Comprehensive Traceability Framework for Synchronizing Design UML Sequence Diagrams with a BPMN Diagram

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Abstract: In contemporary software engineering, business process models (BPM) play a crucial role in the development of information systems (IS). However, a significant discrepancy arises, as only a limited number of systems align with their intended business processes, resulting in inconsistencies between economic and IS models. Recognizing this gap, our research introduces an explicit traceability methodology, expanding on a previous requirements traceability approach. The primary aim is to address the alignment and coevolution of dynamic viewpoints between software models and BPM. Initially, we establish a unified trace metamodel that encompasses elements from Business Process and Model Notation (BPMN) and Unified Modeling Language (UML), including use cases and design sequence diagrams. This metamodel establishes traceability links among interconnected elements. Following this, we instantiate these metamodels as BPMNTraceISM diagrams. The feasibility of our traceability method is affirmed through the implementation of a comprehensive graphic editor designed for the creation and visualization of BPMNTraceISM diagrams. Additionally, we demonstrate the effectiveness of our approach through testing in a case study.

1 INTRODUCTION

In modern software engineering process methodologies, the increasing significance of business process models (BPM) within information systems (IS) development cannot be overstated. These models play a pivotal role in ensuring a seamless alignment between the information system and the organization’s business processes. They enhance communication, streamline requirements analysis, and substantially contribute to the successful implementation and operation of the information system. Nevertheless, the prevalent development of these modeling approaches in isolation often results in a limited synchronization between them, underscoring the necessity to bridge this divide for the advancement of the field. Traceability is vital in software development, linking artifacts to reflect changes across a project. For instance, when requirements change, traceability identifies affected design artifacts, enhancing project deliverable quality. Although constructing such a model is challenging, it serves as a reference for coherent traceability links between heterogeneous models, ensuring integrity. This paper aims to address the disparity between BPM and IS models using a traceability mechanism. The focus remains on pivotal diagrams representing the Information System, encompassing the functional viewpoint through UML Use Case diagrams and the behavioral aspect depicted by Design Sequence diagrams (Specification, 2017) structured according to the Model View Controller (MVC) architectural pattern. Additionally, we use Business Process and Model Notation (BPMN) (Bpmn, 2008) for clarity in illustrating intricate business workflows.

Indeed, we propose a traceability framework that visually simplify the tracking of relationships between BPMN and UML artifacts. This framework introduces trace links as new modeling elements, emphasizing considerations at both the meta-model and model levels. This approach fosters collaboration between business and software design teams, streamlining the mapping of business activities to functional requirements and object behaviors, ensuring traceability and synchronization. Furthermore, the framework facilitates impact analysis by enabling the joint modeling of traceable elements, ensuring adjustments to related components.
We substantiate our contribution by implementing a visual modeling tool that supports the proposed traceability framework. Furthermore, we apply this tool to an example for validation.

This paper is organized as follows. Section 2 reviews relevant work. Section 3 describes our contributions. Section 4 and section 5 prove the practical and theoretical feasibility of our contributions. Finally, section 6 concludes the paper and presents our outlooks.

2 RELATED WORK

The survey of related work categorizes approaches to establishing traceability between different model elements into two main groups. The first group consists of approaches defining internal or implicit traceability models, where the traceability model is integrated with the source model(s) it traces. These approaches often utilize transformation models, exploring various types such as vertical/horizontal and endogenous/exogenous. However, implicit traceability has limitations, including fixed generating processes and challenges in achieving complete models, as discussed by (Kleppa et al., 2003).

Within this category, some approaches focus on generating UML diagrams from user requirements specified informally (Ghaffari et al., 2023) and (Lidardo, 2023), or from BPMN (Khelf et al., 2022), (Khalfoum et al., 2023). However, these approaches tend to concentrate solely on software models. Despite maintaining bidirectional trace links, this method predominantly focuses on transformed concepts and is limited to business modeling.

In the second category, explicit traceability models are defined through two methodologies: (i) creating a separate external traceability model based on transformation models and (ii) manually defining external traceability. The first methodology involves storing trace links in an external traceability model conforming to traceability meta-models (Haidar et al., 2017; Qiao et al., 2023). The second methodology requires manual definition of traceability links in the form of a separate trace model (Meier and Winter, 2018).

Contributions related to manual external traceability model definition include studies defining integrated meta-models of enterprise architecture (EA) levels (Moreira and Maciel, 2017), ensuring existing artifacts conform to meta-models (Meier and Winter, 2018). However, challenges arise in adapting generic traceability meta-models to specific methods or modeling language contexts.

While existing approaches focus on aligning BPMN and UML use case models, there is a notable absence of explicit or external trace models or meta-models specifically addressing traceability between BPMN and UML sequence diagrams. This highlights the need for adaptable guidelines and approaches that can address challenges within specific modeling languages and methods.

3 OUR CONTRIBUTIONS

This study tackles the challenges in aligning business and software domains through the simplification of software model creation based on business models. To overcome this challenge, we propose a traceability method that operates at both the metamodel and model levels. This method introduces a unified metamodel and a traceability model, guiding the establishment of connections between existing artifacts and effectively linking business models with information system models.

At the metamodel level, the integration involves combining BPMN and UML elements, including use case models and design sequence diagram elements structured according to the MVC architectural pattern. This integration results in a cohesive metamodel that enhances traceability.

At the model level, the consolidated model, termed BPMNTraceISM, incorporates BPMN and UML elements, as well as traceability links, facilitating the visualization and analysis of tracked components. Furthermore, it supports the validation of changes before their propagation to source models.

3.1 Integration of BPMN and UML Meta-Models

At the outset of our research, we concentrate on integrating BPMN and UML meta-models. Our main goal is to match the metaclasses of the BPMN meta-model with their overlapped metaclasses of the UML design sequence diagram and use case model. This alignment serves to strengthen the traceability connections between BPMN and UML concepts. Our integrated tracking metamodel serves as a cohesive framework that consolidates all BPMN and UML concepts into a single, unified metamodel. Moreover, it defines new metaclasses and trace links, which are intentionally created to fully integrate BPMN and UML concepts and effectively and transparently represent traceable connections between them.

The process of creating an integrated metamodel consists of two basic phases. To construct a coher-
ent integrated meta-model that contains both BPMN and UML elements; we begin the process by identifying the semantic connections between their elements. In literature, we have identified two relevant studies (Bouzidi et al., 2020) and (Bouzidi et al., 2017), which proposed MDA transformation models of a BPMN and UML use case diagram and design sequence diagram structured according to the MVC pattern basing on semantic mappings between them. Then, we define a strategy that allows to connect BPMN and UML concepts without changing their initial meaning. In the rest of this section, we detail the process of construction of BPMN-use case diagram, and BPMN-Sequence diagram traceability.

3.1.1 Traceability of the Use Case Meta-Model and the BPMN Meta-Model

To achieve traceability in BPMN-use case diagrams, the initial step involves establishing or identifying suitable mappings between BPM and use case diagrams. Numerous works in the literature have already delineated pertinent mappings for this purpose. Table 1 illustrates the mapping of the BPMN and the UML use case model meta-classes token from (Bouzidi et al., 2017) and served as the foundation for establishing traceability between BPMN and use case diagram artifacts.

Once BPMN-use case diagram mapping is identified, we define a strategy that allows to connect BPMN and UML concepts without changing their initial meaning. Indeed, we propose a mechanism to maintain the semantics of coupled concepts by introducing new metaclasses or associations, which represent trace links. These trace links serve as channels for building relationships at the metamodel level, with an inheritance link connecting the new metaclasses to their elements. This inheritance relationship is fundamental to the inheritance of the characteristics of overlapping concepts, allowing them to be used together without changing their original meaning.

A portion of the integrated traceability metamodel, specifically designed to establish traceability between BPMN metamodels and use case diagrams, is shown in Figure 1. In this excerpt, BPMN concepts are represented by white metaclasses, the diagram elements of UML use cases are represented by light gray. Newly introduced metaclasses and concepts are indicated by dark gray metaclasses. Blue associations indicate new links being followed, while black associations indicate existing connections.

To express the traceability between BPMN and use case diagram concepts, we have introduced the following new meta-classes:

- **AUActor** and **OUPackage**: "Organizational-

<table>
<thead>
<tr>
<th>UML concept</th>
<th>BPMN concept</th>
</tr>
</thead>
<tbody>
<tr>
<td>Package</td>
<td>Empty Lane (a lane including other sub-lanes)</td>
</tr>
<tr>
<td>Actor</td>
<td>Non empty Lane (that does not contain other sub-lanes)</td>
</tr>
<tr>
<td>Use case</td>
<td>Fragment represented by a sequence of BPMN artefacts that is performed by the same role and manipulates the same item aware element (business object, input data, data store, data state)</td>
</tr>
<tr>
<td>Extends</td>
<td>Exclusive Gateway between two different fragments</td>
</tr>
<tr>
<td>Association</td>
<td>Fragment within the lowest nesting level of sub-lanes</td>
</tr>
<tr>
<td>Includes</td>
<td>Redundant Fragment (that appears multiple Times in the BPMN model)</td>
</tr>
<tr>
<td>Extends</td>
<td>Inclusive Gateway between two different fragments</td>
</tr>
<tr>
<td>Extension Point</td>
<td>Condition of sequence Flow + the name of the fragment that represents the extending use case</td>
</tr>
</tbody>
</table>

**Unit-Actor** " (OUActor) is a new metaclass that inherits key properties of UML actors and BPMN lanes and serves as a cohesive element that aligns lane functions and actors while maintaining their integrity semantics. Additionally, "Organizational-Unit-Package" (OUPackage) is a new metaclass designed specifically for establishing traceability connections between BPMN lanes and rowsets and use case packages. This recognition recognizes their parallel roles within modeling frameworks.

- **Fragment**: as sequences executed by performers, consuming resources, and yielding a product. In the integrated tracking metamodel, fragments are instances of the Fragment metaclass. The establishment of an aggregation relationship (1:*1) enhances traceability, allowing subprocesses to potentially contain multiple mandatory fragments, with added associations reinforcing connections to participants and data objects.

- **Use Case Supporting Fragment (UCsF)**: "Use Case Supporting Fragment" (UCsF) specializes in modeling computer systems. UCSF inherits the use case and establishes a composition relationship with the Fragment metaclasses. This relationship ensures that any changes made to UCsF
are reflected in the appropriate use case and sequence of BPMN elements, promoting transparent consistency.

3.1.2 Traceability of the Design Sequence Diagram Meta-Model and the BPMN Meta-Model

To establish traceability between BPMN and the design sequence diagrams, the first crucial step involves defining or identifying appropriate mappings between BPMN and conceptual sequence diagrams. In existing literature, (Bouzidi et al., 2020) have developed a semantic mapping between BPMN and UML artifacts, accompanied by model transformations from BPMN to design sequence diagrams structured according to the MVC design pattern. These transformations include mapping BPMN looping activities to looping combined fragments, representing an empty lane as an actor, and more. This paper leverages the semantic mappings established by (Bouzidi et al., 2020) to establish traceability between BPMN and design sequence diagrams. This detailed mapping can be found in Table 2, which provides a clear and concise overview of the relationships between the relevant concepts of BPMN and the design sequence diagram structured according to the MVC architectural pattern.

(Bouzidi et al., 2020) proposed BPMN-to-sequence diagram transformation rules that operate on each element of a canonical fragment $F$. Therefore, this mapping is devoted to each fragment in the BPMN model. Moreover, the sequence diagram meta-model concepts are structured according to the MVC design pattern because the proposed BPMN-to-

Table 2: Mapping of BPMN and UML sequence diagram meta-model concepts.

<table>
<thead>
<tr>
<th>BPMN concept</th>
<th>UML concept</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fragment</td>
<td>Interaction (frame) , Control lifeline , Boundary lifeline , Entity lifeline</td>
</tr>
<tr>
<td>Empty lane/ Empty pool</td>
<td>Actor</td>
</tr>
<tr>
<td>Data input/ Data output/ Data object/ Data store</td>
<td>Entity lifeline</td>
</tr>
<tr>
<td>Automated task(user task, send task, receive task, service task, business rule task, script task)</td>
<td>Message</td>
</tr>
<tr>
<td>Signal event</td>
<td>Message</td>
</tr>
<tr>
<td>Error event/ Cancel event</td>
<td>Control lifeline , Boundary lifeline , Message , Combined fragment , Interaction operator Break</td>
</tr>
<tr>
<td>Compensate event</td>
<td>Control lifeline , Boundary lifeline , Message</td>
</tr>
<tr>
<td>Loop task</td>
<td>Combined fragment , Interaction operator Iteration (loop)</td>
</tr>
<tr>
<td>Exclusive/ Inclusive gateway</td>
<td>Combined fragment , Interaction operator Alternative(Alt)</td>
</tr>
<tr>
<td>Parallel gateway</td>
<td>Combined fragment , Interaction operator Parallel(Par)</td>
</tr>
<tr>
<td>Task that appears in multiple fragments , Inclusive/ Exclusive gateway between two different fragments</td>
<td>Interaction use</td>
</tr>
</tbody>
</table>
sequence diagram transformation rules base on this pattern. In addition, the majority of the relationships between the overlapping concepts in the BPMN and UML sequence diagram meta-models are one-to-
many or many-to-many (cf. table 2. This is primarily caused by the significant degree of heterogeneity between the BPMN and the sequence diagram artefacts. In order to simplify our explicit trace meta-model, we have restricted our mapping to the definition of trace links in the form of new associations rather than new traceability meta-classes. The aforementioned trace meta-classes are used once more to define traceability between BPMN and conception sequence diagram concepts.

Figure 2 shows an excerpt from the meta-model used to trace the sequence diagram and BPMN meta-
models. It covers the fundamental artifacts of BPMN, the sequence diagram meta-model, and reused traceability concepts for the sake of readability.

It’s important to mention that all use case concept, BPMN concepts, traceability links, and existing associations defined in the integrated trace metamodel are not presented in this extract of the explicit trace metamodel remain valid.

In this extract of the explicit trace metamodel, white meta-classes represent the BPMN concepts, violet meta-classes represent the MVC design pattern-compliant conception sequence diagram meta-model concepts, whereas dark grey meta-classes are used to represent new concepts. The existing associations are represented by the black associations, while the new proposed trace links are represented by the blue associations.

Notable aspects of these traceability efforts include:

- **Targeted Trace Links**: The mapping establishes specific trace links between related concepts from BPMN and sequence diagram meta-models. For instance, it defines associations connecting Loop combined fragments with activity meta-classes, indicating how loops in BPMN correspond to activities in sequence diagrams.

- **Associations with Multiplicity**: The multiplicity of associations reflects the different nature of the relationships between concepts. For example, trace links from activation and exclusive gateways to Alt (connected shards) are set to a multiplicity of 1.*, meaning that each port in the fragment corresponds to at least one linked "Alt" fragment.

- **Composition for End-to-End Integration**: Composition links between Use Case Support Fragments (UCsF) and sequence diagram elements demonstrate the end-to-end integration of these elements. UCsF can contain linked lifelines, messages and fragments, effectively representing the fragment components supported by a use case.

### 3.2 Instantiating the Integrated Traceability Metamodel

The second phase of the method focuses on establishing traceability between BPMN and UML artifacts at the model level. It introduces the BPMNTraceISM diagram, a derivative of the integrated tracing metamodel, serving as a unified platform for designing relationships between BPMN and UML components. This diagram enables transparent display and querying of traceability information, enhancing analytical efficiency, reducing effort in identifying changes, and providing a foundation for accurate planning and cost estimation of business model modifications. The BPMNTraceISM diagram maintains original names and notations for clarity, adopting representations aligned with established BPMN and UML notations to ensure understanding for both businesses and software developers. Subsequent sections delve into graphical notations and representations for BPMNTraceISM elements.

#### 3.2.1 BPMNTraceISM Artifacts Retain Their Initial Notations

The semantic mapping we based on to define an integrated tracking metamodel extend into the domain of specific BPMN and UML concepts. However, it should be noted that not all BPMN concepts are directly compatible with their UML counterparts and vice versa. For example, the BPMN start event has no direct equivalent in UML, although there are UML sequence diagram elements such as Seq combined fragments and Ignored combined fragments do not correspond to any BPMN concepts. In the context of the BPMNTraceISM diagram, it becomes possible to identify UML artifacts that lack direct BPMN equivalents.

In Table 3, we identify key BPMNTraceISM artifacts that do not have direct counterparts and explain their unassigned status.

#### 3.2.2 BPMNTraceISM Traceability Artifacts

Within the framework of the integrated trace metamodel, we introduce three novel traceability concepts, namely UCsF, OUActor, and OUPackage. These concepts serve the purpose of unifying BPMN and UML elements, requiring unambiguous and universally comprehensible graphic notations. These nota-
Table 3: Graphic notation of BPMNTraceISM concept identified from non-overlapped artifacts.

<table>
<thead>
<tr>
<th>BPMNTraceISM element</th>
<th>Graphical notation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annotation flow</td>
<td></td>
</tr>
<tr>
<td>Start event</td>
<td></td>
</tr>
<tr>
<td>End event</td>
<td></td>
</tr>
<tr>
<td>Manual task</td>
<td></td>
</tr>
<tr>
<td>Normal task</td>
<td></td>
</tr>
<tr>
<td>Group</td>
<td></td>
</tr>
<tr>
<td>Critical combined fragment</td>
<td></td>
</tr>
<tr>
<td>Seq combined fragment</td>
<td></td>
</tr>
<tr>
<td>Consider combined fragment</td>
<td></td>
</tr>
<tr>
<td>Assert combined fragment</td>
<td></td>
</tr>
<tr>
<td>Strict combined fragment</td>
<td></td>
</tr>
<tr>
<td>Neg combined fragment</td>
<td></td>
</tr>
</tbody>
</table>

The graphic notations are thoughtfully crafted to be accessible and familiar to both software and business designers, ensuring a seamless understanding of the BPMNTraceISM diagram.

The graphic notation of OUActor, which harmonizes the functionalities of a BPMN lane and a UML actor, amalgamates elements drawn from the

For UCsF, an entity that extends a UML use case and inherits its attributes, a more intricate symbol is warranted. The notation for UCsF builds upon the foundation of the UML use case notation but extends it by incorporating two distinct compartments. These compartments are dedicated to encapsulating BPMN elements and design sequence diagram elements that are associated with the UCsF (cf. Figure 4). This compartmentalized approach empowers designers with the flexibility to selectively reveal or conceal these elements, thereby effectively managing the inherent complexity.

4 IMPLEMENTATION

To prove the feasibility of proposal in the practice, we have developed a fully graphical modelling tool baptized BPTraceISM (Business Process model Trace with Information System Models).

To implement the BPTraceISM tool, we used
Eclipse EMF to implement the external trace metamodel and Eclipse GMF to specify the concrete notation for the BPMNTraceISM diagram artifacts. Indeed, this modelling tool is a fully functional graphical editor. It conforms to our external trace metamodel and permit to show and manage the trace links between the BPMN model, and the UML use case and the UML sequence diagram, concurrently. BPMNTraceISM can be integrated with other modelling tools to improve their modelling capabilities. To make our modelling tool available in any Eclipse environment without needing to start an Eclipse runtime, we implement it as an Eclipse plugin.

The BPMNTraceISM construction process consists of two basic phases; (1) the definition of the modelling tool and (2) the definition of the plug-in that supports it. The first phase begins with the implementation of the external meta-model according to the ecore metamodeling language. Next, we develop a toolkit to design instances of the meta-model classes. In the second phase, we are developing functionality that supports the modelling tool. Subsequently, we build an update site to ensure the portability of our plug-in and allow its installation via any Eclipse update manager.

5 CASE STUDY

To illustrate the theoretical feasibility of our traceability method, we apply it on the loan assessment business process model token from (Dumas et al., 2013).

As our traceability method operates on each element of a canonical fragment F. Therefore, we limit the application of our traceability method on a fragment of the business process loan assessment called assess loan application of (see Figure 5). It is important to note that this traceability method is devoted to each fragment in the BPMN model. Moreover, the conception sequence diagram meta-model concepts are structured according to the MVC design pattern because the proposed BPMN-to-sequence diagram transformation rules base on this pattern.

![Figure 5: The business process of the fragment loan application.](image)

The assess loan application is coupled with insurance provided at discounted prices. When submitting their insurance request to the loan officer, an applicant expresses its interest in a home insurance plan.

Then, the Loan officer check the insurance request. If it is accepted, Loan officer send an acceptance pack to the applicant. The acceptance pack may be joined with an insurance quote. The sub-process ends with the verification of the repayment agreement.

In this fragment, we assume that the activity send insurance quote task appears in other subprocess of the business model loan assessment.

In this section, we show how we can combine the different model artifacts (artifacts of source and target models) within single unified model using our traceability method.

To obtain the corresponding use case model or the conception sequence diagram of the fragment Assess loan application some model transformation may be applied. However, model transformation is enable to represent explicitly the semantic relationships between business and IS models. Indeed, use cases are represented as black boxes and they do not explain what it supports from business process activities (or tasks). In addition, the business modeling and IS modeling teams continue to work separately. Thus, each modification of the assess loan application fragment requires regenerating the corresponding conception sequence diagram and the use case diagram, which is not a good practice. Using our BPM-

![Figure 6: BPMNTraceISM diagram of assess loan application.](image)

**TraceISM** diagram makes it possible to model together within the same diagram the Asses Loan application fragment, the corresponding use case diagram artifacts and the corresponding conception sequence diagrams. Consequently, it is easy to perceive the semantic relationships and correspondences between the use cases, conception sequence diagram and the BPMN fragment artifacts. Indeed, we can see that the use case Assess loan application supports the actions check insurance request, verify repayment agreement and send acceptance pack. Moreover, each change fulfilled within the fragment business process leads automatically to the change of the use case and the
conception sequence diagrams. For instance, when a task is added to the UCsF assess loan application, the corresponding fragment will be automatically updated. Thus, they are kept continuously aligned with each other. On the other hand, no information of the BPMN model has been lost. Further, this integrated specification of different features facilitates the analysis of the links between the different source elements. So, the impact of changing any of the existing elements in this diagram is now straightforwardly concluded.

Figure 6 depicts the BPtraceISM diagram specifies together the fragment Asses loan application presented in Figure 8, the corresponding conception sequence diagram presented in Figure 10, as well as the use cases which represent this fragment.

6 CONCLUSION

The works conducted in this paper fit in the context of model-based development of information systems and their alignment with business process models. Indeed, we have defined a traceability method for BPMN and the UML models that acts at the metamodel and the model levels. Hence, we firstly defined an external trace meta-model that incorporates all the BPMN and the UML elements (use case, design sequence diagram...), and traceability links between interrelated elements. Then, we have defined a new diagram baptized BPMNTraceISM coforms to the trace meta-model. This diagram promotes communication between business and software modelling teams and allows them working together within a single unified model. The joint representation of both BPMN and UML model elements enables to drill down and easily trace any BPMN element to its cores ponding software elements.

To prove the feasibility of our traceability method in the practice, we developed a modelling tool for designing and handling BPMNTraceISM diagrams in accordance with the proposed integrated trace metamodel. Further, we applied the proposed approaches to a typical case study.

In future research, we are looking forward to optimise our editor to support traceability and synchronization between BPMN models and other UML diagrams.

REFERENCES


