

# Evolutionary-Based Ant System Algorithm to Solve the Dynamic Electric Vehicle Routing Problem

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**Keywords:** Dynamic Electric Vehicle Routing Problem, Ant Colony Optimization, Evolutionary Algorithms, Immigrant Scheme, Memory Based.

**Abstract:** This article addresses the Dynamic Electric Vehicle Routing Problem with Time Windows (DEVPTW) using a hybrid approach blending genetic and Ant Colony Optimization (ACO) algorithms. It employs an Ant System algorithm (AS) with an integrated memory system that undergoes mutations for solution diversification. Testing on Schneider instances under static and dynamic conditions, with run time of 10 and 3 minutes respectively, reveals promising results. Compared to static solutions, deviations of 8.55% and 2.38% are observed in vehicle count and total distance. In a dynamic context, the algorithm maintains proximity to static results, with 10.99% and 4.41% deviations in vehicle count and distance. Instances R1 and R2 present challenges, suggesting potential improvements in memory and pheromone transfer during re-optimization.

## 1 INTRODUCTION

The environmental impact of economic and technological growth, emphasize the role of fossil fuel combustion in greenhouse gas (GHG) emissions and climate change. The transportation sector, a major fossil fuel consumer, contributed significantly to GHG emissions in the EU. As a response, governments globally implemented policies to reduce emissions and fossil fuel use. The EU set ambitious goals to decrease GHG emissions by 80-95% by 2050 compared to 1990 levels. In last decade, Electric vehicles (EVs) have gained popularity for their environmental benefits, like zero GHG emissions and energy efficiency. Notable companies like FedEx and DHL have incorporated EVs into their fleets, with examples of substantial fleet expansions and new deployments. While EVs offer advantages, they face challenges including limited range, longer charging times, higher costs, and a developing charging infrastructure.

The Vehicle Routing Problem (VRP) involves finding efficient routes for a fleet of vehicles to meet customer demands and has been first introduced by (Dantzig and Ramser, 1959). With time, variations like Capacitated-VRP, Heterogeneous-Fleet-VRP, and Time-Dependent-VRP have introduced specific constraints (Kumar and Panneerselvam, 2012), and more recently Electric Vehicle Routing Problems (EVRP) has emerged (Erdoğan and Miller-Hooks,

2012). EVRP studies extend VRP concepts, but face complexity due to limited electric vehicle range and recharging needs. This introduces challenges like station placement, recharging policies, and various charging functions and many works focus on the extension related to EVRP (Qin et al., 2021; Erdelić et al., 2019). Nevertheless, the existing methods primarily address static scenarios, where all data is known in advance. However, real-world applications often face dynamic environments. This study emphasizes the Dynamic Electric VRP (DEVPR), a more challenging problem that requires not only finding optimal solutions quickly but also adapting to data changes (Mavrovouniotis and Yang, 2015). A common approach in handling DEVPR involves two phases (Leal and Silva Junior, 2020): first, generating routes for confirmed clients using static techniques, and second, periodically re-optimizing routes throughout the working day to adapt the solutions provided to the arrival of new customers requests.

Many technics have been used to solve (E)VRP and its variants. The most known to achieve very good results are the local search, variable neighborhood search, large neighborhood search, etc. (Erdelić et al., 2019). Among them, we found Ant Colony Optimization (ACO) algorithms that can achieve similarly results (Thymianis et al., 2022). However, ACO are primarily designed for static optimization (Dorigo et al., 1996), aiming for rapid convergence to a global or

near-global optimum. A challenge arises in dynamic optimization scenarios, where residual pheromone trails from previous environments can bias the population towards the old optimum. This hinders the tracking of the evolving optimum, making it difficult for ACO to adapt once it converges on a solution. One straightforward but often inefficient approach to dynamic problems is to treat them as a series of static instances by resetting pheromone trails and solving from scratch after each change (Mavrovouniotis and Yang, 2013). In dynamic environments, ACO algorithms can benefit from prior pheromone trails. When the current conditions resemble previous ones, these trails can speed up optimization (Guntsch and Middendorf, 2002). However, the algorithm needs to be flexible enough to either integrate the knowledge from these trails or discard them if they are outdated and no longer relevant to the new environment. More recently, ACO are combined with local/variable neighborhood search algorithms to enhance quickly their results (Mao et al., 2020; Wu and Gao, 2023).

Numerous strategies have been put forth and integrated with ACO to reduce re-optimization time while efficiently preserving output quality. These strategies fall into several categories: enhancing diversity following a dynamic change (Mavrovouniotis and Yang, 2013), sustaining diversity throughout execution (Eyckelhof and Snoek, 2002), memory-based schemes (Mavrovouniotis and Yang, 2012), hybrid/memetic algorithms (Wang et al., 2021) and immigrant schemes (Mavrovouniotis and Yang, 2012). For the last one, a subset of newly generated ants (the immigrant ants), replace the weakest ants in the current population. The approach to generating immigrant ants differs. For example, random immigrants represent random solutions to the problem (Mori et al., 1996), while elitism- or memory-based immigrants present solutions that deviate slightly from the best solution of a previous environment (Yang, 2008).

This paper particularly delves into immigrant schemes for the DEVRP, where the immigrant ants represent a viable VRP solution. The contributions of this study can be summarized as follows: We extend EVRPTW into dynamic pickup environment, which is more practical and propose an Ant System algorithm using evolutionary concept and an immigrant scheme to solve it. The results are validated throughout a series of test instances derived from those of Solomon, a well-known benchmark. The rest of this paper is outlined as follows. 2 formally presents the DEVRP and the structure of a solution. Section 3 describes the proposed ACO algorithm. Section 4 introduces DEVRP benchmarks, generated from those of Solomon, and evaluates empirically the performance

of the proposed method. The final section concludes this paper with discussions on future works.

## 2 FORMALIZATION OF THE DEVRPTW AND ITS SOLUTION

The formulation of the DEVRPTW proposed in this paper is based on the one provided by (Schneider et al., 2014). It can be modeled as a complete directed graph  $\mathcal{G} = (V', A)$ .  $V' = V \cup F \cup \{0, N + 1\}$  represents the set of vertices with  $V = \{1, \dots, N\}$  and  $F$  respectively the set of customers and recharging stations. Vertices 0 and  $N + 1$  corresponds to the same depot, and each routes starts at 0 and ends at  $N + 1$ .  $A = \{(i, j) \in V' | i \neq j\}$  is the set of arcs. To each arc is associated a distance  $d_{i,j}$  and a travel time  $t_{i,j}$ . To each vertex  $i \in V'$  is associated a pick-up demand  $q_i$ , a service time  $s_i$ , a time  $rt_i$  at which the request of customer  $i$  is know, and a time windows  $[e_i, l_i]$  in which the service has to start. So, the service cannot start before  $e_i$  or after  $l_i$ , but might end later. We note that  $\forall i \in \{0, N + 1\} \cup F, q_i = 0, s_i = 0$  and  $rt_i = 0$ . In addition, we note  $nf_i = f \in F | \min d_{i,f}$ , the nearest recharge station to customer  $i$ . The planning horizon  $H = [e_0, l_0]$  corresponds to the opening time windows of the depot, and the recharge stations are generally available on the entire horizon. There is a set of vehicles  $U$  and for each vehicle  $u, C_u$  and  $Q_u$  represent respectively its total loading and battery capacities. When an arc  $\{i, j\} \in A$  is traveled by a vehicle, a quantity of  $e \cdot d_{i,j}$  of the remaining battery charge is consumed, with  $e$  which corresponds to the constant charge consumption rate. At a recharging station, the time required for recharging depends on the difference between the current charge level and the battery capacity. This recharging process occurs at a rate of  $d$  which is linear. In other words, the duration of recharging is influenced by the initial energy level of the vehicle upon arrival at the station. The function  $C_u(i)$  allows us to know the remaining battery capacity of vehicle  $u \in U$  when it arrives at vertex  $i \in V'$ .

Several constraints and assumptions must be fulfilled to ensure a valid solution. They are listed above:

- The sum of the pick-up demands of the customers visited by a vehicle must not exceed its capacity.
- Each route must starts (vertex 0) and ends (vertex  $N + 1$ ) at the depot.
- A customer can be visited only during its time windows availability.
- Each client must be served at most once.

- The pick-up demand fulfillment of each customer must be guarantee.
- The battery level of each vehicle must never falls below 0.
- After leaving the depot and each charging station, the battery is brought back to full charge.
- The flow conservation constraints must be respected: the number of incoming arcs is equal to the number of outgoing arcs.
- The time feasibility must be respected for arcs leaving a vertex: when considering two vertices,  $i$  and  $j$ , the arrival time at vertex  $i$ , increased by the combined travel time from  $i$  to  $j$  and service duration (or recharge time) at  $i$ , must be shorter than the arrival time at vertex  $j$ .
- There are at most as many simultaneous routes as there are vehicles.

As mentioned earlier, there are different types of dynamics, with the most common, and the one studied in this paper, being the arrival of new customer requests during the day. Therefore, customer requests are not fully known in advance, but arrive dynamically during the parcel retrieval process. Consequently, to incorporate these new requests, the routes must be promptly re-planned, either immediately or every  $xx$  minutes in the case of continuous or periodic re-optimization. Then, the DEVRPTW is considered as a sequence of instances which slightly differ from each other.

A common approach for addressing DEVRPTW is known as the "least-commitment strategy." This strategy hinges on two distinct scenarios regarding interaction with the planned solution. The problem is deemed preemptive if, upon receiving a new customer request, a vehicle can divert from its current route to serve the new customer. In contrast, the non-preemptive approach, adopted in this paper, means that once a vehicle is en route to its next destination, it must adhere strictly to this trajectory, without allowance for deviations or interruptions at this stage of the route. A crucial aspect of dynamic routing problems is establishing a metric to quantify the level of dynamism in the problem: the degree of dynamism. It is a value within  $[0, 1]$ , defined as the ratio between the quantity of dynamic customers (those that are known after  $e_0$ ) and the overall number of customer. If the degree of dynamism is 0, the instance is static and then all customer are already known before  $e_0$ .

To help the general comprehension of this papers, additional notations are introduced because of the dynamic context. When the periodic re-optimization occurs, a new instance must be resolved, in which one or more new clients has arrived during the horizon  $H$ .

So, it is necessary to track the position of the vehicles over time: we introduce functions  $pos(u, h)$  and  $time(u, i)$ . The first one returns the vertex  $i$  at which vehicle  $u$  stands at time  $h$ . The second gives the time  $h$  at which vehicle  $u \in U$  visits  $i$ . These functions allow us to compute the couple  $avail_u = (h, i)$  and knowing precisely where and when each vehicle will be available when the periodic re-optimization occurs, because we are in a dynamic and non-preemptive context.

A solution to the DEVRPTW can be expressed as a sequence of routes followed by a vehicle. A route is defined as sequence of vertices (customers and/or recharge stations) starting and finishing respectively at vertices 0 and  $N + 1$ . Let  $\Pi = r_1, r_2, \dots, r_{|\Pi|}$  be a solution to the DEVRPTW.  $\forall r \in \Pi, r \subseteq V'$ , and  $r = 0, \dots, N + 1$  is a path in  $\mathcal{G}$  representing a vehicle route.

To assess the quality of a solution  $\Pi$ , we use the function  $(F)_{tot}$ , given by equation (1). The objective is to determine a solution for which the number of unvisited customers, the number of routes and the total distance traveled are minimized.

$$\mathcal{F}_{tot}(\Pi) = \mathcal{F}_{cust} + \mathcal{F}_{re}(\Pi) + \mathcal{F}_{dist}(\Pi) \quad (1)$$

With  $\mathcal{F}_{cust}$ ,  $\mathcal{F}_{re}(\Pi)$  and  $\mathcal{F}_{dist}(\Pi)$  that represent respectively the number of unvisited customer, the number of routes and the total distance traveled for the solution  $\Pi$ . These objectives are hierarchical, which means that a solution with no unvisited customers will be better than another with 1 or more unvisited customers and a lower total distance traveled.

Figure 1 shows the graph representation of the DEVRPTW for a small instance. In this problem  $V = \{0, 1, 2, 3, 4\}$ , and  $F = \{f_1\}$ . Around each vertex, we found the data relating to it. For example, for vertex 1,  $q_1 = 2$ ,  $s_1 = 1$ ,  $rt_1 = 3$ ,  $e_1 = 5$  and  $l_1 = 10$ . Vertex 0/4 is the depot and its interval of availability corresponds to its opening hours.  $f_1$  is a recharge station which is available on the entire horizon. Moreover, there are 2 vehicles with a capacity of 5, a battery capacity of 6 and a consumption rate of 1. The weight on each arc correspond to the travel time and to the distance between vertex. It is assumed to be equal to facilitate computation in this example.

At time 0,  $\Pi = \{\{0, 2, f_1, 3, 4\}\}$  is a solution to this instance whose evaluation  $\mathcal{F}_{tot}(\Pi) = 0 + 1 + (2 + 3 + 4 + 1) = 12$ . This solution is composed of 1 route that visits vertices 0, 2,  $f_1$ , 3 and 4. The vertex 1 is not known at this moment. In details, the vehicle starts at the depot at time 0 and arrives at vertex 2 at time 2 (travel time required to go from 0 to 2) and leave it at time  $2 + 2 = 4$  (travel time + service time). Then it visits vertex  $f_1$  at time 7 and so on. Because the consumption rate  $h = 1$ , the vehicle has consumed  $1 \cdot (2 + 3 + 4 + 1) = 10$  unit of the battery.

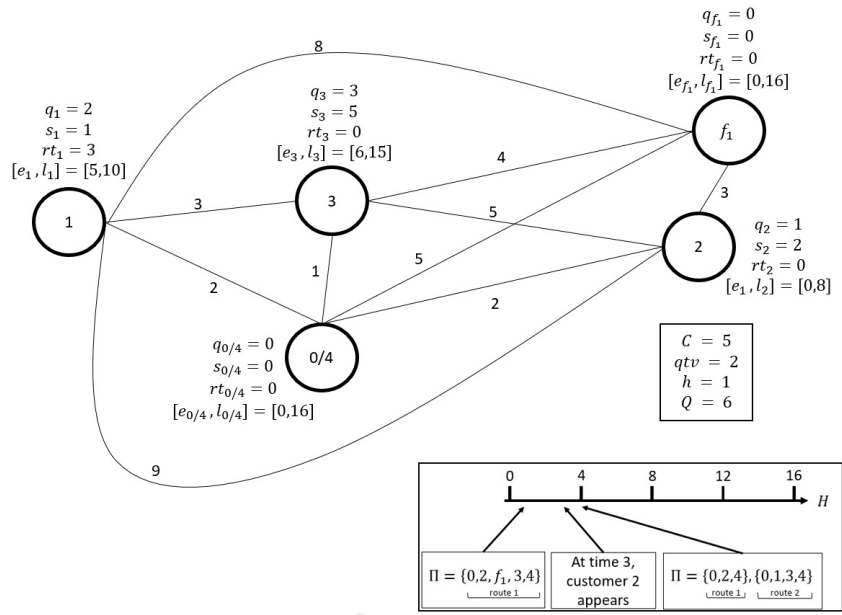


Figure 1: Graph representation of the DEVRPTW and of a solution.

When the periodic re-optimization occurs, customer 1 is known. It is arrived at time 3 but is taken into account only at time 4. At this moment, the vehicle on route 1 has finished to serve client 2. Since vehicle 1 lacks the necessary battery capacity and requires charging times due to the distance, it won't be able to reach customer 1 within its availability window. In this context, it is necessary to add a route to serve the customers. Then at time 4, a solution could be  $\Pi = \{\{0, 2, 4\}, \{0, 1, 3, 4\}\}$ . Its evaluation  $\mathcal{F}_{tot}(\Pi) = 0 + 2 + ((2 + 2) + (2 + 3 + 1)) = 12$ .

### 3 EVOLUTIONARY-BASED ANT SYSTEM

#### 3.1 General Framework

To help the construction of solutions, most of the ACO algorithms rely on heuristics in addition to pheromones that store the information about the best generated solutions. In comparison, evolutionary algorithms form an active population carried over between iterations using selection methods. In this study, we propose a population-based ants system which maintain a population consisting in the best ants encountered from the start of the algorithm. The aim is to sustain diversity within this population and transmit knowledge to the pheromone trails. When similarities between the population reach a predefined level, the pheromone trails and the population are partially reset. In order to store the population over each

iteration  $it$ : some ants are removed while others are added, it is imperative to establish a memory  $\mathcal{M}_{it}$  of size  $\mathcal{M}_s$ . Indeed, to constitute the the memory  $\mathcal{M}(i)$  of the iteration  $i$ , the  $\mathcal{M}_s$  best ants generated at this iteration are faced in tournament with the set of ants  $\mathcal{M}(i - 1)$  which were retained from the previous iteration.

Figure 2 details the general process of our algorithm. While a preset time limit is not reached, at each iteration: (1) ants build solutions using heuristic and pheromones information, (2) if the similarity between the best ant ever encountered and the ants generated in this iteration exceeds a predefined threshold, both the pheromone matrix and the currently memorized population are reset, else the population in memory is updated through a confrontation between the best ants generated in this iteration and those currently stored, (3) some ants in the memory undergo mutations (4) the ants deleted from the population in this iteration remove their pheromones, then all the ants in memory deposit pheromones and (5) if it is time for periodic re-optimization, then the data of the instance are updated and the pheromones matrix is reinitialized.

At the start of the algorithm, the current time  $h = 0$ , the population at iteration  $\mathcal{M}(0) = \emptyset$  and the matrix of pheromones  $\tau$  of size  $V' \times V'$ , is initialized at  $\tau_{init} = 1/\mathcal{F}_{tot}(\Pi_{init})$ , where  $\Pi_{init}$  corresponds to a solution constructed using a greedy heuristic based on the distance to next customer and taking into account both the commencement and duration of its time window. Moreover, for each vehicle  $u \in U$ ,  $avail_u = (0, 0)$ . This last value may differ when the

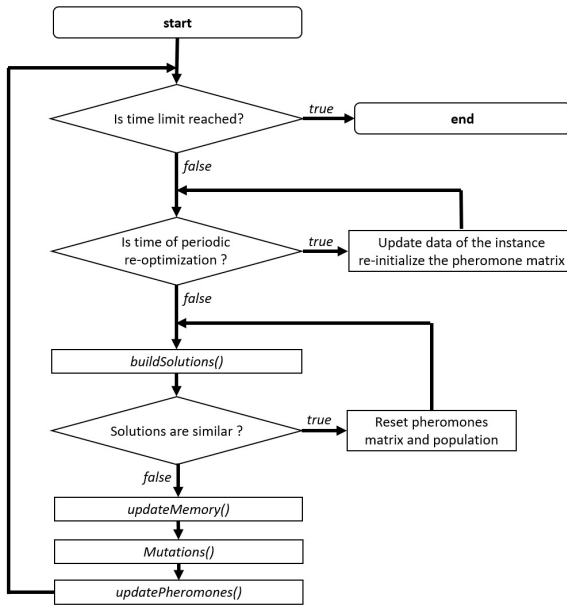


Figure 2: Flowchart of the proposed algorithm.

periodic re-optimization occurs.

### 3.2 Function *buildSolutions()*

A set of  $antQty$  ants generate solution, starting from the depot (see the constraints described in section 2). Each ant built his own solution, selecting the next vertex to visit according to the random proportionality rule that rely on the probability  $p_{i,j}^k$ . This probability is based on both heuristic and pheromones information and is described in equation 2.

$$p_{i,j}^k = \frac{\tau_{i,j}^\alpha \cdot \eta_{i,j}^\beta}{\sum_{j \in UN_i^k} \tau_{i,j}^\alpha \cdot \eta_{i,j}^\beta} \quad (2)$$

Where  $p_{i,j}^k$  is the probability to visit vertex  $j$  when ant  $k$  is located at client  $i$ ,  $\tau_{i,j}$  represents the existing pheromone trail on the arc between customers  $i$  and  $j$ ,  $\eta_{i,j} = \frac{1}{d_{i,j}} \cdot \frac{h_i}{l_j} \cdot \frac{e_j}{l_j}$ , with  $h_i$  the time at which the vehicle is scheduled to depart from  $i$ , corresponds to the heuristic information that prioritizes the closest customers, whose time windows are short and which will soon close,  $UN_i^k$  is the set of available unvisited neighborhood customers for ant  $k$  when it stands on vertex  $i$ : those for which  $h_i + t_{i,j} \leq l_j$ .  $\alpha$  and  $\eta$  are coefficient that specify the relative importance of respectively pheromones and heuristic information. We note that if a vehicle arrives at a customer  $j$  before the start  $e_j$  of its time windows, the vehicle wait until this moment before starting the service of  $j$ .

When selecting the next customer would result in an impractical solution (e.g., exceeding the maximum

vehicle loading or battery capacities), the depot or a recharge station is selected depending of the constraint violated (battery capacity, or loading capacity). Note that if the vehicle does not have enough battery to return to the depot, then the nearest charging station is selected. A vehicle returning to the depot implies the start of a new vehicle route. This sequence continues until all customer demands are met, ultimately leading to a feasible DEVRPTW solution constructed by an ant. The quantity of routes in a solution determines the number of vehicles used.

Taking into account the current customer  $i$ , the previously chosen next customer  $j$ , the constraint associated with battery consumption and the constraint related to vehicle loading capacity, there are several scenarios that compel the vehicle to either proceed to a charging station or return to the depot:

**Battery Capacity:** there is not enough battery to go from customer  $i$  to  $j$  ( $C_u(i) - e \cdot t_{i,j} \leq 0$ ), or once arrived at customer  $j$ , there is not enough battery to reach the nearest charging station  $nf_j$  ( $C_u(i) - e \cdot (t_{i,j} + t_{j,nf_j}) \leq 0$ ). In both cases, the nearest charging station  $nf_i$  is selected.

**Load Capacity:** if the request  $q_j$  of the next customer  $j$  leads to the vehicle's capacity being exceeded, then the depot  $N + 1$  is selected only if there are enough remaining battery to reach it, otherwise the nearest charging station  $nf_i$  of current customer  $i$ , is selected. We note that  $nf_i$  is always reachable because of the checks related to the battery consumption constraint.

An iteration  $it$  is concluded once all ants have created workable solutions, resulting in the generation of a population  $P_{it}$ .

### 3.3 Function *updateMemory()*

Prior to updating the memory, *updateMemory()* checks that the algorithm did not converged toward a local minimum. We can ensure that a local optimum is reached when the similarities between the best and worst solutions obtained at a given iteration exceed a predetermined threshold (for example: 95%). This behavior means that some pheromones are too high compared to others, so the algorithm constructs almost identical solutions.

If a local optimum is reached, a re-initialisation of the memory and of the pheromones matrix occur. The rate  $\xi(it)$  is computed. It defines how close are the solutions generated at this iteration  $it$ , thanks to the metric  $comp(\Pi_1, \Pi_2)$  which compare solutions pairwise. This computation of  $\xi(it)$  is detailed in equation 3.

$$\xi(it) = \frac{\sum_{\Pi_1, \Pi_2 \in P_{it} | \Pi_1 \neq \Pi_2} comp(\Pi_1, \Pi_2)}{|P_{it}|} \quad (3)$$

with

$$comp(\Pi_1, \Pi_2) = 1 \left( \frac{CE(\Pi_1, \Pi_2)}{|V'| + avg(|\Pi_1|, |\Pi_2|)} \right)$$

Where  $CE(\Pi_1, \Pi_2)$  denotes the shared edges between solutions  $\Pi_1$  and  $\Pi_2$ , and  $avg(|\Pi_1|, |\Pi_2|)$  represents the average number of routes for  $\Pi_1$  and  $\Pi_2$ . The closer  $\xi$  is to 0, the more similar the solutions are, and if it is less than a predefined threshold  $\mathcal{M}_{reset}$ , a re-initialisation of the pheromone matrix and the memory is triggered: all the pheromones of  $\tau$  are set to  $\tau_{init}$  and  $\mathcal{M}_{it} = \emptyset$ .

If the re-initialisation is not triggered, then the memory is updated. This process is done using a system of tournament in which the solutions of  $P_{it}$  and  $\mathcal{M}_{it-1}$  confront each other. From  $P_{it} \cup \mathcal{M}_{it-1}$ , function *updateMemory()* (see algorithm 1) randomly selects  $\mathcal{M}_s$  couple of solutions that are compared using our objective function  $\mathcal{F}_{tot}$ . This function ensures a new memory  $\mathcal{M}_{it}$  for the iteration  $it$  and  $del_{it}$ , a set of solutions that are no longer in the memory and which will be used when updating the pheromones of this iteration  $it$ .

```

Require:  $\mathcal{M}_{it-1}, P_{it}$ 
Ensure:  $\mathcal{M}_{it}, del_{it}$ 
1:  $del_{it} \leftarrow \emptyset, \mathcal{M}_{it} \leftarrow \emptyset$ 
2:  $\xi(it) \leftarrow computeXi(P_{it})$ 
3: if  $\xi(it) \leq \mathcal{M}_{reset}$  then
4:    $del_{it} \leftarrow \mathcal{M}_{it-1}, \mathcal{M}_{it} \leftarrow \emptyset$ 
5: else
6:    $duo \leftarrow \emptyset, buffer \leftarrow \mathcal{M}_{it-1} \cup P_{it}$ 
7:   while  $buffer \neq \emptyset$  do
8:      $(\Pi_1, \Pi_2) \leftarrow randomChoiceTwice(buffer)$ 
9:      $buffer \leftarrow buffer \setminus \{\Pi_1 \cup \Pi_2\}$ 
10:     $duo \leftarrow duo \cup (\Pi_1, \Pi_2)$ 
11:   end while
12:   for all  $(\Pi_1, \Pi_2) \in duo$  do
13:     if  $\mathcal{F}_{tot}(\Pi_1) \leq \mathcal{F}_{tot}(\Pi_2)$  then
14:        $\mathcal{M}_{it} \leftarrow \Pi_1, del_{it} \leftarrow \Pi_2$ 
15:     else
16:        $\mathcal{M}_{it} \leftarrow \Pi_2, del_{it} \leftarrow \Pi_1$ 
17:     end if
18:   end for
19:    $del_{it} \leftarrow del_{it} \cap \mathcal{M}_{it-1}$ 
20: end if
21: return  $\mathcal{M}_{it}, del_{it}$ 
    
```

Algorithm 1: *updateMemory()*.

### 3.4 Function *mutations()*

In the traditional approach, mutations occur on some solutions of the current population by partially modify them (e.g two customers of two distinct routes are

swapped). In the DEVRPTW, this approach is difficult to implement while maintaining feasibility because of the to numerous constraints (notably that of time windows and battery). To address this issue, mutations are implemented not by partially modifying solutions, but by directly altering the memory  $\mathcal{M}_{it}$  through the replacement of some solutions. Taking into account the framework of our population-based ant system (see figure 2), prior to updating the pheromone trails, a total of  $\lfloor \mathcal{M}_s/4 \rfloor$  greedy randomized solutions are generated to substitute the poorest ants in  $\mathcal{M}_{it}$ . This is designed to excel in dynamic environments characterized by swift and substantial changes, thanks to the diversity introduced by the random solutions. This is particularly effective when dealing with dissimilar changing environments, as increasing diversity at random proves superior to knowledge transfer, a conclusion confirmed in the dynamic traveling salesman problem.

A greedy random solution is generated using a similar process to the one used in function *buildSolutions()*, except the pheromone value that are not considered to select the next customer. In details, if an ant  $k$  is chosen for replacement in  $\mathcal{M}_{it}$  its corresponding solution  $\Pi^k = \emptyset$ . Ant  $k$  must then construct a new solution using a random greedy heuristic. To do this, it starts from the depot and randomly selects the next customer  $j \in UN_i^k$  to visit while located at node  $i$  with a 20% probability. Otherwise, it employs a random proportionality rule solely based on the heuristic  $\eta_{i,j}$  (meaning pheromones are not used) to choose the next customer  $j$  to visit from  $UN_i^k$ . As reminder,  $UN_i^k$  corresponds to the unvisited customers for which  $h_i + t_{i,j} \leq l_j$  with  $h_i$  the time at which ant  $k$  leave vertex  $i$ . As reminder, just as function *builSolutions()*, if ant  $k$  arrives at customer  $j$  before the start of its time windows, it wait until  $e_j$  to start the service of  $j$ . Furthermore, if the chosen next customer leads to an infeasible solution due to vehicle loading or battery capacity constraints, either the depot or a recharge station is selected depending on which constraint is violated. This rules are the same as those detailed in subsection 3.2.

### 3.5 Function *updatePheromones()*

The distinctive feature of our population-based ant system used in this study, in contrast to conventional ACO algorithms, relies on the integration of a memory used in conjunction with the pheromone matrix. At the end of an iteration, each solution  $\Pi \in \mathcal{M}_{it}$  are allowed to deposit pheromones according to equation 4, whit  $r$  that represent a route in solution  $\Pi$  and  $\tau_{max}$  the maximum value reachable for the pheromones.

$$\tau_{i,j} = \min(\tau_{max}, \tau_{i,j} + \frac{1}{\mathcal{F}_{tot}(\Pi)}) \forall r \in \Pi \quad (4)$$

In addition, a negative pheromone update is applied to each solution  $\Pi$  in  $del_{it}$ : the solution that was in  $\mathcal{M}_{it-1}$  but that are not in  $\mathcal{M}_{it}$ , according to equation 5, whit  $r$  that represent a route in solution  $\Pi$ ,  $\tau_{init}$  the initial value of the pheromones, and  $dep_{\Pi}$  the number of times that solution  $\Pi$  has been allowed to deposit pheromone.

$$\tau_{i,j} = \max(\tau_{init}, \tau_{i,j} - dep_{\Pi} \cdot \frac{1}{\mathcal{F}_{tot}(\Pi)}) \forall r \in \Pi \quad (5)$$

If it is the time for periodic re-optimization, a second update of the pheromones occurs, in which all the pheromones in the matrix  $\tau$  are decreased by a fixed amount, as described in equation 6.

$$\tau_{i,j} = \max(\tau_{init}, \tau_{i,j} - \frac{\tau_{max} - \tau_{init}}{|\mathcal{M}_s|}) \forall (i,j) \in \tau \quad (6)$$

## 4 EXPERIMENTATION

To asses the effectiveness of our algorithm, we need to test it on dedicated instances. Fortunately, it exists instances in the state of the art that are common to both the Electric-VRPTW (EVRP) and the Dynamic-VRPTW (DVRP): the Solomon benchmark (Solomon, 1984) for the VRPTW. The Solomon's instance are categorized into three classes based on the spatial arrangement of customer locations: random customer distribution (R), clustered customer distribution (C), and a combination of both (RC). Within these groups, R1, C1, and RC1 have a relatively short scheduling horizon, typically demanding more vehicles to cater to all customers compared to R2, C2, and RC2, which possess a longer scheduling horizon. Additionally, instances within a group vary in terms of time window density and time window width.

In (Schneider et al., 2014), the author details how he has generated a set of 56 instances with 100 customers for the EVRP, which are based on those of Solomon. He adds a recharge station at the depot and randomly places an additional 20 stations. However, he restricts the potential locations to ensure the creation of viable and relevant instances, within each customer can be reached from the depot using a maximum of two distinct recharging stations. The battery capacity is determined based on two factors: (1) the charge needed to cover 60% of the average route length of the best-known solution for the corresponding VRPTW instance, and (2) twice the charge required to travel the longest distance between a customer and a station. Moreover, the consumption rate is set to 1.0 for simplicity. The recharging rate is

adjusted so that a full recharge takes three times the average customer service time of the respective instance. Finlay he has adjusted the customers time windows to ensure that instances remain feasible.

To introduce a dynamic element to the Solomon benchmarks, the authors in (Yang et al., 2017) propose the following method: a certain percentage of nodes are only disclosed during the course of the working day. A degree of dynamism of  $X\%$  indicates that each customer vertex  $i$  has a probability of  $X\%$  to receive a non-zero available time ( $rt_i \neq 0$ ), representing the moment when the request is known. This available time is generated within the interval  $[0, \bar{e}_i]$ , where  $\bar{e}_i = \min(e_i, t_{i-1})$ .  $t_{i-1}$  represents the departure time from the last vertex visited before  $i$  in the best known solution.

To generate our instances, we use the method proposed by Yang (Yang et al., 2017) to the benchmarks given by Schneider (Schneider et al., 2014) for which we consider its results as the best known solutions. The results given in the section are divided in two categories: when the degree of dynamism  $X = 0$  (the instances are static), and when  $X = 0.5$  which mean that at most 50% of the customers requests are known during the course of the working day. For both categories, the instances were run 10 times on single thread with a Ryzen 5600U processor @2.30GHZ with 16GB of RAM on a computer running Windows 11. The algorithm proposed has been implemented using Python 3.11 and the following empirically determined values of the parameters are used:  $\alpha = 2$ ,  $\beta = 4$ ,  $\mathcal{M}_s = 8$ ,  $\mathcal{M}_{reset} = 0.1$  and  $\tau_{max} = \tau_{init} + \mathcal{M}_s \cdot \mathcal{F}_{tot}(\Pi_{best})$ , with  $\Pi_{best}$  that corresponds to the best solution encountered during the process of the algorithm ( $\tau_{max}$  is therefore dynamic). The number of ants  $antQty = 15$ .

Table 1 gives the result of our population-based ant system in a static context (all customers are known at the start of the algorithm), and are compared to the best results given by Schneider in (Schneider et al., 2014). For our algorithm, each run has a duration of 7 minutes. For those of Schneider, only a meantime in given which is around 16 minutes. Column *Family* gives the category of the instances tested (e.g. *C1*, *C2*, *RC1* and so), column *Schneider* shows the best results obtained by Schneider, while column *EB-AS* gives the mean of our objective function over 10 runs of each instances of the corresponding family. For both, columns *m* and *f* give respectively the number of vehicles and the total distance traveled.

The observations reveal that the outcomes closely align with Schneider's results. There exists a discrepancy of 8.55% in the number of vehicles utilized, and a margin of 2.38% favors Schneider in terms of

Table 1: Results of *Schneider* and *EB-AS* for DEVRPTW instances in a static context.

Family	<i>Schneider</i>		<i>EB-AS</i>	
	<i>m</i>	<i>f</i>	<i>m</i>	<i>f</i>
C1	10.66	1048.11	10.91	1054.98
C2	4	640.79	4.42	669.51
R1	12.83	1259.29	12.92	1266.02
R2	2.63	800.41	3.05	817.26
RC1	13.12	1409.25	13.12	1488.46
RC2	3.125	1145.37	4.07	1167.14

Table 2: Comparison between dynamic and static results obtained by *EB-AS* for DEVRPTW instances.

Family	<i>EB-AS dynamic</i>		$\Delta m$	$\Delta f$
	<i>m</i>	<i>f</i>		
C1	11.42	1106.45	4.39%	4.57%
C2	4.75	699.06	4.30%	4.07%
R1	14.72	1347.12	12.26%	5.84%
R2	4.86	884.28	35.27%	7.50%
RC1	13.79	1518.06	4.79%	1.89%
RC2	4.31	1197.34	4.92%	2.58%

the overall distance covered. Notably, all customers were successfully served, leading to the omission of the objective function component pertaining to unserved customers. However, it is important to note that *Schneider*'s execution time is more than 1.5 times longer than ours, which could account for this variation.

Table 2 gives the result of our population-based ant system in a dynamic context, with a degree of dynamism  $X = 0.5$  (only half of the customers are known at the start of the algorithm), and are compared to our results in a static context. The periodic re-optimization occurs every 5 minutes. This implies that for a *Schneider* instance with a time horizon of  $H = [0, 1000]$ , assuming an 8-hour workday, periodic re-optimization takes place approximately every 11 time units. As a result, if new clients arrive within this 10-unit time window, the algorithm is restarted, taking into account the new clients, vehicle positions, battery status, and remaining charging capacities. The initial optimization carried out before the start of the workday is allowed a maximum run time of 5 minutes, while subsequent optimizations run for a maximum of 2 minutes to facilitate the transmission of the routes updates to the vehicles. Column *Family* gives the category of the instances tested (e.g. C1, C2, RC1 and so), column *EB-AS dynamic* gives the average of our objective function over 10 runs of each instances of the corresponding family: columns *m* and *f* give respectively the number of vehicles and the total distance traveled. Columns  $\Delta m$  and  $\Delta f$  express the gap (in percent) between the results of *EB-AS* in a dynamic and static context.

We observe average results very close to those in

a static context, with a gap of 10.99% and 4.41% in terms of quantity of vehicles used and the total distance traveled, respectively. Moreover, for a specific instance, if we measure the closeness of the solutions respectively obtained in a static and dynamic context using the the metric  $comp(\Pi_{stat}, \Pi_{dyn}) = 1 \left( \frac{CE(\Pi_{stat}, \Pi_{dyn})}{|V'| + avg(|\Pi_1|, |\Pi_2|)} \right)$  (described in equation 3), with  $\Pi_{stat}$  and  $\Pi_{dyn}$  respectively the solutions obtained in a static and dynamic context. We found an average of 83% similarities between static and dynamic solutions.

This demonstrates that the algorithm is able to do good decisions even while it doesn't have prior knowledge of all the customers to be served during the workday. However, we do notice a larger deviation in the R1 and R2 instance families, where customer positions are random. This can be explained by our heuristic which considers the duration and remaining time in the customer's time window. Thus, vehicles already on the road may stray far from the depot, necessitating the dispatch of additional vehicles during periodic re-optimization, as none of them can meet the demand of new customers in a timely manner.

## 5 CONCLUSIONS

In this article, we address the DEVRPTW. We propose a mathematical formalization of the problem along with a solution method. This approach combines elements of genetic and ACO algorithms. Specifically, we use an Ant System algorithm with an added memory component. This memory is updated over iterations through a tournament system between the best solutions from previous iterations and those from the current iteration. To introduce variations in the solutions, the memory undergoes mutations: certain solutions are replaced with new ones generated using a random greedy heuristic.

Our algorithm is tested on *Schneider* instances in both static and dynamic contexts, with run time of 7 minutes and 2 minutes respectively. Compared to the best-known solutions in a static context, we observe a deviation of 8.55% and 2.38% in the number of vehicles used and the total distance covered. These results are promising considering the short execution time. Furthermore, in a dynamic context, the solutions obtained remain close to those generated in a static context, with deviations of 10.99% and 4.41% in terms of quantity of vehicles used and total distance traveled. However, it is notable that on instances R1 and R2, the algorithm faces more challenges in pro-



viding relevant results. An improvement in the memory and pheromone transfer system during periodic re-optimization can be considered, aiming to retain only useful pheromones and relevant solutions. A local search procedure can also be added to the general flow of our algorithm in order to quickly improve a part of the objective function: the distance traveled or number of routes used. To go further, new constraints and improvements will be considered: the possibility of partially recharging the battery, the use of a piecewise linear function to recharge the batteries, the availability of the recharge stations, etc. The results must also be validated on larger instances, which may include the improvements described above.

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