Cybersecurity Incident Response Readiness in Organisations

Aseel Aldabjan1,2, Steven Furnell1, Xavier Carpent1 and Maria Papadaki3
1School of Computer Science, University of Nottingham, Nottingham, U.K.
2College of Computer and Information Sciences, King Saud University, Riyadh, K.S.A.
3School of Computing and Engineering, University of Derby, Derby, U.K.

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Abstract: The number and nature of cyber-attacks is continuously evolving, disrupting the productivity and operations of organisations worldwide. Timely and effective detection and response to incidents are important, as they could limit the spread of threats and restrict the risks from compromises. Studies have revealed the level of preparedness to respond for many organisations is low and varies across different industry sectors. At the same time, cybersecurity researchers have identified a substantial gap in implementing readiness assessment frameworks as they are dependent on the type, context and specific requirement of the respective industries. Moreover, organisations have a gap between their practices and the establishment of the measures. This highlights the need for a more comprehensive and holistic framework to address this issue. This paper aims to determine the current state of incident response practices across organisations of different sizes and capabilities. It further seeks to identify the factors that influence them to reach the desired level of cybersecurity readiness.

1 INTRODUCTION

Cyber security readiness is an organisation’s ability to respond to incidents in terms of its capabilities, resources and infrastructure (Cisco, 2023). This involves having critical policies, procedures and trained personnel in place to respond to security incidents. Therefore, cyber security readiness refers to the degree to which an organisation is aware of, prepared for and committed to preventing and responding to all aspects of an incident (Deloitte, 2016). The lack of cyber security readiness can pose significant challenges for organisations in acquiring the necessary resources to establish a sufficient level of cyber security and safeguard their digital assets.

Being prepared to respond to security incidents is crucial for minimising impacts and restoring operations. Yet, the readiness and capability to respond to incidents vary considerably among organisations. Therefore, this study aims to explore the existing practice gap in IR readiness across organisations of different sizes and capability and to identify the factors that impede their preparedness to respond to such incidents.

The next section provides insights into the organisation's security readiness in practice. This is followed by an overview of influential factors of cyber security preparedness. Subsequently, the correlations among these factors are outlined. Finally, the conclusion and future work are presented.

2 ORGANISATION’S SECURITY READINESS IN PRACTICE

IR readiness has a wide scope and the related practices may vary based on the organisation’s size and its industry. This section examines evidence from various sources that determine the readiness and preparedness challenges of organisations.

A survey by IBM (2022) including 17 industries across 17 countries highlighted that organisations with dedicated IR teams and proper IR plans saved an average of $2.66 million compared to those without these practices. Similarly, Deloitte (2023) conducted a survey of over 1000 cyber decision-makers across 20 countries, revealing that only 21% reached a high cyber maturity level, where they meet the leading practices requirement that ensures IR readiness.
BakerHostetler (2023) highlighted that the average response time of an incident from the time of occurrence to detection is 3 days, followed by 24 days for forensic investigation, and a further 67 days to inform the stakeholders. The average time for recovery is increased for all industries, which may be attributed to various factors including delays in detection and responding and inadequate preparation and planning for business continuity. This data reveals a frequent occurrence of delays. This means that it is necessary for organisations to effectively respond to an incident on time and that any response delays can potentially lead to higher losses and costs.

The UK Cyber Security Breaches Survey (2023) includes focus on the consequences of incidents and breaches, and demonstrates organisations’ current preparedness in addressing cyber attacks. One of the concerning findings was that despite the increasing prevalence of cyber attacks, small organisations tend to have less priority over preparation to respond to an incident and allocate less resources to deal with cyber attacks. This may have a considerable impact on their overall preparation, capability and readiness to respond to incidents. Furthermore, organisations that adopt proper IR plans to address cyber incidents remain a minority. Larger organisations have a higher probability of establishing such plans with 64% of them have established formal IR plans. Furthermore, the report noted a disconnect in communication regarding IR between security specialists or IT teams and other staff, including management boards. Therefore, the report recommended filling the existing gap pertaining to establishing constant and streamlined communication between IT team and other members of staff.

The Cisco Cybersecurity Readiness Index (2023) revealed that only 15% of organisations worldwide have a sufficient level of maturity to prepare against the actual threat level they encounter. However, the report also stated that readiness is dependent on the industry sector. Industries that are more prone to vulnerability and have a higher potential to suffer losses tend to have a higher matured level of readiness, such as the healthcare (18%) and financial (19%) sectors. It is worth noting that organisations in the retail sector have the highest percentage of maturity at 21%. This may be because this industry has been exposed to a higher number of cyber attacks over the past years.

This review suggests that a significant number of organisations encounter challenges in filling the critical gaps in security preparation. These gaps, such as the lack of planning in responding to potential incidents, impede rapid detection, effective mitigation and efficiency in security incident recovery. Organisations with a lack of proper and tested plans in place can potentially face higher significant losses and damages in comparison to those with established security protocols. In addition, there is an evident gap between small and large organisations. The study suggests that bigger organisations tend to be more prepared than smaller organisations, leading to the latter experiencing higher damages when such incidents occur. Overall, organisations should improve their readiness to combat such incidents. Therefore, understanding the factors that impact preparedness in organisations is crucial, which will be discussed in detail in the next section.

3 FACTORS IMPACTING CYBERSECURITY READINESS

An organisation's readiness to respond to incidents can be significantly affected by several factors. Each of these factors can have substantial impacts on the organisation's readiness. Therefore, it is essential that organisations take into consideration all these factors when evaluating and preparing for a potential incident. Despite the significance of cybersecurity readiness, a literature review indicates a lack of comprehensive examinations of the diverse factors that can contribute to cybersecurity preparedness, and their cumulative impact. Furthermore, there is a shortage of effective methods for evaluating preparedness. Hence, there is a need for research to comprehensively identify these factors and examine their overall contribution within a more holistic framework. In this research, the identification of relevant factors was approached through an extensive review of related literature to establish the situation from both academic and practitioner perspectives. For the academic aspect, a comprehensive search was conducted across scholarly databases (e.g. IEEE Xplore, ACM Digital Library, and Science Direct) targeting articles from 2013-2023. An accompanying search of industry, government and professional sources was also conducted for the same period.

The search terms that were adopted include ‘cybersecurity factors’, ‘cybersecurity readiness’, ‘organisational readiness’, ‘cybersecurity resilience’, ‘cybersecurity preparedness’, ‘cybersecurity maturity’, ‘cybersecurity compliance’, and ‘security incident readiness’. The articles found were shortlisted and reviewed to determine their suitability and relevance. The resulting factors identified...
through the review were classified into four categories (human, organisational, operational, and external), as depicted in Figure 1. While the factors themselves are already recognised in the literature, the framework provides a new basis for understanding them and their collective influence.

3.1 Human Factors

Several human factors can play a role in an organisation's readiness. These include security culture, training and awareness, and communication.

3.1.1 Security Culture

Security culture has a significant impact on readiness. In several studies, organisational security culture has been identified as one of the major factors influencing incident readiness (Berlilana et al. 2021; Hasan et al. 2021). A lack of security culture can undermine even the strongest technical measures. Moreover, Frenken (2020) indicates that cybersecurity culture aims to protect all organisational assets by developing a risk-aware mindset throughout organisations. This allows for improved compliance with regulatory requirements, as well as improved response times to cybersecurity threats. When people feel a sense of responsibility and ownership over the security of an organisation, they are more likely to take proactive measures to prevent incidents from occurring and to respond quickly in the event of an incident.

3.1.2 Training and Awareness

Employee training and awareness can significantly impact an organisation's readiness for cybersecurity incidents. For instance, studies have demonstrated that training employees in order to enhance their response abilities and asset protection capabilities is important (Hasan et al. 2021). Developing these skills can help employees to better anticipate potential risks and respond quickly and effectively when they arise. Other studies have shown that security awareness is also an important factor of readiness. Therefore, ongoing employee training and awareness are likely to play a significant role, given that hackers regularly adopt new attack methods (Aldawood and Skinner, 2019a). Furthermore, it has been emphasised that it is essential for HR departments to include training as a central part of employee onboarding in order to ensure a sufficient level of employee proficiency in dealing with threats and incidents (Aldawood and Skinner, 2019b). Therefore, providing employees with the skills and awareness with which to recognise security risks will improve IR capabilities.

3.1.3 Communication

One fundamental component of an organisational IR is effective communication. It involves internal communication with top management, staff, members of the IR team, and other stakeholders, as well as external contacts such as clients and suppliers. Effective communication is paramount to enable coordinated and swift response actions during and after crises. An organisation must define roles and responsibilities and establish information-sharing protocols and clear communication channels to implement an effective communication strategy (Manley and McIntire, 2020). The NIST Cybersecurity (NIST, 2023) framework highlights communication as a key component of best practices for IR, and its recommendations stress the need for organisations to have comprehensive strategies that outline how internal and external information will be shared during incidents. It highlights the significance of well-structured and proactive communication to better manage cyber-related incidents.

3.2 Organisational Factors

To ensure that an organisation is ready to respond, several factors must be taken into consideration within the organisational context. These factors include technological infrastructure, IR plan and IR standards and regulatory compliance.

3.2.1 Technological Infrastructure

Technological infrastructure is a major factor that affects readiness (Hasan et al. 2021). Researchers have found that organisations with technological infrastructure development will be better prepared to deal with incidents (Berlilana et al. 2021). This entails having the latest technology, including devices, programmes, and other elements, along with knowledge of how to use and maintain it. Therefore, an up-to-date technological infrastructure is an essential factor in incident preparedness.

In addition, industry surveys have revealed that outdated technology poses a significant risk that can impede a proper IR. For example, Verizon’s DBIR report (2022) indicated that outdated technology and applications are the most common incident vectors that negatively impact the response capability of organisations. Furthermore, the report noted that more than half of all system intrusion incidents were caused by vulnerabilities in partner technology. Therefore, organisations must not only ensure that their infrastructure is updated, but also that their partners and vendors adhere to best practices.
3.2.2 Incident Response Plan

The effectiveness of an organisation’s capacity and readiness to respond to cyber incidents crucially depends on its IR plan, which refers to a structured and documented approach outlining the clear steps, procedures, and actions that an enterprise should undertake when it detects a security incident. It is a vital roadmap for identifying, responding to, and minimising cyber incidents (Cynet, 2019). Constantly updating the IR plan and complementing it with simulated incidents and tabletop exercises ensures the company’s readiness to tackle cyber incidents (Jalali et al. 2019). Another study underlined the necessity of well-documented and regulated tested IR plans, emphasising the need for organisations to develop an IR plan and ascertain its ongoing relevance by regularly updating and testing it (Wertheim, 2019). Therefore, organisations must develop robust plans that align with best practices and are required to make organisations ready to respond.

3.2.3 Regulatory and Standards Compliance

An organisation’s ability to address cybersecurity risks can be significantly enhanced through compliance with standards and laws. According to Berhilana (2021), adhering to industry standards and government regulations can bolster the ability of an organisation to tackle cyber-attacks. In this context, compliance refers to organisations adhering to specifications, guidelines, regulations, and laws relevant to their operations and procedures. Industry standards can improve readiness across a sector. Several researchers have cited the fact that organisations that follow industrial standards, such as the NIST and information security governance frameworks, are more likely to respond effectively to cybersecurity incidents (Georgiadou et al. 2022).

3.3 Operational Factors

Various factors affect cybersecurity readiness from the operational perspective, namely management support, resource allocation, and a dedicated IR team.

3.3.1 Management Support

Another important factor that influences cybersecurity readiness lies in leaders’ attitudes and support (Hasan et al. 2021). Several studies have demonstrated that leadership is a critical component of IR readiness and that lack of leadership can reduce the quality of response (Benz & Chatterjee, 2020). Similarly, Bahuguna et al. (2019) found that lack of senior leadership support is one of the challenges faced by entities in enhancing their cybersecurity preparedness. Cisco’s Readiness Index (2023) states that it is the responsibility of business leaders to develop baseline IR standards across major security pillars to enhance their organisations’ resilience. Moreover, researchers have found that organisations are more vulnerable to the negative impacts of cybersecurity incidents when leaders are unprepared.
or overconfident. For example, recent studies in the healthcare industry have found that infrequent interactions between organisational leaders and chief information security officers (CISOs) and a lower resource commitment to IR reduce the level of preparedness in organisations (Abraham et al. 2019). Therefore, effective leadership is essential for ensuring cybersecurity preparedness and resilience.

### 3.3.2 Resource Allocation

Another crucial factor to take into account is the availability of adequate resources such as budgets and personnel, and how they are allocated. Organisations with inadequate budgets for IR are at high risk, and at present, nearly 56% of organisations must allocate more funds to ensure cybersecurity (Cisco, 2023). In addition to funding, the allocation of resources also includes the allocation of manpower and personnel within an organisation. An organisation’s ability to respond to an incident is greatly influenced by the availability of sufficient personnel resources (Hasan et al. 2021). Moreover, Quader and Janeja (2021) found that lack of investment in the workforce and IT infrastructure are key impediments to a secure cyber environment. These resources enable organisations to detect, investigate, and mitigate incidents more effectively, enabling quicker and more efficient response to incidents, and ultimately reducing the damage caused.

### 3.3.3 Dedicated Incident Response Team

Another crucial element that significantly impacts an organisation’s readiness to mitigate or reduce cybersecurity threats is the presence of a dedicated cybersecurity IR team. NIST (2011) define the Computer Incident Response Team as a group of skilled staff structured to formulate, recommend, and orchestrate prompt measures for containing, eliminating, and recovering from cybersecurity incidents. Rahman and Chao (2015) recognised the composition of a IR team as a critical component in the preparation stage. The responsibilities of this team encompass incident assessment, developing and implementing response strategies, creating situational awareness, and incident triage (Rueflé et al. 2014). Moreover, Ahmad et al. (2020) highlighted the importance of IR team at the strategic level, which covers the tools and strategies for securing the digital assets of an organisation. Through effective policies, the IR team can enable an organisation to regulate the use of computing infrastructure, identify and mitigate risks, and offer training programmes to ensure high levels of employee awareness.

### 3.4 External Factors

The final outside an organisation can have a significant impact on its cybersecurity preparedness.

#### 3.4.1 Vendor / Third-Party Relationship

A vendor/third-party relationship is an essential factor that impacts an organisation’s capability and preparedness to respond to cyber incidents. Organisations should assess and maintain the security of external entities that interact with their IT infrastructure. External entities can include service providers, suppliers, and other third-party providers. Research has indicated that vendors / third parties play a pivotal role in an organisation’s cybersecurity strategy, as they are often involved in or responsible for many incidents (Keskin et al. 2021). Therefore, organisations should comprehensively assess their external partners to ensure that they meet certain predefined security protocols and standards to establish high levels of confidence and trust. Ultimately, this can reduce the risk of a security breach. The NIST Cybersecurity Framework (NIST, 2023) underscores the significance of suppliers and other external stakeholders as critical components of IR. It provides best practices and guidelines to help organisations incorporate vendor risk management into their cybersecurity approaches and strategies. By implementing NIST's guidelines, enterprises can lay a strong foundation for responding to cyber threats and enhancing their overall preparedness.

#### 3.4.2 Collaboration with External Entities

External collaboration is another crucial element for enhancing an organisation's preparedness and ability to address cyber threats (Beriliana et al. 2021). Rajan et al. (2021) highlight that collaboration with external entities is one of the best approaches to identifying cyber vulnerabilities and protecting information. The authors argued that companies collaborating closely with external parties can exchange accurate information, providing larger systems with better security than traditional, isolated security measures. Numerous studies have empirically evaluated the benefits of collaboration with competitors concluded that organisations can more effectively identify and mitigate cyber threats when their rivals constantly update them of emerging attacks (Hasan et al. 2021).

However, this willingness to collaborate can be attributed to the intense competition and lack of trust that exists between organisations and the recognition of information as a critical element in this competition (Kertysova et al. 2018). In such cases,
organisations often hesitate to share information with
their competitors, allowing attackers to target similar
organisations. Therefore, sharing knowledge has the
potential to significantly reduce threats.

4 INTERRELATIONS AND
INFLUENCE OF THE FACTORS

Having identified the factors, it is also relevant to
recognise the potential for interrelationships between
them, and their varying levels of influence in different
contexts. Addressing the first of these points, Figure
2 offers an initial attempt to identify key
interrelationships, based upon their representation in
the literature (note that the diagram maintains the
colour-coding from Figure 1, clearly highlighting
relations between factors across different groups).
While space precludes a full discussion of the Figure,
the following paragraphs present some illustrative
discussion of the relationships being depicted.

From this initial assessment, management support
serves as a foundational element influencing most
readiness parameters. For instance, it plays a crucial
role in establishing an organisation-wide security
culture (Huang & Pearlson, 2019; Safitra et al., 2023).
Informed senior executives have a direct influence on
raising awareness and training programs (Yusif &
Hafeez-Baig, 2021). A dedicated management team
ensures proper resource allocation for cybersecurity
initiatives (Daud et al., 2018), preventing ineffective
allocation and enhancing cybersecurity readiness. In
addition, management support is vital for ensuring
standards and regulations compliance, leading to
effective policies and standards implementation
(Berlilana et al., 2021). It is also often essential for
establishing strategic collaborations and alliances
with other organisations, enhancing security
capabilities through information sharing and resource
exchange (Rajan et al., 2021). In essence, the support
of management impacts nearly every facet of
preparedness, improving overall readiness for
cybersecurity incidents. Furthermore, there are
interconnected relationships among other readiness
factors; for instance, technological infrastructure is
both influences and influenced by various other
elements. For example, utilizing cutting-edge
technical systems, such as real-time decision-support
systems, enhances the operational performance of IR
teams by relieving them from manual tasks. This
allows them to focus on addressing critical issues and
potential threats, improving overall efficiency and
effectiveness (Naseer et al., 2021). Research has

shown that security incidents are often exploited by
attackers due to a lack of communication (Knight &
Nurse, 2020). Therefore, an effective cybersecurity
infrastructure can enable an organisation to establish
an effective corporate communication platform
(Serrano et al., 2023). This ensures organisations
strengthen their communication and incident
response capabilities. On the other hand, the
technological infrastructure is shaped by various
readiness factors. The compliance and adoption of
incident response frameworks such as ISO, NIST, and
others allow the establishment of efficient and robust
cybersecurity infrastructures (Shinde & Kulkarni,
2021). In addition, having adequate resources is
critical in developing and maintaining technological
infrastructures for maintaining and managing
cybersecurity (Berlilana et al., 2021). It is noteworthy
that bringing the security technological infrastructure
up to standard is unlikely to benefit an organisation if
those who work on these systems are neglected.
Enhancing employees’ capabilities and skills through
training and awareness is crucial for the proper use of
new systems (Akter et al., 2022).

The interrelations illustrate that preparing for
cybersecurity incidents is not an isolated task, and
requires a suitable blend of multiple factors.
Organisations must understand the interconnections
of these factors to build a robust defence against cyber
threats, ensuring their sustainability and protecting
their stakeholders. We intend to further investigate
the real-world recognition of the factors and their
relative influence, in the next phase of the work, by
means of a survey amongst organisations in practice.
This will target security professionals and IT experts

Figure 2: Interrelations Among Readiness Factors.
across organisations of diverse sizes and industries, and will consider the extent to which organisations recognise incident response as an issue, as well as how the feel in terms of readiness to handle it. The categories of readiness factor will then be explored in order to investigate the extent to which each is found to be a relevant issue in practice. This will assist in further determining potential interrelationships, as well as providing at least an initial baseline view of the relative influence of individual factors (or factor categories).

5 CONCLUSIONS

The intricacies of organisational preparedness for cybersecurity incidents are multifaceted, involving a range of critical factors, including security culture, training and awareness, communication, management support, resource allocation, a dedicated IR team, external collaboration, vendor/third-party relationships, technological infrastructure, regulatory and standards compliance, and the IR plan. The gap in organisational readiness highlights the opportunity for a tool that would assist in evaluating their response readiness, and support them in taking related actions to improve their posture, bridging the evident practice gap and enhancing overall cyber security readiness.

REFERENCES


