# **Should We Beware the Inheritance?** An Empirical Study on the Evolution of Seven Open Source Systems

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Abstract: One of the key mechanisms of object-oriented programming is inheritance. Some empirical studies show that classes inheriting behavior from more classes (*i.e.*, ancestors) are more defect prone than the other classes. Since various collaborations are found among the instances of classes, classes are not isolated within the source code of object-oriented systems. In this paper, we investigate if classes using classes inheriting behaviour from other classes are more change and defect prone than the other classes. We analyze at least three releases of every system belonging to a suite of seven open source systems and investigate the relations between classes that use/do not use class hierarchies and study the change and defect proneness of those classes. The results frequently show that the clients of classes which inherit behaviour are more change and defect prone. These findings show a new type of correlation between the clients of class hierarchies and changes/defects, bringing evidence related to an increased likelihood of exhibiting changes and defects for the clients of class hierarchies. We believe that the inferred correlations are due to an improper usage of class hierarchies but further studies are needed for confirmation.

### **1 INTRODUCTION**

One of the key mechanisms of object-oriented programming is inheritance. Inheritance is a way to reuse code of existing objects, establish a subtype from an existing object, or both. It brings flexibility within the source code of an object-oriented system but it can also hamper its understandability and maintenance when improperly used.

Different approaches that analyze classes coupled by inheritance relations (i.e., class hierarchies) have been developed in order to support their evolution. Some of them analyze the existing class hierarchies in isolation (Girba et al., 2005) (Lanza and Marinescu, 2006) while others analyze the class hierarchies in term of theirs clients (Mihancea, 2006) (Mihancea, 2008). Several empirical case studies were conducted in order to find out if the number of the ancestors used by (sub)classes is significant in order to predict the defect proneness of classes (Basili et al., 1996) (Gyimothy et al., 2005) (Singh et al., 2010). All of the mentioned empirical studies analyze classes that are coupled by inheritance relations in isolation. According to the definition given by Booch in (Booch et al., 2007) object-oriented programming "is a method of implementation in which programs are organized as cooperative collections of objects, each of which represents an instance of some class, and whose classes are all members of a hierarchy of classes united via inheritance relationships". Classes do not stand in isolation within the source code of a software system and Martin points out that a model (*i.e.*, class hierarchy) cannot be meaningfully validated in isolation and that it can only be validated in terms of its clients (Martin, 1996).

In this paper we perform an empirical study that explores the relations between the clients of classes extending other classes and the change and defect proneness of those clients. Concretely, we investigate if the clients of classes inheriting behavior have a higher likelihood to exhibit changes and defects than the clients of classes that do not inherit behavior from other classes.

The paper is structured as follows: in Section 2 we explain how we extracted the data involved in this study. In the first part of the next section (Section 3) we present the context of the study as well as the addressed research questions. We continue with a brief description of the employed statistical tests followed by the presentation of the performed steps within our

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study. We end the section by pointing out the results of the study. In Section 4 we relate our empirical study to existing works. The threats to validity are presented in Section 5. In the last section (Section 6) we summarize the results and hint towards future work.

### 2 DATA COLLECTION

In this work we inspect the correlations (if any) between the clients of classes extending other classes and their change and defect proneness on seven evolving open source systems developed using Java. Some characteristics of the inspected systems are presented in Table 1. We analyze releases of the mentioned systems and the time distance between two releases is approximately one year – when a release was deployed earlier or later than one year we consider the nearest release to the specified period.

Extracting the required data involves three steps, and we dedicate the next three sections to each of these steps.

### 2.1 Extracting Entities from the Source Code

In order to extract the existing classes from the source code as well as the values of the used metrics we use IPLASMA(Marinescu et al., 2005).<sup>1</sup> IPLASMA is an integrated environment for quality analysis of object-oriented software systems that includes support for different phases of analysis - from model extraction (including scalable parsing for C++ and Java) up to high-level metrics-based analysis or detection of code duplication. This environment relies on the MEMORIA (Rațiu, 2004) meta-model which specifies the main entities which are extracted from the source code. We create within this environment a new analysis which provides for each class having the same name as the file it belongs to the values of the used metrics. These values are stored within a CSV (comma-separated values) file which is further processed by the R environment<sup>2</sup> (R Development Core Team, 2010). The values of the metrics strongly depend on the quality of the entities that are extracted from the source code. According to (Marinescu and Marinescu, 2011) the existing entities (i.e., classes, methods, calls and accesses) are captured from the source code with a high precision and recall.



Figure 1: DIT and USED\_DIT\_MAX: an Example.

For each extracted class from the source code we computed two metrics, DIT – Depth of Inheritance Tree introduced in (Chidamber and Kemerer, 1994) as the maximum length from the measured class to the root of the tree and USED\_DIT\_MAX – a metric for quantifying how clients use services from classes involved in inheritance relations. We consider a class being a client of another class if the client calls at least one method from the other class. The value of the USED\_DIT\_MAX metric for each class is:

- 0 if the measured class (C) do not call external methods (services) or do not use derived classes.
- MAXIMUM (*DIT\_C*<sub>1</sub>,*DIT\_C*<sub>2</sub>,...,*DIT\_C*<sub>n</sub>) where *DIT\_C*<sub>1</sub>,*DIT\_C*<sub>2</sub>,...,*DIT\_C*<sub>n</sub> denote the values of the DIT metric for each class *C*<sub>i</sub> providing at least one service to class C.

In Figure 1 we present an example that shows the values of the two computed metrics, DIT and USED\_DIT\_MAX. For the hierarchy of classes located left the values of DIT are 0 (*C1* is the root of the hierarchy), 1 (for *C2*) and 2 (for *C3*), while for the hierarchy of classes located right the values of DIT are 0 (for *D1*) and 1 (for *D2* and *D3*). The values of USED\_DIT\_MAX for all the classes belonging to the existing hierarchies are 0 because the classes do not use external services. For the *Client* class, the value of DIT is 0 and the value of USED\_DIT\_MAX is 2.

#### 2.2 Extracting Changes and Defects

For each class we extracted the corresponding changes and defects between release *i* and release i + 1 using IPROBLEMS (Codoban et al., 2011). IPROB-LEMS takes for each inspected release *i* as inputs the xml dumps of the repository extracted from SVN or CVS and the bug tracking system between release *i* and release i + 1. For each class only the changes that were performed at least between 200 seconds are measured, as it is presented in (Zimmermann et al., 2004). In order to tie defects to source code entities we follow the approach presented in (Oram and Wilson(editors), 2010), Chapter 27, Mining Your Own Evidence. This approach is probably the most used when extracting defects and many empirical studies

<sup>&</sup>lt;sup>1</sup>http://loose.upt.ro/iplasma.

<sup>&</sup>lt;sup>2</sup>http://www.r-project.org.

System	Referred Version	Start Date	End Date	Version Archive	Bug Tracking System	LOC	Types	USED_DIT_MAX
ArgoUML	1	30/11/2003	30/11/2004	SVN	Issuezilla	83,487	1180	8
	2	01/12/2004	09/02/2006			107,125	1237	9
	3	10/02/2006	13/02/2007			155,223	1476	11
	4	14/02/2007	27/09/2008			144,075	1550	11
	5	28/09/2008	16/08/2009			170,777	1780	11
DrJava	1	11/05/2006	24/05/2007	SVN	SourceForge	64,684	519	7
	2	25/05/2007	20/08/2008			66,412	225	5
	3	21/08/2008	21/08/2009			75,188	249	6
	4	22/08/2009	13/09/2010			81,263	256	6
FindBugs	1	31/05/2006	31/05/2007	SVN	SourceForge	52,206	635	6
	2	06/01/2007	06/05/2008			73,484	791	6
	3	05/07/2008	05/08/2009			84,638	931	6
	4	08/06/2009	30/11/2010			98,082	1022	6
FOP	1	26/03/2008	31/07/2008	SVN	Bugzilla	89,398	933	6
	2	01/08/2008	02/08/2009		/	97,397	1089	6
	3	03/08/2009	25/12/2010		/	120,255	1457	7
FreeCol	1	23/06/2005	23/07/2006	SVN	SourceForge	30,901	184	6
	2	24/07/2006	13/07/2007		-	42,556	234	6
	3	14/07/2007	11/07/2008			58,572	334	6
	4	12/07/2008	01/08/2009	- 1. A	_	66,695	399	6
	5	02/08/2009	08/08/2010			74,815	432	6
JFreeChart	1	28/11/2003	30/11/2004	CVS	SourceForge	71,827	679	6
	2	01/12/2004	19/06/2007	. í		75,660	664	66
	3	20/06/2007	09/06/2008	SVN	JLOGY	70,803	516	
	4	10/06/2008	20/04/2009	7		77,445	546	6
JMeter	1	13/08/2005	13/06/2006	SVN	Bugzilla	63,254	806	7
	2	14/06/2006	11/07/2007		e	74,986	959	7
	3	12/07/2007	10/06/2008			66,765	771	7
	4	11/06/2008	17/06/2009			72,369	808	7
	5	18/06/2009	08/07/2010			74.636	837	7

Table 1: Some Characteristics of the Analyzed Systems.

like the ones from (Zimmermann et al., 2007) (Gyimothy et al., 2005) (Khomh et al., ) relies on it. It is based on the following software developers' good practice that formalizes the way they handle and fix bug reports: (i) all defects are reported through a bug tracking system (*e.g.*, Bugzilla, Issuezilla, bug databases provided by SourceForge filled from the bug tracking system), and (ii) upon committing bug fixing code the developers enter the bug tracking system defect's id (bugID) in the revision's commit message, thus linking a repository transaction to a particular defect.

*Outliers.* We perform a manual inspection of the extracted changes and defects and find out that DrJava exhibits three releases where only one class was not changed (DrJava 2-4) and all the considered releases extracted from the version control system of JMeter exhibit a small number of classes affected by defects (*e.g.*, 7 classes in JMeter1, 5 classes in JMeter4). Consequently we consider DrJava 2-4 as outliers when considering changes and JMeter 1-5 outliers when consider defects and this is the reason we exclude these releases when analyzing changes, respectively defects.

# 3 CONDUCTING THE EMPIRICAL STUDY

The research questions of this study are:

- (*RQ1.*) Are the classes with high USED\_DIT\_MAX values more likely to change than the classes with low USED\_DIT\_MAX values?
- (*RQ2.*) Are the classes with high USED\_DIT\_MAX values more defect prone than the classes with low USED\_DIT\_MAX values?

**RQ1.** In order to answer our first research questions we employ the Chi-Square Test (Sheskin, 2007). This test evaluates if within the underlying population represented by the sample in a contingency table (rxc), the observed cell frequencies are different from the expected frequencies. The evaluated hypothesis is that the two involved dimensions of the contingency table are independent of one another.

In our study the structure of the contingency table corresponding to the Chi-Square Test consists of two dimensions: USED\_DIT\_MAX and Changes. The USED\_DIT\_MAX dimension is the row dimension (*i.e.*, independent) and the *Changes* is the column dimension (*i.e.*, dependent). The hypothesis that is evaluated is related to the independence of the two dimensions from the contingency table.

The row dimension consists of seven categories, each category revealing a USED\_DIT\_MAX value belonging to the following set  $\{0, 1, 2, 3, 4, >4\}$ . We make a distinction among classes that do not use external providers (NO) and classes that use external providers which do not extend other classes (both of these categories exhibit USED\_DIT\_MAX = 0). We establish for the last category a USED\_DIT\_MAX value greater than four based on our observation showing that the higher than four USED\_DIT\_MAX is, the lower the number of classes belonging to this category and, consequently, the higher chances of violating the assumption of the Chi-Square Test, in respect to the expected frequency.

The two categories which compose the *Changes* dimension are: *Reveal changes* – the class reveal at least a change and *Do not reveal changes* – the class does not reveal a change.

We create an instance of the described 7x2 contingency table for each analyzed version of the inspected systems. For example, Table 2 shows that ArgoUML1 has 103 classes that use classes which are not involved in inheritance relations and reveal changes (the upper left value associated to the Cell  $O_{21}$ ), 73 classes that use classes which are not involved in inheritance relations and do not reveal changes (the upper right value associated to the Cell  $O_{22}$ ), 136 classes that use classes having at least a corresponding value of the DIT metric equal to 5 (the bottom left value associated to the Cell  $O_{71}$ ) and show changes. The mentioned table also shows the Column Sums (e.g., 870  $(O_{1})$  classes revealing changes) and the Row Sums  $(e.g., 176 (O_2))$  classes that use classes that do not inherit behavior).

Table 2: ArgoUML1: Contingency Table for Changes.

		No	
USED_DIT_MAX	Changes	Changes	Sums
NO	142	93	235
0	103	73	176
1	224	60	284
2	140	11	151
3	55	3	58
4	70	6	76
>4	136	22	158
Sums	870	268	1138

The hypothesis that is evaluated with the Chi-Square Test is related to the independence of the two dimensions from the contingency table.

Null Hypothesis:  $H_0: o_{ij} = \varepsilon_{ij}$ , where  $o_{ij}$  represents the observed frequency of  $Cell_{ij}$ ,  $\varepsilon_{ij}$  repre-

Table 3: ArgoUML1: Expected Values for Changes.

USED_DIT_MAX	Changes	No Changes
NO	179.65	55.34
0	134.55	41.44
1	217.11	66.88
2	115.43	35.56
3	44.34	13.63
4	58.10	17.89
>4	120.79	37.20

sents the expected frequency of  $Cell_{ij}$  in the underlying population of classes. Considering the sample, it means that the observed frequency is equal to the expected frequency for each cell. For the contingency table revealing changes for ArgoUML1 (Table 2) the expected values are presented in Table 3. Each expected value from Table 3 was computed according to the formula  $E_{ij} = \frac{(O_i)(O_j)}{n}$ , where  $(O_i)$  represents the sum of observations in the row where the cell appears, while  $(O_{.j})$  represents the sum of observations in the consideration that the two dimensions of the contingency table are independent.

Alternative Hypothesis:  $H_1 : o_{ij} \neq \varepsilon_{ij}$ . This formula states that in the underlying population of classes the sample represents, the observed frequency for at least one cell is different than the expected frequency. With respect to the sample it means that the observed frequency is not equal to the expected frequency for at least one cell.

For the presented contingency structure from Table 2 we compute the values of the Chi-Square test  $\chi^2$  using R (R Development Core Team, 2010). For the first investigated system the obtained p-value is less than a 0.05 level of significance ( $\alpha$ =0.05) and we consider we have enough evidence to reject the null Hypothesis. Consequently, the two dimensions of the contingency table are not independent.

Next, based on the values of the observed and expected frequencies, we establish the way (positive or negative) in which the involved dimensions are correlated. A trait from the row dimension is positively correlated with a trait from the column dimension if the observed frequency is greater than the expected frequency. Based on this, it is straight forward to infer that ArgoUML1 reveals a negative correlation between classes belonging to the first two categories (do not use external providers, do not use classes inheriting behavior) and changes (142 < 179.65, 103 < 314.20) and a positive correlation between classes belonging to the rest of the existing categories and changes (224 > 217.11, 140 > 115.43, 55 > 44.34, 70 > 58.10, 136 > 120.79).

In a similar manner we employ the Chi-Square Test for all the inspected systems. We summarize the obtained results in Table 4, where + denotes a positive correlation between USED\_DIT\_MAX and changes, – denotes a negative correlation and a space denotes no existing correlations (p-value greater than 0.05). From Table 4 we can see that

Table 4: Correlations between Changes and USED\_DIT\_MAX.



mensions

- There is no system among which the employed statistical test reveals no correlation between the two involved dimensions.
- Out of 27 analyzed versions of the systems, 22 tests reveal some correlations between the two dimensions of the contingency tables while 5 tests reveal no existing correlations.
- With minor exceptions revealed by JFreeChart and JMeter, all the correlations between classes having USED\_DIT\_MAX = 0 and changes are negative. This finding means that classes using classes that do not inherit behavior from other classes are less likely to be changed. The same is for classes which do not make use of external providers.
- Most of the correlations between clients of classes that inherit behavior and changes are positive (*e.g.*, 19 for USED\_DIT\_MAX = 1, 16 for USED\_DIT\_MAX = 2, 19 for USED\_DIT\_MAX = 3, 17 for USED\_DIT\_MAX = 4 and 20 for

USED\_DIT\_MAX > 4), meaning that these types of classes are more likely to exhibit changes.

+

Table 5: Correlations between Defects and USED\_DIT\_MAX.

NO

3

Δ

ArgoUMI



• Like in the previous inspected case, there is no system among which the employed statistical test

reveal no correlation between the two involved di-

• Out of 25 analyzed versions of the systems, 20

tests reveal some correlations between the two di-

mensions of the contingency tables while 5 tests

• All the correlations between classes having

USED\_DIT\_MAX = 0 and defects are negative,

meaning that classes that do not use external

providers or classes that use classes that do not

reveal no existing correlations.

In order to bring a stronger evidence related to the correlation between classes with high USED\_DIT\_MAX and their change and defect proneness we calculate the Odds Ratio for the clients having USED\_DIT\_MAX > 0, with respect to the clients having USED\_DIT\_MAX = 0. The Odds Ratio is the ratio of the odds of an event to occur in one group to the odds of the event to occur in another group. Odds indicate how much likely is for an event to occur as opposed to not occur. If we encounter a value greater than 1 for the Odds Ratio, it means that the probability that an event to occur into the first group is higher than to occur into the second group.

The computations of the Odds Ratio firstly require the computation of the Odds. For example, for the contingency matrix presented in Table 2 the odds that a class reveal changes in the second condition  $(USED_DIT_MAX = 0)$  is computing by dividing 103 to 73 and the odds that a class reveal changes in the third condition (USED\_DIT\_MAX = 1) is computing by dividing 224 to 60. The Odds Ratio is computed by dividing two values of the Odds computed for a contingency table. The Odds Ratio for classes belonging to the third condition with respect to the classes belonging to the second condition is ((224/60)/(103/73))= 3.73, meaning that for the inspected system the chances for a change to occur in the group of classes with USED\_DIT\_MAX = 1 are 3.73 times higher than the chances for change to occur in the group of classes with USED\_DIT\_MAX = 0. We computed the Odds Ratio for each inspected system and, overall, the median value of the chances of changes to occur in the group of classes with USED\_DIT\_MAX > 1 is 3.15times higher with respect to the group of classes with  $USED_DIT_MAX = 0$ . The median of the Odds Ratio computed for defects is 3.2, for the same two types of classes. Consequently, the chances for a class having USED\_DIT\_MAX > 1 to exhibit changes and defects are significant higher than the chances for a class having USED\_DIT\_MAX = 0.

*Remarks.* Our findings shows that if a class makes use of a class that inherit behavior from other class/classes, then it is more likely to exhibit changes and defects. We consider it is important to mention that the provided results do not allow us to draw the conclusion that inheritance is the cause of encountering more changes and defects among their clients; we only provided evidence about an existing positive correlation.

We consider our findings may be correlated with an improper usage of inheritance in the source code. For example, the clients of classes violating the Liskov Substitution Principle (Martin, 1996) may reveal increased likelihood for exhibiting defects than the clients of class hierarchies that do not violate the mentioned principle and the same can happen for classes that reveal a missing polymorphism design flaw. The commit messages associated to various investigating clients (*e.g.*, the commits messages for *FigModeModelElement* class contain: harmonize updateStereoText methods, addFig() so that it calls its superclass and GEF knows that something has taken place, make bigPort a Fig instead of FigRect so that FigInitialState can use this as a FigCircle) as well as the fact that we find various clients depending on concrete classes support this idea but further empirical studies are needed to be conducted in order to investigate the cause/causes for our findings.

### **4 RELATED WORK**

To the best of our knowledge an empirical study which investigates if the clients of classes inheriting behavior from other classes have an increased likelihood to exhibit changes and defects than the clients of classes which do not inherit behavior has not been conducted. Since there are several works which address different problems related to change and defect proneness of classes in this section we present the ones we consider closest to our study.

In (Zimmermann et al., 2007) are presented a set of experiments revealing that complexity metrics, in combination, can predict defects, suggesting that the more complex source code is, the more defects it has. The well-known suite of metrics introduced in (Chidamber and Kemerer, 1994) was validated as predictors in various empirical studies like the ones from (Basili et al., 1996) (Gyimothy et al., 2005) (Singh et al., 2010) and most of them reveal that it is possible to predict the defect proneness of the classes belonging to the investigated system based on structural metrics.

Recently many empirical studies whose goal is to reveal the correlations between classes affected by design flaws and the exhibited changes and defects have been conducted. Probably the most used automatic approach for finding entities affected by various design flaws is the metrics-based technique. Currently there are many design flaws that can be detected automatically (like the ones proposed in (Lanza and Marinescu, 2006) (Khomh et al., )) and different tools accompany the extraction of design flaws ((Marinescu et al., 2005) (Moha et al., 2010)). In (Li and Shatnawi, 2007) entities revealing Shotgun Surgery, God Class and God Methods design flaws were positively associated with the number of exhibited defects. Similar investigations are presented in (Khomh et al., ) (Marinescu and Marinescu, 2011), the last work shifting the focus from the classes affected by design flaws to the clients of classes affected by design flaws. The study provides evidence about a positive correlation between the clients of flawed classes and the defects the clients exhibit. Taken in isolation, classes exhibiting the identity disharmonies design flaws (*e.g.*, Data Class, God Class, Brain Class, Feature Envy) do not have an increased likelihood to exhibit defects than classes which do not reveal design flaws but when those classes are used by their clients the likelihood for the clients to exhibit defects greatly increases.

### **5 THREATS TO VALIDITY**

In this section we present the threats to validity associated to our empirical study, following the guidelines from (Yin, 2002).

*Construct Validity.* This type of threats are connected to the extent which the operational measures for the concepts being studied were established correctly. Within the case study presented in this paper they are mainly related to the errors performed during the data extraction. The possible errors are due to the extraction of:

- design entities and metrics values. We used the IPLASMA(Marinescu et al., 2005) integrated environment that has been heavily used and, consequently, well known and accepted tool in the field.
- changes and defects from version control repositories and bug tracking system. We used probably the most wide-spread approach for extracting defects (Zimmermann et al., 2007) (Khomh et al., ) used for investigating defect proneness of classes in numerous empirical studies and all the encountered threats to the construct validity that can be found in existing studies are also found in our study.

We use only non parametric statistical tests and all the assumptions required by the used tests were satisfied.

*Internal Validity.* This aspect of validity is related to the causal relations that are inferred. Since our study is an exploratory one, this aspect is not relevant.

*External Validity.* This threat concerns the possibility to generalise the provided results. We do not suggest generalizing our research results to other systems unless further case studies are performed, accompanied by inspecting systems implemented in other languages (C++, C#) or systems that do not belong to the open source repositories.

*Reliability Validity.* This aspect concerns the fact that a later investigator that conducts the same case

study like the one presented here should obtain the same results and reach the same conclusions. We consider we provided enough information about the conducted study in order for it to be replicated. The source code of the inspected systems is available and the xml files needed for extracting changes and defects are also available.

### 6 CONCLUSIONS. FUTURE WORK

In this paper we present an empirical study performed upon a suite of seven open source Java systems that provides evidence about a positive correlation between the clients of classes inheriting behavior from other classes are the change and defect proneness of those classes. We do not want to suggest that using a class inheriting behavior from one or more ancestors is the cause of an increased likelihood to exhibit changes and defects. However, we do provide evidence that the usage of classes that extend other class/classes is most of the times statistically correlated with changes and defects.

We consider our findings may be correlated with an improper usage of inheritance in the source code. Consequently, a further step is to answer the following research question: Are classes which improperly use inheritance more change and defect prone than classes which properly use inheritance? In this context an important question is which are the improper usages of inheritance we should consider? Some static analyses related to an improper usage of inheritance in the source code that captures the extent to which the clients of a hierarchy polymorphically manipulate that hierarchy exist (Mihancea, 2008) . We believe the list of relevant analyses we should take into consideration is open for discussions.

We did not take into account polymorphic calls when we established the clients of a class. Using the metric-based approach which captures the extend to which the clients of a hierarchy polymorphically manipulate the hierarchy from (Mihancea, 2008) and using fuzzy rules, we can further refine the rules according to a class is considered to be used by another class.

We intend to provide within the PROMISE data set (Boetticher et al., 2007) a database that contains the extracted changes and defects and replicate this study against other systems in order to see if the results obtained in this study can be generalized.

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