

Occlusion-Robust and Efficient 6D Pose Estimation with Scene-Level Segmentation Refinement and 3D Partial-to-6D Full Point Cloud Transformation

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Keywords: Object 6D Pose, Panoptic Segmentation, Dual Associative Point Autoencoder, Point Cloud, Occluded Object.


Abstract: Accurate estimation of the 6D pose of objects is essential for 3D scene modeling, visual odometry, and map building, as well as robotic manipulation of objects. Recently, various end-to-end deep networks have been proposed for object 6D pose estimation with their accuracies reaching the level of conventional regimes but with much higher efficiency. Despite progress, the accurate yet efficient 6D pose estimation of highly occluded objects in a cluttered scene remains a challenge. In this study, we present an end-to-end deep network framework for 6D pose estimation with particular emphasis on highly occluded objects in a cluttered scene. The proposed framework integrates an occlusion-robust panoptic segmentation network performing scene-level segmentation refinement and a dual associative point autoencoder (AE) directly reconstructing the 6D full camera and object frame-based point clouds corresponding to a captured 3D partial point cloud through latent space association. We evaluated the proposed deep 6D pose estimation framework based on the standard benchmark dataset, LineMod-Occlusion (LMO), and obtained the top-tier performance in the current leaderboard, validating the effectiveness of the proposed approach in terms of efficiency and accuracy.

1 INTRODUCTION

Fast and accurate 6D pose estimation of objects in a scene is essential for various 3D applications in the field of robotic manipulation and navigation as well as augmented and mixed realities. Recent advancements in the end-to-end deep learning approaches to object 6D pose estimation have shown their feasibility in real-time processing of 6D pose estimation with the pose accuracy competitive to conventional engineering state-of-the-arts accurate but computationally burdensome. Despite advances in deep network approaches, to widen their applications into real-world problems, further improvement in object 6D pose estimation is necessary not only in terms of accuracy and speed but also in terms of dealing with objects that are heavily occluded in a dynamic and cluttered environment.

In this study, we present an end-to-end deep learning approach to accurate yet efficient 6D pose

estimation of objects, in particular, heavily occluded in a cluttered scene. To this end, the proposed approach integrates an occlusion-robust panoptic segmentation network with a highly efficient 6D pose estimation network. The occlusion-robust panoptic segmentation network was built by cascading YOLO-YOLACT networks, in which the object boxes detected by YOLO (Redmon et al., 2016) are fed individually into YOLACT (Bolya et al., 2019) for multi-object segmentation. To achieve the occlusion-robust panoptic segmentation, first, we applied depth-based tone mapping (Lee et al., 2012) to individual YOLO box images such that the overlapped object images are better distinguished from each other based on the difference in their surface depths. Then, we performed novel scene-level segmentation refinement by fusing multiple redundant YOLACT segmentations obtained from the overlapped YOLO boxes to achieve accurate segmentation boundaries. On the other hand, the highly efficient 6D pose estimation network was configured by the dual

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associative point AE which estimates object 6D pose in the process of transforming a 3D partial point cloud directly into the corresponding 6D full point cloud, representing the camera and object frame-based full point clouds, through a latent space association network. We achieved highly efficient yet occlusion-robust 6D pose estimation of heavily occluded objects by integrating the panoptic segmentation reinforced by scene-level segmentation refinement and the 6D pose estimation based on direct 3D partial-to-6D full point cloud transformation.

2 RELATED WORKS

2.1 Panoptic Segmentation

Panoptic segmentation was first introduced by Kirillov et al. (Kirillov et al., 2019) for segmenting objects in terms of both instance and semantic segmentation points of view. As for panoptic segmentation, Cheng et al. (Cheng et al., 2021, 2022) proposed MaskFormer in which the Resnet-based pixel embedding and the transformer-based mask embedding are combined to achieve effective panoptic segmentation. Jain et. al (Jain et al., 2023) proposed OneFormer, a transformer-based multi-task universal image panoptic segmentation framework that takes a task token as an input. OneFormer and MaskFormer represent currently the state-of-the-arts in panoptic segmentation in terms of the panoptic quality (PQ) metric (Kirillov et al., 2019), although their inference time needs improvement.

2.2 Deep 6D Pose Estimation

Deep learning approaches to object 6D pose estimation are based either on RGB or RGB-D data. As for the RGB-based approaches, GDR-Net (Wang et al., 2021) directly regressed 6D object pose from RGB images by an end-to-end patch PnP network performing 2D-to-3D dense mapping and surface region attention. PFA-Pose (Hu et al., 2022) presented, in particular, a pose refinement process based on the difference between the real and multiple perspective-rendered images from the initially estimated pose. As for the RGB-D-based approaches, DenseFusion (Wang et al., 2019) predicted 6D pose based on the pixel-wise color and geometry embedding extracted from segmented objects, by CNN and PointNet, respectively, finalizing with an end-to-end iterative pose refinement of pose residuals. PVN3D (He et al., 2020) presented an RGB-D-based deep point-wise 3D key point voting

network for 6D pose estimation where the 3D key points of objects were detected by a deep Hough voting network. GDRNPP (Sundermeyer et al., 2022) further improved GDR-Net based on domain randomization and depth-based pose refinement.

3 SYSTEM OVERVIEW

Figure 1 illustrates the overall architecture of the proposed RGB-D-based object 6D pose estimation framework composed of the cascaded YOLO-YOLACT network for panoptic segmentation (red box) and the dual associative point AE for 6D pose estimation (blue box).

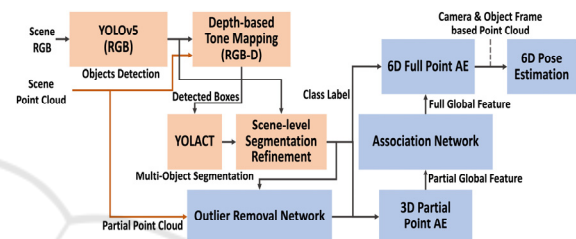


Figure 1: The architecture of the proposed RGB-D-based object 6D pose estimation framework in the integration of the occlusion-robust panoptic segmentation (orange) and the efficient 6D pose estimation (blue).

The cascaded YOLO-YOLACT panoptic segmentation network is supported by a novel pre-processing of depth-based image tone mapping and post-processing of scene-level segmentation refinement for accurately identifying object boundaries under heavy occlusion. On the other hand, the dual associated point AE is composed of 3D partial and 6D full point AEs the global features of which are linked by an association network. The 6D pose estimation network is supported by an outlier removal network that removes outliers included in partial point clouds. Notably, the output of the full point AE is 6D vectors formed by concatenating the camera frame-based and the object frame-based 3D full point clouds. The dual associated point AE transforms a 3D partial point cloud into the corresponding 6D full point cloud in such a way that object 6D poses are computed directly from the reconstructed 6D point cloud.

4 OCCLUSION-ROBUST PANOPTIC SEGMENTATION

As described in Section 3, the proposed panoptic

segmentation achieves occlusion-robustness based on the depth-based tone mapping of the YOLOv5 box images and the scene-level segmentation refinement by fusing redundant segmentations generated by the overlapped YOLO box images before and after YOLACT multi-object panoptic segmentation, respectively, to obtain accurate object boundaries.

4.1 Depth-Based Tone Mapping

The proposed tone-mapping of YOLOv5-produced object box images uses the depth value (z-axis) from the point cloud captured by the RGB-D sensor to adjust the brightness of the image in such a way as to incorporate the depth information into the image representation. To this end, we made the pixels closer to the camera brighter than those farther away while retaining their original R, G, and B intensity ratios. Specifically, the point cloud cropped for a box image is represented by a histogram depicting the number of points distributed along the distance from the camera, as exemplified in Figure 2 (a) with 5cm distance intervals. Then, we assigned to each bin of the histogram a brightness value by dividing the predefined brightness range for the entire points according to the ratio of the number of points in each bin of the histogram, following the rule that the closer to the camera the higher the assigned brightness, as illustrated in Figure 2 (b) with the predefined brightness range of [0-255]. Finally, the brightness of individual image pixels is modified according to the brightness assigned to the corresponding points while maintaining their original R, G, and B intensity ratios.

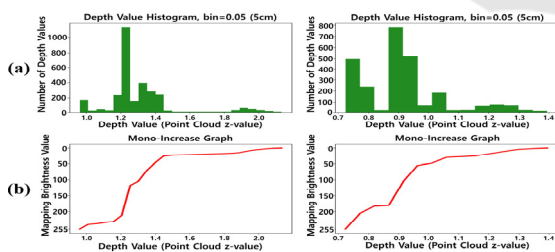


Figure 2: (a) Depth-pixel count histograms for YOLO box images (depth bin size =0.05), (b) the proposed depth-brightness mapping in proportion to the accumulated histogram.

Figure 3 illustrates the comparison of the box images before (a) and after (b) the proposed depth-based tone mapping is applied. Notice that the pixels closer to the camera become brighter while the pixels farther away become darker.

In the case where multiple objects of similar colors are heavily occluded in a scene, it is difficult



Figure 3: Examples of depth-based tone-mapped RGB-D images: (a) original RGB YOLO box images and (b) the corresponding tone-mapped RGB-D images.

for panoptic segmentation to properly segment out those objects from the scene image. However, the depth-based tone mapping modifies the brightness of objects in the scene image according to their depths, making panoptic segmentation feasible. In addition, it is often the case that the background of a scene is farther away from the camera such that the proposed depth-based tone mapping naturally tone-downs the background of the scene image to better condition for segmentation. Also, the proposed depth-based tone mapping tends to emphasize the detected foreground object while deemphasizing neighboring objects, which helps for both panoptic segmentation and scene-level segmentation refinement.

4.2 Scene-Level Segmentation Refinement

The depth-based tone-mapped object box images detected by YOLOv5 are fed into YOLACT for multi-object panoptic segmentation. Then, YOLACT performs multi-object panoptic segmentation by assigning to individual pixels of a box image the probabilities that they belong to particular object classes. Since, for a highly cluttered scene, the object box images are often highly overlapped such that an object may be present in multiple box images either as a main (detected by YOLO) or a surrounding object. This results in redundancy in object segmentation or object class probabilities assigned to individual pixels. The cascaded YOLO-YOLACT network is proposed to take advantage of such redundancy in object segmentation to perform a scene-level fusion of redundant pixel class probabilities to accurately refine object boundaries under heavy occlusions. The proposed scene-level segmentation refinement fuses the information on the bounding box and its class label and confidence score from YOLO and YOLACT and the object classes and class probabilities associated with individual pixels from YOLACT. Specifically, the scene-level segmentation refinement process consists of three steps (refer to Figure 4): The first step defines a set of overlapping YOLO boxes by calculating the intersection of union (IoU) between YOLO boxes.

The second step corrects the inconsistent class labels associated with YOLACT and YOLO boxes. This is achieved by determining the main YOLACT boxes associated with all the YOLO boxes overlapped with the YOLO box currently in processing. For a given YOLO box, the YOLACT boxes the class labels of which are consistent with that of the YOLO are recognized as the main objects with correct class labels. If no YOLACT box with a consistent class label is found, the YOLACT box of the highest IoU with and the smallest distance from the YOLO box is chosen as the main object the class label of which is determined by whichever has a higher confidence score between YOLO and the YOLACT boxes. Note that the class label of the YOLACT box representing a surrounding object follows the class label of the overlapping YOLO box that takes the surrounding object as its main object. The third step refines the object boundaries in the scene-level by finalizing the object class labels of individual pixels. Since individual pixels of the YOLO box currently in processing may be associated with multiple object class labels and probabilities from multiple overlapped YOLO boxes, the scene-level segmentation refinement selects the maximum probability of object classes for individual pixels.

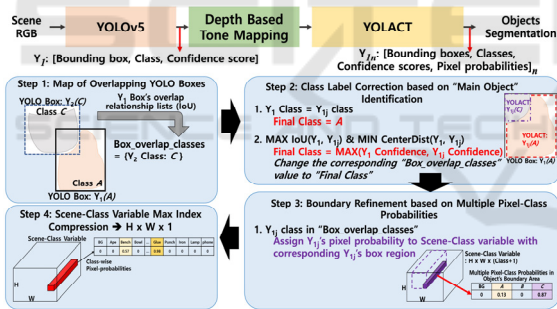


Figure 4: A schematic diagram of the proposed scene-level segmentation refinement based on fusing multiple YOLACT segmentations from overlapped YOLO boxes.

5 EFFICIENT 6D POSE ESTIMATION

The panoptic segmentation allows to capture of partial point clouds from the visually accessible surfaces of individual objects. The proposed dual associative point AE obtains the object 6D pose by directly transforming a 3D partial point cloud into the corresponding 6D point cloud formed by the two 3D full point clouds with reference to the respective camera and the object frames. This makes the proposed 6D pose estimation highly efficient. The

proposed 6D pose estimation process consists of three steps. The first step removes any outliers that may be included in the partial point clouds captured from panoptic segmented object surfaces. The second step transforms 3D partial point clouds into the corresponding 6D full point clouds based on the dual associative point AE. The third step computes object 6D poses based on the camera and object frame-based 6D full-point clouds.

5.1 Outlier Removal Network

A deep outlier removal network is constructed to eliminate noisy points often generated around the segmented boundaries as the outliers of partial point clouds. To this end, we trained a point AE (refer to Figure 5) in such a way that the network segments out the outlier points from the inlier points while the reconstruction process is carried out. The training dataset is obtained by simulating the way outliers are generated in realistic scenarios by randomly disturbing the contours of the segmented object boundaries. We used the point AE configured by the KC-Net encoder and BID decoder (Lee et al., 2022).



Figure 5: The proposed outlier removal network segmenting a partial point cloud into inlier and outlier clusters.

5.2 Dual Associative Point AE

Figure 6 shows the architecture of the dual associative point AE proposed for 3D partial-to-6D full-point cloud transformation.

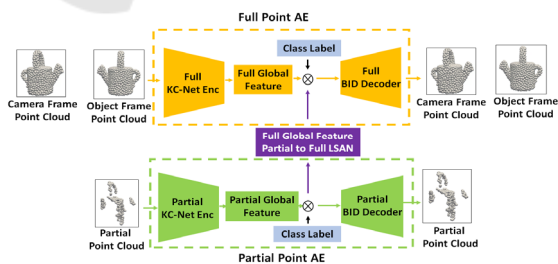


Figure 6: The proposed dual associative point AE which transforms a 3D partial point cloud into the corresponding 6D full point clouds through the association of global features.

The network is configured with 3D partial and 6D full point AEs that are linked by a fully connected association network. The 3D partial and 6D full point AEs encode the global features in their respective

latent spaces while reconstructing 3D partial and 6D point clouds, respectively. The association network transforms the 3D partial global feature into the 6D full global feature through the global feature transformation such that 6D full point clouds can be reconstructed based on 3D partial point clouds given as the input. In implementing point AEs, we used KC-net as encoders and BID net as decoders. The object class label identified by the panoptic segmentation is concatenated with the global feature as the input to BID decoders for reconstruction.

The proposed dual associative point AE is trained in an end-to-end manner based on the 3D partial and 6D full point cloud reconstruction losses as well as the association loss. In testing, only 3D partial point clouds are given as the input for the reconstruction of the corresponding 6D full point cloud. We used Chamfer Distance (CD) error and Euclidian distance error, respectively, for computing the point cloud reconstruction and association losses. Note that, for a symmetric object, the same partial point cloud is captured by an RGB-D sensor at the symmetric poses of the object, such that multiple 6D full point clouds can possibly correspond to a single partial point cloud. This causes one-to-many relations in the partial-to-full association such that the training dataset collected without consideration of object symmetries can become ineffective. To deal with this problem, the training dataset for a symmetric object is collected only in the pose range within which the one-to-one relations in the partial-to-full association are ensured.

5.3 6D Pose Estimation

Once 6D full point clouds are obtained, the corresponding object 6D poses can be readily computed based on the camera frame-based 3D full point cloud and the object frame-based 3D full point cloud as the individual points of the two 3D full point clouds are concatenated under exact one-to-one point correspondences. We used Rigid Transform 3D (Nghia et al., 2020) to compute the coordinate transformation.

6 EXPERIMENTS

6.1 Datasets

We used the Linemod (LM) PBR-synthetic dataset as well as the custom-built real-synthetic data for training and the LineMod-Occlusion (LMO) (Brachmann et al., 2014) dataset for testing. The

datasets offer ground truth segmentations and 6D poses of 15 different objects of various levels of occlusions. Specifically, the LM dataset provides one annotated object of mild occlusion per scene while the LMO dataset provides annotations for all the objects of interest for the chosen real-synthetic LM test dataset with heavy occlusion. In addition, we built a customized real-synthetic dataset for training based on self-supervised augmentation of object layouts and occlusions (Figure 7 (b)).



Figure 7: Illustration of (a) PBR-synthetic LM and (b) custom-built real-synthetic datasets used for training and testing.

6.2 Panoptic Segmentation Results

The performance of the proposed panoptic segmentation tested based on the LMO testing dataset is summarized in Table 1 in terms of the mIoU and PQ scores.

Table 1: Performance of proposed panoptic segmentation with and without the scene-level segmentation refinement.

	mIoU	Panoptic Quality
Proposed approach with scene-level segmentation refinement	0.6792	0.6393
Proposed approach without scene-level segmentation refinement	0.4465	0.4214
Mask RCNN-CosyPose panoptic segmentation	0.6129	0.5962

Table 1 indicates that the proposed panoptic segmentation shows the PQ of about 0.64 with scene-level segmentation refinement. Table 1 validates the effectiveness of the proposed scene-level segmentation refinement as it results in a large performance improvement of PQ from 0.42 to 0.64. In addition, we compared the proposed panoptic segmentation with the Mask RCNN-based CosyPose (Labbé et al., 2020) panoptic segmentation, representing one of the state-of-the-arts. As shown in the third row of Table 1, we found that the PQ of about 0.64 by the proposed approach surpasses that of the Mask RCNN-based CosyPose segmentation of about 0.60 when tested with the LMO dataset. Note

that the current state-of-the-art PQ performance based on the COCO dataset is about 0.58 by OneFormer, which can serve as an indirect performance indicator. Figure 8 illustrates typical panoptic segmentation results of the proposed approach applied to PBR-synthetic LM (a) and LMO (b) testing datasets.



Figure 8: Typical panoptic segmentation results of the proposed approach applied to (a) PBR-synthetic and (b) LMO datasets.

Figure 9 illustrates the boundaries of heavily occluded objects that are refined accurately by the proposed scene-level segmentation refinement. In Figure 9, the boundaries (b) within yellow circles show the corrected erroneous boundaries (a) after the scene-level segmentation refinement is applied.

To further validate the particular strength of the proposed approach in occlusion-robust panoptic segmentation, we constructed a new test dataset by selecting only those scenes of the LMO test dataset that are heavily occluded. Then, we again compared the performance of the proposed approach with that of the Mask RCNN-based CosyPose to investigate if any comparative advantage exists when heavy occlusions are involved. The results are summarized in Table 2.

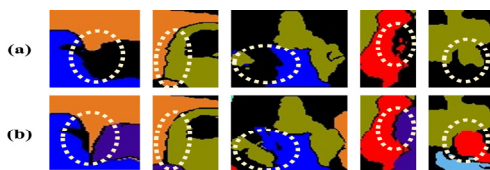


Figure 9: Improvement of segmented boundaries in highly occluded environments from (a) “before” to (b) “after” the scene-level segmentation refinement.

Comparing Table 2 and Table 1, we can observe that the proposed approach shows even a significantly higher PQ for the heavily occluded test dataset while the Mask RCNN-based CosyPose shows the degraded PQ, which validates the effectiveness of the proposed depth-based tone mapping and scene-level segmentation refinement for the panoptic segmentation of heavily occluded scenes.

Table 2: Performance comparison of the proposed panoptic segmentation with the Mask RCNN-based CosyPose for heavily occluded scenes.

	mIoU	Panoptic Quality
Proposed approach with scene-level segmentation refinement	0.7376	0.7329
CosyPose panoptic segmentation	0.6474	0.5270

Figure 10 illustrates three salient panoptic segmentation results that reveal the strength of the proposed approach (b) in identifying accurate boundaries (within yellow circles), compared to the Mask RCNN-based CosyPose segmentation (a).

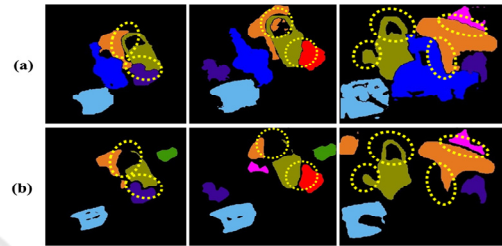


Figure 10: Comparative illustration of boundary segmentation accuracies in highly occluded scenes between: (a) the proposed approach and (b) Mask RCNN-based CosyPose segmentation.

In particular, we found that the proposed approach is more effective for segmenting thin or small size of objects, such as occluded kettle handles and spouts, than the Mask RCNN-based CosyPose segmentation.

6.3 Efficient 6D Pose Estimation

6.3.1 Outlier Removal

A partial point cloud may include outliers generated in the process of sampling points from panoptic-segmented scene images. We trained and tested the outlier removal network introduced in Section 5.1 by generating simulated partial point cloud outlier datasets based on the LM dataset. The trained outlier removal network achieved an overall accuracy of 99.84%, as summarized by the confusion matrix of Figure 11.

True Label	Predicted Label	
	Partial	Outlier
Partial	99.52	0.48
Outlier	2.44	97.56

Figure 11: The confusion matrix depicting the test performance of the proposed outlier removal network.

Figure 12 exemplifies the outliers included in partial point clouds that are removed out by the outlier removal network.

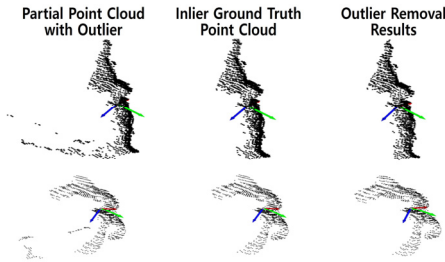


Figure 12: Examples of outliers included in partial point clouds that are removed by the outlier removal network.

6.4 6D Pose Estimation

Figure 13 visualizes the result of 6D pose estimation using LMO testing objects, where the camera frame-based input partial (red) and reconstructed full (green) point clouds as well as the object frame-based reconstructed full (black) point clouds are overlapped based on the estimated 6D object poses.

In Figure 14, typical 6D pose estimation results obtained by the proposed approach are illustrated in terms of captured partial point clouds (grey) and the full object models (color) placed in the scene based on their estimated 6D poses.

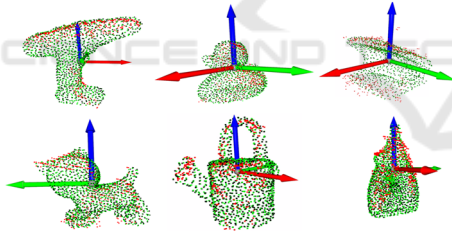


Figure 13: Visualization of the camera frame-based input partial (red) and reconstructed full (green) point clouds as well as the object frame-based reconstructed full (black) point clouds that are overlapped.

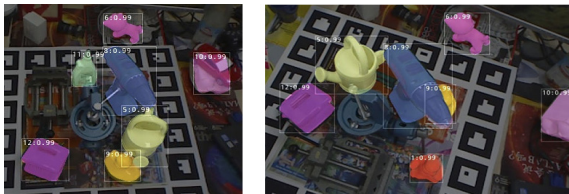


Figure 14: Illustration of typical 6D pose estimation results with the full object models (color) placed in the scene based on their estimated 6D poses.

The overall performance of the proposed 6D pose estimation approach is summarized in Tables 3 and 4.

In Table 3, the average recall (AR) performance of the proposed approach is compared to the top-tier performances in the LMO leader board based on RGB-D.

Table 3: Performance comparison of the proposed approach to top-tier approaches in the LMO leaderboard.

	AR	AR (VSD)	AR (MSSD)	AR (MSPD)	Time (sec)
Proposed w/o Iterative Refinement	0.775	0.738	0.792	0.796	0.145
GPose2023 ¹ , Rank 1	0.805	0.664	0.852	0.900	5.723
RADEt+PFA-MixPBR-RGBD ² , Rank 2	0.797	0.658	0.843	0.890	1.743
GDRNPP ³ w/ Iterative Refinement, Rank 7	0.792	0.651	0.836	0.889	0.177

¹ GPose2023: Not yet published.

² PFA: Hu et al., 2022

³ GDRNPP: Sundermeyer et al., 2022

Table 3 indicates that the proposed 6D pose estimation positions in the leader board as one of the top-tier performances, in particular, listing the top performance in AR(VSD) metric and the processing time per scene. As for the computational efficiency, the proposed approach requires about 145ms in total as an integrated framework, in which only 30ms are taken for the 6D pose estimation by the dual associative point AE. Note that, unlike other top-tier performances, the performance of the proposed approach listed in Table 3 is obtained without using an additional iterative pose refinement process. Therefore, we were interested in comparing the performance of the proposed approach with the cases in the leader board that use no pose refinement process. Table 4 presents the comparative performance of the proposed approach to the top-tier performances in the LMO leader board without iterative pose refinement processes.

Table 4: Performance comparison of the proposed approach to top-tier approaches in LMO leader board that do not use iterative pose refinement processes.

	AR	AR (VSD)	AR (MSSD)	AR (MSPD)	Time (sec)
Proposed w/o Iterative Refinement	0.775	0.738	0.792	0.796	0.145
HCCePose ¹ w/o Iterative Refinement	0.768	0.615	0.787	0.902	N/A
SurfEmb ² w/o Iterative Refinement	0.760	0.615	0.809	0.856	11.943

¹ HCCePose: Not yet published.

² SurfEmb: Haugaard et al., 2022

Table 4 indicates that, without taking account of iterative pose refinement processes, the proposed approach shows the top performance in AR, AR (VSD), and the processing time. Note that the same testing dataset provided by the benchmark was used by the approaches under comparative evaluation. In sum, through experiments, we validated the effectiveness of the proposed framework in terms of its top-tier performance in the accuracy of estimated poses as well as in the computational efficiency.

7 CONCLUSION

In this study, we presented an end-to-end deep network framework for the 6D pose estimation of objects under heavy occlusions in cluttered scenes. The proposed framework integrates the cascaded YOLO-YOACT-based occlusion-robust panoptic segmentation network with the dual associative point AE-based efficient 6D pose estimation network. In particular, we achieved the occlusion-robust panoptic segmentation based on such novel regimes as 1) the depth-based tone mapping of YOLO box images and 2) the scene-level segmentation refinement by fusing multiple YOLACT segmentations generated from overlapped YOLO boxes. We also achieved highly efficient 6D pose estimation by directly transforming a 3D partial point cloud into the corresponding 6D full point cloud represented in the combined camera and object frames. The robustness of the proposed panoptic segmentation against heavily occluded scenes is verified by ablation and comparative studies. The effectiveness of the integrated 6D pose estimation framework is validated by the experiments using the standard benchmarking datasets, LM and LMO. We showed a top-tier performance in the LMO leader board in terms of both accuracy and efficiency, in particular, in AR (VSD) and computation time, despite that no additional 6D pose refinement process is employed. We are currently developing a 6D pose refinement process to be attached to the current framework. We also plan to apply our framework to various pick-and-place operations for the industry.

ACKNOWLEDGEMENTS

This study was supported, in part, by the “Intelligent Manufacturing Solution under Edge-Brain Framework” Project of the Institute for Information and Communications Technology Promotion (IITP) under Grant IITP-2022-0-00067 (EdgeBrain-2) and

IITP-2022-0-00187 (EdgeBrain-3) and, in part, by AI Graduate School Program, Grant No. 2019-0-00421, and by ICT Consilience Program, IITP-2020-0-01821, of the Institute of Information and Communication Technology Planning & Evaluation (IITP), sponsored by the Korean Ministry of Science and Information Technology (MSIT).

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