A Hierarchical Anytime $k$-NN Classifier for Large-Scale High-Speed Data Streams

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1 INTRODUCTION

$k$-Nearest Neighbor Classifier ($k$-NN) (Cover and Hart, 1967) is one of the most popular techniques used for classification tasks. It assigns the majority class of $k$ closest objects (present in the training dataset) to the test object as its class label.

$k$-NN Classifier has been employed for classifying data from bursty data streams. A data stream is characterized by the continuous arrival of data objects at a fast and variable speed in real-time. A few methods that use $k$-NN classifier in streams include (de Barros et al., 2022; Alberghini et al., 2022; Sun et al., 2022; Roseberry et al., 2021; Hidalgo et al., 2023). $k$-NN classifier on data streams is commonly used in various applications such as anomaly detection (Wu et al., 2019), healthcare analytics (Shinde and Patil, 2023), bio-medical imaging (Nair and Kashyap, 2020), image retrieval (Venkataravananayak et al., 2021), etc. There are also a few methods that use $k$-NN classifier over multi-port data streams using parallel architectures (Ramírez-Gallego et al., 2017; Susheela Devi and Meena, 2017; Ferchichi and Akaichi, 2016).

In a typical bursty stream, the processing time available for class inference an object can vary from milliseconds to minutes. The inter-arrival rate of objects in a typical real-time stream could vary significantly based on various factors such as the time of data generation, demographics of users generating data, network traffic load, etc. (Kranen et al., 2011a). Performing accurate classification in such scenarios is a challenge, and traditional stream processing algorithms described above are not capable enough. They can’t process streams with speeds greater than a fixed maximum speed known as budget. If they were to be used for higher stream speeds, they would have to either process sampled data or buffer unlimited data, which is not feasible.

Anytime algorithms are such algorithms that mitigate the above challenges. They trade execution time for quality of results (Ueno et al., 2006). They can handle objects arriving at any stream speed (low or high) and can provide an intermediate valid approxi-
mate mining result (of the best quality possible) when interrupted at any given point in time before their completion. The quality of the result can be improved with an increase in processing time allowance. Essentially, they produce valid approximate results when the stream speed is high and produce more accurate results when the stream speed is low.

A few anytime classification algorithms for data streams proposed in the literature include - Anytime Decision Trees (Esmeir and Markovitch, 2007), Anytime Support Vector Machines (DeCoste, 2012), Anytime Bayesian Trees (Kranen et al., 2012), Anytime Neural Nets (Hu et al., 2019), Anytime Set-wise Classification (Challa et al., 2019), etc.

A few anytime methods for k-NN classification on data streams are also proposed. The first such approach is the SimpleRank method (Ueno et al., 2006) that uses a heuristics-based method to sort the index of the training data according to their contribution to the classification. This sorted index is used to classify test data objects in an anytime manner. To infer a class label of a test object, the above-sorted training index is scanned left to right until time allows. Once the time allowance expires, the class label is inferred based on whatever has been visited until now. The next approach (Lemes et al., 2014) improves the SimpleRank method’s accuracy by introducing diversity in the training set ranking. It considers diversity in the space between examples of the same class as the tie-breaking criterion, which improves the performance of the SimpleRank. Both the above anytime methods have a few drawbacks. They build a linear model by sorting the training data, which makes it very costly especially on large datasets. Also, the anytime class inference of test objects requires a linear scan on the training model, which again limits their capability to handle large datasets. Also, these methods can’t incrementally update their training model and are not capable of handling concept drift & class evolution. So, they can’t process data streams that receive a mixture of labelled and unlabelled data.

Literature also reveals a hierarchical method for anytime k-NN for static data (Xu et al., 2008) that uses MVP-tree (Bozkaya and Ozsoyoglu, 1999) to index the training data. It performs an anytime k-NN query to classify the test object. The anytime k-NN query uses a best-first traversal over the tree where the keys of insertion into the priority queue are the approximate lower bound distances between the query point and all points belonging to a specific partition at each internal node. This traversal is interruptible, and when interrupted, class labels are assigned to the test object by extracting k closest objects from the priority queue, giving us an anytime classification result.

This method also takes a lot of training time due to the higher cost of tree construction. The class inference takes logarithmic time, which is more efficient than the previous methods. However, this method is static in nature and can’t handle incremental updates to the model, rendering it unfit for mixed streams. Also, it does not handle concept drift & class evolution.

1.1 Our Contributions

This paper introduces ANY-k-NN, an anytime hierarchical method for k-NN classification on data streams. This method uses a proposed classification model Any-NN-forest, which is a collection of c Any-NN-trees, one tree for each of the c classes. Any-NN-tree is a variant of R-tree that stores a hierarchy of micro-clusters to summarize the training data objects, along with their class labels. A few salient features of ANY-k-NN are as follows:

- Effective handling of data streams with varying inter-arrival rates, giving highly accurate anytime k-NN classification.
- Lesser model construction time, making it fit to process large data streams.
- Incremental model update based on the arriving stream, improving the classification accuracy.
- Handles concept drift by using geometric time frames (Aggarwal et al., 2004).
- Adaptive handling of class evolution.
- Supports bounding of memory without compromising on classification accuracy.

The experimental results (presented in Section 6) demonstrate the effectiveness of ANY-k-NN in terms of all the features described above, when compared to the state-of-the-art.

We extend ANY-k-NN to ANY-MP-k-NN, which is a memory-efficient parallel method for k-NN classifier on multi-port data streams over distributed memory architectures ANY-MP-k-NN. The parallel method can handle very large, multi-port, and high-speed streams and produces very high classification accuracy compared to the sequential method over such streams (refer to Section 6).

2 BACKGROUND

In this section, we describe the k-NN Classifier and concepts related to micro-clusters and geometric time frames.
2.1 k-Nearest Neighbors Classifier

k-Nearest Neighbors (k-NN) Classifier is a supervised classification technique that classifies test data objects based on feature similarity with k objects that are closest to the test object. Given a training set of data objects \( X = \{x_1, x_2, \ldots, x_n\} \) with corresponding class labels \( \{y_1, y_2, \ldots, y_n\} \), a new data object \( O \), and the value of \( k \) (a positive integer). The k-NN classifier assigns a class label to \( O \) by first finding its \( k \) closest objects (by distance) from the training set \( X \), denoted as \( N_k(O) = \{x_{i_1}, x_{i_2}, \ldots, x_{i_k}\} \), and then assigns the majority class label from \( N_k(O) \) as the class label to \( O \). In case of a tie-break, the class with its objects at a relatively closer average distance to \( O \) can be assigned as \( O \)'s class label.

k-NN classifier uses k-NN search query over the training data to find the \( k \) nearest neighbors of test object \( O \). Literature reveals several methods to perform this k-NN search query. They can be categorized into two - (i) Brute Force k-NN, and (ii) k-NN using hierarchical indexing structures like R-Tree (Guttman, 1984), kd-tree (Bentley, 1975), etc. In the brute force method, the entire dataset is examined to compute the \( k \) nearest neighbors without exploiting the inherent spatial information in the dataset. When the dataset is indexed in hierarchical spatial indexing structures, we can exploit the spatial locality exhibited by them to perform k-NN search more efficiently using a suitable traversal such as the Best-First Traversal (Hjaltsisson and Samet, 1999).

2.2 Micro-Clusters

Micro-clusters (Aggarwal et al., 2004) are a popular technique used to compactly store summary statistics of incoming data from data streams. They can be incrementally updated with the arrival of new stream objects.

Let the incoming data stream consist of data objects \( X_1, X_2, \ldots, X_r, \ldots \) arriving at timestamps \( t_1, t_2, \ldots, t_r, \ldots \) where \( X_i(x_1^i, x_2^i, \ldots, x_d^i) \) is a \( d \)-dimensional object.

Definition 1. A micro-cluster representing a set of \( d \)-dimensional objects \( X_1, \ldots, X_n \), is a triplet: \( mc_j = (n_j, S_j, SS_j) \), where:

- \( n_j \) is the number of objects aggregated in \( mc_j \).
- \( S_j \) is a vector of size \( d \) storing the sum of data values of all the aggregated objects for each dimension, i.e., for each dimension \( p \), \( S_j[p] = \sum_{i=1}^{n_j} x_p^i \).
- \( SS_j \) is a vector of size \( d \) storing the squared sum of data values of all the aggregated objects for each dimension, i.e., for each dimension \( p \), \( SS_j[p] = \sum_{i=1}^{n_j} (x_p^i)^2 \).

![Figure 1: A geometric time window (Aggarwal et al., 2004).](image)

The additive property of micro-clusters can be exploited to aggregate the incoming stream objects incrementally. To aggregate an incoming object \( X_i \) into a pre-existing micro-cluster \( mc_j \), we do the following operations for each dimension \( p \):

\[
\begin{align*}
S_j[p] &= S_j[p] + x_p^i \quad (1) \\
SS_j[p] &= SS_j[p] + (x_p^i)^2 \quad (2)
\end{align*}
\]

And, the merging of two micro-clusters \( (mc_{a}, mc_{b}) \) into one micro-cluster \( mc_{mer} \) can be defined as follows:

\[
\begin{align*}
ra_{mer} &= ra_a + ra_b + 1 \quad (4) \\
S_{mer}[p] &= S_{a}[p] + S_{b}[p] \quad (5) \\
SS_{mer}[p] &= SS_{a}[p] + SS_{b}[p] \quad (6)
\end{align*}
\]

The mean of a micro-cluster \( mc_j \) can be computed as \( \text{Mean}(\mu_j) = S_j / n_j \).

2.3 Geometric Time Frames

We use geometric time frames (Aggarwal et al., 2004) to give temporal features to our classification model. It enables the user to specify an appropriate time horizon for training objects arriving in the stream to be used for class inference of test objects. In this technique, we maintain snapshots of micro-clusters existing in our model at different moments in time and at different levels of granularity. For each micro-cluster, we store multiple cluster feature tuples \( (n_j, S_j, SS_j) \), one for each snapshot taken over the stream. Snapshots are taken at regular intervals of time, where the time interval is taken as a user parameter (\( \beta \)). Snapshots are stored in a time-efficient manner using logarithmic space.

We associate a table (geometric time window, referred to as GTW) consisting of a logarithmic number of geometric time frames with each micro-cluster in our system (see Fig 1). To insert a snapshot (at time \( t \)) into the GTW, we check if \( (t \mod 2^i) = 0 \) and \( (t \mod 2^{i+1}) \neq 0 \). If YES, we insert the snapshot at \( t \) into frame number \( i \). Frame 0 of each window only stores the snapshots of odd timestamps. The maximum number of frames stored in our table is \( \log_2(T) \), after taking a total of \( T \) snapshots. Each frame of the GTW has a limit on the number of snapshots it can store (max_capacity). While inserting a snapshot into the frame \( i \), if its capacity reaches (max_capacity), we replace the oldest snapshot with the new one. Fig. 1 shows GTW having 25 snapshots inserted into it and
3 **Any-k-NN**

We now describe the proposed anytime method, Any-k-NN for anytime k-NN classification on data streams. This method uses a proposed hierarchical structure, Any-NN-forest as the classification model. Typically, streams receive a mixture of labelled and unlabelled data objects. The labelled objects are used to incrementally update the Any-NN-forest by anytime insertion of the labelled objects into it. The class labels of unlabelled objects can be inferred using a best-first traversal on Any-NN-Forest in an anytime fashion while handling varying time allowances. We will now explain the structure of Any-NN-forest, its anytime insertion, and class inference algorithms.

### 3.1 Structure of Any-NN-forest

Any-NN-forest is a collection of c Any-NN-trees, one for each of the c classes. The Any-NN-tree (depicted in Fig.2) is an adaptation of R-tree (Guttman, 1984), a height-balanced multi-dimensional indexing structure. It is also inspired by Clustree (Kranen et al., 2011a) and stores a hierarchy of micro-clusters at varying granularity by levels.

Any-NN-tree consists of two types of nodes: internal nodes and external nodes (or leaves). The following entries are stored in an internal node: a pointer pt to the child subtree rooted at it; a micro-cluster mc (n,S,SS) to store the summary aggregate of all objects indexed in the child subtree pointed by pt; a buffer b; and a geometric time window (gtw). The buffer b is also a micro-cluster used to process the stream objects that are incompletely inserted due to limited processing time allowances at higher stream speeds. The entries of external nodes index only the micro-clusters mc. These leaf-level micro-clusters are aggregates of a smaller number of objects and are at the finest level of granularity.

The Any-NN-tree depicted in Fig.2 has a height of 2, with its nodes having fanout values of m=2 and M=5. The structure of Any-NN-tree is similar to the structure of ClusTree (Kranen et al., 2011a), LiarTree (Kranen et al., 2011b), AnyRTree (Challa et al., 2022a), and AnyKMTree (Challa et al., 2022b). All of these store a hierarchy of micro-clusters of varying granularity. They also use a buffer and hitchhiker concept (explained in Section 3.2) to perform anytime insertion of data objects while handling variable inter-arrival rates. However, Any-NN-tree has a few methodological and structural differences:

- All of the above structures were designed for anytime clustering. Any-NN-tree and Any-NN-forest are designed for anytime k-NN classification.
- Any-NN-tree does not store minimum bounding rectangles (MBRs) in its internal nodes, unlike AnyRTree.
- Noise buffers do not exist in the nodes of Any-NN-tree as they are not required for classification tasks, unlike LiarTree, AnyRTree and AnyKMTree.
- Since each class has its Any-NN-tree, we associate each micro-cluster in the tree with the same class label. This is useful for anytime class inference using k-NN (see Section 3.3).
- Any-k-NN uses geometric time frames (note the entry gtw in the tree nodes shown in Fig.2) for handling concept drift, unlike Clustree and LiarTree that use exponential decay.
- The node splitting criteria of Any-NN-tree is similar to R-tree’s quadratic split that uses micro-clusters instead of MBRs. ClusTree and LiarTree also use the same node-splitting method. AnyRTree uses the exact R-tree’s quadratic split using MBRs, and AnyKMTree uses 2-PAM for node splitting.

**Space Complexity.** For indexing n objects in an Any-NN-tree, the space complexity is $O(n)$, just like any other variant of an R-tree.

### 3.2 Anytime Insertion in Any-NN-forest

The Any-k-NN framework handles the incoming stream by continuously inserting the objects having class labels into the Any-NN-forest, where each object is inserted into the Any-NN-tree that corresponds...
to its class. Inserting an object (say \(X\)) into Any-NN-tree follows a top-down traversal similar to that of an R-tree, with an additional feature of anytime interruption. Starting from the root node, we traverse exactly one node at each tree level until we reach one of the leaf nodes. Any-NN-tree uses the buffer-hitchhiker concept (inspired from (Kranen et al., 2011a)) to handle variable inter-arrival rates of objects, which allows the insertion of objects until time permits. When the processing time allowance for inserting an object expires (or a new object arrives), the insertion of \(X\) is deferred and completed later alongside the insertions of subsequent stream objects. Essentially, \(X\) is aggregated into the buffer of the closest entry in the current traversal node, and then taken down as a hitchhiker by the insertion of another object passing through the same traversal path. This process repeats until \(X\) reaches the leaf node, where it is stored either as it is or in an aggregated form.

Algorithm 1 depicts the pseudo-code of the anytime insertion of the data object \(X\) into the Any-NN-Tree. The algorithm uses a top-down recursive descent starting from the root to the closest leaf node. During the descent, while traversing through an internal node (say node1), the algorithm finds the closest entry \(e\) to \(X\) (line 3 of Algo 1) using the distance between \(X\) and the means of the micro-clusters stored in node1. If the insertion at the current node carries a hitchhiker object \(H\), its corresponding closest entry \(e_h\) is checked for equality with \(e\). If they are different, we can’t carry this hitchhiker object anymore with us in our descent of \(X\)’s insertion as the subsequent insertion path of \(X\) and \(H\) are not the same. So, we merge \(H\) to the buffer of \(e_h\), which is its closest entry (lines 4-8). Now, if a new object arrives in the stream, we interrupt the insertion of \(X\) and proceed with inserting the newly arrived object (lines 9-13). For interrupting and deferring the insertion of \(X\), the algorithm merges \(X\) and the hitchhiker object \(H\) (if any) into the buffer of \(e\) (\(e\cdot b\)) and then proceeds to process the newly arrived object. Subsequently, when the algorithm traverses the same path to insert another object, the micro-cluster stored in the buffer of \(e\) is carried down as a hitchhiker to complete its insertion (lines 14-19).

During the traversal, whenever an external node is visited (lines 20-32), first, the hitchhiker object \(H\) is merged to its nearest entry, and a new micro-cluster containing \(X\) is created and stored as a new entry in the node, as shown in node 6 of Fig.2. The creation of a new entry can lead to a node overflow, which occurs when the number of entries exceeds the maximum fanout value. Node 6 in Fig.2 depicts this where there is an additional entry indicating overflow. In such cases, the node is split into two to accommodate the newly created entry, and the parent node is updated accordingly. The node splitting criterion is based on R-tree’s quadratic split using micro-clusters instead of MBRs. The creation of the above new node can also lead to the overflow of its parent, which could trigger a node split of the parent node as well. This split can keep propagating until the tree’s root, increasing the tree’s height. This node-splitting process is very similar to that of an R-tree. In case the time allowance expires before the node split starts, \(X\) is merged to its nearest micro-cluster in the node, and the algorithm interrupts to process the newly arrived object (lines 28-30).

We can observe that the resultant trees of Any-NN-forest are formations of hierarchically aggregated micro-clusters. In each tree, the micro-clusters stored...
in the internal nodes summarize all the micro-clusters indexed at their sub-trees. The leaf-level micro-clusters are at the finest granularity, with granularity becoming coarser as we go up in the tree.

ANY-k-NN also has the feature to limit the number of micro-clusters indexed in the forest by not permitting further node splits in its trees whenever a user-defined limit (say max_mc) is reached. All subsequent insertions will only aggregate the newly arriving objects into the nearest micro-clusters at the leaf level, thus not growing the tree further. This feature can be exploited to control memory usage. It is also exploited by ANY-MP-k-NN (refer to Section 4).

In addition to the anytime handling of stream objects data, ANY-k-NN also maintains snapshots for each micro-cluster in its Any-NN-forest in the form of geometric time windows. This enables the capture of concept drift. Snapshots are taken at regular intervals (interval β is a user parameter). The user can use these snapshots to determine the time horizon to be used for classification, i.e., for each micro-cluster, the aggregation of objects arrived in the given time-horizon will be used for anytime class inference (discussed in Section 3.3). Refer to Section 2.3 for more details. For simplicity, we omit this discussion in Algo 1.

Also, ANY-k-NN can handle the evolution of new classes. When the stream receives objects with class labels not found in the training data, then the algorithm creates new Any-NN-trees for the evolving classes and inserts those points into them.

**Time Complexity.** Since insertion of an object into Any-NN-forest is as good as inserting into one of its Any-NN-trees, the worst case time complexity of inserting an object (case when no insertions are deferred) is \( O(\log_m n) \), where \( m \) is the minimum fanout of the tree and \( n \) is the number of objects (or leaf-level micro-clusters) inserted into the tree. The logarithmic complexity is due to height-balanced nature of the Any-NN-tree.

### 3.3 Anytime Class Inference of Test Objects

Algorithm 2 depicts the pseudo-code for classifying the test objects arriving in the stream. To classify a test object \( Y \), we do a collective best-first traversal of all the trees in the Any-NN-forest using a single min priority Queue \( PQ_1 \). This traversal can be interrupted anytime, i.e., if a new object arrives in the stream, we can assign a class label to \( Y \) based on the nodes/objects (stored in \( PQ_1 \)) visited until then. \( PQ_1 \) can store both tree nodes as well as data objects from Any-NN-trees. As explained in Section 3.1, we associate each tree node with the corresponding tree’s class label. So, every object accumulated into \( PQ_1 \) has a class label.

**Algorithm 2: Classifying a Test Object.**

1. procedure **CLASSIFY TEST OBJECT** \( Y \)

   Input: Any-NN-forest \( F \), a test object \( Y \)

   Output: Class label assigned to \( Y \)

2. Initialize a Priority Queue \( PQ_1 \)

3. foreach Any-NN-tree \( T_r \) of \( F \) do

   \( PQ_1 \).insert(\( T_r \), root)

4. while TRUE do

   \( temp = \text{removeMin}(PQ_1) \)

5. foreach entry \( e \) in \( temp \) pt do

   \( PQ_1 \).insert(\( e \))

6. if new object arrived then

7. \( \text{Set NS} = \text{Extract} k \text{ nearest objects to} \ Y \text{ from } PQ_1 \)

8. Assign majority class of objects \( \in \text{NS} \) as class label to \( Y \)

9. exit

The class inference method begins the best-first traversal by adding the root nodes of all the trees into \( PQ_1 \), with their distances from \( Y \) as the keys (lines 3-4 of Algo 2). Then, we iteratively refine the search space by removing the closest item (to \( Y \)) from \( PQ_1 \) and then adding its children into \( PQ_1 \) (lines 5-8). This iterative refinement continues until time allows or the next stream object arrives. Once the time allowance expires, we extract \( k \) closest objects (to \( Y \)) from \( PQ_1 \) (using \( k \) removeMin() operations) into the set \( NS \), and then assign the majority class of items \( \in \text{NS} \) as the class label of \( Y \) (lines 9-12). And then, we process the newly arrived object.

We can clearly observe that the degree of refinement of search space is proportional to the processing time allowance. So, the greater the time allowance, the greater the refinement, and thus better the classification accuracy (see experimental results presented in Section 6). Refer to (Hjaltason and Samet, 1999) to know more about the correctness of the best-first refinement approach for k-NN search.

Note that the Algo 2 doesn’t include details about using geometric time frames. As explained earlier, a user-given value of \( \beta \) decides the time horizon in which the aggregations of micro-clusters can be used for class inferring, thus enabling concept drift. For more details refer to Sections 2.3 and 3.2.

**Time Complexity.** The time complexity of class inference in Any-NN-tree is \( O(k \log k) \) where \( k \) is the number of nearest neighbors. This follows the analysis presented in (Hjaltason and Samet, 1999) to derive the time complexity of \( k \)-NN search in an R-tree containing \( n \) data objects. Please refer to this article for more details.
4 ANY-MP-\(k\)-NN

We now describe the proposed parallel framework, ANY-MP-\(k\)-NN, for anytime \(k\)-NN classification of multi-port data streams, leveraging the distributed memory architectures. Its workflow is shown in Fig.3. The framework receives multiple streams of similar nature over the network into a cluster of computing nodes. Each computing node executes ANY-\(k\)-NN on the stream it receives, using its own copy of Any-\(NN\)-forest. At each computing node, the training data arrived is used to incrementally update the local Any-\(NN\)-forest, which is also used for class inference of locally arriving test objects. At regular time intervals (dictated by user parameter \(\gamma\)), the Any-\(NN\)-forests across all the computing nodes are synced. This intermittent syncing improves the overall classification accuracy at all computing nodes.

Syncing of Any-\(NN\)-forests can be done using a few MPI calls (MPI, ). Essentially, the trees of the forests at each node are first encoded as linear structures using a suitable tree traversal (like Pre-order traversal). Then, these linear encodings at all the computing nodes are communicated to the master node, wherein they are decoded as forests and are then aggregated into a single forest. Essentially, trees that belong to the same class are merged, wherein their leaf-level micro-clusters are re-inserted into the new tree, which would become the new aggregated tree for that class. In this way, we get a newly merged forest consisting of \(c\) newly aggregated trees. Then, this forest is re-encoded in a similar fashion and communicated to all the computing nodes that are receiving the streams. This new forest replaces all the old forests as the new training model in each of the computing nodes. This process repeats after every \(\gamma\) units of time.

In the process of syncing, we might end up with the exploding size of the newly merged forests. In order to keep the model space-efficient, we can use the same technique that was used to limit the number of micro-clusters in Any-\(NN\)-tree. We can fix a threshold on the number of micro-clusters we wish to index in each of the forests using a parameter \(\text{max}\_MP\_mc\). Once the threshold is reached, we will not allow further node splits. All the newly inserted micro-clusters will only get aggregated into their nearest micro-clusters at the leaf level instead of occupying new entries, making the algorithm space efficient.

5 DESIGN ADVANTAGES

In this section, we will briefly highlight the advantages of the proposed frameworks compared to the state-of-the-art (Ueno et al., 2006; Lemes et al., 2014; Xu et al., 2008).

- ANY-\(k\)-NN can effectively handle variable inter-arrival rates of streams to perform anytime \(k\)-NN classification of data objects arriving in the stream and produce high accuracy classification (substantiated by experimental results presented in Table 2 and Fig.4). The anytime classification of ANY-\(k\)-NN has a logarithmic cost compared to the linear cost of methods presented in (Ueno et al., 2006; Lemes et al., 2014).

- Unlike the existing methods, ANY-\(k\)-NN can incrementally update its classification model (Any-\(NN\)-forest) adaptively, based on the labeled data arriving in the stream. This results in higher classification accuracy when the stream receives a mixture of training and test objects (see Fig. 5 for results).

- ANY-\(k\)-NN can also handle very large data streams with large amounts of training data as the method to construct the training model is relatively less expensive (logarithmic insertion cost as explained in Section 3.2). The methods presented in (Ueno et al., 2006; Lemes et al., 2014) use sorting of the training data objects, which makes the model construction expensive. Also, the method presented in (Xu et al., 2008) uses complex, expensive geometric operations in its construction, which are also costly. These observations have been substantiated by experimental results presented in Table 1. This makes the existing methods unsuitable for handling large training data.

- Unlike the existing methods, ANY-\(k\)-NN is capable of adaptively handling concept drift by the usage of geometric time frames. The geometric time frames model allows the user to select a time horizon of the
Table 1: Details of Datasets + Model Construction Time for each of the methods.

<table>
<thead>
<tr>
<th>Name</th>
<th>Size</th>
<th>#Dimensions</th>
<th>#Classes</th>
<th>UENO Time (sec)</th>
<th>LEMES Time (sec)</th>
<th>MVP-tree Time (sec)</th>
<th>Any-NN Time (sec)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Forest Cover (FC)</td>
<td>0.55M</td>
<td>10</td>
<td>8</td>
<td>13119 sec</td>
<td>13746 sec</td>
<td>25746 sec</td>
<td>16742 sec</td>
</tr>
<tr>
<td>Poker (PK)</td>
<td>1.025M</td>
<td>10</td>
<td>11</td>
<td>24785 sec</td>
<td>25746 sec</td>
<td>16742 sec</td>
<td>2693 sec</td>
</tr>
<tr>
<td>Skin, Nonskin (SK)</td>
<td>0.23M</td>
<td>3</td>
<td>2</td>
<td>1196 sec</td>
<td>1284 sec</td>
<td>859 sec</td>
<td>1925 sec</td>
</tr>
<tr>
<td>Synthetic Classes (SC)</td>
<td>1M</td>
<td>2</td>
<td>5</td>
<td>&gt; 36 hours</td>
<td>&gt; 36 hours</td>
<td>&gt; 36 hours</td>
<td>&gt; 36 hours</td>
</tr>
<tr>
<td>Synthetic Large (SL)</td>
<td>300M</td>
<td>3</td>
<td>30</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

arriving training data to be used for class inference of test objects (see Table 3 for results).

- **ANY-k-NN** can also handle class evolution effectively (by receiving stream objects labelled with classes not present in the training data). It essentially creates a new tree for each new class that evolves. This feature is not present in the existing methods (see exp. results presented in Fig. 5).

- **ANY-k-NN** supports bounding of the memory consumption, by limiting the number of micro-clusters captured in the tree using a user defined threshold parameter \( mc \cdot max \). Node splits don’t occur when this threshold is reached and all subsequent data objects are only aggregated into existing micro-clusters. This way the memory doesn’t explode infinitely. Also, this aggregation doesn’t compromise the accuracy so much as shown in the results presented in Table 5.

- **ANY-MP-k-NN** is the first of its kind framework that performs anytime \( k \)-NN classification of data objects arriving in multi-port data streams while leveraging distributed memory architectures. This gives ANY-MP-k-NN the capability of handling very large and very high speeds data streams as substantiated by experiments presented in Table 6 and Fig.6. Also, its memory usage is bounded using the parameter \( max \cdot MP \cdot mc \) as explained in Section 4.

### 6 EMPIRICAL ANALYSIS

We now present experimental results comparing ANY-k-NN and ANY-MP-k-NN with the state-of-the-art (Ueno et al., 2006; Lemes et al., 2014; Xu et al., 2008). All experiments pertaining to ANY-k-NN were conducted on a workstation with an Intel Xeon 16-core CPU and 128 GB of RAM with Ubuntu 20.04 OS. Experiments of ANY-MP-k-NN were conducted on a 32-node cluster of computing nodes, each having 32 GB RAM and an Intel Xeon 4-core CPU running CentOS 7. All algorithms were implemented in C. Message Passing Interface (MPI) (MPI, ) has been used to implement ANY-MP-k-NN.

The datasets used for experimentation are described in Table 1. The Forest Cover (FC) (Blackard and Dean, 1999), Poker (PK) (Cattral et al., 2002), Skin-NonSkin (SK) (Rossi and Ahmed, 2015), KDDCUP1999 (KD) (KDD CUP, 1999) have been borrowed from the UCI Machine Learning Repository. These datasets are commonly used for stream classification tasks in the literature (Goyal et al., 2020; Blackard and Dean, 1999; Aggarwal et al., 2004). The Synthetic Classes dataset (SC) has been generated synthetically and contains five well-separated Gaussian clusters, each treated as a separate class. Similarly, the Synthetic Large (SL) dataset has been generated synthetically containing 30 well-separated Gaussian clusters.

We use the following nomenclature in this section: UENO represents the SimpleRank method (Ueno et al., 2006); LEMES represents the DiversityRanking method (Lemes et al., 2014); MVP-tree represents the anytime \( k \)-NN method (Xu et al., 2008) and AnykNN represents ANY-k-NN. We use F1 measure \((F1-score, )\) to measure the quality of the classification results. The variable inter-arrival rates of objects in streams are simulated using Poisson streams, a stochastic model for modelling random arrivals (Duda et al., 2000). A parameter \( \lambda \) is taken as input by the Poisson stream generator, which generates an expected number of \( \lambda \) objects per second (ops), with an expected inter-arrival rate of \( 1/\lambda \) seconds between two consecutively arriving objects. Most literature on anytime algorithms uses Poisson streams to simulate variable inter-arrival rates of objects (Kranen et al., 2011a; Challa et al., 2022a; Challa et al., 2022b).

In our experimentation, while comparing the proposed methods with the state-of-the-art, unless explicitly stated, the temporal feature that uses geometric time frames is not used. This is done to ensure fairness as the existing methods don’t use any temporal models. Also, the number of vantage points used to construct MVP-tree is set to 2 in all experiments. The fanout of each Any-NN-tree is set to \( m=2 \) and \( M=4 \) in every experiment. These values are experimentally determined to be appropriate in (Kranen et al., 2011a; Challa et al., 2022a).

In the first experiment, we measured the construction time of each anytime training model. We use 80% of the datasets to build them. To ensure fairness, the Any-NN-forest has been constructed in an offline non-anytime mode without using the concept of buffer and hitchhiker. We also don’t put any limit
on the number of micro-clusters to be indexed. The results presented in Table 1 clearly show that ANY-k-NN has very less model training time when compared to the other methods. UENO and LEMES use sorting to build their models and are extremely slow. MVPT uses complex operations to determine the boundary splits in each node, due to which the construction time shoots up, in spite of having $O(n \log n)$ time complexity. This shows that these three models are not suitable for handling large datasets. However, ANY-k-NN takes very less time with $O(n \log n)$ time complexity (with lesser constant term factor). ANY-k-NN uses multiple trees (one for each class) in its training model, and the construction of multiple smaller trees is much faster than building a single tree for the same dataset, as determined in (Goyal et al., 2020). Hence, it is more suitable to handle larger datasets.

In the next experiment, we measure the accuracy of the anytime k-NN classifier for different methods over three datasets (FC, PK, SK and KD), with variation in average stream speed ($\lambda$) at a fixed value of $k = 15$. We use 80% of each dataset to train the corresponding training model in a similar non-anytime offline manner as that of the previous experiment. The remaining 20% is used as test data, simulated as a variable speed stream. Table 2 shows that the classification accuracies (F1-score) of MVPT and ANY-k-NN are much better than those of UENO and LEMES. This is because the class inferencing meth-

<table>
<thead>
<tr>
<th>Dataset</th>
<th>UENO</th>
<th>LEMES</th>
<th>MVPT</th>
<th>AnyKNN</th>
</tr>
</thead>
<tbody>
<tr>
<td>FC</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PK</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SK</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>KD</td>
<td></td>
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</tr>
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<td></td>
<td></td>
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<td>0.42</td>
<td>0.89</td>
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</tr>
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<td>20000</td>
<td>0.33</td>
<td>0.33</td>
<td>0.84</td>
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</tr>
<tr>
<td>40000</td>
<td>0.27</td>
<td>0.26</td>
<td>0.79</td>
<td>0.80</td>
</tr>
<tr>
<td>60000</td>
<td>0.24</td>
<td>0.24</td>
<td>0.72</td>
<td>0.72</td>
</tr>
<tr>
<td>80000</td>
<td>0.18</td>
<td>0.20</td>
<td>0.68</td>
<td>0.67</td>
</tr>
<tr>
<td>100000</td>
<td>0.11</td>
<td>0.13</td>
<td>0.65</td>
<td>0.65</td>
</tr>
</tbody>
</table>

Figure 4: F1-score variation in $k$ at $\lambda = 50k$ for FC.

Figure 5: Measuring F1-score of various methods for varying ($\lambda$), at $k = 15$, with incremental model update of ANY-k-NN for SC dataset.

ods of UENO and LEMES require a linear scan of the training model, which performs very poorly when the dataset size is large. Whereas MVPT and ANY-k-NN use hierarchical classification models, which perform better than the previous ones. The classification accuracies of MVPT and ANY-k-NN are very much close to each other for all datasets at all values of $\lambda$. However, we should note that the model construction time of MVPT is very high compared to ANY-k-NN. This means that ANY-k-NN achieves higher accuracy with lower model construction time. Also, MVPT, UENO, and LEMES don’t support incremental model updates, concept drift and class evolution. This shows that ANY-k-NN is superior to others.

An important thing to be noted from the results presented in Table 2 is that the F1 values for the KD dataset are low for all the methods even at lower stream speeds. This is because of its large dimensionality. At high dimensions, the euclidean distance measure fails, due to which the results produced are not very accurate. However, one can note that ANY-k-NN consistently performs better than the other methods for the reasons stated above. Also, using the parallel framework ANY-MP-k-NN improves the overall accuracy for this dataset as stated in Table 6.

In the next experiment, we measure the Classification accuracy (F1-score) of all the models with variation in $k$ at a fixed average stream speed ($\lambda = 50,000$ ops) for the FC dataset. A similar 80:20 data split has been used for training and testing as the previous experiment. The results presented in Fig.4 clearly show that for all values of $k$, ANY-k-NN and MVPT produce higher accuracies than UENO and LEMES for similar reasons explained in the previous experiment. Note that similar observations were obtained for other datasets as well.
Table 3: Anytime Classification Accuracy (F1-Score) for ANY-k-NN with and without using geometric time frames at \( \lambda=50k \) for SC.

<table>
<thead>
<tr>
<th>F1-Score without Geometric Time Frame</th>
<th>F1-Score using Geometric Time Frames</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.87</td>
<td>0.94</td>
</tr>
</tbody>
</table>

Table 4: Memory occupied by various methods for different datasets.

<table>
<thead>
<tr>
<th>Dataset(s)</th>
<th>UENO</th>
<th>LEMES</th>
<th>MVPT</th>
<th>AnyNN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Forest</td>
<td>1069 MB</td>
<td>172 MB</td>
<td>754 MB</td>
<td>1069 MB</td>
</tr>
<tr>
<td>Poker</td>
<td>1789 MB</td>
<td>74 MB</td>
<td>1072 MB</td>
<td>1789 MB</td>
</tr>
<tr>
<td>Skin NonSkin</td>
<td>189 MB</td>
<td>74 MB</td>
<td>152 MB</td>
<td>189 MB</td>
</tr>
</tbody>
</table>

Table 5: Memory vs F1-score at \( \lambda=40k \), with \& without bounding the memory (max_mc=50k).

<table>
<thead>
<tr>
<th>Dataset(s)</th>
<th>AnyNN</th>
<th>AnyNN (max_mc=50k)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Forest Cover</td>
<td>0.79</td>
<td>0.84</td>
</tr>
<tr>
<td>Poker</td>
<td>0.76</td>
<td>0.76</td>
</tr>
<tr>
<td>Skin NonSkin</td>
<td>0.81</td>
<td>0.81</td>
</tr>
</tbody>
</table>

In the next experiment, we demonstrate the capability of ANY-k-NN to handle class evolution in the streams. We also demonstrate the benefits of incremental model updates. These two features are not present in the UENO, LEMES, and MVPT. For this experiment, we create a synthetic classes dataset (SC) that contains five well-separated Gaussian clusters, with each cluster treated as a separate class. We start training our models on 20% of the data containing objects only from classes 1 and 2. For ANY-k-NN we use non-anytime offline mode of construction like previous experiments. The remaining 80% of the objects (including the objects of other classes) arrive in the stream. Of these stream objects, 80% are labeled, and 20% are unlabelled. The labelled objects are used to incrementally update the model in the case of ANY-k-NN but are not utilized in the other methods. Also, when labelled objects arriving in the stream belong to classes 3, 4, and 5 (whose objects are not present in the initial training model), ANY-k-NN uses them to build separate ANY-NN-trees for each newly evolving class. This feature is not present in the other methods. The unlabelled objects are used for class inference. The results presented in Fig.5 clearly show that at all stream speeds, ANY-k-NN produces far better classification accuracy. This is attributed to incremental model updates and the capture of evolving classes.

In the next experiment, we demonstrate the capture of concept drift using geometric time frames using the SC dataset, which has 5 Gaussian clusters, say C1, C2, C3, C4 and C5. The initial training model (constructed with 70% data) uses most points (>70%) from C1, C2, C3 & C4, but only 20% of points from C5. The remaining objects arrive in the stream. We set the stream speed to \( \lambda=50,000 \) ops. We divide the stream into snapshots of approx 0.1 second each, giving a total of 30 snapshots stored in the geometric time frames when the stream is received. We measure the classification accuracy with and without using the geometric time frame information, wherein we choose the time horizon containing the last 10 snapshots to classify the test instances. The results presented in Table 3 clearly show that the classification accuracy while using geometric time frames is better as it uses the most recently arrived stream objects for class inference especially for objects that belong to the class C5 that arrive mostly in the stream. This experiment thus demonstrates the capture of concept drift by the proposed method.

In the next experiment, we study the behaviour of ANY-k-NN when we use the feature of limiting the number of micro-clusters indexed in the tree. The train:test ratio is 80:20. The results presented in Table 5 show that even when we limit the number of micro-clusters formed to 50,000 (value of max_mc) in the entire forest, there is no compromise on the classification accuracy. Hence, this feature of limiting the number of micro-clusters is an important feature for classification accuracy.
that can be applied to limit memory consumption and thus avoid the exploding memory problem while handling streams. The parameter \( \max_mc \) can be varied as per the user preferences depending upon the available memory constraint.

In the next experiment, we measure the Classification accuracy (F1-score) of Any-MP-\( k \)-NN at different stream speeds for the KD dataset, with an increase in the number of parallel streams, each handled in a separate computing node. 20% of the data has been used to construct the initial training model before starting the stream at each computing node. We set the syncing interval \( \gamma = 0.50 \) seconds. \( \max MP mc \) is set to 50,000. In each observation, every computing node receives the stream with the same average speed \( \lambda \) specified in Table 6. The results presented in Table 6 show that the classification accuracy improves with an increase in the number of parallel streams, especially at higher stream speeds. This is expected because increasing the number of parallel streams increases the granularity of the overall classifier model as the degree of data aggregation is reduced. This makes the classifier more accurate for a higher number of streams.

In the next experiment, we split a single stream into multiple streams ranging between 4 and 32 and measure the anytime classifier accuracy of Any-MP-\( k \)-NN. We choose the SL dataset and set \( \lambda = 320,000 \) ops. This stream is split into multiple streams. For example, if we split it into 4 streams, each stream has a speed of 80,000 ops. \( \max MP mc \) is set to 50,000. The results presented in Fig. 6 clearly show that the classification accuracy improves greatly as we split the stream into a higher number of streams. This is because as the stream is split amongst multiple computing nodes, it allows for greater refinement and lesser aggregation of the Any-NN-trees present at each computing node. The classification accuracy while using a lesser number of computing nodes was significantly low and was useless. Such large data streams can only be handled accurately when multiple computing nodes execute Any-MP-\( k \)-NN as illustrated in Fig. 6. This shows the effectiveness of Any-MP-\( k \)-NN in handling extremely large and high-speed streams.

7 CONCLUSION

In this paper, we presented Any-\( k \)-NN, an anytime \( k \)-NN classifier method designed for data streams. It uses a proposed hierarchical structure, Any-NN-forest, a collection of c Any-NN-trees for indexing the training data, as its classification model. The experimental results show that Any-\( k \)-NN effectively infers the class labels of the test objects arriving in the stream with varying inter-arrival rates. The experimental results also suggest that Any-\( k \)-NN can handle very large data streams, capable of incrementally updating its classification model, and effectively handles concept drift and class evolution, all of this while not letting the memory consumption explode. We have also presented a parallel framework Any-MP-\( k \)-NN, which is the first of its kind framework for anytime \( k \)-NN classification of multi-port data streams over distributed memory architectures. The experimental results show its effectiveness in handling multi-port, large-size, and very high-speed data streams.

In the future, we plan to implement bulk-loading R-tree methods for model construction to improve classification accuracy further. We also extend this method to other parallel architectures such as shared memory and GP-GPUs.

REFERENCES


