

Predictive Biomarkers in PD-1/PD-L1 Immunotherapy Response: A Machine Learning Approach Using Gene Sequencing Data

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Abstract: Cancer, a leading cause of premature death globally, has seen a surge in new cases, projected to reach 28.4 million by 2040. Immunotherapy with immune checkpoint inhibitors (ICIs) like PD-1/PD-L1 inhibitors presents a promising treatment avenue. However, patient response rates vary, prompting the search for predictive biomarkers. Existing markers, often derived from transcriptomic analyses, exhibit moderate accuracy, hindered by cancer heterogeneity and tissue specificity. Artificial intelligence models, classified into regression, classification, and deep learning, have shown promise. Despite their potential, the limitations of current biomarkers require exploring combined predictions with multiple markers, considering various biological mechanisms. In this study, a machine learning model using RNA sequencing data from 546 patients with urothelial, renal, thymic, melanoma, non-small cell carcinoma, and oral cavity carcinoma from nine different cohorts, obtained in public databases, identified 55 genes influencing response classification. The GradientBoosting model demonstrated superior predictive performance compared to previous reports, with an AUC of 0.95, a recall of 0.84, and a specificity of 0.90. Clustering algorithms using SHapley Additive exPlanations values from the model, revealed nine sample groups, each with a majority class and eight of them associated with different types of cancer, demonstrating the potential for agnostic prediction models.


1 INTRODUCTION


According to the World Health Organization (WHO), cancer is the leading cause of death before age of 70 in 112 out of 183 countries and ranks third or fourth in the remaining 23 countries. The incidence of new cancer cases in 2020 was 19.3 million and is expected to increase to 28.4 million by 2040 (Sung et al., 2021). This increase is attributed to the growth of the elderly population and the prevalence of risk factors associated with economic development. Cancer is often referred to as the disease of the modern age (Bray et al., 2018). Immunotherapy with immune checkpoint inhibitors (ICI), such as such targeting programmed cell death protein 1 (PD-1), programmed death-ligand 1 (PD-L1), has emerged as a promising therapeutic approach. ICIs stimulate the

immune system to target cancer cells in tumors without identified genetic targets (Reck et al., 2013). While ICIs have shown remarkable responses in some cancer patients, the selection of patients who benefit remains low, with varying response rates and clinical outcomes (Kornepati et al., 2022). To improve personalized clinical decisions and treatment procedures, predictive biomarkers for individual ICI responses are crucial (Hwang et al., 2020). Various biomarkers have been proposed, based on transcriptomic analysis (Topalian et al., 2016), with the majority obtained from traditional statistical tests, and a few, in recent years, derived from machine learning techniques using features extracted from gene expression quantification, including IFN- γ pathway (Yu et al., 2021), tumor-infiltrating lymphocytes (Paijens et al., 2021), tumor mutation

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burden (TMB) (Chan et al., 2019), T cell receptor (Han et al., 2020), CTLA-4 promoter hypomethylation (Klümper et al., 2021), DNA repair machinery (Chabanon et al., 2016), microsatellite instability (Bonneville et al., 2017) neoantigen presentation (Abbott et al., 2021), gender differences (Ye et al., 2020), and gut microbiome (Liang et al., 2022). To predict the response to immunotherapy, artificial intelligence models fall into three categories. The first category includes regression models for predicting progression-free or overall survival time, with techniques like LASSO and Cox regression being prominent (Jia et al., 2023; T. Li et al., 2023; F. Song et al., 2023; J. Song et al., 2022). The second category comprises machine learning-based classification models, primarily using algorithms like Random Forest, Support Vector Machines, and artificial neural networks, with genetic signatures obtained from differential expression analysis or protein-protein interaction network analysis (Chen et al., 2021; Huang et al., 2022; Kong et al., 2022; Uhlik et al., 2023). Lastly, deep learning classification models, particularly deep neural networks (DNNs), have shown potential (Kang et al., 2022). However, these biomarkers are limited by their moderate accuracy, cancer heterogeneity, and tissue specificity (Sun et al., 2021).

There is a need to explore how combined prediction with multiple biomarkers associated with different biological mechanisms can enhance model performance in terms of specificity and sensitivity, to be more effective in clinical applications. The limitations of proposed biomarkers may result from small study cohorts and incomplete analysis of the mechanisms involved in ICI response. This response depends on several mechanisms involved in the immune processes of tumor control by both the host and the tumor, necessitating the analysis of both the tumor and the microenvironment (Liberini et al., 2021). Cancer is a heterogeneous disease, even within the same anatomical site. Important factors, such as cell composition and signalling pathways exploited by the tumor to escape the immune system, can vary between patients. Therefore, comprehensive approaches that combine different involved mechanisms are required. In this context, transcriptomic information can be harnessed for this purpose (Lapuente-Santana et al., 2021). Conventional methods based on differential expression do not allow for comprehensive analysis, as different molecular features in each tumor's profile need to be considered to predict its response to immunotherapy accurately. Artificial intelligence can be invaluable in this context due to its ability to find

associations among a large number of variables, enabling the prediction of responses that encompass different mechanisms.

In this study, a machine learning-based computational model was developed to predict the response to PD1/PD-L1 immune checkpoint inhibitors in solid tumors using RNA sequencing data. From the model, 55 genes were identified that participate in the classification of the response, and additionally, the relevance of each one in the model was determined. Using this information and applying clustering algorithms, 9 patient clusters were identified, some of these groups showing a positive response and others showing a negative response to immunotherapy. Eight of these clusters contain samples from various types of cancer included in the study: melanoma, renal cancer, thymic carcinoma, urothelial cancer, non-small cell lung carcinoma, and squamous cell carcinoma of the oral cavity; only one of the clusters showed specificity for melanoma.

These results show that there are common evasion mechanisms between different types of cancer and that it is possible to use agnostic prediction models for the response to immunotherapy with PD-1 / PD-L1 checkpoint inhibitors.

2 METHODS

2.1 Acquisition of Transcriptomic Information from Public Databases

Data were collected from 546 patients with advanced or metastatic solid tumors, along with anonymized clinical information. Biopsies for RNA sequencing were obtained from these patients before receiving PD-1 or PD-L1 immunotherapy, and their responses were classified according to RECIST 1.1 criteria.

Raw RNA-seq data were obtained from nine cohorts, including six from the GEO database, comprising 49 melanoma patients treated with anti-PD-1 from the study by Riaz, et al. (Accession PRJNA356761) (Riaz et al., 2017), 28 melanoma patients treated with anti-PD-1 from the study by Hugo, et al. (Accession PRJNA312948) (Hugo et al., 2016), 6 melanoma patients treated with anti-PD-1 from the study by Auslander, et al. (Accession PRJNA476140) (Auslander et al., 2018), 8 thymic carcinoma patients treated with anti-PD-1 from the study by HE, et al. (Accession PRJNA753518), 27 nonsmall cell lung carcinoma patients treated with anti-PD-1 or anti-PD-L1 from the study by Jung, et al. (Accession PRJNA557841) (Jung et al., 2019), and 11 squamous cell carcinoma of the oral cavity

patients treated with anti-PD1 from the study by Liu, et al. (Accession PRJNA744780) (S. Liu et al., 2021). Two cohorts were obtained from the ENA database, including 33 melanoma patients treated with anti-PD-1 from the study by Gide, et al. (Accession PRJEB23709) (Gide et al., 2019) and 7 melanoma patients treated with anti-PD-1 or anti-PD-L1 from the study by Du, et al. (Accession PRJNA706446) (Du et al., 2021). Two cohorts were obtained from the EGA database, including 296 urothelial cancer patients treated with anti-PD-L1 from Mariathasan, et al.'s database (project EGAS00001002556, requires access authorization) (Mariathasan et al., 2018) and 81 renal cancer patients treated with anti-PD-L1 from McDermott, et al.'s study (project EGAS00001002928, requires access authorization) (McDermott et al., 2018). The RNA-seq data obtained for each patient are paired in all cases, meaning there are two fastq.gz files for each patient since both the 5' end and the 3' end of an RNA fragment were sequenced. Patients with paired data were selected to gain more information and provide greater reliability in subsequent processes.

2.2 Quantification of Expression from RNA-Seq Data

The cleaning of the FASTQ format files was performed using Cutadapt, STAR software was used for read alignment and the abundance of each transcript was quantified using FeatureCounts software.

2.2.1 Data Cleaning

For the initial cleaning, the software Cutadapt 4.5 was used with the following parameters: `-q quality-cutoff 30`: sequences with a quality score below 30 were removed. `--max-n 0`: Sequences with the presence of the base "N" or unknown bases were eliminated. `-m minimum-length 40`: Sequences with a length less than 40 bases were discarded.

2.2.2 Read Alignment

To perform read mapping, the STAR 2.7 tool and the reference genome GRCh38.p14 in FASTA format, downloaded from the NCBI, were used. The output format was configured as BAM organized by coordinates. `--chimSegmentMin 12` set the minimum length required for read segments to be considered as potential splices in the alignment.

2.2.3 Expression Quantification

The software FeatureCounts 2.0.2 was used for transcript counting, along with the annotation file containing information about the genomic features to be counted in GTF format, downloaded from NCBI for the GRCh38.p14 genome. `--countReadPairs` was used to count read pairs instead of individual reads for a more accurate analysis. `-t exon` was used to count the number of reads that align to exons (coding regions of DNA) to estimate gene and isoform expression. `-g gene_id` was used to employ the gene identifier (`gene_id`) as the primary column for labeling the counting results.

2.3 Principal Component Analysis

Principal Component Analysis (PCA), using the Python Sklearn library implementation, was conducted to identify the presence of batch effects.

Prior to this, Variance Stabilizing Transformation (VST) was performed on the raw counts, using DESeq2 software package in R. The normalization process involved the following steps: 1. A `DESeqDataSet` object was created from the count matrix using the `DESeqDataSetFromMatrix()` function. In the `colData` parameter, a `DataFrame` was provided with the response for each patient and their respective cohort. The condition column in the `DataFrame` was specified in the `design` parameter. 2. The `DESeq()` function was applied to the `DESeqDataSet` object to estimate the dispersion, calculate the size factors, and fit a negative binomial regression model. 3. Transcripts with a total expression sum across all samples less than 5 were removed. 4. The `vst()` function was applied to the `DESeqDataSet` object, with the `blind=FALSE` parameter to consider the previously calculated size factors.

2.4 Batch Effect Correction

Batch effect correction was performed using the `Combat-seq` implementation from the Bioconductor package in R. The correction was applied to the raw data, following the developer's guidelines (Zhang et al., 2020). In the "batch" parameter, different cohorts per sample were specified, and in the "group" parameter, the response type per sample was indicated according to the previously defined response strategy in the methodology (0 for no response and 1 for response). Subsequently, the data were normalized using VST.

2.5 Differential Expression Analysis

Differential expression analysis was performed to identify transcripts with the greatest expression differences between patients who respond and those who do not respond to immunotherapy, according to the previously defined response strategy in the methodology. For this purpose, the data with batch-effect correction, but without VST, were used, as the DESeq2 software employs its own normalization process.

The results of the differential expression analysis were obtained using the function results (DESeqDataSet). The parameters p -adjusted = 0.05 and lfcThreshold = 0.25 were set for the differential analysis of responders vs. non-responders.

Gene Set Enrichment Analysis (GSEA) was performed to identify enriched biological pathways by those genes with significant differential expression (lfcThreshold = 0.1), using the KEGG canonical pathways knowledge base with a q -value of 0.05. Analysis was also carried out for the top 5 cohorts with the highest number of patients.

2.6 Machine Learning Models for Classification

To develop the classification algorithm, the following procedure was implemented: 1. 10-fold cross validation was developed using the StratifiedKfold ($n_splits=10$, $shuffle=True$, $random_state=11$). In each fold, the SMOTE algorithm (Synthetic Minority Oversampling Technique) was used to balance the data in the training and test sets separately. Various machine learning models were trained and tested using the Python Sklearn library, consistently yielding better results with the GradientBoosting algorithm. 2. SHapley Additive exPlanations (SHAP) was used to identify the features that contribute the most to the GradientBoosting model in the training data. A new data set was generated from features with contributions greater than or equal to 0.01. 3. Accuracy, AUC, sensitivity, and specificity metrics, along with confusion matrices, were obtained for each fold. The mean accuracy and AUC across folds were calculated, and a confusion matrix and general metrics were obtained.

This procedure was tested with different initial datasets, various normalization methods, in the complete count matrix or the transcripts obtained from differential expression analysis ($padjust = 0.05$ and $lfcThreshold = 0.1$).

Later, the adjustment of the 'n_estimators' and 'criterion' parameters of the GradientBoosting

algorithm was performed using the GridSearchCV() method from the sklearn library. Subsequently, ten-fold cross-validation was conducted using the datasets resulting from the feature selection with the SHAP method for the data processed with Combat-seq, Combat-seq and Log2 transformation, Combat-seq and TPM-Log2 normalization, and Combat-seq with VST normalization. The Gradient Boosting Classifier algorithm was trained with the parameters $n_estimators=100$ and $criterion='friedman_mse'$.

2.7 Clustering

Based on the data generated by SHAP, the Kmeans, AffinityPropagation, and AgglomerativeClustering algorithms from the Python Sklearn library were tested to identify groups of patients with similarities in genes relevant to classification. Tests were conducted with distance metrics such as "euclidean," "manhattan," "chebyshev," "minkowski," "seuclidean," "mahalanobis," and "cosine" as the similarity parameter. Once the clusters were created, the majority class (0 for non-responders or 1 for responders) was identified in each one, and the corresponding value was assigned to each cluster. With these new assignments, the Rand index metric was used for clustering performance evaluation. Finally, heat maps were generated for each of the clusters.

3 RESULTS

3.1 Batch Effect Correction

Figure 1 shows PCA before Batch effect correction, a separation by cohorts into two main groups is observed, one of them corresponds to the cohorts obtained from the EGA and ENA databases, and the second group corresponds to the cohorts obtained from the GEO database. Figure 2 illustrates the removal of batch effect using Combat-seq through PCA.

3.2 Differential Expression Analysis

As a result of the differential expression analysis (p -adjusted = 0.05 and $lfcThreshold = 0.25$), 54 genes were found to be overexpressed in the responsive group, and 64 were underexpressed. Figure 3 displays the volcano plot generated from this analysis.

The top 10 genes with the highest Log Fold Change (LFC) or overexpressed in patients responding to immunotherapy were LOC105377177,

H2BC12L, IGKV1D-33, APOH, SEPTIN7P11, IGHV3-53, REN, C2orf80, UBE2NL and DUSP13. The top 10 genes with the highest -LFC or underexpressed in patients responding to immunotherapy were SST, SFTPA1, SFTPC, MUC2, BPIFA1, GKN1, DSG1, and FGFBP1.

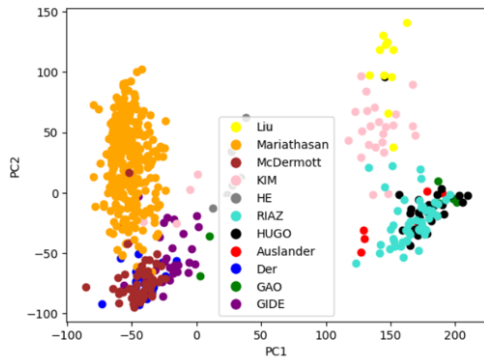


Figure 1: PCA of quantification matrix with VST but without batch effect correction, identifying the original studies.

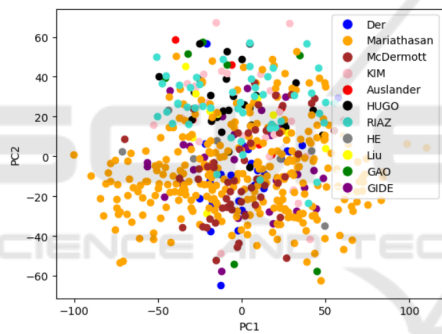


Figure 2: PCA of quantification matrix with batch effect removal using Combat-seq, identifying the original studies.

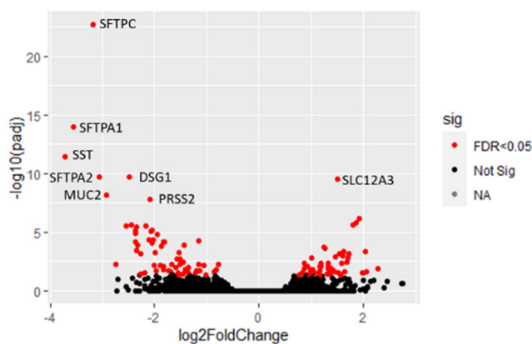


Figure 3: PCA of quantification matrix with batch effect removal using Combat-seq, identifying the original studies.

KEEG molecular pathways enriched with highly expressed genes ($q=0.05$) in patients responding to immunotherapy are “hsa04612 Antigen processing

and presentation” and “hsa04650 Natural killer cell mediated cytotoxicity”, also enriched in 4 cohorts and 3 cohorts respectively, when analysing the 5 cohorts with the highest number of patients. KEEG molecular pathways enriched with low expressed genes ($q=0.05$) in patients responding to immunotherapy are “hsa00980 Metabolism of xenobiotics by cytochrome P450”, “hsa00982 Drug metabolism - cytochrome P450”, “hsa04510 Focal adhesion”, “hsa00830 Retinol metabolism” and “hsa04512 ECM-receptor interaction”, also enriched in 4, 3, 3, 2 and 3 cohorts respectively, when analysing the 5 cohorts with the highest number of patients.

Pathways showing enrichment with overexpressed genes are clearly related to immunological processes. Similarly, an association between pathways enriched with underexpressed genes and prognosis in cancer has been found in the literature (Harvey & Morgan, 2014; Hu & Chen, 2012; Nersisyan et al., 2021; Zhao & Guan, 2009).

3.3 Machine Learning Models for Classification

Using the Pycaret library in Python, different classification algorithms were tested based on various knowledge bases. It was found that the algorithm with the best AUC results across the trials was GradientBoosting. Additionally, it was identified that with batch effect correction using Combat-seq, the best accuracy and AUC results were obtained (0.78 AUC), surpassing the implementation of Limma in DESeq2 (0.67 AUC) and EdgeR (0.68 AUC). However, the models obtained have a recall lower than 0.5, so it became necessary to explore different feature selection techniques.

Using the Sklearn library in Python and 10-fold cross-validation and SHAP for features selection, different classification algorithms were tested based on various knowledge bases, once again finding better performance with the GradientBoosting algorithm. Data without batch effect correction, whether unnormalized or normalized using various techniques (Log2, TPM, TPM-Log2, VST), yielded AUC results between 0.79 and 0.86. Datasets with batch effect correction using the Limma implementation in DESeq2 and in EdgeR obtained AUC values of 0.83 and 0.84, respectively. Datasets with Batch effect correction using Combat-seq without normalization or with subsequent normalization using different techniques (Log2, TPM, TPM-Log2, VST) obtained AUC results between 0.89 and 0.91 and with sensitivity results between 0.80 and 0.82, as well as specificity results

between 0.82 and 0.86. From the tests conducted with the dataset containing 1230 differentially expressed genes ($padjust = 0.05$ and $lfcThreshold = 0.1$), a lower performance was identified, indicating that it is not a good feature selection method. Many of the genes selected through the functions of the SHAP library in the models with the complete dataset do not belong to the set of differentially expressed genes.

In Table 1 the results are presented after adjusting the parameters of the GradientBoosting algorithm for the datasets: Combat-seq, Combat-seq log2, Combat-seq and TPM log2 normalization, and Combat-seq with VST. The best result was obtained for the dataset with Combat-seq and VST normalization, with an average accuracy of 0.88 ± 0.045 , an average AUC of 0.95 ± 0.027 , a recall of 0.84, and a specificity of 0.92.

The genes obtained for the model trained on data with Combat-seq batch effect correction and VST normalization can be observed in Appendix, obtained using the SHAP library in Python, which displays features in order of importance in the model and indicates whether high values of each gene contribute to a negative response to immunotherapy (red values towards the right) or a positive response (red values towards the left).

The most relevant genes for the model are: SFTPC, SLC6A12, CSRP3, KCNC2, DPYSL5, QRSL1, LOC107985745, EPHA8, LOC101926984, NEUROG2-AS1, CXCL13, LOC102724334, LOC107985221, IGLV1-41, TMEM151A, TRIM48, SERPINB2, GABRR1, LOC124908054 y TUBB6. Some of these genes have been previously reported in the literature for their association with the response to immunotherapy under different biological mechanisms, like SFTPC (Jin et al., 2022), CSRP3 (S. Li et al., 2023), DPYSL5 which has positive interaction with Fibroblast growth factor receptor FGRFR3 related with PD-L1 control (Jing et al., 2021), QRSL1 (Morgan & Tergaonkar, 2022), EPHA8 apoptosis inhibitor (Wang et al., 2021), related to tumorigenesis and angiogenesis(X. Liu et al., 2016), CXCL13 which modulates cancer and immune cells to promote lymphocyte infiltration, activation by tumor antigens, and differentiation to increase the antitumor immune response (Hsieh et al., 2022), TRIM48 member of TRIM family proteins that participate in the ubiquitin-proteasome degradation system as E3-ubiquitin ligases and play pivotal regulatory roles in the occurrence and development of tumors, including tumor immune escape (Gu et al., 2023), SERPINB2 a regulator of inflammatory processes which has been described in the context of macrophage activation and cellular

senescence (Sen et al., 2020) and GABRR1 is associated with the GABAergic signaling pathway, as emerging studies have revealed its involvement not only in traditional neurotransmission but also in tumorigenesis and the regulation of tumor immunity (Yang et al., 2023).

3.4 Clustering

Using the data values generated by SHAP for the 55 relevant genes, PCA was performed, allowing the identification of responders and non-responders as separate groups, as shown in Figure 4.

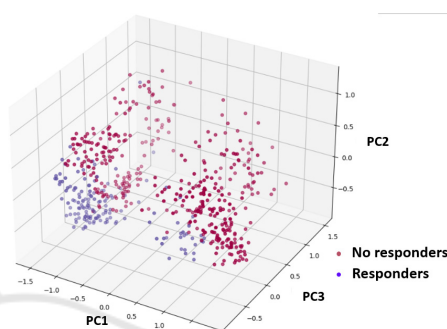


Figure 4: PCA of SHAP values for the 55 relevant genes.

Table 2 shows the percentage of patients who respond and do not respond in each of the clusters and the number of samples per cluster. All clusters have a percentage above 80% for the majority class and contain different types of cancer, except for cluster 0, which has 82% of melanoma samples and only 62% of the majority class, which in this case corresponds to responders. In Figure 5 the nine clusters are displayed using PCA to facilitate visualization.

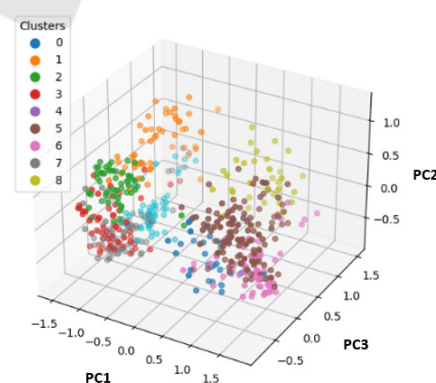


Figure 5: Nine clusters generated from the SHAP values of the 55 relevant genes in the GradientBoosting model, PCA employed for visualization.

Table 1: Performance of the GradientBoosting algorithm on different datasets after feature selection using the SHAP method.

Dataset	Accuracy	AUC	Recall	Specificity
Combat-seq - VST	0.88+/-0.045	0.95+/-0.027	0.84	0.90
Combat-seq	0.869 +/- 0.08	0.949+/- 0.0558	0.83	0.92
Combat-seq log2	0.85 +/- 0.068	0.94 +/- 0.038	0.80	0.91
Combat-seq TPM log2	0.85+/- 0.075	0.924+/-0.07	0.78	0.90

Table 2: Percentage of patients with negative and positive responses and number of patients by cancer type in each cluster.

Cluster	percentage of responders	percentage of non-responders	Number of urothelial samples	Number of renal samples	Number of melanoma samples	Number of lung samples	Number of oral samples	Number of thymic samples	Total of patients
0	62%	38%	2		24	3			29
1	2%	98%	26	7	11	2	3	2	51
2	12%	88%	34	9	13	1	1		58
3	80%	20%	39	10	13	1	1	1	65
4	2%	98%	91	16	14	6	3		130
5	11%	88%	43	8	4	2	1	1	59
6	80%	20%	37	15	10	7	2	3	74
7	0%	100%	8	1	19	5			33
8	2%	98%	16	15	15			1	47

In Figure 6 heatmaps are displayed for the first fifteen samples of each cluster where the majority class consists of responding patients, while in Figure 7 heatmaps for the first fifteen samples of each cluster where the majority class consists of non-responding patients are shown. As can be seen in the different heatmaps, very negative SFTPC SHAP values are found in clusters where the majority class is non-responders; this occurs when this gene is overexpressed. Positive SHAP values for SFTPC, accompanied by negative SHAP values of SLC6A12, CSRP3, KCNC2, DPYSL5, or QRSL1, are present in clusters 1, 2, and 8 with a majority class of non-responders, in which all the genes are underexpressed.

Positive SHAP values for SFTPC, accompanied by negative SHAP values of SLC6A12, CSRP3, KCNC2, DPYSL5, or QRSL1, are present in clusters 1, 2, and 8 with a majority class of non-responders, in which all the genes are underexpressed. On the contrary, positive SHAP values for SFTPC, accompanied by positive SHAP values of SLC6A12, CSRP3, KCNC2, DPYSL5, or QRSL1, are present in clusters 3 and 6 with a majority class of positive response, in which these genes are overexpressed. Cluster 0 does not have a defined pattern and requires further analysis to find other associated factors in melanoma.

4 CONCLUSIONS

In this study, a Gradient Boosting algorithm was trained for predicting the response to PD-1/PD-L1 immune checkpoint inhibitors in solid tumors using RNA-seq data, achieving an AUC of 0.95. This performance surpasses previously reported predictive models in the literature, which typically have AUC values between 0.66 and 0.79, as well as FDA-approved biomarkers (068 – 0.79 AUC). The Python SHAP library proved valuable in identifying the 55 genes used in the model. The SFTPC gene emerged as the most relevant for classification, identified in both the differential expression analysis and the model. High expression of SFTPC is consistently associated with non-response to ICI. Other relevant genes in the models were SLC6A12, CSRP3, KCNC2, DPYSL5, and QRSL1, but they are not part of the top differentially expressed genes.

Differential expression analysis is not the most suitable technique for feature selection, as the model trained with differentially expressed genes exhibited lower performance metrics. This may be attributed to the diverse biological mechanisms involved in immunotherapy response, leading to gene expression differences within each class (responders and non-responders).

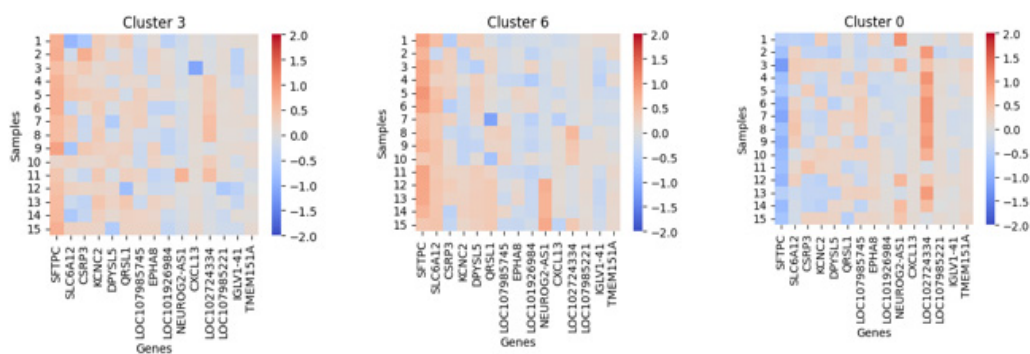


Figure 6: Heatmaps for the clusters with the majority class showing a positive response to PD-1/PD-L1 immunotherapy.

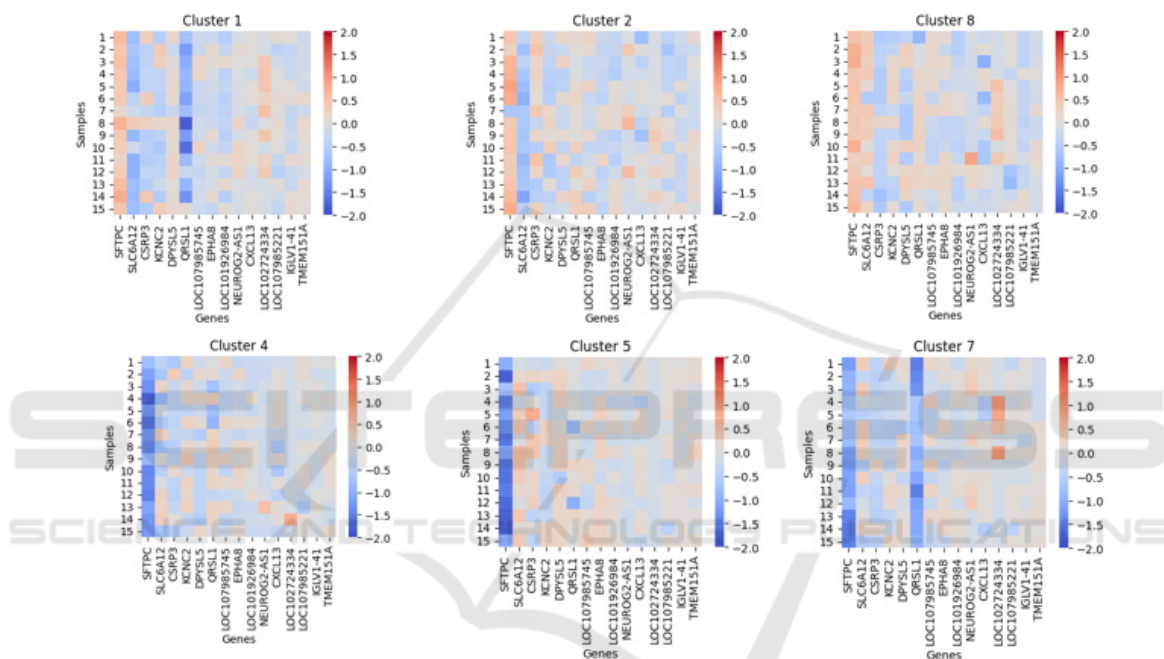


Figure 7: Heatmaps for the clusters with the majority class showing a negative response to PD-1/PD-L1 immunotherapy.

Affinity Propagation algorithm was employed to identify common expression profiles among samples, resulting in 9 clusters, all with a majority class percentage greater than 80% and containing different cancer types. This confirms the potential for finding common biomarkers across various cancer types for predicting ICI response. Three clusters with a majority class of non-responders exhibit very negative SHAP values for the SFTPC gene, confirming that overexpression of this gene is indicative of a poor prognosis for response. Three clusters with a majority of non-responders have positive SHAP values for SFTPC, similar to two clusters with a majority of responders. However, in the non-responder clusters, there are generally negative SHAP values for genes SLC6A12, CSRP3, KCNC2, DPYSL5, or QRSL1, indicating that low

expression values of these genes may have a poor prognosis. Specifically, non-responder Cluster 1 exhibits negative SHAP values for the QRSL1 gene, and non-responder Cluster 2 shows negative SHAP values for the SLC6A12 gene. Further analysis is needed to examine the differences in the expression profiles of each cluster, especially Cluster 0, which has 82% of melanoma samples and only 62% of the majority class, corresponding to responders. The relationship between these expression profiles and the molecular pathways enriched with differentially expressed genes has not been explored. It is suggested to conduct validation studies with the genes discovered in the present work, using new datasets. Future studies are required to analyse the expression profiles and associated biological pathways, aiming to deepen our understanding of the

mechanisms of evasion and response to immune checkpoint inhibitors and identify genes that can enhance the performance of the proposed prediction model.

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APPENDIX

Shap Values for the Genes Relevant in the Prediction Model

