Tail-Latency Aware and Resource-Efficient Bin Pack Autoscaling for Distributed Event Queues

Mazen Ezzeddine¹,², Françoise Baude¹ and Fabrice Huet¹

¹Université Côte d’Azur, CNRS, I3S Nice, France
²HighTech Payment Systems, HPS, Aix en Provence, France

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Abstract: Distributed event queues are currently the backbone for many large-scale real-time cloud applications including smart grids, intelligent transportation, and health care monitoring. Applications (event consumers) that process events from distributed event queue are latency-sensitive. They require that a high percentile of events be served in less than a desired latency. Meeting such desired latency must be accomplished at low cost in terms of resources used. In this research, we first express the problem of targeting resource-efficient and latency-aware event consuming from distributed event queues as a bin pack problem. This bin pack depends on the arrival rate of events, the number of events in the queue backlog, and the maximum consumption rate of event consumers. We show that the proposed bin pack solution outperforms a linear autoscaling solution by 3.5% up to 10% in terms of latency SLA. Furthermore, we discuss how dynamic event consumers provisioning in distributed event queues necessitates a blocking synchronization protocol. We show that this blocking synchronization protocol is at conflict with meeting a desired latency for high percentile of events. Hence, we propose an extension to the bin pack autoscaler logic in order to reduce the tail latency caused by the events accumulated during the blocking synchronization protocol.

1 INTRODUCTION

Distributed event queues have emerged as a central component in building large scale and real-time cloud applications. They are currently being used in many latency-sensitive cloud applications such as recording and analyzing web accesses for recommendations and ad placement (Goodhope et al., 2014), health care monitoring (Al-Aubidy et al., 2017), fraud detection (Mohammadi et al., 2018), smart grids (Albano et al., 2015) and intelligent transportation (Fernández-Rodriguez et al., 2017). Furthermore, distributed event queues are the backbone for the event driven microservices software architectural style where an application is composed of several small services communicating by exchanging events across a distributed event queue (Laignier et al., 2020; Pallewatta et al., 2022; Xiang et al., 2021). As such, many cloud providers already offer event queue as a service (Amazon Kinesis, 2023; Azure Event Hub, 2023; Google Cloud Pub/Sub, 2023).

A distributed event queue is composed of several partitions or sub-queues deployed over a cluster of servers. Applications (event consumers) that pull and process events from distributed queues are latency-sensitive. They require that a high percentile of events is processed in less than a desired latency. Otherwise, providing end-users with experience beyond such desired latency might result in million dollars reduction in revenues as indicated by several tech giants (Eaton, 2012). Overprovisioning of resources to meet the desired latency is not the optimal solution since it incurs large monetary cost for the service provider. Therefore, architecting solutions for resource-efficient and latency-aware event consumers from distributed event queues is of paramount importance. As we describe throughout this paper, latency-aware and cost-efficient (cost-efficient and resource-efficient will be used interchangeably) event consumers denotes two simultaneous objectives to be met by the designed architecture : (1) ensuring that the latency for a high percentile of events served by event consumers is less than a desired latency, that is, reduce tail latency (Dean and Barroso, 2013) and (2) the designed architecture is able to dynamically provision and
deprovision resources (event consumers replicas) so that the usage of resources is minimized while guaranteeing (1).

Solutions offered by cloud providers and by on-premises cluster orchestrators such as Kubernetes (KEDA, 2023) to scale event consumer replicas when a certain metric reaches a certain threshold are not satisfactory. In essence, these autoscalers assume a linear relationship between the current value of a monitored metric and the desired value of that metric to compute the needed number of replicas. Hence, a linear autoscaler for event queues emulating cloud autoscalers will use the ratio of the arrival rate of events to the maximum consumption rate per replica to get the needed number of replicas. However, the number of needed replicas meets a bin pack solution rather than a linear one as we describe throughout this paper. Furthermore, Kubernetes and cloud autoscalers are not middleware/platform aware. As such, they do not recommend or participate in the assignment of the provisioned event consumer replicas to partitions. Rather, they rely on the distributed event queue middleware logic for assigning partitions to event consumers. This might result in scenarios where event consumer replicas might not be assigned to partitions in a latency-aware manner, even if enough replicas are provisioned by such autoscalers. A latency-aware and resource-efficient autoscaler for distributed event queue must provision just enough replicas to achieve the desired latency, and it must recommend to the distributed event queue middleware the assignment of partitions to the provisioned event consumer replicas in order to guarantee the desired latency.

As we discuss throughout this paper, in distributed event queues aiming at high percentile latency SLA is not straightforward even in the presence of a dynamic resource provisioning mechanism. This is because reducing the percentage of events that exhibit a latency beyond the desired latency (that is, the tail latency) while at the same time dynamically provisioning and deprovisioning of resources (event consumer replicas) are two objectives which are at conflict in distributed event queues. This stems from the fact that scaling up or down event consumers necessitates a blocking synchronization protocol to distribute the load of the events waiting in queues among the provisioned event consumer replicas. During this synchronization protocol, which is also called rebalancing or assignment (Blee-Goldman, 2020; Narkhede et al., 2017) all the event consumer replicas will stop processing events, thus eventually contribute to a larger tail latency and less percentile of latency SLA guarantee. The increase in the tail latency results from the fact that all the events arriving during the synchronization protocol execution will exhibit a relatively higher latency as compared to the latency of events processed during normal operation of the system. Clearly, the relation between the desired latency SLA and the time of the blocking rebalancing protocol dictates if there is some space for regularly and dynamically modifying or not the number of event consumers. If the rebalancing time is very high compared to the desired latency SLA, obviously the only deployment that can ensure the desired latency SLA for a high percentile of events is one where all replicas would be provisioned from start-up time (an overprovisioned solution). Even if this is at a cost considered to be non-optimal as some of the ready replicas may not operate all the time. But if the ratio of the desired latency SLA to the rebalancing time is greater than 1, a good tradeoff can be sought: a just-needed number of replicas deployed while ensuring a small tail-latency. Our research contributes a solution towards finding such a tradeoff, still prioritizing the latency SLA guarantee over cost reduction.

To achieve this, we first formulate the problem of autoscaling event consumers from distributed event queues to meet a desired latency as a bin pack problem: it depends on the arrival rate of events into queues which can even be skewed, the number of events in the queues backlog, and the maximum consumption rate of the event consumers. We propose an appropriate heuristic (Least Loaded) to solve the bin pack problem in polynomial time and to maintain a balanced load in terms of events served by each event consumer replica. As the synchronization protocol upon consumer replica (un-)provisioning is blocking, we extend our initial bin pack solution by taking into account new events that will accumulate during the autoscaling. We also propose several recommendations on the configurations of the rebalancing protocol that contribute to a lower tail latency. We first experimentally show that on some selected workloads, our bin pack solution outperforms a linear autoscaling solution by 3.5% up to 10% in terms of latency guarantee in a first system setting where rebalancing time overhead is way smaller than the desired latency SLA. Then, under other and less favourable system settings regarding rebalancing overhead, we show that the proposed bin pack extension applied to the same workloads results in a lower tail latency, and thus better latency SLA, but at higher resource utilization cost.

To our knowledge, latency-aware and dynamic resource provisioning for distributed event queues in the presence of a blocking resource synchronization/
rebalancing protocol has not yet been addressed in the literature.

2 CONTEXT - BACKGROUND

We target a general event driven architecture where applications (that is, event consumers) pull and process events from distributed event queues. As shown in Figure 1, a distributed event queue is composed of several partitions (sub-queues) deployed over a cluster of servers. A producer application generates events and writes them into a certain partition of the distributed queue according to a partitioning strategy. An event consumer group is a set of event consumers that jointly and cooperatively consume events from the partitions of the distributed queue. Generally, for a distributed event queue, we have $n$ partitions and $m$ consumers that read and process events. The (re-) assignment of the $m$ consumers to the $n$ partitions (or inversely) is performed through a blocking synchronization protocol. This synchronization protocol is called rebalancing (or assignment). The terms synchronization, rebalancing and assignment will be used interchangeably thereafter. As stated earlier, the rebalancing protocol represents a short time of unavailability during which all event consumers will stop processing events. Rebalancing might happen several times during the lifetime of an event consumer group such as when the group is initiated, or when a consumer leaves or joins the group. Hence, every scale action to add or remove event consumers to/from the consumer group will trigger a rebalancing process. The rebalancing duration is among the metrics exposed by the distributed event queue middleware, and hence, it can be measured dynamically. As shown in Figure 1, each partition of the distributed event queue must be assigned to exactly one event consumer. Consumers, on the other hand, can be assigned several partitions.

Event consumers operate in group. The management of the event consumer group is performed by a special process called consumer group coordinator. The coordinator process is a part of the distributed event queue middleware. It appropriately handles requests sent by event consumers to join or leave the group. Once registered with the group, event consumers keep membership in the group by sending periodic heartbeats to the coordinator.

Moreover, the consumer group coordinator is responsible of the execution of the assignment/rebalancing protocol. As part of the protocol execution, the coordinator offloads the assignment logic to one of the event consumers namely the leader. Next, the coordinator takes the assignment proposed by the leader and inform each member (event consumer replica) of the group about its assigned partitions. Figure 1 shows the added Controller process which runs periodically the proposed bin pack dynamic scale logic to add or remove event consumer replicas. Also, as part of our proposed autoscaling logic, notice in Figure 1 how the leader consumer calls the Controller for its recommended latency-aware consumers-partitions assignment as per the result of the bin pack.

Finally, it is noteworthy that we use Kafka (Narkhede et al., 2017) as a distributed event queue. Kafka is by far one of the most used distributed event queues in the industry. Nevertheless, we believe that the discussion and techniques used in this work are to a broad extent generalizable to other distributed event queues and not limited to Kafka. In this context, the experimental use case employed in this research is a simplified version of a Kafka-based payment authorization system used in production.

![Figure 1: A distributed event queue with an event producer and event consumer group. Notice the assignment of partitions to consumers. Shown also in red the Controller process which runs the bin pack based autoscale logic and recommends the resulting bin pack partitions-consumers assignment to the consumer group leader.](image-url)
3 RELATED WORK

Performance SLAs are hard to guarantee. Cloud providers rarely offer end-to-end performance guarantee or focus on overprovisioning of resources and isolation of services to meet a desired performance SLA (Baset et al., 2012; Qu et al., 2018). To our knowledge none of the cloud providers offering distributed queue as a service provides performance SLA (e.g., latency) guarantee for events consuming and processing. Furthermore, none of the existing, widely used event queues, such as MQTT1, ActiveMQ2, RabbitMQ3 and Kafka (Narkhede et al., 2017), provides an SLA latency guarantee for event processing.

Nevertheless, cloud providers offering distributed event queues as a service provide autoscalers to add or remove event consumer replicas depending on the value of a monitored metric. In essence, these autoscalers assume a linear relationship between the current value of the monitored metric and the desired value of that metric to compute the needed number of replicas. Hence, as previously mentioned, a linear autoscaler for event queues emulating cloud autoscalers will compute the ratio of the event arrival rate to the maximum consumption rate per replica to get the needed number of event consumer replicas. Unfortunately, this neither guarantees that the arrival rate into each event consumer replica is less than its maximum consumption rate, nor it associates a maximum load of events to each consumer replica to maintain a desired latency. Furthermore, cloud autoscalers are not platform/middleware aware. As such, when the arrival rate of events into partitions of the distributed queue is not uniform, cloud autoscalers and similar on-premises solutions (KEDA, 2023) do not perform a load-aware assignment of partitions to event consumer replicas. Thus, leading to a situation where a subset of event consumers replicas is assigned much higher load as compared to the remaining replicas. In fact, recent research (Wang et al, 2022) has shown that cloud autoscalers for distributed event queues are not cost efficient, and hence, clients are over-charged for under-utilized resources. Also, these autoscalers may rely on misleading metrics. For example, Amazon Kinesis is not always capable of accurately identifying bottlenecks as relying on CPU policy can be misleading (Wang et al, 2022). As opposed to relying on the CPU utilization metric, the research work of (Chindanonda et al, 2020) uses the ratio of the total arrival rate to event consumption rate per replica to estimate the required number of consumer replicas. Nevertheless, similarly to cloud autoscalers, (Chindanonda et al, 2020) do not consider the assignment of partitions to consumer replicas in a load-aware manner, neither it associates a desired latency to a minimal number of replicas. Rather, it aims at keeping the total consumption rate greater than the total arrival rate into the distributed event queue.

On the other hand, the impact of the blocking synchronization protocol on the overall latency SLA during dynamic provisioning is rarely addressed in the literature. In this context, Kafka recently introduced the cooperative incremental rebalancing protocol (Blee-Goldman, 2020) that promotes sticking partitions to their assigned consumers. The benefit is that if a partition is not reassigned to a different event consumer, consumption from it will not be blocked. But this solution promotes stickiness and data locality rather than load-awareness. For example, given 3 partitions with different loads assigned to 2 consumers, triggering the cooperative incremental rebalancing protocol will privilege the current assignment even if an event consumer is assigned the 2 higher load partitions, and the other consumer is assigned the lower loaded one. This makes the incremental rebalancing protocol not suitable for latency-awareness which requires that partitions be freely (re-)assigned to maintain the desired latency, rather than sticking them to their assigned consumers at the cost of violating the desired latency. To our knowledge, tail-latency awareness of distributed event queues in face of a blocking synchronization protocol is not yet addressed in the literature.

4 MATHEMATICAL MODEL FOR LATENCY-AWARE BINPACK AUTOSCALING OF AN EVENT CONSUMER GROUP

The aim of this research is to provide an autonomic event consumer replica provisioner so that a high percentile of events is served in less than a desired latency, while simultaneously minimizing the

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1 http://mqtt.org/
2 http://activemq.apache.org/
3https://www.rabbitmq.com/
Table 1: Notations used in the mathematical description of the tail latency-aware autoscaling model.

<table>
<thead>
<tr>
<th>Notation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>(m)</td>
<td>An event consumer group (m)</td>
</tr>
<tr>
<td>(p_i)</td>
<td>A partition of the distributed event queue</td>
</tr>
<tr>
<td>(w_{sta})</td>
<td>Maximum event processing latency for (m). (latency for a high percentile of events served by (m))</td>
</tr>
<tr>
<td>(\text{lag}_t^{p_i})</td>
<td>Number of events waiting in the partition (p_i) at time (t).</td>
</tr>
<tr>
<td>(\lambda_{t}^{p_i})</td>
<td>Partition (p_i) event arrival rate at time (t).</td>
</tr>
<tr>
<td>(\text{lag}_t)</td>
<td>Set of existing lag at time (t) for all partitions.</td>
</tr>
<tr>
<td>(\lambda_t)</td>
<td>Set of arrival rates at time (t) for all partitions.</td>
</tr>
<tr>
<td>(m_j)</td>
<td>(j^{th}) replica of the event consumer group.</td>
</tr>
<tr>
<td>(\mu_{m_j})</td>
<td>Maximum consumption rate per single replica of (m).</td>
</tr>
<tr>
<td>(\text{lag}_t^{m_j})</td>
<td>Lag of the (j^{th}) replica of the consumer group (m) at time (t).</td>
</tr>
<tr>
<td>(\lambda_t^{m_j})</td>
<td>Arrival rate into the (j^{th}) replica of the consumer group (m) at time (t).</td>
</tr>
<tr>
<td>(G_m)</td>
<td>Set of replicas for the consumer group (m) needed at time (t) to guarantee (w_{sta}).</td>
</tr>
<tr>
<td>(G_m)</td>
<td>Available set of replicas in (m).</td>
</tr>
<tr>
<td>(t_r)</td>
<td>Time to complete a rebalance.</td>
</tr>
<tr>
<td>(\text{lag}_t^{p_i})</td>
<td>Number of events accumulated in the partition (p_i) due to a rebalance.</td>
</tr>
<tr>
<td>(\text{totalLag}_{p_i})</td>
<td>Total lag of a partition (existing lag plus rebalancing lag)</td>
</tr>
<tr>
<td>(\text{lag}_t^{\text{total}})</td>
<td>Set of total lag at time (t) for all partitions.</td>
</tr>
<tr>
<td>(f_{\text{up}})</td>
<td>Scale up threshold</td>
</tr>
<tr>
<td>(f_{\text{down}})</td>
<td>Scale down threshold</td>
</tr>
</tbody>
</table>

desired latency, while simultaneously minimizing the number of replicas used. The autonomic replica provisioning logic is executed at each decision interval by the Controller process as shown in Figure 1. A decision interval is a configurable interval of time between 2 successive scale decisions as indicated in Table 1 where all notations used throughout this section are summarized.

This section is designed to develop the mathematical model we use for the logic of the autonomic event consumer provisioner/autoscaler. It is modelled as a two-dimensional bin pack problem where event consumer replicas are the bins and partitions are the items. In this work, we use homogenous event consumers, that is, all event consumer replicas have the same event processing rate, an extension to heterogeneous consumers is in progress.

Given a distributed event queue with \(n\) partitions, \(\text{lag}_t^{p_i}\) is the number of events waiting in the partition \(p_i\) at time \(t\). Similarly, \(\lambda_{t}^{p_i}\) denotes the event arrival rate into the partition \(p_i\) at time \(t\). The set of arrival rates into each partition at time \(t\) is denoted as \(\lambda_t = \{\lambda_{t_1}^{p_1}, \lambda_{t_2}^{p_2}, ..., \lambda_{t_n}^{p_n}\}\). The set of existing lag for each partition at time \(t\) is denoted as \(\text{lag}_t = \{\text{lag}_t^{p_1}, \text{lag}_t^{p_2}, ..., \text{lag}_t^{p_n}\}\).

\(m_j\) denotes a \(j^{th}\) replica of the event consumer group \(m\). Recall from section 2 that a partition \(p_i\) can be assigned to exactly one event consumer \(m_j\), while an event consumer can be assigned many partitions. The maximum consumption rate per any replica of \(m\) \(\mu_{m_j}\) is calculated as the number of events polled by the replica divided by their processing time as shown in equation 1.

\[
\mu_{m_j} = \frac{\text{# events polled per a replica } j \text{ of } m}{\text{Processing Time of events}} \tag{1}
\]

\(\text{lag}_t^{m_j}\) is the lag of the \(j^{th}\) replica of the consumer group \(m\) at time \(t\). It is defined as the sum of lags of each partition assigned to \(m_j\) as shown in equation 2.

\[
\text{lag}_t^{m_j} = \sum_{p_i \in m_j} \text{lag}_t^{p_i} \tag{2}
\]

\[
\lambda_t^{m_j} = \sum_{p_i \in m_j} \lambda_t^{p_i} \tag{3}
\]

Similarly, \(\lambda_t^{m_j}\) is the arrival rate into \(m_j\) at time \(t\). It is defined as the sum of arrival rates of each partition assigned to \(m_j\) as shown in equation 3.

Notice that in case a certain partition features an arrival rate greater than the maximum consumption rate, the controller logic, better described below, will suggest the only possible solution: have this partition be the only one associated to a given consumer. However, this case should not happen if one assumes that the partition is partitioned in a way that guarantees that \(\lambda_{t_1}^{p_1} < \mu_{m_j} \forall t\). Otherwise, solving the problem of latency SLA guarantee would require dynamic topic repartitioning, which is out of scope of this work.

If later on, the arrival rate decreases below the maximum consumption rate, the system will have an opportunity to scale down and assign that partition to another consumer holding some other partitions. This
dynamicity due to arrival rate of workload being dynamic is the key advantage of our proposition compared to a system overprovisioned from the initial stage.

\( w_{\text{sta}} \) is the desired maximum total event processing latency for the event consumer group \( m \). That is, a high percentile of events served by any replica of \( m \) shall exhibit a latency \( \leq w_{\text{sta}} \). Now consider a time \( t \) where a decision on the minimal set of replicas for the consumer group \( m \) (we call it \( G_m^t \)) must be made. In this context, to increase the percentile of latency SLA among the arriving events, we must ensure the following:

\[
\forall m \in G_m^t \quad \text{lag}^t_{m_j} < \mu_{m_j} \times w_{\text{sta}} \quad \text{AND} \quad \lambda^t_{m_j} < \mu_{m_j}
\]

Equation 4 states the following: at time \( t \), ensure that: (1) each event replica \( m_j \) can absorb its existing lag \( \text{lag}^t_{m_j} \) in less than \( w_{\text{sta}} \). This will contribute towards maintaining the waiting time of the newly arrived events less than \( w_{\text{sta}} \), and thus increasing their chance of respecting the latency SLA. (2) each event replica has the measured arrival rate into its assigned partitions less than its maximum consumption rate. Nevertheless, it is noteworthy that as a partition can be assigned to exactly one consumer (and not to many consumers), equation 4 can sometimes be violated, so does not guarantee that there won’t be any latency violation. For instance, it is possible that a partition has its lag greater than \( \mu_{m_j} \times w_{\text{sta}} \) because during the preceding interval some partitions assigned to the same consumer exhibited an increase in their arrival rate. In such case the best possible solution consists now of assigning a dedicated consumer to that partition. However, this single dedicated consumer will not be able to process the existing lag in less than \( w_{\text{sta}} \) and consequently some events will violate the latency SLA.

Let \( G_m^t = \{ m_1, m_2, \ldots, m_j \} \) denotes the set of event consumer replicas needed at time \( t \) to preserve the latency requirements of the event consumer group \( m \) as per equation 4. The aim now is to decide on the minimum number of event consumer replicas for \( m \), that is, the cardinality of \( G_m^t \) to satisfy equation 4. This can be mathematically expressed as per equation 5 below:

\[
\min |G_m^t| \quad \text{such that} \\
\forall m_j \in G_m^t \quad \text{lag}^t_{m_j} < \mu_{m_j} \times w_{\text{sta}} \quad \text{AND} \quad \lambda^t_{m_j} < \mu_{m_j}
\]

The optimization problem in 5 can be formulated as an Integer Linear Programming (ILP) model. In the formulation below \( g_j \) and \( p_{ij} \) are binary variables indicating respectively whether a \( j \)-th event consumer replica is used at time \( t \), and whether partition \( i \) is assigned to replica \( j \) at time \( t \).

\[
\min |G_m^t| = \sum_j g_j
\]

such that

\[
\sum_i p_{ij} = 1 \forall i ; \quad (a) \\
\sum_i p_{ij} \text{lag}^t_i \leq g_j \times \mu_{g_j} \times w_{\text{sta}} \forall j ; \quad (b) \\
\sum_i p_{ij} \lambda^t_i \leq g_j \times \mu_{g_j} \forall j ; \quad (c) \\
\sum_i g_j \leq nb \text{ of partitions} \quad (d)
\]

\( g_j, p_{ij} \) binary variables, \( t > 0 \)

(a) ensures that each partition is assigned to only one event consumer replica, (b) ensures that the sum of lags of the partitions assigned to each event consumer replica is less than the lag that can be served in \( w_{\text{sta}} \) which is equivalent to \( \mu_{g_j} \times w_{\text{sta}} \). Similarly, (c) ensures that the sum of arrival rates of the partitions assigned to each event consumer replica is less than the maximum consumer consumption rate \( \mu_{g_j} \). Finally, (d) ensures that the number of event consumers used is less or equal to the number of partitions.

The ILP formulation shows that the problem of assigning partitions to event consumers while guaranteeing the \( w_{\text{sta}} \) latency requirement is NP-complete. This assignment problem is equivalent to a two-dimensional bin packing where, at time \( t \), the items are the partitions described by their arrival rates \( \lambda^t \) and by their lags \( \text{lag}^t \). The bins, on the other hand, are the event consumer replicas described by their maximum consumption rate \( \mu_{m_j} \) and by their maximum consumption rate multiplied by \( w_{\text{sta}} \) i.e., \( \mu_{m_j} \times w_{\text{sta}} \).

As this assignment problem must be solved online, we resorted to an approximation algorithm that can solve the problem in polynomial time. Furthermore, to ensure a balanced load to each event consumer replica we used the Least-Loaded bin pack heuristic. It was proposed by (Ajiro and Tanaka, 2007) for packing VMs in a datacenter into a minimal number of physical servers, while guaranteeing a fair (load-balanced) assignment of VMs across the physical servers.
Algorithm 1: The latency-aware bin pack autoscaler.

We have introduced two additional parameters to the original Least-Loaded bin pack heuristic namely $f_{up}$ and $f_{down}$ with $0 < f_{down} < f_{up} < 1$. For scaling-up, the Least-Loaded heuristic performs the packing into $\mu_{m_j} \times f_{up}$ (instead of $\mu_{m_j}$). In essence, using a value for $f_{up}$ smaller than 1 ensures that the bin (event consumer) will not be used at its full capacity, and hence, the controller will have margin to scale up slightly before $w_{sla}$ is reached. Example of values for $f_{up}$ include 0.9, 0.8 and 0.7. An $f_{up}$ of 0.7 will provide earlier scale up as compared to an $f_{up}$ of 0.8 or 0.9. This will generally provide a better latency SLA but at a higher cost in terms of replica-minutes (that is, the number of minutes during which a single event consumer replica is used and the client is billed for its usage) as compared to an $f_{up}$ of 0.8 or 0.9. On the other hand, for scaling-down, the bin pack heuristic performs the packing into $\mu_{m_j} \times f_{down}$ (instead of $\mu_{m_j}$). $f_{down}$ controls the frequency of scale down. Example of values for $f_{down}$ include 0.5, 0.4 and 0.3. For a constant value of $f_{up}$, an $f_{down}$ of 0.3 will result in a smaller number of scale down actions as compared to an $f_{down}$ of 0.4 or 0.5 but at a higher cost in terms of replica-minutes.

The logic of the bin pack autoscaler is shown in the Algorithm \textit{scaleEventConsumer} above. In the algorithm, $G_m$ refers to the already existing set of replicas of $m$. At time $t$, the algorithm calculates $G'_m$ by packing the partitions into $\mu_{m_j} \times f_{up}$ (line 2). If $G'_m > G_m$, then a scale up is needed (line 3-4). The set $G_m \setminus G'_m$ denotes the set of the replicas to be added. If a scale up action is not necessary, $G'_m$ is computed again using $\mu_{m_j} \times f_{down}$ (lines 6-8). If $|G'_m| < |G_m|$, then a scale down is needed and the set $G_m \setminus G'_m$ denotes the set of the replicas to be removed. If neither a scale up nor a scale down is performed, the logic checks if the current assignment of partitions to existing event consumer replicas violates the latency SLA. If so (line 11), we trigger a rebalance/reassignment so that the replicas-partitions assignment as per $G_{reassign}$ takes place. The assignment is performed as per $G_{reassign}$ because checking whether the current replicas-partitions assignment violates the latency SLA is parametrized by $f_{up}$ as shown in line 11. The parametrization by $f_{up}$ is selected because the assignment resulting from packing the partitions into $\mu_{m_j} \times f_{up}$ (larger bins) will result in a more balanced load across the event consumer replicas as compared to packing the partitions into $\mu_{m_j} \times f_{down}$ (smaller bins). Finally, if there is no violation of the latency SLA, the algorithm terminates without action. The logic for the procedure $assignmentViolatesTheSLA(f_{up})$ is shown below. On the other hand, the Least-Loaded bin pack heuristic is discussed in detail in (Ajiro and Tanaka, 2007). We do not show its pseudocode in this paper due to space limitation.

4.1 Event Consumer Replica Provisioning with Planning for the Events Accumulated during Rebalancing (Tail Latency Aware Autoscaling)

As stated before, upon a scale action, event consumers will be blocked, and hence events arriving during the rebalancing will be accumulated and lagged in the partitions of the distributed queue until the end of the rebalancing process. The accumulated lag per partition $lag_t^p$ is equal to the arrival rate into that partition multiplied by the rebalancing time ($t_r$) as shown in equation 8 below. Note that the
rebalancing time \((t_r)\) is among the metrics exposed by the distributed event queue middleware.

\[
\text{lag}_p^r = \lambda_p^t \times t_r
\]  

(6)

The case of event consumer replica provisioning while planning for the number of events that will be lagged during rebalancing consists as well of packing the partitions into event consumers. However, in this case the lag of each partition consists of the existing lag in the partition plus the prospective lag that will be accumulated upon a scale action. This is denoted as \(\text{totalLag}_p\) as described in Table 1. \(\text{totalLag}_p\) can be calculated as per equation 9 below where \(\text{lag}_p^r\) is the lag resulting from a rebalance/assignment and \(\text{lag}_p^r\) is the existing lag in the partition.

\[
\text{totalLag}_p = \text{lag}_p^r + \text{lag}_p^r
\]  

(7)

scaleEventConsumer2 \((\lambda^t, \lambda^g, \lambda^l, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}})\)

1. action = \text{null}
2. action = scaleNeeded\(\lambda^t, \lambda^g, \lambda^l, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}\)
3. IF action = \text{null}
   doScale\(\lambda^t, \lambda^l, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}\)
4. END IF

Algorithm 2: The tail latency-aware bin pack autoscaler logic executed by the Controller at each decision interval (planning for the lag accumulated during rebalancing).

In this context, the set of total lag (existing and rebalancing) for all the partitions is denoted as \(\text{lag}^t_{\text{total}} = \{\text{totalLag}_p, \text{totalLag}_{p_2}, \ldots\}\) as indicated in Table 1.

As shown in Algorithm 2 (scaleEventConsumer2), event consumer replica provisioning while planning for the rebalancing lag requires a slight modification into Algorithm 1 (scaleEventConsumer). This modification is performed in two phases. In the first phase, Algorithm 2 calls the procedure scaleNeeded shown below.

scaleNeeded performs the exact logic of Algorithm 1 using the set of partitions existing lag \(\text{lag}^t\) and without performing any scale action. Instead, scaleNeeded returns an “UP”, “DOWN” or “REASS” flag depending on the scale action recommended. In the second phase, the procedure doScale shown below is called. doScale performs the scale action recommended in the first phase while considering the lag that will accumulate during the prospective rebalancing. To this end, the set of total partitions lag (existing and rebalancing) \(\text{lag}^t_{\text{total}}\) and the recommended scale action are passed as argument to doScale. Depending on the action passed, doScale performs the appropriate Least Loaded bin pack using \(\text{lag}^t_{\text{total}}\). It then performs the appropriate scale action and provide the required replicas accordingly.

\[
\text{scaleNeeded}\left(\lambda^t, \text{lag}^t, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}\right)
\]

1. Set \(G_m\) to the current set of replicas of \(m\)
2. Set \(G_m^t = \text{Least-Loaded}\left(\lambda^t, \text{lag}^t, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}\right)\)
3. IF \(|G_m^t| > |G_m|\)
   RETURN “UP”
4. ELSE
5. \(G_{\text{reassign}} = G_m^t\)
6. \(G_m^t = \text{Least-Loaded}\left(\lambda^t, \text{lag}^t, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}\right)\)
7. IF \(|G_m^t| < |G_m|\)
8. RETURN “DOWN”
9. ELSE
10. IF assignmentViolatesTheSLA(f_{\text{up}})
11. RETURN “REASS”
12. END IF
13. END IF
14. END IF
15. END IF

Procedure 1: A function that performs Least-Loaded bin pack and returns the action needed without performing any scale action.

doScale\(\left(\lambda^t, \text{lag}^t_{\text{total}}, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}, \text{action}\right)\)

1. Set \(G_m\) to the current set of replicas of \(m\)
2. IF \(\text{action} == "UP"\) OR "REASS"
3. \(G_m^t = \text{Least-Loaded}\left(\lambda^t, \text{lag}^t_{\text{total}}, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}\right)\)
4. IF \(|G_m^t| > |G_m|\)
5. Scale up by \(G_m^t\)
6. ELSE
7. Trigger a rebalance\(G_m\)
8. END IF
9. ELSE \(!\text{action} = \text{down}\)
10. \(G_m^t = \text{Least-Loaded}\left(\lambda^t, \text{lag}^t_{\text{total}}, m_j, w_{\text{sla}}, f_{\text{up}}, f_{\text{down}}\right)\)
11. IF \(|G_m^t| < |G_m|\)
12. Scale down by \(G_m\)
13. END IF
14. END IF

Procedure 2: A procedure that performs the required scale action while taking the rebalancing lag into account.

5 EXPERIMENTAL WORK

In this section we report some of the experiments we performed using Algorithm 2 (scaleEventConsumer2) described in the previous section. As discussed previously, this algorithm performs bin pack replica provisioning with planning for the events that will be lagged during rebalancing.

For the experiments we used two workloads. The first is adapted from (Chindanonda et al, 2020). It is a 10-minutes workload with a total of around 109k events. The arrival rate per second of the 109K events......
is distributed over the 10 minutes interval as shown in Figure 2. The second workload, see Figure 3, corresponds to a two-hours trace from the New York City Taxi Trip dataset (Donovan and Work, 2016). This dataset contains records for four years (2010 - 2014) of taxi trips in New York City. We used a 2h long trace from January 2013 trips. To construct the trace, we employed a speed factor of 40. This means that 40 seconds of real-world events are replayed in 1 second in the experiments. The trace contains around 1.35M events with arrival rate distributed over the 2h interval as shown in Figure 3. Each batch of events sent to the distributed queue is uniformly distributed across the partitions unless otherwise stated.

As a business use case, we used a simplified payment authorization application (adapted from real payment authorization system used in production). In our experimental setup, a producer application generates payment events with a rate per second corresponding to the employed workload. The payment events are written into the distributed event queue. An event consumer group pulls the payment events out of the distributed queue and either declines or accepts the payment. We set $w_{SLA}$ to 500 ms as per the business requirement. The processing time per payment event was set to 5 ms. This processing time was used since it corresponds to the 100-percentile (worst case) processing latency for a payment event. Hence, the maximum consumption rate $\mu$ used throughout the experiments is equal to 200 events/seconds.

All the experiments were performed on Google Cloud Platform GCP using a Kubernetes cluster (version 1.20.6-gke.1400) composed of 5 virtual machines each with 4 vcpu and 16GB of RAM. Throughout the experiments we used a distributed event queue with 5 partitions unless otherwise stated. The distributed event queue is based on Kafka version 2.7. The decision interval is set to 1 second. The couple ($f_{up}$, $f_{down}$) was set to (0.9, 0.4). Finally, we note that 99-percentile rebalancing time in our deployment setup was equivalent to 50 ms. This value was used as the rebalancing time ($tr$) to compute the lag accumulated on a rebalancing process as per equation 8.

5.1 Performance of the Proposed Least-Loaded Binpack (Algorithm 2)

Now we report the performance of Algorithm 2 that uses the Least-Loaded bin pack to provision and deprovision event consumer replicas. As discussed in section 3, this algorithm aims at maintaining the event total latency at less than the desired latency (500 ms) while simultaneously aiming at minimizing the number of replicas used. Figure 4 shows the event latencies for Algorithm 2 under the first workload. Figure 5 shows the event latency per each of the provisioned event consumer replica over the lifetime of the experiment. Notice in Figure 5 the provisioning and deprovisioning time for the 7 event consumer
replicas provisioned over the lifetime of the experiment. Figure 6 shows the event latencies for Algorithm 2 under the NYC Taxi workload. We do not show the event latency per each provisioned replica over the time interval of the NYC workload due to space limitation. The results for the first and second workload are shown in Table 2 and 3 respectively. For instance, as shown in Table 2, with the first workload, the latency-aware bin pack autoscaler (Algorithm 2) scored 97.4% latency SLA at 30.21 replica-minutes. On the other hand, an optimal autoscaler scored 32.7 replica-minutes at 100% latency guarantee. Note that the results for the optimal autoscaler were obtained using a python simulator where event consumer replicas are provisioned when the rate of event arrivals reaches the latency-violating number of events (that is, $\mu_m \times w_{sl}a$), and deprovisioned otherwise. Also, with the optimal autoscaler, replicas are provisioned instantaneously, and the rebalancing/synchronization time is set to zero. The overprovisioning solution scored 100% latency SLA at the cost of 50 replica-minutes. Implementing overprovisioning experiments means: the number of event consumers is resulting from Algorithm 2 (Least-Loaded bin pack) but when the partitions are considered to be filled at their maximum arrival rate as per the input workload. That is, it considers the partitions arrival rate is equal to the peak arrival rate of the input workload divided by the number of partitions. For each case, notice the number of scale up and down actions. Later in this section we compare our bin pack autoscaler with a Linear autoscaler solution under non-skewed and skewed workloads.

Table 3 summarizes results obtained using the second workload. Therefore, the proposed bin pack autoscaler provided 31% reduction in cost as compared to an overprovisioning solution (overprovisioning is largely used by cloud providers for performance SLAs) at around 1% decrease in latency guarantee.
Comparison with a Linear Autoscaling Solution.
We have also tested and compared Algorithm 2 with a linear autoscaler. As stated before, a linear autoscaler might miss the exact number of replicas needed to maintain the desired latency. This will lead to a non-latency-aware partitions-consumers assignment performed by Kafka as the load assigned to certain consumers might bypass the latency-violating load. To experiment with linear autoscaler, we configured the newly designed Controller with the linear formula \( \frac{\lambda \times f_{up}}{\mu \times f_{down}} \) using \((f_{up}, f_{down})\) of \((0.9, 0.4)\) to compute the needed number of replicas. As shown in Table 2, with the first workload the bin pack based autoscaler scored 97.4\% latency guarantee at 30.21 replica-minutes while the linear autoscaler scored 93.9\% at 25.5 replica-minutes. This represents a 3.7\% improvement in the latency SLA. As shown in Table 3, similar results were obtained with the NYC Taxi dataset workload where the bin pack autoscaler reached 3.5\% increase in latency SLA as compared to the linear autoscaling solution. This shows that the proposed bin pack autoscaler (Algorithm 2) achieved a better latency SLA on a regular non-skewed workload as compared to a linear autoscaler.

![Figure 7: CDFs for the bin pack solution and Linear solution under the first workload with skewness introduced.](image)

Comparison with a Linear Autoscaling Solution when the Workload is Skewed. As stated before, linear autoscalers are not middleware-aware. That is, they only decide to request addition or removal of replicas to the underlying cluster manager (e.g. Kubernetes), but they do not consider the assignment of event consumer replicas to partitions upon adding or removing new replicas. Rather, these autoscalers rely on standard Kafka non-load-aware assignment strategy for assigning partitions to consumers. This might result in unbalanced load among the event consumers replicas when the partitions have non-uniform arrival rate. In this context, recall that as per Algorithm 2, partitions-consumers assignment is load-aware. It is performed as per the result of the bin pack assignment accomplished by the Controller. Upon rebalancing, the consumer group leader contacts the Controller for its recommended latency-aware assignment and performs the assignment accordingly. Hence, to show the advantage of Algorithm 2 when the partitions arrival rate is non-uniform, we have introduced skewness into our two workloads by sending 0.5 of the event rate into the first two partitions and the remaining 0.5 into the other partitions. Note that in order to keep the arrival rate into a single partition less than latency-violating arrival rate (that is, the arrival rate at which \( w_{\text{rate}} \) is reached), we have used a distributed queue with 9 partitions in this experiment. With the first workload, the bin pack autoscaler scored 98.9\% latency SLA at 29.8 replica-minutes while the linear autoscaler scored 84.7 at 25.5 replica-minutes. Figure 7 shows the CDF (cumulative distribution function) of event latencies in both cases.

![Figure 8: Event latency of the bin pack solution under the NYC taxi workload with skewness introduced.](image)

5.2 Interpretation of the Resulting Tail Latency

The results shown and discussed in the previous section intentionally delayed a major interpretation.
What is the cause of this variable peak latency appearing few times in Figures 4, 6 and 8. Also, this peak latency manifested as a tailed CDF in Figure 7. A major observation from Figure 5 (notice the provisioning and deprovisioning time of event consumers) is that this peak latency is not due to scale up action and its associated rebalancing overhead as it does not manifest upon upscaling. Therefore, the synchronization upon scale-up hypothesis was eliminated. Nevertheless, one can clearly see from Figure 5 that this peak latency appears timely with a scale down action. Hence, it is most likely caused by a complementary action of the synchronization protocol that is exclusive to a scale down action.

![Figure 9: CDF for Algorithm 2 with the first workload under 500 ms and 3 seconds heartbeat.](image)

As stated in section 2, the event consumer replicas operate in group managed by the consumer group CG coordinator. Upon a scale action, the replicas to be removed/added will inform CG coordinator about their intention to leave/join the group so that the CG starts a synchronization/assignment process. This duration is not blocking during a scale up as the newly added replicas do not have any assigned partitions. However, this time is partially blocking in case of scale down as the leaving consumers are already assigned partitions from which they will stop consuming as soon as they inform the coordinator about their intention to leave. Still, even with that in mind, a latency of up to few seconds on a scale down is not justifiable as it will take the CG coordinator an order of few milliseconds to inform the other event consumers to revoke their partitions for reassignment. Unfortunately, however, it turns out that the CG coordinator does not instantaneously send request to other event consumers in the group to revoke their partitions for reassignment. In contrast, it will wait to receive a heartbeat from the existing consumers, and it will ask them to revoke their partitions as part of the heartbeat response. As the default Kafka heartbeat interval is equal to 3 seconds, the relatively high tail latency upon a scale down is now justifiable. To further confirm our hypothesis and eventually aiming at reduced tail latency, we reran the bin pack autoscaler logic (Algorithm 2) with a heartbeat interval of 500 ms. For instance, Figure 9 shows a CDF comparison when running Algorithm 2 under the first workload with a heartbeat of 3 seconds and 500 ms. Notice how the 100-percentile tail latency dropped to less than one second with a heartbeat of 500ms in the first workload. Furthermore, the percentile of latency SLA increased from 97.4% to 98.1%. With a heartbeat of 500ms, similar results were observed for the NYC Taxi workload where the 100-percentile tail latency dropped to less than 1 second (alas was around 3 seconds, see Figure 6, with default value) and the percentile of latency guarantee increased from 98.9 to 99.07 percentile.

5.3 Impact of the Rebalancing Time on the Tail Latency

In our deployment and experimental setup, the 99 percentile of the synchronization/rebalancing time was less than 50 ms which is lower than the desired target latency $w_{sla}$. Hence, the synchronization time did not have a large impact on the latency guarantee, neither it did result in a large tail latency. To this end, this section is designed to show the negative impact of a large synchronization duration for the event consumer group on the overall percentile of latency guarantee. In other words, this section shows the resulting high tail latency observed when a relatively high rebalancing time governs the event consumer group synchronization. In particular, the first subsection shows the observed tail latency when higher rebalancing time is set while no action is taken to reduce the effect of the tail latency, that is, using Algorithm 1. The next subsection shows how Algorithm 2 that accounts for the rebalancing lag upon autoscaling, can reduce the impact of the observed tail latency at higher cost in terms of replica-minutes. Due to space limitations, and without loss of generality, we restrict the experiments of this section to the first workload.

**Higher Tail Latency Resulting from Higher Rebalancing/Synchronization Time.** As stated above, the synchronization time of the event consumer group might take up to few seconds in some cases (e.g., when the event consumers are stateful and state migration to remote servers is needed). Hence, to show the impact of a larger synchronization time on the latency guarantee, we set this latter to 500 ms and 2 seconds. The aim is to quantify the resulting tail latency guarantee.
Results of tail latency under different rebalancing time when not accounting for the rebalancing lag (that is, using Algorithm 1 instead of Algorithm 2).

Latency with 500 ms and 2 seconds synchronization (rebalancing) time as to less than 50 ms. To this end, we ran Algorithm 1 driven by the first workload in these scenarios. Recall that, as compared to Algorithm 2, Algorithm 1 does not account for the rebalancing lag while planning for the number of replicas upon autoscaling, and hence it is less resilient to the resulting tail on rebalancing. The resulting CDFs are shown in Figure 10: notice how the higher rebalancing time of 2 seconds resulted in the worst-case tail latency. Also, this tail latency was higher with a rebalancing time of 500 ms as compared to the default rebalancing time. For instance, the latency SLA on a rebalancing time of 2 seconds scored 85.4% as compared to 90.1% for the case of 500 ms rebalancing time, and to 97.4% in the default setup case. In the next subsection, we show how Algorithm 2 contributes to a reduction in the tail latency at higher replica-minutes.

Before completing this section, it is worth noting that when \( w_{sla} \) is higher than the rebalancing time \( t_r \), that is, the fraction \( \frac{w_{sla}}{t_r} \) is greater than 1, the rebalancing/synchronization protocol won’t have a large negative impact on the final percentile of latency guarantee. On the other hand, when \( w_{sla} \) is smaller or equal to the rebalancing time, that is, the fraction \( \frac{w_{sla}}{t_r} \) is smaller or equal to 1, the final percentile of latency guarantee will be affected by the rebalancing process. The more the fraction \( \frac{w_{sla}}{t_r} \) is low, the more achieving high percentile of latency guarantee becomes costly in terms of replica-minutes. For instance, \( \frac{w_{sla}}{t_r} = 0.1 \) means that the rebalancing time is 10x the \( w_{sla} \). Hence, the rebalancing process will result in more events violating the latency SLA. In such scenarios, Algorithm 2 will result in a higher cost in terms of replica-minutes to maintain a low tail latency. This is because Algorithm 2 will plan (take into account) the relatively high number of events that will be lagged during the rebalancing upon a scale up. It will further restrict scale down actions till lower arrival of events, where the lagged events upon rebalancing become smaller thus resulting in less latency SLA violations.

Tail Latency Reduction Using Algorithm 2 (Planning for the Events Lagged during Rebalancing). As discussed in section 3, Algorithm 2 complements Algorithm 1 by planning for the rebalancing lag upon replica-provisioning. This has the benefit of better resilience in face of tail latency resulting from rebalancing. To this aim, we repeated the same experiments with higher rebalancing time (2 seconds, 500 ms and default) driven by Algorithm 2 instead of Algorithm 1. In essence, under a rebalancing of 500 ms, the percentile latency SLA increased to around 98.2% with Algorithm 2 at 34.61 replica-minutes as compared to 90.1% at 33.85 replica-minutes with Algorithm 1. These results show an increase of around 8% in the percentile latency guarantee at 2.2% increase in replica-minutes.

Figure 11: Resulting tail latency under a rebalancing time of 500 ms when not accounting for the rebalancing lag (Algorithm 1) vs. when accounting for the rebalancing lag (Algorithm 2).

For the case when the rebalancing time was set to 2 seconds (that is, rebalancing = \( 4 \times w_{sla} \)), the percentile latency guarantee increased to 99.4% at 44.7 replica-minutes with Algorithm 2 as compared to 85.6% at 34.16 replica-minutes using Algorithm 1. This represents around 14% increase in the latency SLA at 30.8% increase in the cost in terms of replica-minutes. As compared to the case when the rebalancing time was 500 ms, notice the higher values of both replica-minutes and latency SLA. This is because higher rebalancing time generates higher rebalancing lag, and thus more replicas are provisioned to accommodate for the resulting lag.
upon a scale up. Furthermore, with higher rebalancing time, Algorithm 2 becomes more restrictive on scale down, as a scaling down at high arrival rates will generate latency-violating lag. Thus, deferring scaling down to lower arrival rates in such a way that scale down actions would result in non-latency-violating lag. This behaviour is desired as lower arrival rates of events typically corresponds to nonpeak business times which is most likely the best time to handle the non-availability of the event consumer group caused by rebalancing. Scale down deferring is a default technique used in many cloud autoscalers such as Amazon Kinesis as indicated by in (Wang et al, 2023).

Figure 12: Resulting tail latency under a rebalancing time of 2 seconds when not accounting for the rebalancing lag (Algorithm 1) vs. when accounting for the rebalancing lag (Algorithm 2).

6 CONCLUSION

We proposed a latency-aware and resource-efficient dynamic event consumer provisioning in distributed event queues. The dynamic event consumer replica provisioning was modelled as a two-dimensional bin pack problem with the Least-Loaded heuristic. Experimental work has shown that the bin pack solution outperforms a linear autoscaler by up to 10% in terms of latency SLA when the workload is skewed. Furthermore, we discussed the negative impact of the blocking event consumer group synchronization protocol on the tail latency. We then proposed an extension to the bin pack autoscaler to reduce the tail latency caused by the events accumulated during rebalancing.

The case of dynamic event consumer provisioning when consumer replicas have different processing capacities, and the case of dynamic event consumer provisioning for an event driven microservices architecture is currently a work in progress.

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