Warm-Starting the VQE with Approximate Complex Amplitude Encoding

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Abstract: The Variational Quantum Eigensolver (VQE) is a Variational Quantum Algorithm (VQA) to determine the ground state of quantum-mechanical systems. As a VQA, it makes use of a classical computer to optimize parameter values for its quantum circuit. However, each iteration of the VQE requires a multitude of measurements, and the optimization is subject to obstructions, such as barren plateaus, local minima, and subsequently slow convergence. We propose a warm-starting technique, that utilizes an approximation to generate beneficial initial parameter values for the VQE aiming to mitigate these effects. The warm-start is based on Approximate Complex Amplitude Encoding, a VQA using fidelity estimations from classical shadows to encode complex amplitude vectors into quantum states. Such warm-starts open the path to fruitful combinations of classical approximation algorithms and quantum algorithms. In particular, the evaluation of our approach shows that the warm-started VQE reaches higher quality solutions earlier than the original VQE.

1 INTRODUCTION

Quantum computers are expected to excel in tasks directly related to the properties of quantum mechanical systems, e.g., in quantum chemistry and condensed matter physics (Feynman, 1982; Preskill, 2018; Tilly et al., 2022; Peruzzo et al., 2014). The Variational Quantum Eigensolver (VQE) is a hybrid quantum-classical algorithm to obtain the ground state energy of a given Hamiltonian operator describing such a system. As a Variational Quantum Algorithm (VQA), the VQE is suited for current Noisy Intermediate-Scale Quantum (NISQ) devices with limitations in the width and depth of executable quantum circuits (Preskill, 2018; Leymann and Barzen, 2020). Therefore, the VQE makes use of parameterized quantum circuits executed on NISQ hardware and optimization algorithms executed on classical computers. This hybrid quantum-classical approach is deemed promising in the current era, where quantum computers are still error-prone and limited in number of qubits. Despite the bright prospects for the VQE, several potential obstacles remain (Tilly et al., 2022): To evaluate the energy of a system, each optimization step of the VQE requires a multitude of measurements depending on the composition of the given Hamiltonian. Moreover, the optimization landscape may be hard to navigate due to adverse effects such as barren plateaus and local optima, and the algorithm may exhibit insufficient convergence properties.

Warm-starts are known for their capability to mitigate some of these obstacles in quantum algorithms (Truger et al., 2024). Warm-starting techniques focus on the utilization of known or efficiently generated results instead of starting an algorithm from scratch, e.g., by making use of previous results or efficient approximation algorithms. Several kinds of warm-starting techniques affect quantum algorithms in different ways. For example, there are two major entry points for warm-starts of VQAs: Initial states and initial variational parameter values. On the one hand, such warm-starts can be realized through the encoding of prior knowledge into the initial state of a quantum circuit (cf. Egger et al., 2021; Tate et al., 2023). Thereby, the initial state is biased towards favorable solutions, as opposed to neutral initial states frequently assumed in conventional quantum algorithms. On the other hand, warm-starts for VQAs can be realized by providing viable initial values for the parameters of the quantum circuit instead of a random parameter initialization, e.g., by transferring optimal parameter values from related problem instances or

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precomputing beneficial parameter initializations, (cf. Galda et al., 2021; Mitarai et al., 2022; Sack and Serbyn, 2021; Shaydulin et al., 2023).

Encoding approximate eigenvectors via amplitude encoding yields a simple biased initial state to warmstart the VQE. However, this warm-start is impractical due to the inefficiency of the encoding and current hardware limitations. Moreover, it imposes certain restrictions on the Ansatz of the VQE, as we further elaborate in Section 4. In this work, we utilize Approximate Complex Amplitude Encoding (ACAE) (Mitsuda et al., 2024) to convert this idea into a warm-start via parameter initialization, that is more suitable for current hardware. By means of a fidelity estimation from so-called classical shadows (Huang et al., 2020), the variational ACAE algorithm provides an efficient approximate amplitude encoding of complex vectors into a quantum state. We evaluate the performance benefits of the resulting warm-started VQE (henceforth WS-VQE) over the standard VQE. The underlying warm-starting technique employed in WS-VQE can be used as a blueprint for other VQAs.

The remainder of this paper is organized as follows: In Section 2, we introduce the background and fundamentals for this work. Section 3 discusses related work and Section 4 introduces WS-VQE, which is evaluated and analyzed in Section 5. The results are further discussed in Section 6 and the paper is concluded with a summary and outlook in Section 7.

2 BACKGROUND AND FUNDAMENTALS

We introduce VQE and ACAE in more detail to provide the background and fundamentals for WS-VQE.

2.1 Variational Quantum Eigensolver

The VQE follows the general construction of VQAs, i.e., it is a hybrid quantum-classical algorithm based on a parameterized quantum circuit, frequently referred to as *Ansatz*, and a classical optimizer (Peruzzo et al., 2014; Cerezo et al., 2021). The Ansatz aims to prepare a quantum state that represents a solution to the problem instance at hand. The classical optimizer iteratively adjusts the parameter values for the Ansatz, which is in turn executed on a quantum device to assess the current solution and navigate to an optimum.

Peruzzo et al. (2014) introduced the VQE to determine eigenvalues of operators more efficiently than possible with Quantum Phase Estimation. At its heart, the VQE makes use of *Quantum Expectation Estimation (QEE)*, a subroutine that evaluates the expectation value of the given Hamiltonian for the state prepared by the Ansatz. For the measurements on the quantum computer, the Hamiltonian is decomposed into a real-valued linear combination of tensor products of the identity I and the Pauli operators X, Y, and Z. The overall expectation value of the Hamiltonian H is computed as per Equation (1), i.e., as the weighted sum of the expectation values of each Pauli string P_i in the linear combination, where x_i are the real-valued coefficients of H's Pauli decomposition.

$$\langle H \rangle = \sum_{i} x_i \langle P_i \rangle \tag{1}$$

Therefore, the state prepared by the Ansatz needs to be measured multiple times for different measurement bases as prescribed by the Pauli decomposition. These measurements require the preparation of measurement circuits with appropriate rotations to adjust the measurement basis for each qubit. However, there are various strategies of grouping Pauli strings that can be measured jointly, which can reduce the number of measurement circuits significantly (Tilly et al., 2022). Nonetheless, the QEE subroutine typically requires a multitude of measurement circuits to cover all Pauli strings composing H. Each measurement on a quantum computer typically needs to be repeated multiple times to obtain results of a certain precision. These measurements are commonly referred to as *shots*. Therefore, a hyperparameter N_{shots} determines the number of shots executed for measuring each Pauli string. Thus, the total number of shots required for each call of the QEE subroutine amounts to $N_{\text{shots}} \times n_{\text{meas.circuits}}$, where $n_{\text{meas.circuits}}$ is the number of measurement circuits, i.e., the number of groups of jointly measurable Pauli strings.

The variational optimization of the VQE's Ansatz parameters aims to prepare a state that minimizes H's expectation value. Optimization typically starts from a random initial parameterization or beneficial values determined through specific methods (Tilly et al., 2022). Thereby, the VQE eventually prepares an approximate ground state of H with the lowest expectation. Potential applications include determining electronic ground state energies and molecular potential energy surfaces in quantum chemistry (Li et al., 2019), strongly correlated systems in condensed matter physics (Head-Marsden et al., 2021), protein folding for drug discovery (Mustafa et al., 2022; Barkoutsos et al., 2021), material design (Barkoutsos et al., 2021), and chemical engineering (Bernal et al., 2022). The remainder of this work focuses on the underlying mathematical problem tackled with the VQE, namely to determine the lowest eigenvalue and corresponding eigenvector of Hermitian matrices.

2.2 Approximate Complex Amplitude Encoding

Amplitude encoding refers to encoding a vector $\vec{\mathbf{x}} \in \mathbb{C}^n$ with $\vec{\mathbf{x}} = (x_0, \dots, x_{n-1})$ of unit length into the amplitudes of a quantum state $|\vec{\mathbf{x}}\rangle$, as shown in Equation (2) (Schuld and Petruccione, 2018).

$$\left|\vec{\mathbf{x}}\right\rangle = \sum_{i=0}^{n-1} x_i \left|i\right\rangle \tag{2}$$

Its main advantage is that storing data of length *n* requires only log *n* qubits. However, exact amplitude encoding is infeasible because a circuit depth of at least $\frac{1}{n}2^n$ is required for the preparation of an arbitrary state on *n* qubits (Schuld and Petruccione, 2018; Shende et al., 2006). *Approximate amplitude encoding* (Nakaji et al., 2022) suggests a VQA that approximately encodes vectors into the amplitudes of a quantum state by training a shallow Ansatz. However, it only supports the encoding of real-valued data.

Recently, Mitsuda et al. (2024) proposed Approximate Complex Amplitude Encoding (ACAE) based on classical shadows (Huang et al., 2020). A classical shadow is an approximate classical description of a quantum state that is generated with few measurements. It can be used to estimate different properties of the captured quantum state. In the case of ACAE, classical shadows are employed to estimate the fidelity between a model state with the density operator $\rho_{model}(\theta)$ and a target state with the density operator ρ_{target} . Based on the estimated fidelity, an Ansatz can be variationally optimized to approximately encode the target state described by ρ_{target} . Classical shadows are created by applying random unitary transformations to the state before measurements. For the fidelity estimations in ACAE, the random unitaries are taken from the n-qubit Clifford group. Results of multiple shots with different unitaries are averaged to obtain an expectation value. The general idea is to classically undo these steps for each measurement result \hat{b}_i . The averaging operation is viewed as a quantum channel \mathcal{M} , that is reverted by the inverse \mathcal{M}^{-1} . To undo the random Clifford unitary U, the inverse transformation U^{\dagger} is applied. The result $\hat{\rho}_i$ of undoing these operations is called a snapshot:

$$\hat{\rho}_{i} = \mathcal{M}^{-1} \left(U_{i}^{\dagger} | \hat{b}_{i} \rangle \langle \hat{b}_{i} | U_{i} \right)$$
(3)

A classical shadow of the original state is a collection of N_{snaps} snapshots. From this approximate classical description of the state, we can estimate certain properties. For instance, the expectation value of an observable. Since the expectation value of an observable *O* in a state with the density operator ρ is $\langle O \rangle = \text{Tr}(O\rho)$, it is estimated by $\langle \hat{O} \rangle$ in Equation (4).

$$\langle \hat{O} \rangle = \frac{1}{N_{\text{snaps}}} \sum_{i=1}^{N_{\text{snaps}}} \text{Tr}(O\hat{p}_i)$$
 (4)

Setting $O = \rho_{\text{target}}$ allows us to estimate the fidelity in ACAE. As shown in the supplementary material provided by Huang et al. (2020), \mathcal{M}^{-1} boils down to

$$\mathcal{M}^{-1}(\rho) = (2^n + 1)\rho - I$$
 (5)

Thus, the fidelity can be estimated by $\hat{f}(\theta)$:

$$\begin{split} \hat{f}(\theta) &= \frac{1}{N_{\text{snaps}}} \sum_{i=1}^{N_{\text{snaps}}} \operatorname{Tr}(\rho_{\text{target}} \hat{\rho}_i) \\ &= \frac{1}{N_{\text{snaps}}} \sum_{i=1}^{N_{\text{snaps}}} (2^n + 1) \langle \hat{b}_i | U_i \rho_{\text{target}} U_i^{\dagger} | \hat{b}_i \rangle - \hat{\Gamma}^{6} \end{split}$$

Mitsuda et al. (2024) used ACAE to perform amplitude encoding of data for a quantum classifier.

3 RELATED WORK

Tilly et al. (2022) provide a comprehensive review of the VQE as well as methods and best practices related to it, however, not explicitly focusing on warm-starts for the VQE. Truger et al. (2024) conducted a mapping study for research on warm-starting techniques in the quantum computing domain, including warmstarts applicable to the VQE: Zhang et al. (2021) propose an adaptive construction of Ansatz circuits that takes information obtained from a classical approximation into account. Grimsley et al. (2023) propose dynamically growing the Ansatz during the execution of the VQE and recycling previous parameterizations. Moreover, the VQE is compatible with meta-learning, i.e., classical machine learning models trained to take over the task of the optimizer in VQAs (Verdon et al., 2019; Wilson et al., 2021), and plugging together multiple optimization steps that utilize optimized parameters from one step to initialize the next (Tao et al., 2023). Most relevant to our work, various techniques for the parameter initialization of the VQE have been proposed. Machine learning enables generating circuits and viable initial parameter values for each problem instance, that can be further optimized (Dborin et al., 2022; Rudolph et al., 2023). Exploiting the classically feasible simulation of Clifford circuits can yield viable parameter initializations for the VQE (Ravi et al., 2022; Mitarai et al., 2022). Other approaches try to obtain viable parameter initializations for a parameterized Hamiltonian, thus taking advantage of the continuity of the problem to obtain initializations for any parameterization of the



Figure 1: Shaping WS-VQE: **a**) The standard VQE without warm-start. **b**) A simple warm-start for the VQE using amplitude encoding to prepend a biased initial state based on an approximate eigenvector \vec{v} to the VQE Ansatz. **c**) A warm-start for the VQE that prepends a pretrained ACAE Ansatz instead of amplitude encoding. **d**) Our approach, a warm-start for VQE based on ACAE pretraining of the VQE Ansatz to approximately encode a given approximate eigenvector.

Hamiltonian (Cervera-Lierta et al., 2021; Harwood et al., 2022). Similarly, the VQE has been shown to be compatible with parameter transfers, where optimized parameters from one instance are reused to initialize the algorithm for a similar problem instance (Skogh et al., 2023; Kanno and Tada, 2021).

Apart from these concrete techniques, the *Quokka* ecosystem, which enables the utilization of workflow technology for the service-based execution of VQAs, includes a *warm-starting service*, that facilitates the precomputation of parameter initializations and biased initial states in quantum workflows (Beisel et al., 2023). Our approach for WS-VQE in this work is different from the aforementioned warm-starts in that it is compatible with any given approximation of the problem and Ansatz for the VQE. Moreover, since it does not require changes to the VQE, it is also compatible with adaptations of the VQE, including some of the aforementioned methods.

Furthermore, the VQE's measurements could be implemented based on classical shadows (Tilly et al., 2022). A derandomized variant of classical shadows has shown potential in experiments (Huang et al., 2021). However, the performance compared to the grouping of Pauli strings and other grouping methods is still unclear (Tilly et al., 2022). In any case, WS-VQE is also compatible with VQE implementations based on classical shadows.

4 WARM-STARTING THE VQE

In this section, we introduce our approach for warmstarted VQE with ACAE (WS-VQE). First, we discuss a simple warm-start for the VQE via a biased initial state. Then, we explain how ACAE helps in converting the impractical biased initial state into a practically usable parameter initialization for the VQE, hence shaping WS-VQE as illustrated in Figure 1.

4.1 Biased Initial State

Figure 1a) depicts the circuit of the standard VQE consisting of the Ansatz and measurements that depend on the problem instance, a Hermitian *H*. Given an approximation \vec{v} for an eigenvector of *H* that corresponds to *H*'s lowest eigenvalue, a simple warm-start for VQE consists of prepending the amplitude encoding of \vec{v} to the Ansatz as shown in Figure 1b) and starting the optimization with the variational parameters initialized to $\vec{0}$. Intuitively, this warm-start can be viable since the optimized Ansatz of VQE encodes the eigenvector corresponding to the lowest eigenvalue of *H*. Therefore, the encoded approximate eigenvector \vec{v} and associated solution quality are trivially retained as long as the VQE's Ansatz parameterization results in the identity. In turn, the solution can be improved

from this state by optimizing the variational parameters. However, the VQE Ansatz may therefore only contain gates that cancel out through neutralizing parameter values, typically 0, or cancel out each other, such as CNOT gates uncomputing each other. But also other parameter initializations evaluating to the identity are conceivable (Grant et al., 2019).

Amplitude encoding is impractical due to unfavorable scaling, as detailed in Section 2.2. As Figure 1c) shows, approximately encoding \vec{v} with ACAE yields an alternative biased initial state. However, the restrictions for VQE's Ansatz remain when a pretrained ACAE Ansatz is prepended to it.

4.2 Parameter Initialization

The restrictions for the VQE's Ansatz mentioned above can be circumvented by utilizing ACAE's approximate encoding to obtain a parameter initialization for the VQE instead of a biased initial state, which is illustrated in Figure 1d). Since both the VQE and ACAE are VQAs with a relatively free choice for the Ansatz (see Section 2), the VQE's Ansatz can be pretrained using ACAE to encode \vec{v} and thereby obtain initial parameters for the VOE. Hence, the main idea of WS-VQE is to use the same Ansatz for both the VQE and ACAE, and conduct a two-step optimization of the Ansatz: First, ACAE starts with a random parameter initialization to determine parameters for the Ansatz that approximately encode \vec{v} . Then, the VQE is initialized with the parameters obtained for the encoding of \vec{v} and continues optimizing the Ansatz to improve the solution. The main advantage of using ACAE instead of starting the VQE training from scratch is that ACAE can be expected to require fewer measurements. Recall, that the VQE requires multiple measurement circuits for different Pauli strings to estimate the expectation value of H, each measurement circuit consuming N_{shots} shots in every iteration, whereas ACAE utilizes classical shadows consuming N_{snaps} single-shot snapshots to estimate the fidelity. Thus, depending on H's composition, pretraining the Ansatz with ACAE may be significantly cheaper than training the VQE directly in terms of overall shots.

WS-VQE in the remainder of this paper refers to the this parameter initialization from ACAE pretraining (Figure 1d)).

5 EVALUATION

This section first provides all necessary details on the evaluation's setup and configuration before comparing the results obtained for both VQE and WS- VQE. Afterward, optimization landscapes of VQE and ACAE are analyzed to provide deeper insights into WS-VQE's two-step optimization. Finally, we examine the scalability prospects of WS-VQE.

5.1 Setup and Configurations

We describe the problem instances, approximations, Ansatz, number of shots, and optimizer used for evaluation.

5.1.1 Problem Instances

For the evaluation of our approach, we generated 500 problem instances to examine VQE and WS-VQE configurations. Each problem instance is a randomly generated 8×8 Hermitian matrix. The matrices are sparse, with 50% probability for each entry of being either zero or a random complex number in the interval [-5,5] + [-5,5]i. Reference eigenvalues were computed using the NumPyMinimumEigensolver provided by Qiskit (Qiskit Contributors, 2023). Thus, approximation ratios can be computed as $r_{appr} = \frac{\lambda}{\lambda_{ref}}$, where λ is an eigenvalue computed by VQE and λ_{ref} the instance's classically computed minimum eigenvalue. Hence, $r_{appr} = 1$ corresponds to an optimum.

5.1.2 Classical Approximation

For WS-VQE, we utilize a simple classical approximation of an eigenvector corresponding to the lowest eigenvalue based on the power method (Quarteroni et al., 2006). First, we apply the Gershgorin circle theorem (Gershgorin, 1931) to determine a lower bound for the eigenvalues of H. The theorem implies that each eigenvalue of H is located within either of the circles surrounding H_{ii} with a radius of $R_i = \sum_{k \neq i} |H_{ik}|$. Based on the lower bound $\mu =$ $\min\{H_{ii} - R_i\}$, the inverse power method allows us to obtain an approximation of an eigenvector to the eigenvalue closest to μ , i.e., an approximate eigenvector to the lowest eigenvalue of H. Starting with a random initial vector $q_{(0)}$, approximating the eigenvector boils down to Equation (7). We provide $q_{(3)}$ as approximate eigenvector to WS-VQE.

$$M = (H - \mu I)^{-1}$$

$$z_{(k)} = Mq_{(k-1)}$$

$$q_{(k)} = \frac{z_{(k)}}{||z_{(k)}||_2}$$
(7)

5.1.3 Ansatz and VQE Implementation

We employ Qiskit's hardware efficient SU(2) Ansatz (EfficientSU2), a multipurpose Ansatz that has

$q_0: -\mathbf{R}_{\mathbf{Y}}(\mathbf{\theta}_0)$	$-R_{Z}(\theta_{3})-$	 • • • •	$R_{Y}(\theta_{6})$	$R_{Z}(\theta_{9})$	 	$-\mathbf{R}_{\mathbf{Y}}(\mathbf{\theta}_{12})$	$R_Z(\theta_{15})$ —
$q_1: - \mathbf{R}_{\mathbf{Y}}(\mathbf{\theta}_1)$	$R_{Z}(\theta_{4})$		$-R_{Y}(\theta_{7})-$	$R_{Z}(\theta_{10})$ –		$-\mathbf{R}_{\mathbf{Y}}(\mathbf{\theta}_{13})$	$R_Z(\theta_{16})$
$q_2: -\mathbf{R}_{\mathbf{Y}}(\mathbf{\theta}_2)$	$-R_Z(\theta_5)$	$\downarrow \oplus _ \downarrow$	$-R_{Y}(\theta_{8})-$	$R_{Z}(\theta_{11})$ –		$-R_{Y}(\theta_{14})-$	$R_Z(\theta_{17})$ —

Figure 2: Qiskit's hardware efficient SU(2) Ansatz (EfficientSU2) with two repetitions as used in the evaluation.

been shown to be sufficiently expressive to prepare arbitrary quantum states (Funcke et al., 2021). It consists of alternating rotation and entanglement blocks as depicted in Figure 2. Particularly, we generate the Ansatz with two repetitions of the alternating layers and a final rotation layer, resulting in a total of 18 variational parameters. Two repetitions have been shown to provide a maximally expressive Ansatz for 3 qubits (Funcke et al., 2021). Moreover, we utilize the VQE implementation and a noiseless quantum simulator provided by Qiskit for all executions of the VQE.

5.1.4 Shots and Snapshots

Following preliminary experiments summarized in Figure 3 we estimated that $N_{\text{shots}} = 200$ in VQE's circuit executions yields a reasonable cost-benefit ratio. Moreover, we take $N_{\text{snaps}} = 400$ snapshots, i.e., N_{snaps} single shots, for the fidelity estimation in ACAE to attain sufficient accuracy. For simplicity, we reduce the classical effort of the random Clifford measurements in ACAE by using the same N_{snaps} unitaries throughout the optimization instead of generating new random Clifford unitaries in each iteration. The Clifford operators are sampled uniformly at random using Qiskit's implementation of Bravyi and Maslov's method (Bravyi and Maslov, 2021).

As mentioned above, computing the expectation value in the VQE consumes N_{shots} shots for multi-



Figure 3: Median approximation ratio (horizontal markers) reached after 80 iterations of VQE on 1000 random problem instances per number of shots. Statistical sampling errors cause some erroneous approximation ratios above 1.0, which fade with the increasing number of shots.

ple measurement circuits, whereas ACAE consumes only N_{snaps} shots to estimate the fidelity. For the presentation of our evaluation results for WS-VQE, we prepend ACAE iterations of the pretraining to the subsequent VQE iterations. To account for the different quantum computational effort, we rescale ACAE iterations to an equivalent of VQE iterations with at least the same total number of shots. For instance, assuming one function evaluation per iteration, 20 iterations of ACAE pretraining consume 400 shots each, i.e., 8000 shots in total and would be counted as an equivalent of 3 VQE iterations, where 15 measurement circuits consume 200 shots each, i.e., 9000 shots in total. As this example illustrates, WS-VQE's performance is slightly underestimated in our evaluation to achieve an easier comparison based on VQE iterations.

5.1.5 Parameter Initialization

All initial ACAE and VQE parameter values are drawn uniformly at random from $[-\pi,\pi]$.

5.1.6 Optimizer Configuration

We employ the gradient-free COBYLA (Powell, 1994) as the classical optimizer in both ACAE and VQE. COBYLA is known to perform reasonably well in noisefree simulation of VQAs (Pellow-Jarman et al., 2021). For the pretraining of ACAE, we allow up to 50 iterations, whereas we execute up to 100 iterations for each run of the VQE. Moreover, we set the initial step size parameter rhobeg (ρ) of COBYLA to $\rho_{ACAE} = \frac{1}{4}\pi$ for ACAE and $\rho_{VOE} = \frac{3}{8}\pi$ for the VQE following our observations in preliminary experiments. For WS-VQE, we assume that a reduction of ρ is beneficial due to the head start provided by ACAE pretraining. Therefore, we expect WS-VOE to start closer to an optimum and require smaller changes to the parameters. To confirm this assumption, we run WS-VQE with both ρ_{VQE} and $\rho_{WS-VQE}^{static} = \frac{1}{2} \cdot \rho_{VQE}$. In addition, we consider a dynamic setting where $\boldsymbol{\rho}$ depends on the final estimated fidelity f_{final} achieved during ACAE pretraining. A fidelity close to 1 indicates a successful encoding of the approximated eigenvector, and therefore smaller steps should be required during the subsequent execution of the VQE. In contrast, a fidelity close to 0 indicates less successful pretraining and therefore more leeway should be given to the optimizer, since it may start farther from a global optimum. This is taken into account by setting $\rho_{WS-VQE}^{dynamic} = \frac{1}{f_{final}} \cdot \frac{1}{4} \cdot \rho_{VQE}$. Therefore, $\rho_{WS-VQE}^{dynamic}$ ranges from $\frac{1}{4} \cdot \rho_{VQE}$ in case that f_{final} is 1, i.e., perfect ACAE, over $\frac{1}{2} \cdot \rho_{VQE} (= \rho_{WS-VQE}^{static})$ in the case $f_{final} = 0.5$ and ρ_{VQE} for $f_{final} = 0.25$ to virtually ∞ in the worst case.

5.1.7 Reproducibility

Our WS-VQE implementation for the evaluation as well as all detailed results can be found in the GitHub repository associated with this work (Truger and Obst, 2024).

5.2 Results

Figure 4 shows the progress of the optimization of the VQE and WS-VQE with different optimizer configurations as stipulated above. Evidently, utilizing ACAE for the parameter initialization in WS-VQE significantly improves the median approximation ratio over standard VQE. While the median approximation ratio after 20 iterations reaches just above 0.3 for the VQE, the WS-VQE variant can reach a median approximation ratio well above 0.5, depending on the optimizer configuration. The final median approximation ratios obtained with WS-VQE are also above those of the standard VQE. Moreover, the different optimizer configurations for WS-VQE discussed above significantly impact its performance. As Figure 4 shows, WS-VQE optimized with ρ_{VQE} reaches lower median approximation ratios than standard VQE for a portion of the early iterations. In contrast, WS-VQE/ ρ_{WS-VOE}^{static} , where COBYLA's ρ



Figure 4: Progress of the (WS-)VQE optimization: Median approximation ratio after each iteration $i \in \{20, ..., 100\}$ for the 500 problem instances (see Section 5.1.1) with different initial step sizes ρ , as declared in Section 5.1.6.

parameter is halved, performs significantly better up until just above 65 iterations where it starts to overlap with WS-VQE/ ρ_{VQE} . Median approximation ratios obtained with WS-VQE/ $\rho_{WS-VQE}^{dynamic}$ are above those of all other variants until they also start to overlap with WS-VQE/ ρ_{WS-VQE}^{static} in the 50th iteration. These results confirm our assumptions regarding different optimizer settings for WS-VQE. However, the differences appear to fade as the optimization progresses. Moreover, the results of VQE/ ρ_{WS-VQE}^{static} , which we included for comparison, show that the advantages of WS-VQE are not merely due to the optimizer setting.

5.3 Optimization Landscape Analysis

Next, we further analyze WS-VQE's two-step optimization by illustrating parts of the parameter space for the variational optimization. The optimization landscapes plotted in Figure 5 show expectation values and fidelities in a random two-dimensional slice of the parameter space of the Ansatz depicted in Figure 2. Values for parameters not annotated on the axes were selected uniformly at random for each problem instance. Expectation values and fidelities, respectively, were evaluated for each point of an equidistant grid in the space $[-\pi,\pi] \times [-\pi,\pi]$ with a distance of $\frac{\pi}{20}$. The problem instances and approximate eigenvectors are identical to the first three instances from the experiments above (cf. Figure 4). The approximation ratios are 0.99, 0.86, and 0.76, respectively. For each problem instance (rows in Figure 5), four different properties are shown in the respective slice of the parameter space (columns in Figure 5): (i) the expectation value for VQE as per Equation (1), (ii) the estimated fidelity for ACAE as per Equation (6) of the respective quantum state prepared by the Ansatz to the approximate eigenvector $\vec{v}_{appr.}$, (iii) the actual fidelity of the quantum state to \vec{v}_{appr} , and (iv) the actual fidelity of the quantum state to an optimal reference eigenvector $\vec{v}_{opt.}$. As in the experiments above, the VQE expectation values were computed from 200 shots per circuit, whereas the fidelity was estimated based on 400 snapshots. The circuits were executed on a noiseless simulator, actual fidelities were determined based on state vector simulation in Qiskit.

Due to the construction of WS-VQE, the parameters are optimized for the estimated fidelity of ACAE (second column) first, before the optimization continues for the expectation value of VQE (first column). Therefore, it is essential for WS-VQE that a high fidelity is observed in proximity to low expectation values. Figure 5 illustrates a proximity of the respective extrema of both properties in the parameter space, which depends on the quality of the approxi-



Figure 5: Slices of the parameter space of VQE and ACAE (left) for three different problem instances as compared to the actual fidelity of the quantum states prepared by the Ansatz to the approximate and an optimal eigenvector of the instance, \vec{v}_{approx} , and \vec{v}_{opt} , (right). Each line corresponds to a problem instance as described in Section 5.1.1 for which a random two-dimensional slice of the full 18-dimensional parameter space of the Ansatz depicted in Figure 2 is plotted. The other parameters were chosen uniformly at random from $[-\pi,\pi]$. Labels on the color bars indicate minimal and maximal values.

mation. For example, the minimal expectation value for problem 1 appears at parameter values very close to those of the maximum estimated and actual fidelity to the approximate eigenvector. Since the approximate eigenvectors for problems 2 and 3 are of lower quality, the similarities appear to fade progressively. Meanwhile, the actual fidelity to an optimal eigenvector (rightmost column) appears to still exhibit its maximum at a similar location for problem 2. However, since only one single optimal eigenvector is considered in the illustration of the landscapes, the extrema of the fidelity do not necessarily coincide with those of VQE, as is the case for problem 3. We assume that there are other optimal or near optimal eigenvectors that make the minima of the expectation value appear in a different position. In other words, the quantum state of the fidelity maximum for the specific optimal eigenvector of problem 3 may have less influence on the expectation value than other solutions. This is also consistent with the state fidelity to this eigenvector reaching a maximum value of only 0.22, as compared to higher values for the other problems.

One particularly noteworthy observation in Figure 5 is that all fidelities have only one clearly pronounced maximum in the respective two-dimensional space (for estimated fidelity: an area where high values concentrate). For this observation the periodicity of the analyzed parameter space with a period of 2π , due to the simple rotation gates of the ansatz, needs to be taken into account. In contrast, the expectation values exhibit local minima. Together with a proximity of fidelity maxima for good approximate eigenvectors and expectation minima, this indicates that WS-VQE might be able to avoid local optima due to initial parameter optimization based on estimated fidelity.

5.4 Scalibility

To assess WS-VQE's scalability, we repeated the experiments of Section 5.2 for larger sizes of matrices and with adjusted hyperparameters to account for the larger problem instances. In particular, we generated 100 random 32×32 Hermitian matrices, i.e., matrices with 1024 entries each, with a sparsity of 90%, increased N_{snaps} to 800 and N_{shots} to 400, and allowed for 100 iterations in ACAE and 200 iterations in VQE optimization. Also the circuit depth, i.e., repetitions in the Ansatz, has been doubled from two to four. In addition, the optimizer's parameters have been updated to $\rho'_{\text{VQE}} = \frac{1}{2}\rho_{\text{VQE}} = \frac{3}{16}\pi$, $\rho'_{\text{WS-VQE}}^{\text{static}} = \frac{3}{24}\pi$, and

Table 1: Median final approximation ratio for 100 random 32×32 problem instances reached after a maximum of 200 VQE iterations, including ACAE pretraining for WS-VQE, as described in Section 5.1.4.

Config. Variant	ρ_{VQE}^\prime	ρ'_{WS-VQE}^{static}	$\rho'^{dynamic}_{WS-VQE}$
VQE	0.518	0.481	-
WS-VQE	0.530	0.507	0.548

 $\rho'_{\text{WS-VQE}}^{\text{dynamic}} = \frac{3}{64}\pi$. The approximate eigenvector was $q_{(12)}$ for the warm-start as per Equation (7).

The results are summarized by median final approximation ratios for each variant's parameterization presented in Table 1. These results indicate that the advantage of the warm-start persists also with increasing problem instances. In particular, WS-VQE reached higher approximation ratios for both values of the initial step size parameter ρ . Also the dynamic initial step size persisted as the most beneficial regime for setting this hyperparameter in WS-VQE. However, the results in Table 1 indicate that further adjustment of ρ may be needed, since the decrease from ρ'_{VQE} to ρ'_{WS-VQE}^{static} lead to a reduced approximation ratio in both cases and the overall performance decreased significantly compared to the results of Section 5.2, although the resources have been increased.

6 DISCUSSION

The evaluation shows that WS-VQE can be worthwhile, but a few caveats remain to be discussed.

6.1 Quality of the Approximation

Clearly, the success of WS-VOE depends on the quality of the approximations fed to the algorithm. On average, the approximations corresponded to an approximation ratio around 0.850 ($\sigma = 0.126$) in our evaluation in Section 5.2 and 0.911 ($\sigma = 0.052$) in Section 5.4. We utilized a simple, but not generally feasible approximation for eigenvectors, as the inverse power method requires matrix inversion or solving linear systems of equations, which incur a cost of cubic complexity similar to that of the eigenvalue problem itself (Pan and Chen, 1999). Therefore, it would be desirable to utilize more efficient approximations. These could be obtained, e.g., from previous solutions of periodically recurring problems with only little changes to the matrix, which are well-conditioned according to the Bauer-Fike theorem (Bauer and Fike, 1960; Quarteroni et al., 2006). We emphasize that our focus is on the warm-starting technique behind WS-VQE; we do not intend to prove a quantum advantage.

6.2 Performance of ACAE

Another important factor in WS-VQE is the performance of ACAE. In our evaluation, we observed an average estimated fidelity of 0.625 ($\sigma = 0.230$) achieved within the 50 ACAE iterations in Section 5.2 and 0.197 ($\sigma=0.097)$ within the 100 ACAE iterations in Section 5.4. Although the approximations obtained from the inverse power method were of relatively high quality, the low average fidelity indicates that ACAE was hardly able to retain this quality in its encoding. Despite the seemingly low fidelity, WS-VOE still surpassed the performance of standard VOE. However, another allocation of quantum resources for ACAE and WS-VQE, respectively, in particular providing more resources for the optimization of ACAE, could yield a higher fidelity and, thus, better results. Moreover, further adjustment of ACAE and its hyperparameters may be needed to improve the quality of the encoding.

6.3 Expressivity of the Ansatz

An important prerequisite of the VQE is an Ansatz that is tunable to prepare the desired optimal solution. This translates to the requirements of expressivity and trainability, i.e., the Ansatz needs to be expressive enough to capture the optimal solution, but simple enough that its parameter space can be traversed by the optimizer to reach an optimum (Funcke et al., 2021; Du et al., 2022). Likewise, the ACAE requires an expressive and trainable Ansatz for the encoding of complex amplitudes. Although the Ansätze for the VQE and ACAE in principle need not be identical, WS-VQE implicitly assumes that an Ansatz for the VQE is also suitable to encode an approximate eigenvector with ACAE. This assumption appears reasonable, particularly since ACAE only aims for an approximate encoding. Provided that the VQE Ansatz is expressive, i.e., able to capture the optimum, and trainable, which entails the ability to reach states "surrounding" the optimum during the optimization toward it, and that the approximate solution \vec{v} is sufficiently close to the optimal solution, the same Ansatz should also suffice to approximately encode \vec{v} .

6.4 Choice of Classical Optimizers

The choice of the classical optimizer in VQE has significant impact on the efficiency and performance, as it directly affects the number of measurements and iterations required and can mitigate adverse effects (Tilly et al., 2022). Particularly, gradient-free optimizers require significantly fewer function evaluations, as they omit evaluating points in the parameter space to compute gradients. For the evaluation, we used the same gradient-free optimizer for both ACAE and (WS-)VQE. However, it may be more beneficial to select different optimizers for each optimization, since the optimization landscapes of both algorithms may exhibit different properties, as was illustrated in Section 5.3. Moreover, some optimizers are known to be more resilient to the noise on quantum devices (Pellow-Jarman et al., 2021). Therefore, different optimizers may be required when executing (WS-)VQE on noisy quantum devices.

6.5 Mitigation of Adverse Effects

Parameter initializations have been shown to mitigate adverse effects in the optimization of VQAs (Grant et al., 2019; Lee et al., 2021). Particularly, barren plateaus, i.e., areas with vanishing gradients in the parameter space of the cost function, and local minima can be avoided with viable parameter initializations. Thus, the convergence of VQAs may improve significantly when optimization is started closer to a global optimum. We assume that the benefits of WS-VQE are partially due to the avoidance of adverse effects by means of a viable parameter initialization. This is supported by our analysis in Section 5.3, but additional evaluation is needed to quantify the mitigation.

6.6 VQE and Classical Shadows

As mentioned in Section 3, the idea of implementing the VQE based on classical shadows has emerged. WS-VQE also combines the VQE with classical shadows, albeit differently. Additionally, WS-VQE is fully compatible and complementary to a VQE implementation with classical shadows. Recall that ACAE requires only an estimated fidelity, that is obtained with classical shadows from relatively few random Clifford measurements. In contrast, the VQE may still require a multitude of measurements even when classical shadows are exploited, depending on the Pauli composition of the operator (Tilly et al., 2022). Hence, WS-VQE with ACAE pretraining could still be more cost-efficient than starting a VQE implementation with classical shadows from scratch.

6.7 Reuse of Clifford Unitaries

As mentioned in Section 5.1.4, we reduced the classical effort of the Clifford measurements in ACAE by reusing the unitaries for the classical snapshots throughout an optimization. Using the same unitaries for fidelity estimations throughout the optimization could lead to overfitting, i.e., the model could learn to accommodate the selected set of unitaries instead of actually improving the state fidelity. On the other hand, changing the unitaries in each iteration may increase the perturbation of the fidelity estimation, making the optimization more vulnerable. However, we used relatively many snapshots, which mitigates both potential problems to a certain extent. Moreover, our results indicate that the fidelity and encoding achieved by ACAE was sufficient to retain WS-VQE's performance benefit. As an alternative, the random Clifford unitaries could be sampled from a reduced set that effectively preserves the original quantum channel introduced in Section 2.2, thus reducing the sampling and compilation costs (Zhang et al., 2024).

6.8 Iterations and Queuing Times

Despite potentially recuding the total number of shots needed, WS-VQE increases the total number of iterations due to the pretraining. Since quantum computers are often available as shared resources through cloud services (Leymann et al., 2020; Vietz et al., 2021), queueing times for quantum circuit execution could increase with the number of iterations. Appropriate execution patterns supported by quantum cloud service providers mitigate this drawback by enabling prioritized execution of VQAs, removing the need to wait in queues for every iteration (Georg et al., 2023).

7 SUMMARY AND OUTLOOK

In this work, we proposed a warm-starting technique for the VQE that utilizes classical shadows. In particular, the warm-start is based on an approximate amplitude encoding of an approximate solution for the problem at hand. The proposed technique enables utilizing any given approximation of the problem that determines an (approximate) eigenvector to generate a beneficial parameter initialization for the VQE. WS-VQE is also compatible with different variants and improvements of the VQE, as it does not require any changes of the original algorithm or its quantum circuit. As shown in the evaluation, the VQE benefits from the warm-start in terms of a reduced quantum computational effort needed to reach a certain solution quality. In addition, the evaluation showed that adjusting the optimizer used in WS-VQE can further improve the performance. As an auxiliary result, we outlined a way to derive a parameter initialization from a biased initial state, which may serve as a blueprint for warm-starting other VQAs.

For future work, it remains to evaluate WS-VQE

in more detail and with real-world use cases to determine which applications could benefit from it. As Section 6 explained, changes in configuration and implementation could further improve the warmstarting technique. Moreover, we aim to analyze which other VQAs could benefit from this technique or adaptations. Furthermore, the Quokka ecosystem (Beisel et al., 2023) could be extended to integrate WS-VQE-like warm-starts. The Quokka's *warm-starting service* currently facilitates the *classical* precomputation of parameter initializations and biased initial states, which could be extended for WS-VQE's hybrid quantum-classical pretraining.

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