNi2 steels in different conditions (a)(d) as-deposited; (b) (e) HIPped; (c) (f) HIPped +HT.

Figure 3 shows the TEM morphology and diffraction pattern in the surface layer of as-deposited LDD 12CrNi2. The diffraction pattern for the stripe microstructure in Figure 3a is the body-centered tetragonal structure along the crystal zone axis [3-3-1] of which identified as martensite phase (Figure 3a). The diffraction pattern analysis of the black strip in Figure 3c, It is the body centered cubic structure along the crystal zone axis [00-2] and determined to be the ferrite [10]. Therefore, there is a few layers of martensite structure in the upper surface part of the as-deposited sample,

because the top layer cooling rate is higher which the heat dissipated through the air while for the stable microstructure in the middle part of the as-deposited LDD 12CrNi2 contained ferrite and granular bainite with lower cooling rate during the laser scanning thermal cycle. In the process of LDD the thermal cycle when laser scanning each layer for the middle part layers resulted in the heat accumulate on the existed previous deposited layer which led to lower cooling rate in the middle than that of the surface layers thus stable proeutectoid ferrite and granular bainite structure was formed.



**Figure 3.** (a) (c) dark field TEM micrographs and (b)(d) select area diffraction (SAD) patterns of martensite in the top and ferrite in the centre parts of LDD 12CrNi2 alloy steel respectively.

Figure 4 shows the TEM dark field micrographs and diffraction pattern of the microstructure in the middle of LDD 12CrNi2 sample after HIPping under the conditions of HIPping pressure 120MPa, HIPping temperature of 880°C holding time 4 hrs, cooling rate 15°C/min. Figure 4b is the standard diffraction pattern of crystal zone axis [00-2] of body-centered cubic structure, which is determined as ferrite phase (see the arrow on Figure 4a grayish-white flake), the diffraction pattern of the black flake shown in Figure 4c was identified as crystal zone axis [0-22] of the complex orthogonal lattice structure of cementite phase. Therefore, pearlite composed of ferrite and cementite lamellar are shown in Figure 4a circle area, which is consistent with the previous SEM analysis.



**Figure 4.** (a) The dark field TEM micrographs and (b)(c) select area diffraction (SAD) patterns of ferrite and cementite lamellar in the centre part of LDD 2CrNi2 sample after HIPping, respectively.

## 3.2. Mechanical properties of 12CrNi2 samples under different condition

Figure 5 shows the microhardness distribution of the as-deposited LDD 12CrNi2, HIPped and HIPped+HT samples respectively. The average microhardness of the as-deposited LDD 12CrNi2 samples is 216.2 HV. After hot isotactic pressing, pearlite appeared in the microstructure, the microhardness decreased to 132.6HV. With the microstructure was transformed into tempered martensite when quenching and tempering post heat treatment was applied after HIPping, the average microhardness of HIPped+HT samples reached up to 329.9 HV which was higher than that of the as-deposited sample with ferrite and granular bainite.

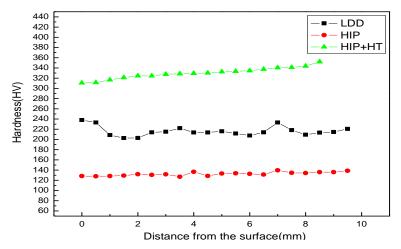


Figure 5. Microhardness distribution of 12CrNi2 alloy steel under different conditions (a)LDD as-deposited (b) HIPped (c) HIPped+HT.

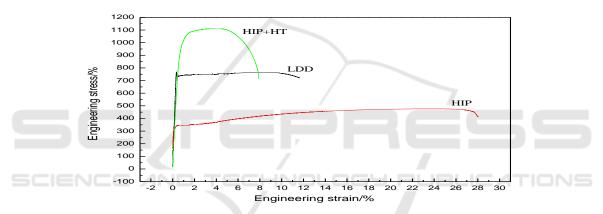


Figure 6. Tensile test curve of 12CrNi2 alloy steel under different conditions (a) LDD as-deposited (b) HIPped (c) HIPped+HT.

The mechanical properties for the 12CrNi2 under different conditions were compared in the Figure 6. It can be seen that the UTS and yield stress of the as-deposited condition decreased from 767.0 and 736.6MPa to 476.2 and 334.4MPa respectively while the elongation increased from 15% up to 26.5%. The microstructure of as-deposited sample composed of proeutectoid ferrite and granular bainite as discussed above. Therefore, decomposition products of austenite (M-A islands for example) were distributed on the matrix of the ferrite, which played the role of strengthening the granular bainite that improve the strength and toughness of the tensile test samples. Compared with the ferrite in the microstructure of HIPped samples, proeutectoid ferrite in the as-depostied samples formed under higher cooling rate with the smaller size offered higher strength and microhardness. At the same time, the microstructure of HIPped sample contained a small amount of pearlite, its performance is related to the lamellar spacing. For the sample HIPped and HT afterwards, because tempered martensite is the supersaturated solid solution of C in  $\alpha$  –Fe, it possessed high strength and microhardness due to the solution strengthening and transformation strengthening in the process of martensite transformation. Thus better comprehensive mechanical properties were obtained under the HIPped+post HT condition which possessed 1111.4MPa for UTS and 928.7MPa for the yield stress respectively with a moderate elongation of 7.3% that could with the requirement of the 12CrNi2

alloy steels for emergency diesel generator crankshaft usage. The wear resistance and contact fatigue strength for the surface of the crankshaft need further treatment by laser quenching or carburizing etc. process.

#### 4. Conclusions

LDD was successfully used in the forming of 12CrNi2 alloy steel samples. The effects of HIPping and post-heat treatment on the microstructure Laser Direct Deposited (LDD) 12CrNi2 steels were studied. The microstructure for the as-deposited sample was mainly composed of ferrite and granular bainite while tempered matensite for the HIPping +HT. After HIPping, the porosity and the residual stresses decreased in the sample, the relative density increased 1%. The optimal parameters for HIPping and HT afterwards were obtained. Though HIPping and post HT better comprehensive mechanical properties with the UTS and yield stress up to 1111.4MPa and 928.7MPa respectively were achieved. The UTS and microhardness increased 45% and 53% respectivelycompared with that of the as-depostied samples. The elongation reached up to 7.5% that could basically satisfied with the requirement of the 12CrNi2 alloy steels for crankshaft usage.

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