# Investigating the Applicability of Lehman's Laws of Software Evolution using Metrics: An Empirical Study on Open Source Software

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Abstract. This paper aims at investigating empirically the applicability of Lehman's laws of software evolution using software metrics. We used a synthetic metric (*Quality Assurance Indicator* - Qi), which captures in an integrated way different object-oriented software attributes. We wanted to investigate if the Qi metric can be used to support the applicability of Lehman's laws of software evolution. We focused on the laws related with *continuing change, increasing complexity, continuing growth* and *declining quality*. We performed an empirical analysis using historical data on two open source (Java) software systems. The collected data cover a period of more than four years (fifty-two versions) for the first system and more than seven years (thirty-one versions) for the second one. Empirical results provide evidence that the considered Lehman's laws are supported by the collected data and the Qi metric.

## 1 Introduction

Software systems need to continually evolve during their life cycle for various reasons: adding new features to satisfy user requirements, changing business needs, introducing novel technologies, correcting faults, improving quality, etc. [1, 2]. The accumulation of changes, along the evolution of a software system, can lead to a degradation of its quality [3-7]. It is, therefore, important to monitor how software quality evolves so that quality assurance (QA) activities can be properly planned [7]. Software evolution is, in fact, the dynamic behavior of programming systems as they are maintained and enhanced over their lifetimes [8]. Lehman's laws of software evolution [4, 5] state that for keeping software systems long-lived continuous change is required. The laws also suggest that due to changes and growth over time, software systems become more complex and it becomes more and more difficult to extend them by adding new functionalities.

Software metrics can be used to analyze the evolution of software systems [9]. Metrics have, in fact, a number of interesting characteristics for providing evolution support [10]. A large number of metrics have been proposed for measuring various properties of object-oriented (OO) software systems [11]. Empirical evidence exists showing that there exists a relationship between (many of) these metrics and software quality attributes [9, 12-21]. However, with the growing complexity and size of OO software systems, the ability to reason about such a major issue using synthetic me-

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trics would be more appropriate in practice.

We proposed in [22] a new metric, called *Quality Assurance Indicator* (Qi), capturing in an integrated way the interactions between classes and the distribution of the control flow in a software system. The *Quality Assurance Indicator* of a class is based on intrinsic characteristics of the class, as well as on the *Quality Assurance Indicator* of its collaborating classes. It is important to notice, however, that the metric has no ambition to capture the overall quality of OO software systems. Furthermore, the objective is not to evaluate a design by giving absolute values, but more relative values that may be used for identifying critical classes on which more QA effort is needed to ensure software quality. In [22], we performed an empirical analysis using data collected from several open source (Java) software systems. In all, more than 4,000 classes were analyzed (400 000 lines of code). We compared the Qi metric, using the Principal Components Analysis (PCA) method, to various well known OO metrics. The selected metrics were grouped in five categories: coupling, cohesion, inheritance, complexity and size. Empirical results provide evidence that the Qi metric captures, in a large part, the information provided by the studied OO metrics. Moreover, we explored in [12] the relationship between the Qi metric and testability of classes and investigated in [23] the capacity of the Qi metric in predicting the unit testing effort of classes using regression analysis. Results provide evidence that the Qi metric is able to accurately predict the unit testing effort of classes. More recently, we explored in [24] if the Qi metric can be used to observe how quality, measured in terms of defects, evolves in the presence of changes and in [25] if the Qi metric captures the evolution of two important OO metrics (related to coupling and complexity).



In this paper, we wanted to investigate thoroughly if the Qi metric, as a synthetic metric, can be used to support the applicability of Lehman's laws of software evolution [4, 5]. We focused on the Lehman's laws related with *continuing change*, increasing complexity, continuing growth and declining quality. We addressed software evolution from both software internal and external perspectives. We performed an empirical analysis using historical data on two open source (Java) software systems. The collected data cover a period of more than four years (fifty-two versions) for the first system and more than seven years (thirty-one versions) for the second one. Empirical results provide evidence that the considered Lehman's laws are supported by the collected data and the Qi metric.

The rest of this paper is organized as follows: Section 2 gives a survey on related work. The Qi metric is introduced in Section 3. Section 4 presents the empirical study we performed. Finally, Section 5 concludes the paper.

## 2 Related Work

Mens et al. [10] provide an overview of the ways software metrics have been (and can be) used to analyze software evolution. A distinction is made between using software metrics before the evolution has occurred (predictive) and after the evolution has occurred (retrospective). To support retrospective analysis, metrics can be used to understand the quality evolution of a software system by considering its successive releases. In particular, metrics can be used to measure whether the quality of a software has improved or degraded between two releases. Dagpinar et al. [15] investigate

the significance of different OO metrics for the purpose of predicting maintainability of software. Nagappan et *al.* [26] focus on mining metrics to predict component failures. The authors noted that there is not a single set of complexity metrics that could be used as a universally best defect predictor. Ambu et al. [27] address the evolution of quality metrics in an agile/distributed project and investigate how the distribution of the development team has impacted the code quality.

Lee et al. [9] provide an overview of open source software evolution with software metrics. The authors explored the evolution of an open source software system in terms of size, coupling and cohesion, and discussed its quality change based on the Lehman's laws of evolution [4, 5, 28]. Jermakovics et al. [29] propose an approach to visually identify software evolution patterns related to requirements. Mens et al. [30] present a metrics-based study of the evolution of Eclipse. The authors consider seven major releases and investigate whether three of the laws of software evolution (*continuing change, increasing complexity* and *continuing growth*) were supported by the data collected. Xie et al. [1] conduct an empirical analysis on the evolution of seven open source programs and investigate also on Lehman's laws of software evolution.

Murgia et al. [18] address software quality evolution in open source projects using agile practices. The authors used a set of OO metrics to study software evolution and its relationship with bug distribution. According to the achieved results, Murgia et al. concluded also that there is not a single metric that is able to explain the bug distribu-

tion during the evolution of the analyzed systems. Zhang et al. [7] use c-charts and patterns to monitor quality evolution over a long period of time. The number of defects was used as a quality indicator. Eski et al. [16] present an empirical study on the relationship between OO metrics and changes in software. The authors analyze modifications in software across the historical sequence of open source projects and propose a metrics-based approach to predict change-prone classes. Yu et al. [31] study the possibility of using the number of bug reports as a software quality measure. Using statistical methods, the authors analyze the correlation between the number of bug reports and software changes.

## **3** Quality Assurance Indicator

We give, in this section, a summary (for space limitation reasons) of the definition of the *Quality Assurance Indicator* (Qi) metric. For more details see [22, 23]. The Qi metric is based on the concept of *Control Call Graphs* (CCG), which are a reduced form of traditional *Control Flow Graphs* (CFG). A CCG is a CFG from which the nodes representing instructions (or basic blocks of sequential instructions) not containing a call to a method are removed. The Qi metric is normalized and gives values in the interval [0, 1]. A low value of the Qi of a class means that the class is a high-risk class and a high value of the Qi of a class indicates that the class is a low-risk class.

#### 3.1 Quality Assurance Indicator

The Qi of a method M<sub>i</sub> is defined as a kind of estimation of the probability that the

control flow will go through the method without any failure. The Qi of a method  $M_i$  is based on its intrinsic characteristics (*cyclomatic complexity, unit testing coverage*), as well as on the Qi of the methods invoked by the method  $M_i$ . In OO software systems, objects collaborate to achieve their respective responsibilities. A method of poor quality can have (directly or indirectly) a negative impact on the methods that use it. The Qi of a method  $M_i$  is given by:

$$Qi_{M_{i}} = Qi_{M_{i}}^{*} \cdot \sum_{j=1}^{n_{i}} \left[ P(C_{j}^{i}) \cdot \prod_{M \in \sigma_{j}} Qi_{M} \right]$$
(1)

with :

Qi<sub>Mi</sub>: QA indicator of method Mi,

 $Qi_{M_i}^*$ : intrinsic QA indicator of method M<sub>i</sub>,

 $C_i^i$ : j<sup>th</sup> path of method M<sub>i</sub>,

 $P(C_i^i)$ : probability of execution of path  $C_i^i$  of method  $M_i$ ,

 $Qi_M$ : QA indicator of method M included in the path  $C_i^i$ ,

n<sub>i</sub>: number of linear paths of the CCG of method M<sub>i</sub>,

and  $\sigma_i$ : set of the methods invoked in the path  $C_i^i$ .

By applying the previous formula (1) to each method we obtain a system of N (number of methods in the program) equations. The obtained system is not linear and is composed of several multivariate polynomials. We use an iterative method (method of successive approximations) to solve it. The system is, in fact, reduced to a fixed point problem. Furthermore, we define the Qi of a class C (noted  $Qi_C$ ) as the product of the Qi of its methods:

$$Qi_C = \prod_{M \in \delta} Qi_M \tag{2}$$

where  $\delta$  is the set of methods of the class C. The calculation of the Qi metric is entirely automated by a tool (prototype) that we developed for Java software systems.

#### 3.2 Assigning Probabilities

The CCG of a method can be seen as a set of paths that the control flow can pass through (depending on the states of the conditions in the control structures). To capture this probabilistic characteristic of the control flow, we assign a probability to each path C of a control call graph as follows:

$$P(C) = \prod_{A \in \Theta} P(A) \tag{3}$$

where  $\theta$  is the set of directed arcs composing the path C and P(A) the probability of an arc to be crossed when exiting a control structure.

To facilitate our experiments, we assigned probabilities to the different control structures of a (Java) program according to the rules given in Table 1. These values are assigned automatically during the static analysis of the source code of a program when generating the Qi models. These values can be adapted according to the nature of the applications (for example). As an alternative way, the probability values may

also be assigned (adapted) by programmers during the development (in an iterative way, knowing the code) or obtained by dynamic analysis. Dynamic analysis is out of the scope of this paper.

(if also)			
	0.5 for the exiting arc « condition = true »		
(if, else)	0.5 for the exiting arc « condition=false »		
while	0.75 for the exiting arc « condition = true »		
winte	0.25 for the exiting arc « condition = false »		
(do, while)	1 for the arc: (the internal instructions are execut-		
(uo, winte)	ed at least once)		
(switch,case)	1/n for each arc of the n cases.		
(2)	0.5 for the exiting arc « condition = true »		
(?, :)	0.5 for the exiting arc « condition = false »		
for	0.75 for entering the loop	7	
101	0.25 for skipping the loop	/	
(try, catch)	0.75 for the arc of the « try » bloc		
(ify, catch)	0.25 for the arc of the « catch » bloc		
Polymorphism	1/n for each of the eventual n calls.		

Table 1. Assignment rules of the probabilities.

3.3 Intrinsic Quality Assurance Indicator

The Intrinsic Quality Assurance Indicator of a method M<sub>i</sub>, noted Qi\*<sub>Mi</sub>, is given by:

$$Qi_{M_i}^* = (1 - F_i)$$
(4)

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with:  $F_i = \frac{(1-tc_i)cc_i}{cc_{max}}$ 

where:

CC<sub>i</sub> : cyclomatic complexity of method M<sub>i</sub>,

 $cc_{max} = \max_{1 \le i \le N} (cc_i),$ 

tc<sub>i</sub>: unit testing coverage of the method  $M_i$ ,  $tc_i \in [0,1]$ .

Many studies provided empirical evidence that there is a significant relationship between cyclomatic complexity and fault proneness (e.g., [13, 21, 32]). Testing (as one of the most important QA) activities will reduce the risk of a complex program and achieve its quality. Moreover, testing coverage provide objective measures on the effectiveness of a testing process. The testing coverage measures are (currently in our approach) affected by programmers based on the test suites they developed to test the classes of the program. The testing coverage measures can also be obtained automatically (using tools such as Together (www.borland.com) or CodePro (developers.google.com)) by analyzing the code of the test suites (JUnit suites for example) to determine which parts of the classes that are covered by the test suites and those that are not. This issue is out of the scope of this paper and will be considered in our future work.

## 4 Empirical Study

We present, in this section, the empirical study we conducted in order to investigate if the Qi metric can be used to support the Lehman's laws along the evolution of a software system. We focus on the following laws: *continuous change*, *increasing complexity*, *continuing growth* and *declining quality*. We used historical data collected from successive released versions of two open source Java software (Table 2).

Time		Releases	First release computed			Last release computed		
Systems	frame (years)	/Captures	Version	Date	Size (SLOC)	Version	Date	Size (SLOC)
Eclipse PDE.UI	4.25	52 (monthly)		2002- 08-29	9 519	-	2006- 11-28	79 548
Apache Tomcat	7.2	31 (official)	5.5.0	-	126 927	5.5.35	-	170 998

Table 2. Some statistics on the used systems.

## 1.1 The Case Studies

The first system we selected is an Eclipse component (PDE.UI). We used captures taken at regular intervals (monthly, more than four years). The second system we selected is a relatively large system (Tomcat). This system is an open source web server developed by the Apache Software Foundation. We analysed its 5.5 branch, launched in August 2004. The version 5.5.35, the latest to date, was launched on November 2011. For this system, we used the official releases as time captures. The first version of PDE.UI includes 121 classes (more than 9 500 lines of code) and the last one includes 670 classes (more than 79 000 lines of code). The first version of Tomcat includes 837 classes (more than 120 000 lines of code) and the last one includes 1 108 classes (more than 170 000 lines of code).

### 4.1.1 Data Gathering

We collected two types of data from the subject systems for all the steps of our empirical study: source code historical data and Qi data. In addition to these data, we also collected other (specific) data related to each law. These data will be presented in the corresponding sections.

**System History Data:** We used CVS (Concurrent Versions System) to collect historical data about PDE.UI. CVS is a client-server software that allows keeping track of a software system evolution. We connected to the CVS repertory of Eclipse. We based our analysis on the latest available version on the first day of each month. A period of more than four years (fifty two versions) is covered. For Apache Tomcat, we retrieved the official releases on the 5.5.x branch from the official website (archive.apache.org). A period of more than seven years (thirty one versions) is covered for this system.

**Qi Data:** We used the tool we developed to collect the Qi data. We computed the Qi value for each class of each released version of a subject system. We computed the Qi values at the micro level (classes) as well as at the macro level (system). Moreover,

for our experiments, since we did not have any data on the test suites used for testing the subject systems and knowing that the main purpose of this study is to investigate if the Qi metric can be used to support Lehman's laws of evolution, the testing coverage ( $t_{i_2}$ , Section 3.3) is set to 0.75 for all methods.

#### 4.2 Lehman's Laws of Software Evolution

We consider in our study four of the eight Lehman's laws of software evolution: *continuing change, increasing complexity, continuing growth* and *declining quality*. For each of these laws, we investigate its applicability on our test applications. In addition, we describe the procedure we used, the specific data we collected (using different metrics to capture some attributes of the systems analyzed) in addition to the data described previously and the observations on whether the law is confirmed or infirmed. Our objective is to investigate if the Qi metric, as a synthetic metric, can be used to support the applicability of Lehman's laws of software evolution.

#### 4.2.1 Continuing Change

An evolving system undergoes many changes over time. The Lehman's first law (continuing change) states that a software system must continually adapt to its environment, otherwise it becomes progressively less useful. Many studies on software evolution have addressed and validated this law for the open-source software systems studied [1, 9, 10, 33]. In our study, we consider change from the perspective of the cumulative number of added/removed classes. Figure 1 shows the curves of the cumulative number of changes for the two systems. The figure clearly shows that the two systems continue to change over time. Using linear regression, we calculated the slopes associated with these data sets. A similar approach has been used by Xie et al. [1]. From Figure 1, it can be seen that the slopes of the curves are positive for both systems (obviously since it is a sum of positive elements). Our focus is therefore on the strength of the slope of these curves. Results show that the changes take place periodically over time. However, changes in smaller amounts are held on a continuous basis and this throughout the period of evolution of the two systems. With these observations we can conclude that continuing change is confirmed for our two software systems.

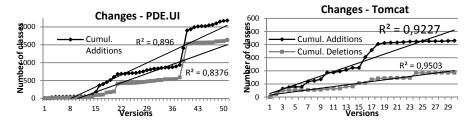


Fig. 1. Evolution of cumulative changes for PDE.UI and Tomcat.

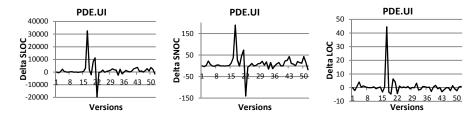
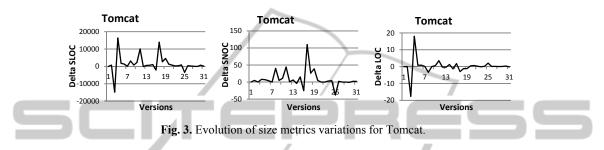


Fig. 2. Evolution of size metrics variations for PDE.UI.



We extended our analysis by focusing on variations in the values of some size metrics. We used several size indicators. We used the *number of lines of code in a system* (SLOC), the *total number of classes in a system* (SNOC) and the *average number of lines of code in a class* (LOC). We used the Borland Together tool (www.borland.com) to collect data on these metrics on the two subject systems. Figures 2 and 3 show, respectively for PDE.UI and Tomcat, variations in the used size related metrics. We can observe that the variations are quite dispersed for both systems over time. Therefore, changes in the case of the two systems are continuous. This confirms once again that continuous change is observable for the systems analyzed. Moreover, Figure 4 shows the variations in the Qi metric along the evolution of the two systems. The analysis of these curves clearly reveals continuing presence of changes in the case of both systems. Variations in the values of Qi are, in fact, continuous. With these observations, we can conclude that the Qi metric confirms also the first law for the systems analyzed.

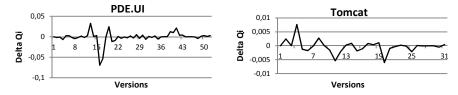


Fig. 4. Evolution of Qi variations for PDE.UI and Tomcat.

#### 4.2.2 Increasing Complexity

The second law states that as a software system evolves its complexity increases unless work is done to reduce or stabilize it. Studies that addressed Lehman's second law in the case of open-source development have confirmed this law for the systems analyzed [1, 9]. In our study, we used three well-known software metrics (RFC, WMC and CBO) [34] to capture complexity and coupling along the evolution of the systems studied. The CBO (*Coupling Between Objects*) metric counts for a class the number of other classes to which it is coupled (and vice versa). The RFC (*Response For Class*) metric for a class is defined as the set of methods that can be executed in response to a message received by an object of the class. The WMC (*Weighted Methods per Class*) metric gives the sum of complexities of the methods of a given class, where each method is weighted by its cyclomatic complexity. Here also, we used the Borland Together tool to collect data on these metrics on the two subject systems. We calculated the values of these metrics for all versions of the considered systems. Figures 5 and 6 show, respectively for PDE.UI and Tomcat, the curves of the metrics and the slope of the linear regression followed by each of these curves.

Overall, from the two figures, we can clearly observe that all the curves show an increasing trend. The three metrics have increased over time. With these observations, we can conclude that the second law is confirmed for the two systems. Moreover, Figure 7 shows the evolution of the Qi metric for both systems. From this figure, we can observe a decreasing trend of the Qi metric, which is opposite to the trend of the curves corresponding to the coupling and complexity metrics. The decreasing in the values of the Qi metric confirms also the second law for the two systems. We also calculated the correlation coefficients between the Qi metric and the coupling and complexity metrics. We used the Spearman's correlation coefficient in our study. This technique is widely used for measuring the degree of relationship between two variables. Correlation coefficients will take a value between -1 and +1. We applied the typical significance threshold ( $\alpha = 0.05$ ) to decide whether the correlations were significant. We analyzed the correlations between the Qi metric and the coupling and complexity metrics at both micro and macro levels.

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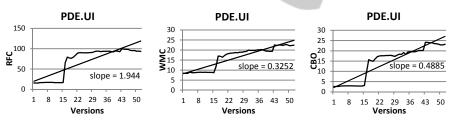


Fig. 5. Evolution of coupling and complexity metrics for PDE.UI.

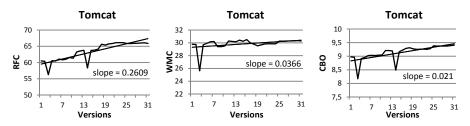


Fig. 6. Evolution of coupling and complexity metrics for Tomcat.

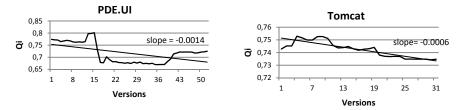


Fig. 7. Evolution of the Qi metric for PDE.UI and Tomcat.

For the macro level, we used the average values of the metrics for each version of the subject systems. We give in what follows (Table 3), for space constraints, only the correlation values at the macro level. From Table 3, it can be seen that all correlations are significant (in boldface). The correlation values are moderate for PDE.UI but relatively high (especially between the Qi metric and RFC and CBO metrics) for Tomcat, the larger of the two systems. Moreover, the correlations are negative. This confirms the trends observed from the different previous curves. Therefore, we can conclude that the increasing complexity of the two systems is once again confirmed and supported by the Qi metric.

Table 3. Correlations between Qi and complexity metrics for PDE.UI and Tomcat.

PDE.UI -0.516 -0.539 -0.475		RFC vs. Qi	WMC vs. Qi	CBO vs. Qi
Anacha Tamaat 0.930 0.566 0.954	PDE.UI	-0.516	-0.539	-0.475
Apache Tollicat -0.830 -0.800 -0.834	Apache Tomcat	-0.830	-0.566	-0.854

#### 4.2.3 Continuing Growth

This law states that the size of a software system increases continuously along its evolution to accommodate changes and satisfy an increasing set of requirements. Many studies that addressed this law were able to confirm it for the open-source software systems studied [1, 9, 30, 33]. These studies used different metrics for measuring system size and growth. We used the number of classes as an indicator of size. This attribute has been used by Lee [9] to confirm continuing growth. Figure 8 shows the evolution of the number of classes for both systems. Each point in the graph corresponds to a release. We can clearly see that the size of both systems, measured by the number of classes, increased over time, which confirms the law for both systems. We extended our analysis by using other size indicators. In addition to the size indicators SLOC, SNOC and LOC used in section 4.2.1, we used the number of operations per class (NOO). The curves of these indicators, which we do not give in this paper for space constraints, follow exactly the same trend as the curve of Figure 8. All these indicators increased over time for both systems. Moreover, we can also consider the additions/deletions of classes presented previously in section 4.2.1 (Figure 1), in which the curve of additions is above the deletions. The cumulative number of additions is thus clearly higher (growths faster) than the cumulative number of deletions. With these observations we can confirm the law of continuing growth for both systems.

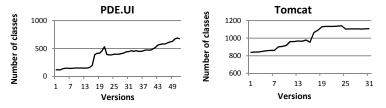


Fig. 8. Evolution of the number of classes of PDE.UI and Tomcat.

Let us now analyze the evolution of the Qi metric. From Figure 7, we can observe that the Qi metric follows an opposite trend compared to the size indicators, which is not a surprising finding. In fact, a significant increase in the size of a software system is generally followed by an increase in its complexity, which leads to a decrease in the Qi values over time, as explained in section 4.2.2. In order to validate these observations, we analyzed the correlation values between the Qi metric and the size indicators. We used here also the Spearman's correlation coefficient under the same conditions as in section 4.2.2. Table 4 gives the obtained results. As it can be seen, the correlations are all significant (in boldface) and negatives. This confirms that the Qi metric supports also the law of continuing growth for both systems.

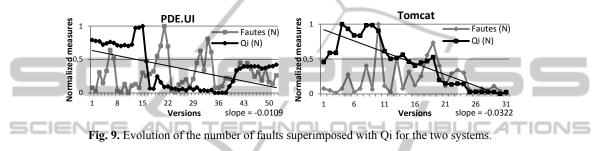


 Table 4. Correlations between Qi and size metrics for PDE.UI and Tomcat.

	SNOC vs. Qi	SLOC vs. Qi	LOC vs. Qi	NOO vs. Qi
PDE.UI	-0.433	-0.442	-0.891	-0.561
Tomcat	-0.736	-0.790	-0.397	-0.697

#### 4.2.4 Declining Quality

This law states that the quality of a software system decreases over time, unless work is done to improve it. Among the studies that have investigated this law [1, 9], none was able to confirm it for the test systems they used. The study conducted by Lee [9], in particular, has observed an overall improvement of software quality. In our study, we address this law from different perspectives. In a first step, we considered software quality from an external perspective. In order to analyze how software quality changes as software evolves, we used the number of reported defects as a quality indicator. We also investigated for patterns of evolution (according to Zhang et al. [7]). A higher occurrence of faults is considered as a sign of poor quality. We used, in the case of the two systems, Bugzilla (defect tracking system) reports on resolved/closed faults to withdraw information about faults. Figure 9 shows the evolution of the number of faults identified at each iteration of the two systems. Zhang et al. [7] studied the evolution of faults of PDE.UI based on recurring patterns. The authors found that PDE.UI follows a roller coaster pattern, which means that its quality is not under control. Amplitudes are, in fact, at their strongest in the center of the evolution period studied (iterations 21 and 33). With the exception of these two periods, the quality seems to be much more under control. The results we obtained for PDE.UI confirm the conclusions of Zhang et al. [7]. Tomcat has not been studied by Zhang et al. However, based on the patterns they identified, we can say that this system also follows a roller coaster pattern due to the many peaks visible in the curve. The quality of Tomcat, however, seems to be much more under control towards the end of the analyzed period with a stabilization of the number of faults. Such observations therefore lead us to conclude in a deterioration of the quality for the two systems under study. In addition, we considered in a second step software quality from an internal point of view. From the curves given in Figure 5 and Figure 6 (increasing complexity), we can clearly observe that the complexity of the two systems increases, according to the three metrics (RFC, WMC and CBO). These observations confirm therefore that the quality of the analyzed systems from an internal point of view decreases. In fact, an increasing complexity of a software system often symbolizes a decrease in its quality. So, when considering both internal and external quality metrics, we can conclude that the law of declining quality is confirmed for the two systems.



Let us now analyze the evolution of the Qi metric. Figure 9 shows the curve of the Qi metric, superimposed with the number of faults reported along the evolution of the two systems. The linear regression of the Qi curve is also given in the figure. The values on the y-axis are normalized between 0 and 1 (min-max). From Figure 9 and previous results, we can make several observations. PDE.UI shows a negative trend for the Qi metric, mainly because of the steep decrease between iterations 15 and 17. To this period corresponds, in fact, a significant increase in complexity (see Figure 5) and a significant growth of the system (see Figure 8). Immediately after, we can observe a long plateau where the Qi values are at their lowest, ranging between iterations 17 and 38. Throughout this period, the number of faults is relatively high and the complexity metrics remain relatively stable. Two large peaks appear in the curve of faults around iterations 20 and 32. After this long period, the Qi values rebounded. Overall, for PDE.UI, we can conclude that the Qi metric thus indicates the variations (especially for the steep drop) of quality over time. Tomcat presents for the Qi metric a regular decreasing trend, during which faults appear as peaks in regular intervals. In addition, we already know from previous results that there is an increasing complexity for this system, according to the evolution of the complexity metrics (CBO, RFC and WMC). From these observations, we can conclude that the Qi metric captures the declining quality of the two systems. We can therefore say that the Qi metric supports the declining quality law for the studied systems.

#### 4.2.5 Threats to Validity

The study presented in this paper should be replicated using many other software systems in order to draw more general conclusions about the ability of the Qi metric

to support the Lehman's laws of software evolution. In fact, there are a number of limitations that may affect the results of the study or limit their interpretation and generalization. The achieved results are based on the data set we collected from only two open source software systems written in Java. Even if the collected data cover a period of several years: 4 years for the first system (fifty-two versions) and 7 years for the second one (thirty-one versions), we do not claim that our results can be generalized to all systems, or software systems written in other languages. The findings in this paper should be viewed as exploratory and indicative rather than conclusive. Moreover, the study has been performed on open source software systems. It would be interesting to replicate the study on industrial systems. It is also possible that facts such as the development style used by the developers for developing (and maintaining) the code of the subject systems (or other related factors) may affect the results or produce different results for specific applications. In addition, the study is based implicitly on the assumption that the used software metrics (LOC, RFC, WMC, CBO, etc.) actually capture the intended characteristics. We deliberately used multiple metrics for each law to reduce this threat. Also, the study is based on the data we collected on the evolution of the studied systems, in particular the defect information, that we suppose reliable.

# 5 Conclusions

In this paper, we analyzed the evolution of two open-source Java software systems. We wanted to investigate if the Qi (*Quality Assurance Indicator*) metric, a metric that we proposed in a previous work, can be used to support the applicability of Lehman's laws of software evolution. We focused in this study on the Lehman's laws related with continuing change, increasing complexity, continuing growth and declining quality. We addressed software evolution from both internal and external perspectives. We performed an empirical analysis using historical data collected from the successive released versions of the two systems. The collected data cover a period of more than four years for the first system (fifty-two versions in total) and a period of more than seven years for the second one (thirty-one versions in total). Empirical results provide evidence that the considered Lehman's laws are supported by the collected data and the Qi metric.

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The advantage that brings, in our opinion, the use of the Qi metric (as a synthetic metric) in the case of evolutionary systems, is that it can be used to guide quality assurance actions through evolution. Indeed, the Qi metric can be used for identifying, in a relative way as software evolves, critical parts that require more quality assurance (as testing) effort to ensure software quality. The achieved results are, however, based on the data set we collected from only two open source software systems. The findings in this paper should be viewed as exploratory and indicative rather than conclusive. They show, at least, that the Qi metric, as a synthetic metric, offers a promising potential for capturing (reflecting) various aspects related to software evolution. Further investigations are, however, needed to draw more general conclusions.

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