Detection of Energy Drifts in Waste Water Treatment Plants Using Dynamic Clustering

Lucie Martin^{®a}, Muriel Dugachard^{®b}, Yuqi Wang^{®c} and Guillaume Scherpereel^{®d} Veolia Research and Innovation, Chemin de la Digue, Maisons-Laffitte, France

- Keywords: Dynamic Clustering, K-Means, PLS Regression, Energy Consumption, Drift Detection, Waste Water Treatment Plants.
- Abstract: The sanitation process is energy intensive. There are therefore environmental issues for treated wastewater companies which must always optimize and reduce their energy expenditure. This paper aims to characterize the energy consumption patterns of the Waste Water Treatment Plants (WWTPs). Once these patterns have been established, their evolution is monitored through time. This work is based on the 78 most energy-intensive treated wastewater treatment plants in France. The consumption is studied from 2019 to the beginning of 2020. Energy expenditure depends on the operating condition of the WWTP, such as the volume of treated wastewater, the organic-based pollution, the rainfall, the amount of suspended solids, the temperature and the pH of the effluent. This relation is modeled using PLS regression, which can be used to characterize the WWTP's energy consumption behavior. WWTPs' load patterns are grouped into clusters using K-means. Five different consumption patterns are obtained for the year 2019. A dynamic K-means is employed to update patterns on a daily basis. Potentials drifts may have been detected thanks to the statistical distances of the treatment plants compared to the average characteristics of each of the groups.

1 INTRODUCTION

Sewage treatment and more specially Waste Water Treatment Plants (WWTPs) are energy-consuming. Aerator blowers and the pumps are the most significant consumers of energy in a wastewater treatment system. Water pumps are used for water transportation whereas aeration's systems are used during the biological treatment. Oxygen is diffused in the water and consumed by bacteria. The organic-based pollution, nitrogen and phosphorus are removed by those bacteria.

To reach a lower CO_2 footprint and to reduce costs, wastewater treatment companies are invited to reduce and manage their energy efficiency. Those objectives are described in the (ISO 50001, 2018) standard. This standard implies better energy consumption measurement, more efficient use, reduced consumption and continuous improvement of energy management. Better energy consumption monitoring is reflected in deployment of sensors in WWTPs and the use of Machine Learning algorithm. For instance, (Harrou et al., 2021) uses Machine Learning to detect energy consumption drifts. Furthermore, (Bagherzadeh et al., 2021) tries different feature selection methods to explain and predict energy consumption of the Melbourne East WWTP.

To improve energy management, a recurrent idea is to compare those forecasts with real data. This is an intra-plant analysis and does not compare with energy consumption of other WWTPs. However, it can be done by grouping together WWTPs following their energy consumption behavior (i.e. load pattern recognition). Thus, it is possible to identify WWTPs with lower energy costs and better behavior. Once types of patterns are defined, it can be interesting to analyze how they evolved. A change in energy consumption pattern through time can be an energetic drift or the effect of a corrective action. The proposed solution for energy management improvement is to use dynamic clustering methods on WWTPs energy consumption.

Most of the research on energy consumption clustering focus on households and buildings expendi-

Martin, L., Dugachard, M., Wang, Y. and Scherpereel, G.

Detection of Energy Drifts in Waste Water Treatment Plants Using Dynamic Clustering. DOI: 10.5220/0012320500003654 Paper published under CC license (CC BY-NC-ND 4.0) In Proceedings of the 13th International Conference on Pattern Recognition Applications and Methods (ICPRAM 2024), pages 661-670 ISBN: 978-989-758-684-2; ISSN: 2184-4313 Proceedings Copyright © 2024 by SCITEPRESS – Science and Technology Publications, Lda.

^a https://orcid.org/0009-0002-6864-1181

^b https://orcid.org/0009-0001-1894-6692

^c https://orcid.org/0000-0003-1022-9135

^d https://orcid.org/0009-0005-4534-336X

tures. There are few works on industrial plants and even more specifically WWTPs. Clustering methods on WWTPs energy consumption are used to characterize the daily plant inputs. In (Borzooei et al., 2020), K-Means and Gaussian Mixtures are computed on meteorological data to identify weather characteristics. Those characteristics are used in a physical model of energy consumption estimation. (Qiao and Zhou, 2018) clustered daily effluent concentrations with Density-Peak method to train Neural Network on different water quality characteristics. (Li et al., 2019) is using the same principle replacing Density-Peak clustering by Fuzzy C-Means and Neural Network by RBF and Linear Regression.

Thus there is a lack in the domain. No clustering between WWTPs energy consumption seems to have been done. What tells the state of the art on load pattern recognition in general? (Rajabi et al., 2020) gives a comparative study of time series clustering techniques applied on energy consumption. Most of them are using K-Centroid methods such as K-Means or Fuzzy C-Means. The study also explores Hierarchical clustering, Probabilistic methods and Density-Peak clustering.

Energy consumption are times series. Thus, raw data and summarized ones can both be used. Summarized data can imply a loss of information. However, raw data can be very time consuming even more if used with specific distances, such as Dynamic Time Warping (Sardá-Espinosa, 2018). (Shahzadeh et al., 2015) compared the use of Full Load Pattern, Average Daily Load Pattern and Regression Coefficients as inputs of the K-Means. The best results are found for Regression Coefficients. (Wang et al., 2016) decomposed the loads in different state with the SAX methods. Then, Markov Chains allow to model the consumption behavior. Adaptive K-Means are run on the Markov chains transition matrices. In this case study, the number of WWTP and the amount of missing data impose to summarized data. The aim of the paper is to define WWTP energy consumption behavior by summarizing data.

A lot of papers focus on dynamic versions of clustering. General articles such as (Márquez et al., 2018) or (Silva et al., 2014) present dynamic clustering for data streams. However, a few papers explore this subject in energy load pattern recognition. Among them, (Benítez et al., 2016) studied dynamic clustering of daily loads for households consumption. Euclidean and Hausdorff distances were both analysed to obtains energy consumption trajectories. But, this method uses hourly consumptions that are not available here. Thus what kind of dynamic clustering algorithm can be implemented to fit this data?

Table 1: Number of WWTPs represented per biologic	al pro-
cess.	

Biological Process	Number of WWTPs
Activated Sludge	51
Biofilter	18
Membrane BioReactor	4
Moving Bed Biofilm Reactor	4
Sequencing Batch Reactor	1
Other	1

This paper proposes a method to dynamically cluster WWTPs by their energy consumption patterns. It tries to answer the following questions: How to define WWTP energy consumption pattern? And, how to monitor clusters evolution?

Section 2 details the case study and the proposed method. Section 3 describes all the results obtained. Finally, Section 4 explains the choices made and presents the future works.

2 CASE STUDY AND METHODS

2.1 Case Study

This study focuses on 78 municipal WWTPs from the 200 most energy consuming plants operated by Veolia in France. For each WWTP, the biological process is known. Number of plants per process are presented in Table 1. Usually, processes with a small footprint such as Sequencing Batch Reactors (SBR), Membrane Bioreactors (MBR) or Moving Bed Biofilm Reactor (MBBR) are supposed to be more energy consuming (Stricker et al., 2017).

Besides the process, the plants size in population equivalent (PE) is given. It can be defined as the number of people the plant has been designed for. In this study, the smallest plant size is 50 000 PE.

The activity inside the plant can be represented by two indicators: treated wastewater volume and level of contamination. This level is measured by the Chemical Oxygen Demand (COD). It is a measure of organic-based pollution. Volume of treated wastewater (m³) is a daily measure whereas the COD (kg) measures frequency depends of the plant size. That introduces missing data.

For each plant, the daily energy gross consumption in kWh is known. Often, the gross energy consumption is highly correlated with the size of the plant. The biggest WWTPs usually consume more than the smallest ones because they have been manufactured to receive more treated wastewater and contamination. To remove the size effect, specific consumptions are used: energy consumption per cubic meter of treated wastewater (kWh/m³) and energy consumption per kilograms of COD removal (kWh/kg).

Additional data are available to describe the plants operations such as the daily rainfall (mm), the quantity of influent total suspended solids (kg), the pH and temperature (°C) of the effluent, the loading rate of cubic meter (%) and organic loading rate (%) (COD) of treated wastewater compared to the size in PE.

The data are available from February 3^{rd} 2019 to April, 1^{st} 2020.

2.2 Methods

The aim of the study is to dynamically cluster WWTPs in order to monitor their energy consumption behavior. But first, how to define an energy consumption behavior? The proposed method is inspired by (Shahzadeh et al., 2015), which develops a clustering technique of load pattern using classic Linear Regression Coefficients. Those coefficients give an explanation of the consumption that can be interpreted as the plant energy consumption behavior. Moreover, raw data are computationally intensive. The issue increases when switching to the dynamic methods. This supports the choice of regression. The Linear Regression model is replaced by PLS Regression to better adapt to highly correlated data. A MinMax normalisation on the coefficients is applied. After initial clusters are found, static K-Means are transformed to be dynamic, by adapting the method proposed in (Márquez et al., 2018).

2.2.1 Explaining Energy Consumption with Partial Least Square Regression

For each WWTP a regression model is run to explain energy consumption. (Shahzadeh et al., 2015) uses Ordinary Least Squares (OLS) to explain household consumption by endogenous variable as temperature. OLS assume that there is no correlation between all the endogenous variables (Geladi and Kowalski, 1986). This is not the case in all WWTPs. For instance, for some WWTPs, organic loading rates are very correlated to the temperature of the effluent. OLS can conduct to non informative coefficients. In this case, we have a multiple output regression since we want to estimate both consumption per cubic meter and consumption per kilogram of COD removal. Like endogenous variables, those two exogenous variables can be correlated together in a few WWTPs. To avoid misleading results due to correlations, Partial Least Squares Regression (PLS) is preferred to OLS.

Partial Least Square Regression is a combination of the Linear Regression and Principal Components Analysis (Vancoken, 2004). PLS creates a new space where endogenous variables are independent while maintaining the relationship with the target variables. Those new axes are called principal components (PCs). New PCs are computed recursively. Their also called latent variables. Those latent variables are used to compute the regression.

To limit the noise, the number of components is constrained. It is possible to create as many components as the number of used endogenous variables. However, using all components can introduce noise and is equivalent to OLS. K-Fold Cross Validation method is employed to choose the h principal components of the model. h is the number of PCs that minimizes the prediction error.

Model quality assessment can be done in two different ways. First by evaluating endogenous variables significance. Second, by minimizing the prediction error. Model selection is done at the initialisation of the Dynamic Clustering. The model learns on a training set and is evaluated on a test set. The training set corresponds to the data of the whole year of 2019. The test set corresponds to the data of the first two months of 2020.

In this study, the prediction errors are quantified by the Root Mean Squared Errors (RMSE).

$$RMSE_{j} = \sqrt{\sum_{t=1}^{T} \frac{(\hat{y}_{jt} - y_{jt})^{2}}{T}}$$
(1)

Predicted consumption is denoted by \hat{y}_{jt} and real consumption is denoted by y_{jt} at time $t \in [[1,T]]$ for WWTP $j \in [[1,J]]$. The best model is obtained with the minimal third quartile of WWTPs RMSE.

In PLS models, Student tests can not be used to test variables significance because PCs forbid to compute random variable. Thus, Variable Importance in the Projection (VIP) is used (Xia, 2013). It quantifies the importance of the *p* variables to construct the *h* PCs. The higher the VIP is, the more the variable explains the target variables. The variable X_i is important if $VIP_i > 1$. The VIP formula is the following:

$$VIP_{hi} = \sqrt{\frac{p}{\sum_{l=1}^{h} cor^{2}(y, t_{l})}} \sum_{l=1}^{h} cor^{2}(y, t_{l}) w_{li}^{2}$$
(2)

where t_l are the coordinates of X_i on the l PC and w_{li} the weight of X_i on t_l . $\sum_{l=1}^{h} cor^2(y, t_l)$ is the "redundancy of the h first PCs on y". VIPs means are computed to summarized results on all WWTPs.

2.2.2 Initialisation with Static K-Means

Endogenous variables don't have same units and orders of magnitude. Thus, PLS coefficients are normalized to have the same weight in the clustering. Following (Shahzadeh et al., 2015), MinMax normalisation between 0 and 1 offers better partitions than standardization.

K-Means are run with greedy K-Means++ initialisation. The number of k groups is chosen using the elbow criterion on inertia (Syakur et al., 2018). The inertia is the sum of squared errors. The error is defined as the distance between an observation and the center of its associated cluster. The number of clusters increases until the decrease in inertia is no longer significant. The elbow point is the inflection point in the inertia curve.

To assess the quality of clustering, Silhouette (Rousseeuw, 1987) and Davies-Bouldin (DB) (Davies and Bouldin, 1979) indices are computed. Both indices measure cohesion between WWTPs in the same cluster and separation of the clusters at the same time. Silhouette index is computed between -1 and 1. Global quality of the clustering is given by the silhouette indices mean. Data are perfectly grouped if mean silhouette equals 1. The calculation is as follows:

$$s(j) = \frac{b(j) - a(j)}{\max(a(j), b(j))} \tag{3}$$

where a(j) is the average intra-cluster distance and b(j) is the average extra-clusters distance.

DB index is the mean of ratios between distances inside the cluster and outside the cluster. The Closer to 0 is DB index, the better the quality of the clustering is. The following formula is applied:

$$DB = \frac{1}{K} \sum_{k=1}^{K} \max_{k' \neq k} \left(\frac{\delta_k + \delta_{k'}}{d(c_k, c_{k'})} \right)$$
(4)

where $k \in [\![1, K]\!]$ is the cluster number, c_k is the center and δ_k is the mean distance between all observations in cluster *k*.

2.2.3 Implementation of Dynamic K-Means

There are four kinds of clustering (Benítez et al., 2016): (I) static data with static number of clusters, (II) static data with dynamic number of clusters through time, (III) dynamic data with static number of cluster and (IV) dynamic data with dynamic number of clusters. In this case, only case (III) is considered.

First step consists in computing static K-Means on period p. Then period p is shifted by one day. New coefficients are computed and normalised to the reference period. The normalisation step allows the stability of the clusters from one period to the next. Distances between coefficients and each cluster center of the previous period are computed. WWTPs are allocated to the nearest cluster. Then cluster centers are updated doing the mean of new normalized coefficients within the clusters. Then, the process goes back to the shifting period step and so on. Full algorithm is depicted in Figure 1.



Figure 1: Diagram of the full WWTPs Dynamic Clustering algorithm.

One can add a memory parameter at the centers updating step (Márquez et al., 2018). This allows to smooth the impact of the previous periods. In this case, the choice was made to omit he memory parameter. Indeed, information about the previous period is already contained in the coefficients since period is only shifted by one day.

To assess Dynamic K-Means quality, adjusted-Rand index is used in addition to Silhouette and DB indices. Rand index is a measure of agreement between two consecutive partitions of Dynamic K-Means (Rand, 1971). It is computed as:

$$RI = \frac{a+b}{\binom{2}{n}} \tag{5}$$

where *a* is the number of WWTPs couples in common in both partitions, *b* is the number of couples separated in both partitions and $\binom{2}{n}$ refers to all possible couples. If partitions match perfectly, Rand index values 1. To ensure that random partitions will effectively have a Rand index valuing 0, the index is normalised by a Rand index for a random partition. Thus, adjusted-Rand index formula is:

$$ARI = \frac{RI - E[RI]}{max(RI) - E[RI]}$$
(6)

E[RI] is the expected Rand index for a random partition. By definition, max(RI) values 1.

3 RESULTS

3.1 Fitting the PLS Model

PLS Regression is computed with two target variables: energy consumption per kilogram of COD removal and energy consumption per cubic meter of treated wastewater. On average, a WWTP consumes approximately 1 kWh/m³ of treated wastewater and 2 kWh/kg of COD removal (Stricker et al., 2018).

Which variables can provide a more comprehensive explanation for consumption patterns? Various combinations of the loading rates, suspended solids, rainfall, temperature and pH are tested. As in (Stricker et al., 2017), a logarithmic transformation has been previously applied to both loading rates. Most correlated variables to the consumption per kilogram of COD removal are the organic loading rate and the suspended solids whereas for the consumption per cubic meter of treated wastewater, it is the loading rate of cubic meter, the rainfall and the temperature.

All PLS Regressions were trained on the whole year 2019. COD data collection can raise missing value. Thus, WWTPs have 48 to 363 observations in 2019. To choose among all variables, RMSE is computed for each specific consumption per WWTP for January and February 2020. Test sets have 7 to 60 observations. RMSE results are depicted in Figure 2.

The best model is the one with the lowest third quartile of RMSE. For the energy consumption per kilogram of COD removal, the best model is the one using the two loading rates and the rainfall with 75% of the RMSE under 0.35 kWh/kg of COD. For the energy consumption per cubic meter of treated wastewater, the best model is the one using the two loading rates, temperature and pH with 75% of the RMSE under 0.24 kWh/m³ of treated wastewater.

However, two models seem to have the lowest third quartile of RMSE for both specific consumptions. It is the one with the two loading rates only (75% of RMSE under 0.35 kWh/kg of COD and under 0.26 kg/m³ of treated wastewater) and the one with loading rates and rainfall (75% of RMSE under 0.35 kWh/kg of COD and under 0.26 kg/m³ of treated wastewater).

To choose between those two models, VIP are used. Figure 3 shows the VIPs in the model using loading rates and rainfall. One can see that the rainfall importance is very low. Since third quartiles of RMSE are really closed to the model without rainfall and the model with less variables gives better explainability, then the selected model only uses organic loading rate and loading rate of cubic meter.

3.2 Defining the Clusters at the First Period

K-Means clusterings are computed on 3 combinations of the coefficients obtained by PLS Regression. First clustering uses all the coefficients for both targets. The aim is to include all information from the regression. The second clustering does not employ the intercepts in order to group WWTPs. Intercepts are supposed less informative on the behavior since they are output-independent. Finally, last clustering only uses organic loading rate coefficient to explain the consumption per kilograms of COD removal and loading rate of cubic meter coefficient to explain the consumption per cubic meter of treated wastewater. Those coefficients are chosen because they are the most correlated to their respective target variables (Respective average Pearson Coefficients are -0.8 and -0.85). Results of those 3 clusterings are represented in Table 2.

Table 2: Number of clusters, Silhouette and Davies-Bouldin indices for the 3 computed clusterings.

Chastering	Silhouette	DB
Clustering	index	index
All coefficients	0.32	1.03
Without Intercepts	0.34	0.91
Respective loading rates	0.43	0.69

The more homogeneous the formed groups are, the closer the Silhouette index is to 1 and the DB index is to 0. The best partition is reached using the respective loading rates of the specific consumption. The coefficients distribution for each WWTP within the clusters is shown by Figure 4.



Figure 2: (a) Distribution of the RMSE in kWh/kg of COD per PLS model implemented. (b) Distribution of the RMSE in kWh/m³ of treated wastewater per PLS model implemented.



Figure 3: VIP for each variables obtained with the models using organic loading rate, loading rate of cubic meter and rainfall.

One can interpret the groups as:

- **Cluster 1.** Consumption in kWh/kg of COD removal increases a lot with the increase of the organic loading rate whereas consumption in kWh/m³ of treated wastewater rises slightly with the increase of the loading rate of cubic meter.
- Cluster 2. Consumption in kWh/kg of COD

removal rises sharply with the increase of the organic loading rate whereas consumption in kWh/m³ of treated wastewater increases very slightly with the increase of the loading rate of cubic meter.

- **Cluster 3.** Consumption in kWh/kg of COD removal increases very slightly with the increase of the organic loading rate whereas consumption in kWh/m³ of treated wastewater increases sharply with the increase of the loading rate of cubic meter.
- **Cluster 4.** Consumption in kWh/kg of COD removal increases very slightly with the increase of the organic loading rate whereas consumption in kWh/m³ of treated wastewater increases sharply with the increase of the loading rate of cubic meter.
- **Cluster 5.** Consumption in kWh/kg of COD removal increases slightly with the increase of the organic loading rate whereas consumption in kWh/m³ of treated wastewater rises very slightly with the increase of the loading rate of cubic meter.



Figure 4: Distribution of the coefficients per cluster. (a) Coefficients for the consumption in kWh/kg of COD. (b) Coefficients for the consumption in kWh/m³ of treated wastewater.

64% of the WWTPs are in cluster 1 or cluster 5. Those two clusters are the ones with less impact of the influent on the consumption per cubic meter. Also, the impact of inlet COD on consumption per kilograms of COD is not extreme.

As said before, some biological processes are known to require more energy than others (Stricker et al., 2017). Chi-Square test between biological process and clusters has been carried out. P-Value obtained equals 1% which is under 5%. This means that there is a relationship between biological processes and clusters. Indeed, processes with a small footprint such as MBR and MBBR are over represented in cluster 2. Respectively, they represent 25% and 37% of the WWTPs in cluster 2 whereas they represent 5% of the whole sample. Those are the clusters with the biggest influence of the organic loading rate.

3.3 Evolution of the Clusters During 3 Months

Initialization was made on the whole year before January 2^{nd} , 2020. From this date, Dynamic Clustering was computed until April 1st, 2020. Movement between clusters are quantified using adjusted-Rand index, on consecutive period. If the adjusted-Rand is not 1, then at least one WWTP has changed cluster. Figure 5 summarized all consecutive adjusted-Rand indices from January 2^{nd} , 2020 to April 1st, 2020.

For the first day of Dynamic Clustering, adjusted-Rand index does not reach 1. There is a lot of movement between clusters: 57 WWTPs change cluster at the January the 3^{rd} . This change is supposed to be the convergence period of the Dynamic Clustering. After January 3^{rd} , change in clusters are fewer. Clusters are more stable. During the following shifts, 29 changes are detected.

Figure 5 also depicts DB and Silhouette indices. They measure clusters consistency through the dynamical process. Silhouette index trend is upward. Each day, the clusters appear to become more coherent. Results are not so clear regarding DB index. The best clustering quality is reached during the month of February.

An example of those changes during the month of March is represented in Figure 6. Four kinds of changes have been recorded. After January 3^{rd} , 2020, half of the movements are between clusters 4 and 5. Those two clusters differentiate themselves by the loading rate of cubic meter influence on energy consumption. Members of cluster 5 consumption is less influenced by the loading rate of cubic meter than members of cluster 4.

About 30% of the changes are between clusters 1 and 5. They are characterized by a change of influence in the organic loading rate on the energy consumption. Members of cluster 5 consumption is less influenced by the organic loading rate than members of cluster 1.

Few WWTPs change clusters between 3 and 4. Those movements show a change in the influence rate of treated wastewater among clusters with already a



Figure 5: (a) Consecutive adjusted-Rand index between January 2^{nd} 2020 and April 1^{st} 2020, (b) Silhouette Index obtained at each step of Dynamic Clustering, and (c) DB index obtained at each step of Dynamic Clustering.



higher impact of treated wastewater on energy consumption.

Finally, 10% of the movements are between clusters 1 and 2. Those are the two clusters with the biggest impact of organic loading rate on energy consumption. It is interesting to notice that only MBR and MBBR processes are involved in those changes.

4 DISCUSSION AND FUTURE WORKS

Other techniques have been explored. Namely, use of raw data has been considered. Specific K-Means using Dynamic Time Warping have been tried (Sardá-Espinosa, 2018). This technique is very computationally intensive and results lead to difficult-to-interpret clusters. K-Shapes were also considered. (Yang et al., 2017) uses K-Shapes on building energy loads. Nevertheless, it is difficult to introduce exogenous variables since K-Shapes are not suitable for multivariate times series. Thus, use of raw data has been abandoned.

Data summary was tested with ARIMA-type models instead of regression. Those kinds of model are classically used to summarize time series information. For instance, (Nepal et al., 2020) applies ARIMAtype models on building energy consumption after clustering by day. However, this technique requires a lot of analysis to fit the data. By the way, existing automatic algorithms are not reliable and time consuming. This leads to conserve the method of (Shahzadeh et al., 2015) using Regression Coefficients.

Then, relatively simple PLS Regression model has been implemented. Further works may focus on adding information on previous data such as lags or moving averages. However, adding more coefficients can reduce the interpretability of results.

As specified in (Rajabi et al., 2020), K-Centroids clustering methods are widely implemented in energy load pattern recognition. This article focuses on classic K-Means. Yet, this technique has some limitations like it only deals with spherical clusters, results are subject to the randomness of the initialisation. In addition, K-Means is a hard clustering method. It is not well suited for overlapping data points. To find out more, fuzzy clustering can be considered (Rajabi et al., 2020). It can smooth the drift between two periods during the dynamical analysis. Fuzzy clustering could be put in competition against Density-based or Hierarchical algorithm. One possible extension is to move to dynamic number of clusters. Currently, number of clusters is fixed through time. But, if each WWTP of one cluster changes behavior, this cluster may not have any interest, while a new behavior can emerge. That's why, moving to dynamic number of clusters could be interesting.

Next step will be to detect automatically anomalies during clusters changes. For instance, highlighting WWTPs with constant increase of the distance to the center. In the case of fuzzy clustering, the membership of a WWTP to a cluster can also be used.

5 CONCLUSIONS

With the aim of achieving lower CO_2 footprint and reducing costs, treated wastewater companies improve their energy efficiency. This article proposes a method to manage those expenditures by grouping WWTPs following their energy consumption patterns. Then, those load patterns are analysed dynamically.

The load pattern of a WWTP is characterized by the coefficients of PLS Regression. This model explains the consumptions per kilograms of COD and per cubic meters of treated wastewater by the two loading rates of the plants.

The WWTPs are grouped basing on their energy consumption behaviors by using K-Means methods. Five distinct clusters are obtained. A majority of WWTPs are in clusters with less impact of the loading rate of cubic meter on consumption per cubic meters. WWTPs with MBR or MBBR processes are over represented in clusters where the loadings of inlet COD have a big impact on energy consumptions. As behaviors evolve, on average 60% of movements between clusters are due to a change of loading rate of cubic meter influence on energy consumption.

This method provides easily interpretable results thanks to the employment of Regression model coefficients. However, K-Means introduce limits. It is a hard clustering method and it is subject to the randomness of the initialisation.

Next step will be to detect anomalies during clusters changes with statistical method. For instance by analysing the evolution of the distances with the groups centers.

ACKNOWLEDGEMENTS

We would like to thank Veolia Water France, for its support throughout this project. We are also grateful to the Veolia Water France for providing us the data we needed to complete this project.

We would also like to thank our colleagues at Veolia Research and Innovation for their feedback and support during the research process.

REFERENCES

- Bagherzadeh, F., Nouri, A. S., Mehrani, M.-J., and Thennadil, S. (2021). Prediction of energy consumption and evaluation of affecting factors in a full-scale wwtp using a machine learning approach. *Process Safety* and Environmental Protection, 154:458–466.
- Benítez, I., Díez, J.-L., Quijano, A., and Delgado, I. (2016). Dynamic clustering of residential electricity consumption time series data based on hausdorff distance. *Electric Power Systems Research*, 140:517– 526.
- Borzooei, S., Miranda, G. H. B., Abolfathi, S., Scibilia, G., Meucci, L., and Zanetti, M. C. (2020). Application of unsupervised learning and process simulation for energy optimization of a WWTP under various weather conditions. *Water Science and Technol*ogy, 81(8):1541–1551.
- Davies, D. and Bouldin, D. (1979). A cluster separation measure. Pattern Analysis and Machine Intelligence, IEEE Transactions on, PAMI-1:224 – 227.
- Geladi, P. and Kowalski, B. R. (1986). Partial least-squares regression: a tutorial. *Analytica Chimica Acta*, 185:1– 17.
- Harrou, F., Cheng, T., Sun, Y., Leiknes, T., and Ghaffour, N. (2021). A data-driven soft sensor to forecast energy consumption in wastewater treatment plants: A case study. *IEEE Sensors Journal*, 21(4):4908–4917.
- ISO 50001 (2018). Systèmes de management de l'énergie — Exigences et recommandations pour la mise en oeuvre. Standard, Organisation Internationale de Normalisation, Geneva, CH.
- Li, Z., Zou, Z., and Wang, L. (2019). Analysis and forecasting of the energy consumption in wastewater treatment plant. *Mathematical Problems in Engineering*, 2019:8690898.
- Márquez, D. G., Otero, A., Félix, P., and García, C. A. (2018). A novel and simple strategy for evolving prototype based clustering. *Pattern Recognition*, 82:16– 30.
- Nepal, B., Yamaha, M., Yokoe, A., and Yamaji, T. (2020). Electricity load forecasting using clustering and arima model for energy management in buildings. *Japan Architectural Review*, 3(1):62–76.
- Qiao, J. and Zhou, H. (2018). Modeling of energy consumption and effluent quality using density peaks-based adaptive fuzzy neural network. *IEEE/CAA Journal of Automatica Sinica*, 5(5):968–976.
- Rajabi, A., Eskandari, M., Ghadi, M. J., Li, L., Zhang, J., and Siano, P. (2020). A comparative study of clustering techniques for electrical load pattern segmen-

tation. *Renewable and Sustainable Energy Reviews*, 120:109628.

- Rand, W. M. (1971). Objective criteria for the evaluation of clustering methods. *Journal of the American Statisti*cal Association, 66(336):846–850.
- Rousseeuw, P. J. (1987). Silhouettes: A graphical aid to the interpretation and validation of cluster analysis. *Journal of Computational and Applied Mathematics*, 20:53–65.
- Sardá-Espinosa, A. (2018). Comparing time-series clustering algorithms in r using the dtwclust package.
- Shahzadeh, A., Khosravi, A., and Nahavandi, S. (2015). Improving load forecast accuracy by clustering consumers using smart meter data. In 2015 International Joint Conference on Neural Networks (IJCNN), pages 1–7.
- Silva, J., Faria, E., Barros, R., Hruschka, E., de Carvalho, A., and Gama, J. (2014). Data stream clustering: A survey. ACM Computing Surveys, 46.
- Stricker, A.-E., Husson, A., and Canler, J.-P. (2017). Consommation énergétique du traitement intensif des eaux usées en france : état des lieux et facteurs de variation. Technical report, Irstea centre de Bordeaux, 50, avenue de Verdun 33612 Cestas cedex.
- Stricker, A.-E., Husson, A., and Canler, J.-P. (2018). Consommations énergétique des stations d'épuration françaises, État des lieux et recommandations. Technical report, Irstea centre de Bordeaux, 50, avenue de Verdun 33612 Cestas cedex.
- Syakur, M., Khusnul Khotimah, B., Rohman, E., and Dwi Satoto, B. (2018). Integration k-means clustering method and elbow method for identification of the best customer profile cluster. *IOP Conference Series: Materials Science and Engineering*, 336:012017.
- Vancoken, S. (2004). La régression PLS. Groupe de Statistique, Universite de Neuchâtel.
- Wang, Y., Chen, Q., Kang, C., and Xia, Q. (2016). Clustering of electricity consumption behavior dynamics toward big data applications. *IEEE Transactions on Smart Grid*, 7(5):2437–2447. Cited By :187.
- Xia, X. (2013). The Study of a Class of the Brownian Derivative System. *International Journal of Differential Equations and Applications*, 12(1).
- Yang, J., Ning, C., Deb, C., Zhang, F., Cheong, D., Lee, S. E., Sekhar, C., and Tham, K. W. (2017). k-shape clustering algorithm for building energy usage patterns analysis and forecasting model accuracy improvement. *Energy and Buildings*, 146:27–37.