

CLASSIFICATION OF DEFORMABLE GEOMETRIC SHAPES

Using Radial-Basis Function Networks and Ring-wedge Energy Features

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Abstract: This paper describes a system for automatic classification of geometric shapes based on radial-basis function (RBF) neural networks even in the existence of shape deformation. The RBF network model is built using ring-wedge energy features extracted from the Fourier transform of the spatial images of geometric shapes. Using a benchmark dataset, we empirically evaluated and compared the performance of the proposed approach with two other standard classifiers: multi-layer perceptron neural networks and decision trees. The adopted dataset has four geometric shapes (ellipse, triangle, quadrilateral, and pentagon) which may have deformations including rotation, scaling and translation. The empirical results showed that the proposed approach significantly outperforms the other two classification methods with classification error rate around 3.75% on the testing dataset using 5-fold stratified cross validation.

1 INTRODUCTION

Shape analysis, recognition and classification play important roles in a number of applications including object recognition, shape matching and retrieval, hand-drawn geometric shapes using hand-held devices, cell shape classification in microbial ecology, computer-aided design, and industrial automated inspection (Bishop, 1995; Costa and Cesar Jr., 2000). These have been an active research area that recently attracted the attention of many researchers within the machine-learning community. A number of algorithms have been suggested for addressing these problems in the literature. For example, Lazerini and Marcelloni (2001) described a fuzzy approach for representation and classification of two-dimensional shapes. In their approach shapes are represented using fuzzy sets and a similarity measure is used to compare these fuzzy representations. Tsai et al. (2005) employed the level set function as the shape descriptor and proposed an approach for separating a shape database into different shape classes based on the EM algorithm. Barutcuoglu and DeCoro (2006) presented a framework for combining multiple classifiers predictions based on a class hierarchy. Gorelick et al. (2006) presented an approach using the Poisson

equation for computing many useful properties of a shape silhouette and demonstrated the utility of the extracted properties for shape classification and retrieval. Ling and Jacobs (2007) used the inner-distance (i.e. the length of the shortest path between landmark points within the shape silhouette) as a replacement for Euclidean distance to build more accurate descriptors for complex shapes. McNeil and Vijayakumar (2005) presented a correspondence-based technique for shape classification and retrieval using a set of equally spaced boundary points. Another approach based on abductive learning was proposed in (El-Alfy, 2008). Pun and Lin (2010) explored the application of discrete Hidden-Markov Model (HMM) for geometric shape recognition using an array of landmark points on the shape contour. However, the highest predictive accuracy is around 80%, which may not be acceptable. Another iterative improvement of a nearest neighbor classifier and its application to geometric shape recognition is presented in (Yau and Manry, 1991). But still the classification accuracy is low and can be improved further.

In this paper we present a radial-basis function (RBF) neural network approach for automatic classification of deformable geometric shapes. RBF networks are becoming increasingly popular with

diverse applications in function approximation and pattern recognition (Haykin, 2009). We evaluate the performance and compare it with two other standard classification methods on a benchmark dataset of geometric shapes. The adopted dataset has four geometric shapes: ellipse, triangle, quadrilateral, and pentagon. The shape deformations may include rotation, scaling, and translation.

The rest of the paper is organized as follows. The next section describes the shape classification and feature extraction problem. Section 3 describes the radial-basis function neural network methodology. Section 4 describes the adopted dataset and the empirical evaluation. Finally, Section 5 summarizes the paper results.

2 PROBLEM DESCRIPTION AND FEATURE EXTRACTION

In this section, we describe the shape classification problem and how features are extracted.

2.1 Problem Description

The problem addressed in this paper is 2D geometric-shape classification which is a multi-class classification problem. The aim is to construct a prediction model that can be used to determine the class for each given 2D shape image. This problem is also a vital component in many object recognition and classification problems which are based on the shape features as opposed to color and texture features (McNeil and Vijayakumar, 2005). Figure 1 shows a block diagram of the main steps involved in constructing a typical shape classification system from a dataset of shape images. The first three steps in Figure 1 are responsible for representing each image by a small set of discriminative features that can be used to distinguish between different classes. A good set of features must be made invariant to various deformations that may occur to the shapes.

Several sets of features have been investigated in the literature as shape descriptors. These can be grouped into three main types: topological features, point distribution features, and transform-based features (Yau, 1990). Topological features include features such as concavities and convexities, cross points, number of loops, etc. Topological features are difficult to compute. Other proposed methods include the representation of each shape by a finite set of points taken on the 2D boundary (McNeil and Vijayakumar, 2005). Here, an edge detection

algorithm is first applied; then some points on the contour are selected based on various criteria such as uniform sampling, polygon approximation, high curvature or distance from the centroid (Zhang et al., 2003; Super, 2004; Chen et al., 2008). Although they are relatively easier to compute than topological features, they are affected by deformations caused to the shape. The third category of features sets are based on transformations. This approach is easy to implement and can capture the essential characteristics of shapes even in the existence of various degrees of shape deformations (Yau, 1990). The last step in Figure 1 constructs a classifier model using the extracted features and a machine learning methodology.

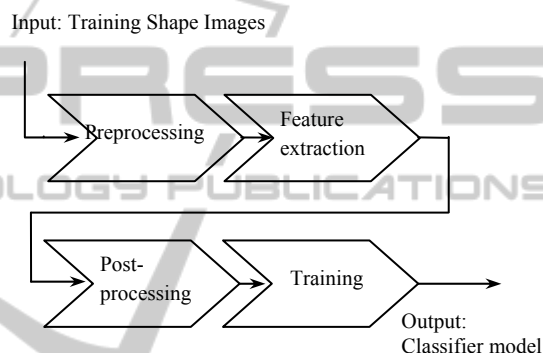


Figure 1: Phases of constructing a typical shape classifier.

2.2 Calculation of Ring-Wedge Energy Features

In our work, we used one example of transform-based features that computes energies in different ring and wedge areas in the Fourier transform of the shape image (George et al., 1989; Yau and Manry, 1991). In this approach, to determine the features for each input image $f(x, y)$, the Fourier transform, $F(r, \theta)$, is first computed,

$$F(r, \theta) = \mathcal{F}[f(x, y)] \quad (1)$$

where r and θ are the radius and angle in the frequency domain. The transformed image is partitioned into equally-spaced rings and wedges with step sizes Δ_r and Δ_θ , respectively. Then the energy is computed for each ring and wedge. Let $E_r(m)$ and $E_w(n)$ be the energies of m -th ring and the n -th wedge respectively, then,

$$E_r(m) = \iint_{S_r(m)} |F(r, \theta)|^2 r dr d\theta. \quad (2)$$

$$E_w(n) = \iint_{S_w(n)} |F(r, \theta)|^2 r dr d\theta. \quad (3)$$

where $S_r(m)$ and $S_w(n)$ are the surface areas of the m -th ring and n -th wedge respectively. We use 16 features defined using normalized ring and wedge energies as follows,

$$g_r(m) = E_r(m) / \sum_k E_r(k), \quad 1 \leq m \leq 8. \quad (4)$$

$$g_w(n) = E_w(n) / \sum_k E_w(k), \quad 1 \leq n \leq 8. \quad (5)$$

We refer to these features as x_1, x_2, \dots, x_{16} in order. Mathematically, scale, translation, and rotation shape deformations can be expressed in the spatial domain of the image as $f(x^*, y^*) = f(a_1 \cdot x + b_1 \cdot y + c_1, a_2 \cdot x + b_2 \cdot y + c_2)$ where $a_1, b_1, c_1, a_2, b_2,$ and c_2 are arbitrary constants. For example, by setting $a_1 = 1, a_2 = 1, b_1 = 0, b_2 = 0, c_1 = \alpha,$ and $c_2 = \beta,$ the shape is translated by α in x -direction and β in y -direction. Similarly when $a_1 = \alpha, a_2 = \alpha, b_1 = 0, b_2 = 0, c_1 = 0,$ and $c_2 = 0,$ the shape is scaled by α . Rotation by θ occurs when $a_1 = \cos \theta, a_2 = -\sin \theta, b_1 = \sin \theta, b_2 = \cos \theta, c_1 = 0,$ and $c_2 = 0$. It can be shown that the Fourier transform, and hence the ring-wedge features, is invariant to translation deformation. The scale deformation can be handled by scaling the image to a standard size during pre-processing. Also the ring features are invariant to rotation deformation but the wedge features are not. Hence, the wedge features can be made invariant to rotation by circularly rotating $E_w(n)$ such that,

$$E_w(1) = \max_n \{E_w(n)\}. \quad (6)$$

3 METHODOLOGY

3.1 RBF Neural Network Model

Radial-basis functions (RBFs) were introduced for solving multivariate problems numerically in (Powel, 1985). A radial-basis function network (RBFN) is a special type of artificial feed-forward neural networks (Haykin, 2009). As demonstrated in Figure 2, the structure of a typical RBF network normally has an input layer, a single hidden layer and an output layer. The input layer does not do any processing and acts as a fan-out for the input variables. The number of neurons in the input layer is equal to the number of real-valued predictor (independent) variables in the feature space (i.e. same dimensionality). However, for each categorical

variable with L categories, $L-1$ units are used in the input layer. Neurons in the hidden layer use nonlinear RBF kernel activation functions. Although various types of radial-basis functions can be used, Gaussian bell-shaped functions are the most common at this layer. The output of each neuron in the hidden layer is inversely proportional to the Euclidean distance from the center of the neuron. The purpose of the hidden layer is to non-linearly map the patterns from a low-dimension space to a high-dimension space where the patterns become more linearly separable. Neurons in the output layer typically use linear activation functions. The output layer has one or more units based on the number and type of dependent variables. RBF network calculates a function as a linear weighted summation of the outputs of the units in the hidden layer. RBF networks are relatively recent than multi-layer perceptrons (MLPs) and has many applications in universal function approximation, pattern recognition and classification, prediction and control in dynamical systems, signal processing, chaotic time series prediction, and weather and power load forecasting.

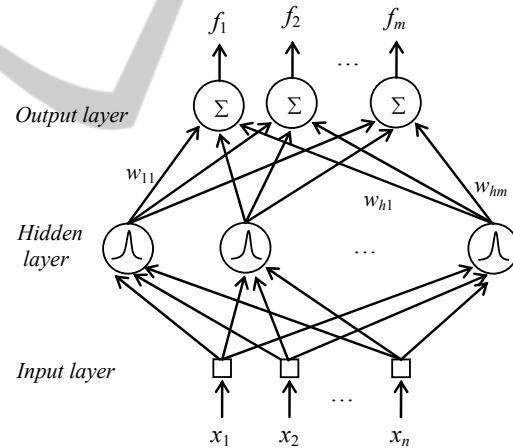


Figure 2: RBF neural network model architecture.

Assume the RBF network has m units at the output layer, h units at the hidden layer and n units at the input layer. Weights of the connections between the input layer and the hidden layer are all equal to unity (unlike MLP). Let j denote a specific unit at the output layer and i denote a specific unit at the hidden layer. The weights for the connections between the hidden layer and the output layer are denoted by w_{ij} for $i=1, 2, \dots, h$ and $j=1, 2, \dots, m$. Assume the vector of the independent input variables is denoted as $\vec{x} = (x_1, x_2, \dots, x_n)$. The output of unit i in the hidden layer is given by the Gaussian kernel function as follows,

$$g_i(\vec{x}) = \exp\left(-\frac{\|\vec{x} - \vec{\mu}_i\|^2}{2\sigma_i^2}\right), i = 1, 2, \dots, h. \quad (7)$$

where $\vec{\mu}_i$ and σ_i denote the center and width (or spread) parameters of the radial-basis function of unit i , and $\|\vec{x} - \vec{\mu}_i\|^2$ denotes the square of the Euclidean distance between the input vector \vec{x} and the unit center $\vec{\mu}_i$. The center parameter represents an input vector at which the function has its maximum value. The width parameter determines the radius of the area around the center at which the activation function is significant. The smaller the radius, the more selective the function is. These parameters have major impact on the performance of the RBF networks. The j -th component of the output is given by the weighted sum of the outputs of the units in the hidden layer as follows,

$$f_j(\vec{x}) = \sum_{i=1}^h w_{ij} g_i(\vec{x}), j = 1, 2, \dots, m. \quad (8)$$

The design of an RBF network model means determining the number of basis function (i.e. units in the hidden layer), connection weights between the hidden layer and the output layer, and centers and widths of the hidden layer units. These parameters are determined by training the network for a given dataset using one of the available training algorithms.

3.2 Training Strategy

Assume a dataset of N labeled observations $\{(\vec{x}_i, s_i)\}_{i=1}^N$ is given, where \vec{x}_i is the feature vector and s_i is the label associated with observation i . The purpose of training the RBF network is to determine the optimal network parameters that minimize the sum-squared error function between the network output and the desired output for a given training set. There are several training strategies for learning the parameters of an RBF network. The commonly used approach is a two-stage hybrid learning approach. In the first stage, an unsupervised clustering algorithm is used to determine the centers and widths of radial-basis functions. During this stage data points in the dataset are partitioned into groups or clusters such that the data points assigned to each cluster minimizes a cost function in a similarity measure (e.g. the squared Euclidean distance) between any pair of points in the same cluster. Although any clustering algorithm can be used, the standard approach is to use k -means clustering due to its

simplicity and effectiveness. This method uses a two-step iterative optimization procedure until converge is attained. Under this approach, the size of the hidden layer is equal to the number of clusters k , where k is much less than the number of observations N in the dataset. In the second stage of the hybrid learning approach, a supervised learning approach using a recursive least-squares algorithm is employed to estimate the optimal weights of the connections between the hidden layer and the output layer. After that a supervised gradient based algorithm is used to tune the network further using some of the training patterns in the dataset. The details of this strategy can be found in (Haykin, 2009).

The training procedure employed in this paper is the one implemented in the DTREG software package. It uses an evolutionary approach to determine the optimal centers and widths for neurons in the hidden layer (Chen et al., 2005). To avoid over-fitting to the training data, it estimates the leave-one-out error and uses it as a stopping criterion for adding neurons to the hidden layer. It also uses a ridge regression algorithm to compute the optimal connections weights between the hidden layer and the output layer.

4 EMPIRICAL EVALUATION AND RESULTS

4.1 The Dataset

We adopted a benchmark dataset for geometric shape recognition that has been utilized in the literature, e.g. (Yau and Manry, 1991). It includes a total of 800 images of four categories of geometric shapes: ellipse, triangle, quadrilateral, and pentagon; which are referred to as $\{s_1, s_2, s_3, s_4\}$ in this paper. Each image consists of a matrix of size 64×64 binary-valued pixels. There are 200 images for each shape category generated using different degrees of deformation including rotation, scaling, and translation distortions. Figure 3 shows some sample of images in the dataset (McNeil and Vijayakumar, 2005). Images in the dataset are processed to represent each image by a vector of 16 real-valued features extracted using ring-wedge energies (RWE). Table 1 shows the statistical characteristics of the predictor variables (*a.k.a.* features) in terms of the minimum, maximum, mean, and standard deviation (std).

Table 1: Statistics of various features: minimum (min), maximum (max), average (mean), standard deviation (std).

Feature	Type	min	max	mean	std
x_1	Continuous	1.701448	8.377751	4.454941	1.140443
x_2	Continuous	1.514297	7.804499	3.498672	1.045025
x_3	Continuous	0.696465	6.744747	2.885716	1.118037
x_4	Continuous	0.370465	5.971567	2.364773	1.044711
x_5	Continuous	0.310115	8.298036	2.304304	1.247915
x_6	Continuous	0.36461	7.128069	2.385969	1.128959
x_7	Continuous	0.592846	7.266519	2.739837	1.141935
x_8	Continuous	1.217079	7.53666	3.128944	1.004257
x_9	Continuous	2.013517	8.473231	4.342271	1.123421
x_{10}	Continuous	2.723593	9.918232	6.707424	1.092196
x_{11}	Continuous	2.448623	10.02286	7.068465	1.218173
x_{12}	Continuous	2.990693	10.25685	7.114858	1.231805
x_{13}	Continuous	2.971142	10.21713	7.099484	1.235933
x_{14}	Continuous	2.86403	10.07608	7.065576	1.224407
x_{15}	Continuous	2.970317	10.00546	6.88241	1.210681
x_{16}	Continuous	2.966	9.986865	6.524544	1.192905

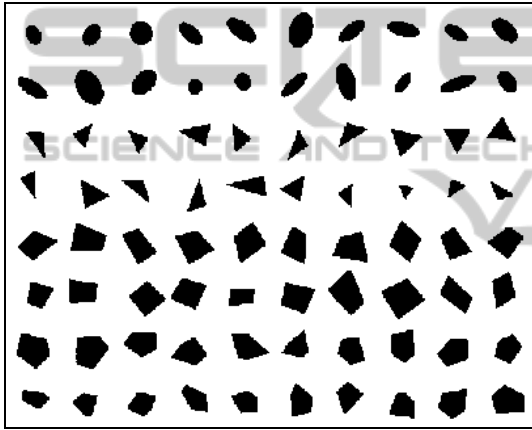


Figure 3: Sample of geometric shapes in the adopted dataset.

4.2 Experiments and Results

The proposed approach was tested on the adopted benchmark dataset described in the previous subsection. We built different RBF network models using ring-wedge energy features extracted for each shape in the dataset. This helps in reducing the dimensionality of the vector space and handling various shape deformations. We employed 5-fold stratified cross validation to evaluate the quality of the models. In this approach the dataset is randomly split into 5 non-overlapping partitions (*a.k.a.* folds). During this process, a stratified method is used to ensure that the distribution of different categories of the target variable is approximately the same in various partitions. Then, a model is built using four partitions (i.e. 80% of the dataset) for training and evaluated on the remaining partition (1 out of 5 partitions, i.e. 20% of the dataset). This process is repeated five times. Each time a different partition is

used for testing and the remaining four partitions for training. The overall performance measures are averaged over the 5 models.

The RBF network model uses the hybrid learning algorithm which is implemented in the DTREG software package as explained previously in Section 3. The performance of RBF network model is assessed in terms of a confusion matrix which shows how each category is predicted by the model. In all experiments, we assumed equal misclassification costs for all categories. Table 2 shows the resulting confusion matrix for the RBF network model for both training and testing datasets using 5-fold stratified cross validation. The numbers in the diagonals are the correctly classified cases for each category whereas the off-diagonal cells represent the misclassified cases.

Table 2: 5-fold stratified cross validation of RBF classification model in terms of confusion matrix for (a) Training and (b) Testing.

		Predicted Category			
		S1	S2	S3	S4
Actual Category	S1	200	0	0	0
	S2	0	200	0	0
	S3	0	2	187	11
	S4	0	0	1	199

		Predicted Category			
		S1	S2	S3	S4
Actual Category	S1	200	0	0	0
	S2	0	200	0	0
	S3	0	2	180	18
	S4	2	0	8	190

We then compared the performance of RBF networks with two other standard classifier models: multi-layer perceptron (MLP) neural networks and

decision trees (DTs). The constructed MLP is a 3-layer neural network in which there are 16 neurons in the input layer (number of features), 6 neurons in the hidden layer with sigmoid activation functions, and 4 neurons in the output layer (number of categories of the target variable) with linear activation functions. The input layer standardizes each input variable so that its value falls in the range between -1 and +1. The network weights are adjusted using a conjugate gradient with line search back-propagation algorithm. This algorithm converges significantly faster than the original gradient decent backpropagation developed by Rumelhart and McClelland for MLP (Sherrod, 2011).

The constructed decision tree is a single binary tree that shows how the target variable can be predicted using values of a set of the predictor variables. Each non-terminal (internal) node in the decision tree splits a group of rows of the dataset into two subgroups based on one particular predictor variable. During the composition of the decision tree, a recursive partitioning procedure uses Gini's criterion and backward pruning to build an optimal size tree while maximizing the heterogeneity of the categories of the target variable in the child nodes.

Table 3 shows the comparison results for the constructed RBF, MLP and DT models in terms of the misclassification rates (i.e. the percentage of observations that are predicted to be of a category different than the actual category associated with them). We can clearly notice that the classification error rate when using the RBF model is lower than that for MLP and DT.

Table 3: Comparing misclassification rates for different models.

Dataset	Method		
	RBF	MLP	DT
Training	1.75	4.5	6.625
Testing	3.75	5.25	17.0

To see how the constructed RBF model behaves for each category as compared to other methods, we used four other performance measures. These measures are: sensitivity (Sn), specificity (Sp), positive predictive value (PPV) and negative predictive value (NPV). These values are assessed for each category. We refer to a given category s_i as positive category and all other categories are grouped and regarded as negative category for this given category. To define performance measures mathematically, let TP_i , TN_i , FP_i , and FN_i refer to

the number of true positive, number of true negative, number of false positive and number of false negative for category s_i , respectively. Then the evaluations of the performance measures are defined as follows for category s_i :

- Sensitivity of s_i : the proportion of those predicted as being of category s_i that are truly predicted by the model.

$$Sn_i = TP_i / (TP_i + FN_i), i = 1, 2, \dots, S. \quad (9)$$

- Specificity of s_i : the proportion of those predicted to be of categories other than s_i that are truly predicted by the model.

$$Sp_i = TN_i / (TN_i + FP_i), i = 1, 2, \dots, S. \quad (10)$$

- PPV : the proportion of those who are actually of category s_i and are truly predicted by the model.

$$PPV_i = TP_i / (TP_i + FP_i), i = 1, 2, \dots, S. \quad (11)$$

- NPV : the proportion of those who are of categories other than s_i and are truly predicted by the model.

$$NPV_i = TN_i / (TN_i + FN_i), i = 1, 2, \dots, S. \quad (12)$$

Table 4: The per-category performance comparison for different models during training.

Cat.	Measure	Method		
		RBF	MLP	DT
s_1	Sn	100	97	93
	Sp	100	100	98.67
	PPV	100	100	95.88
	NPV	100	99.01	97.69
s_2	Sn	100	100	97
	Sp	99.67	99.33	98.17
	PPV	99.01	98.04	94.63
	NPV	100	100	98.99
s_3	Sn	93.5	90.5	91
	Sp	99.83	97.67	97.17
	PPV	99.47	92.82	91.46
	NPV	98.67	96.86	97
s_4	Sn	99.5	94.5	92.5
	Sp	98.17	97	97.17
	PPV	94.76	91.3	91.58
	NPV	99.83	98.15	97.49

Tables 4 and 5 compare the per-category performance measures for the three constructed models for the training and testing datasets, respectively. Again, the results demonstrate that the RBF network model outperforms MLP and DT models.

Table 5: The per-category performance comparison for different models during testing.

Cat.	Measure	Method		
		RBF	MLP	DT
s ₁	Sn	100	98	86.5
	Sp	99.67	99.83	95.83
	PPV	99.01	99.49	87.37
	NPV	100	99.34	95.51
s ₂	Sn	100	100	89
	Sp	99.67	99	96.5
	PPV	99.01	97.09	89.45
	NPV	100	100	96.34
s ₃	Sn	90	87	79
	Sp	98.67	97.5	92.67
	PPV	95.74	92.06	78.22
	NPV	96.24	95.74	92.98
s ₄	Sn	95	94	77.5
	Sp	97	96.67	92.33
	PPV	91.35	90.38	77.11
	NPV	98.31	94.1	92.49

5 CONCLUSIONS

In this paper we described a novel approach for automatic classification of deformable geometric shapes based on RBF networks and transform-based features. The performance of the proposed system is empirically evaluated and compared with other classification algorithms. Results showed that the proposed approach has better performance than the other considered classification algorithms in terms of classification accuracy, sensitivity, specificity, positive predictive value, and negative predictive value. As a future work we are comparing the proposed approach with other classifiers and we are investigating other ways to improve the results further.

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