Enhancing Healthcare in Emergency Department Through Patient and External Conditions Profiling: A Cluster Analysis

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Abstract: Improving healthcare delivery in emergency departments (EDs) is of paramount importance to ensure efficient and effective patient care. This study aims to enhance healthcare in the ED by employing cluster analysis techniques to profile patients and external conditions. Through a comprehensive analysis of patient data and factors associated with the ED environment, we seek to identify patterns, optimize resource allocation, and tailor interventions for improved outcomes. The identification of distinct patient profiles and understanding of the impact of external factors allows to understand the complex dynamics of the ED. Additionally, it enables healthcare professionals to better understand patient populations, anticipate healthcare needs, and tailor treatment plans accordingly. Therefore, in this paper, we apply a clustering technique to obtain three clusters with different characteristics, both at the patient level and at the level of external factors, with different emergency room inflows.

1 INTRODUCTION

The Emergency Department (ED) plays a crucial role in providing immediate and life-saving care to patients in urgent medical situations. As the demand for emergency healthcare services continues to rise, there is a pressing need to optimize ED operations and enhance the quality of care delivered. To achieve these goals, it is essential to understand the complex interplay between patient characteristics, external conditions, and healthcare outcomes within the ED environment.

In the dynamic environment of an ED, the ability to understand patient characteristics and effectively allocate resources is of paramount importance. Profiling patients in the ED provides healthcare providers with invaluable insights into patient demographics, arrival patterns, and accompanying factors, ultimately leading to improved patient care and optimized resource allocation. Moreover, understanding the influence of external conditions helps optimize ED operations, adapt staffing levels, and improve resource utilization to accommodate varying demands. This paper aims to delve into the significance of patient profiling in the ED by conducting a comprehensive

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cluster analysis.

Profiling patients in the ED not only provides a deeper understanding of patient characteristics but also sheds light on their arrival patterns and associated factors. This information plays a pivotal role in enhancing patient care and addressing pressing challenges such as overcrowding and sub-optimal patient flow. By uncovering unique patient profiles, healthcare providers can tailor their strategies to meet the specific needs of different patient groups, leading to more efficient and effective care delivery.

External conditions, encompassing factors beyond individual patient attributes, significantly influence the functioning and efficiency of the ED. These conditions may include the time of arrival, weather conditions and calendar variables, among others. Understanding how these external factors interact with patient profiles can inform strategies for optimizing resource allocation, adapting staffing levels, and improving overall ED performance.

The comprehensive cluster analysis approach employed in this paper seeks to contribute to the existing body of knowledge on enhancing healthcare delivery in ED. For that, we explore various factors such as patient's demographic information, consultation characteristics, calendar information and weather information, aiming to provide novel insights into managing
patient profiles, optimizing resource allocation, and ultimately improving patient outcomes.

The findings of this study have practical implications for healthcare professionals, administrators, and policymakers involved in emergency care. Understanding patient profiles and their relationship with external conditions can guide the development of tailored interventions, resource allocation strategies, and staffing optimization approaches.

This paper is structured as follows: Firstly, we provide a comprehensive background, outlining the importance of patient profiling in the ED and its impact on healthcare delivery. This is followed by the presentation of hospital data, where we describe the data preprocessing methods employed and present the findings of exploratory data analysis.

Subsequently, we delve into the methodology section, where we detail the application of the k-means clustering algorithm for patient profiling in the ED. We explain the steps involved in the clustering process, including the selection of appropriate features and the determination of the optimal number of clusters.

Moving forward, we present the results section, which encompasses the characterization of the obtained clusters. We analyze the distinct cluster profiles examining any patterns or trends identified within the clusters, providing further insights into patient demographics, arrival patterns, and associated factors.

Finally, we conclude the paper by summarizing the key findings of our analysis and discussing their significance for enhancing healthcare delivery in ED. We highlight the implications of patient profiling through cluster analysis for addressing challenges such as overcrowding, improving patient flow, and optimizing resource allocation. Moreover, we identify areas for future research and emphasize the importance of continued efforts to enhance the effectiveness and efficiency of ED operations.

2 BACKGROUND

Studies conducted by (Yatoo et al., 2021), (Guivarc’h et al., 2020), (Wardrop et al., 2021), and (Yeniocak and Topacoglu, 2018) have demonstrated the benefits of patient profiling in the ED. (Yatoo et al., 2021) discovered that a majority of patients in their study were over 60 years old and tended to present during evening hours, highlighting the importance of tailoring resources to accommodate this demographic and time-specific demand. (Guivarc’h et al., 2020) identified two distinct patient profiles in a dental ED: younger patients seeking acute pain relief and elderly patients seeking follow-up care. This finding emphasizes the need for tailored approaches to meet the diverse needs of different patient groups. (Wardrop et al., 2021) emphasized the value of understanding how patients arrive at the ED in planning healthcare services’ response to fluctuating demand. By analyzing arrival patterns and associated factors, healthcare providers can better anticipate and respond to changing needs, ensuring effective resource allocation. Furthermore, (Yeniocak and Topacoglu, 2018) found that the number of people accompanying patients in the ED varied based on sociocultural factors such as gender, age, literacy, and education level. Profiling patients allows healthcare providers to account for these factors and ensure that the necessary support and resources are available.

Various approaches to clustering ED patients have been proposed in the literature that highlight the potential of clustering methods in managing overcrowding, optimizing patient flow, and improving overall ED operations. (Feretzakis et al., 2022) compared a clustering-related technique for ED patients using the k-means algorithm and its impact on admission output. (Albarakati and Obradovic, 2019) introduced a multi-domain and multi-view networks model to cluster hospital admissions originating from the ED. (Valipoor et al., 2021) employed data-driven design strategies to address issues of crowding and boarding in the ED. (Wartelle et al., 2022) conducted an analysis to evaluate the effects of opening new on-demand care services based on variations in patient flow within a large hospital’s ED.

3 HOSPITAL DATA

The hospital data was collected from the electronic platform of a hospital located in the north of Portugal. The following subsection describes the data in detail as also the created and derived variables. All data was pre-processed and analyzed using R (Ihaka and Gentleman, 1996) and RStudio (R Core Team, 2023).

3.1 Data Preprocessing Methods

The collected data (the original dataset) is composed of: 739401 observations and 18 variables. The set of variables is described as followed:

- **id Appointment**: Identifier of the appointment.
- **id Patient**: Identifier of the patient.
- **Age**: Patient’s age in years.
- **Gender**: Patient’s gender.
• Marital status: Patient’s marital status.
• Occupation: Patient’s occupation.
• District: Patient’s address district.
• Municipality: Patient’s address municipality.
• id Specialty: Identifier of the Specialty.
• Specialty: Specialty description.
• Appointment type: Type of the appointment.
• First Appointment flag: First Appointment indicator.
• Emergency flag: Emergency indicator.
• Appointment Type flag: Appointment type indicator.
• Appointment Date: Date of the Appointment.
• Appointment State flag: State of the Appointment indicator.
• Appointment State: State of the Appointment.
• Appointment Schedule Date: Date of the Schedule of the Appointment.

The data preprocessing phase started by correcting typos and errors in the dataset. As the data contained in the dataset is derived from collecting information from software, there is always the problem that some of the entered data is not validated, leading to errors. So, several measures were taken to address this concern: the observations that contain the Appointment Schedule Date (date of schedule of the appointment) later than the Appointment Date were erased. Additionally, observations containing patients with an age above 100 were eliminated. Furthermore, all the empty strings values, i.e., "", were declared as ‘Not Available’ (NA) values.

The variable Occupation was deleted since all the observations were missing. And, since we are trying to characterize patients that reach the ED, we are only considering observations in which the Specialty variable contains the value “Emergency Department”. Also, the variable, Date Month Appointment, Week Day Appointment and Season were created using the appointment date.

The final dataset was composed of 56150 observations and 10 variables: Age, Gender, Marital Status, Type of Appointment, Date of Appointment, Date Month Appointment, Week day Appointment, Season, Precipitation and Temperature.

3.2 Exploratory Data Analysis

In the present section we will briefly describe the dataset contents to get a baseline on the interpretation of the results obtained with the application of clustering technique. As previously mentioned, the dataset used in this study exclusively includes records categorized as urgent appointments within the hospital. The dataset encompasses patient information and appointment data spanning from 2018 1\textsuperscript{st} Nov and 2020 30\textsuperscript{th} Nov. The age distribution of patients is depicted in Figure 1. The graph illustrates a significant surge of patients aged 0 to 5 arriving at the ED, followed by another peak in the age range of 40 to 45.

Regarding the gender distribution of patients accessing the ED of this hospital: more than half of the patients are Female (51.3%), while the remaining are Male (48.7%).

When analysing the marital status of the patients, the highest percentage belongs to Single individuals, accounting for 65.8% of the total, followed by Married patients with as percentage of 30.3%. Widowed patients constitute 1.8%, Divorced patients account for 1.5%, co-habiting patients a percentage of 0.5% and finally Other with the remaining 0.1%.

The distribution of appointment types, i.e., the nature of the appointments performed in the Emergency Department (ED), reveals that: approximately 48.9% of the occurrences were Emergency Acts, followed by Pediatric Consultations with approximately 37.6%. Followed by Nursing Acts with a percentage of 6.4% of the cases, and finally, Incidents represent approximately 6.4% of the occurrences. The remaining 0.4% are characterized by Accident Act - Continuation, which means that, in this kind of appointments, there is a follow-up of a previous accident act.

Examining the months with the highest number of patients visits to the ED: December (12) leads with a total of 7700 visits (13.7% of the total visits), followed by January (1) with 7622 visits to the ED (13.6% of the total visits), and February (2) with 7073 visits (12.6% of the total visits). Conversely, August (8) records the fewest patient visits to the ED with a total of 2498 visits (4.4% of the total visits), followed by September (9) with 2537 visits (4.6% of the total visits), and October (10) with 3024 visits (5.4% of the total visits).

Concerning the number of appointments by week-
day: Monday (1) is the day with the highest number of ED visits, totaling 9249 visits (16.5% of the total visits). It is followed by Sunday (0) with 8322 visits (14.8% of the total visits), and Saturday (6) with 7919 patient visits to the ED (14.1% of the total visits). On the other hand, the days of the week with the fewest visits are Friday (5), Thursday (4), and Tuesday (2) with 7628 (13.6% of the total visits), 7641 (13.6% of the total visits), and 7673 visits (13.7% of the total visits), respectively.

When analyzing appointments by season, Winter stands out with the highest number of patient visits to the ED, accounting for 37.8% of the total visits. Spring follows with 28.8%, Summer with 19.9%, and finally, Fall has the fewest patient visits to the ED, representing only 14.4% of the total number of visits.

Following the data pre-processing stage and a concise analysis of the dataset’s content, we will proceed to the modeling phase.

4 METHODOLOGY

The k-means algorithm (Hartigan and Wong, 1979) is a widely utilized clustering technique employed to partition datasets into distinct clusters. It is an iterative algorithm that aims to minimize the within-cluster sum of squares; maximizing intra-clustering similarity and minimizing inter-clustering similarity.

The algorithm proceeds as follows: Firstly, the number of clusters (k) to be formed is determined, and k initial cluster centroids are randomly assigned. Each data point is then assigned to the nearest centroid, forming k initial clusters based on the Euclidean distance. The centroids of the clusters are recalculated in each iteration until convergence is achieved or a maximum number of iterations is reached.

An important consideration in applying k-means is the need to specify the number of clusters beforehand. Determining the best value for k can be challenging, and the results obtained can vary depending on the initialization.

4.1 Determining the K Value

Finding the best value of k, the number of clusters, is of utmost importance before applying the k-means algorithm. Selecting the appropriate value of k can significantly impact the quality and interpretability of the clustering results. If the value of k is too low, the algorithm may merge distinct clusters together, resulting in a loss of meaningful insights. Conversely, if the value of k is too high, it may lead to over-segmentation, generating clusters that are too small and lacking sufficient distinctiveness.

To find out the best number of k clusters to perform the k-means algorithm, we used the available package NbClust (Charrad et al., 2014) in RStudio in a sample of 10% of all data. The NbClust package provides 30 indices - although we only performed 26 indices - for determining the number of clusters and proposes the best clustering scheme from the different results obtained by varying all combinations of the number of clusters, distance measures, and clustering methods. The output of NbClust is as follows and it is shown in detail in the Figure 2.

- Among all indices:
  * 5 proposed 2 as the best number of clusters
  * 6 proposed 3 as the best number of clusters
  * 2 proposed 4 as the best number of clusters
  * 3 proposed 5 as the best number of clusters
  * 1 proposed 6 as the best number of clusters
  * 1 proposed 8 as the best number of clusters
  * 5 proposed 10 as the best number of clusters

***** Conclusion *****

5 RESULTS: CLUSTERS CHARACTERIZATION

In this section, we present a detailed characterization of each cluster obtained through the application of the k-means clustering algorithm. Our aim is to provide a comprehensive understanding of the distinct patient profiles identified within the clusters. To achieve this, we analyze the frequency and cross-information of each variable considered during the clustering process.

Additionally, we go beyond the traditional variables used in clustering and extend our analysis to incorporate the number of emergency consultations. This allows us to identify specific profiles and risk factors associated with frequent attendance at emergency rooms.
The K-means clustering analysis resulted in the formation of three clusters which are represented in Figure 3. The sizes of these clusters are as follows: Cluster 1 with 24,119 observations (43.0% of the total observations), Cluster 2 with 18,495 observations (32.9% of the total observations), and Cluster 3 with 13,536 observations (24.1% of the total observations). These sizes represent the number of data points assigned to each cluster.

Figure 3: Representation of the clusters.

The clusters’ centroids are represented in the Figure 4 which displays the average values of different variables for each cluster.

Figure 4: Representation of the clusters’ centroids.

In an initial analysis of the centroid representation image and the cluster representation image, it is evident that all clusters exhibit distinguishing characteristics, leading us to believe that we can differentiate patient profiles and other external characteristics.

In the following subsections, we analyse and characterize the clusters obtained.

5.1 Cluster 1

Cluster 1 has the largest number of observations, which means that it will be the one associated with higher affluence in the ED. And as mentioned earlier, accounting for approximately 43% of all observations.

Concerning the nature of visits to the ED in cluster 1 there is a clear dominance of Emergency Act with a total of 88.7%, followed by Nursing Acts with a total of 11.3%, Accident Act - Continuation with approximately 1%, and finally Pediatric Consultation has a percentage of less than 1%.

Regarding the patients’ age, there is a clear peak in the age range between 35 and 50 years, while the lowest range of values is observed between 5 and 15 years.

In terms of patients’ gender distribution: 57.3% of the patients were Female, and the remaining 42.7% were Male.

Regarding the marital status of the patients, cluster 1 is characterized by a significant proportion of Married patients, as shown in Figure 5, accounting for approximately 82.6% of patients with Emergency Act consultations.

Figure 5: Distribution of Appointment Type by Patients’ Marital Status in cluster 1.

Figure 6 allows to analyze the nature of the appointment and its relation to the seasons. And as illustrated in this figure, although a balanced distribution is observed, there is a higher influx during Spring for Emergency Acts (accounting for 36.8% of total visits), contrasting with the percentages for Summer (with 27.3%), Fall (with 19%), and Winter (with 16.9%).

Figure 6: Distribution of Appointment Type by Season in cluster 1.

It is possible to detail even more the analysed information above by adding information about the influx each month. The visits to the ED are almost equally distributed every month, but there is a clear higher influx in January and February, representing 16.7% and 13.7% of all Emergency Act consultations, respectively.
Regarding the distribution of visits on each day of the week, visits are distributed as follows: Sunday (0) has the highest influx with 16.0% of visits, followed by Monday (1) with 15.9%, and Saturday (6) with 14.9%. The days with the lowest influx are Thursday (4), Friday (5), and Tuesday (2) with 12.9%, 13.1%, and 13.5%, respectively.

### 5.2 Cluster 2

Cluster 2 contains 32.9% of the total observations and is the second largest cluster.

Analyzing the nature of ED visits in Cluster 2, there is a clear trend with a significant influx of Pediatric Consultation visits, accounting for 81.5% of the total visits allocated to this cluster. This is followed by Incidents visits, representing 15.9% of the total visits, and Emergency Act visits, accounting for only 1.7% of the total visits. Visits categorized as Insurance Claims - Nursing Act, Nursing Act, and Pediatric Telephone Consultation - Trace-COVID have a percentage lower than 1%.

Regarding the analysis of patients’ age who visited the ED, a peak can be identified between 0 and 8 years of age.

In terms of the distribution of patients’ gender, 56.6% of the patients were Male, and the remaining 43.4% were Female.

Regarding the distribution of patients’ marital status, a clear pattern can be identified, as seen in Figure 7. The majority of patients visiting the ED are Single - 100% of all patients with a Pediatric Consultation visit and 97.3% of all observations in Cluster 2.

Concerning the analysis of visits by season, Cluster 2 shows a high influx during Spring, as depicted in Figure 8. Pediatric Consultation visits in Spring account for 38.6% of all pediatric consultations, contrasting with the percentages for Summer (21.9%), Winter (21.3%), and Fall (18.2%).

Providing further details on the distribution of visits by season, we can include information on visits in each month. There is a clear higher influx in January and February, representing 21.3% and 20.1% of all Pediatric Consultations, respectively.

Concerning the number of visits on each day of the week, they are distributed as follows: Monday (1) has the highest influx with 17.9% of visits, followed by Thursday (4) with 14.5%, and Tuesday (2) with 14.3%. The days with the lowest influx are Saturday (6), Sunday (0), and Friday (5) with 11.9%, 13.3%, and 13.9%, respectively.

### 5.3 Cluster 3

Cluster 3 contains 24.1% of the total observations and it is the smallest cluster with the fewest number of observations.

Analyzing the information obtained from Cluster 3, specifically the nature of the visits to the Emergency Department (ED), the most frequent types of visits are Pediatric Consultation and Emergency Act, with similar percentage values, accounting for 44.8% and 43.9% of the total observations allocated to the cluster, respectively. This is followed by Nursing Act and Incidents, which account for 6.2% and 4.6% of the total visits, respectively. The types of visits labeled as Accident Act - Continuation and Insurance Claims - Nursing Act have a percentage lower than 1%.

Regarding the age distribution of patients visiting the ED, there is a clear peak between 0 and 10 years of age, as well as a secondary peak between 34 and 50 years of age.

In terms of the analysis of the distribution of patients’ gender, 51.5% of the patients were Female, and the remaining 48.5% were Male.

With regard to the analysis of the graph in Figure 9: 100% of all patients with a Pediatric Consultation are Single, which corresponds to 55.8% of the total; and concerning the Emergency Act type consultations, 64.3% of patients are Married patients.

Concerning the distribution of visits by season, we found an interesting pattern in this analysis. The majority of the observations were realized in Winter, representing 99.9% of all the visits to the ER.
To confirm this information and detail in which months of winter there are more consultations, we performed an analysis of the distribution of consultations in each of the months of the year. The greatest influx of consultations is in November (11) and December (12). Regarding Pediatric Consultation and Emergency Act, a percentage of 58.2% and 57.5% of total consultations in each type of consultation were held in December, respectively. Compared to November, in the same type of consultations a percentage of 41.8% and 42.3%, respectively, were performed.

The number of visits on each day of the week is described as follows: Monday (1) has the highest influx with 16.4% of visits, followed by Sunday (0) with 15.6%, and Saturday (6) with 15.4%. The days with the lowest influx are Wednesday (3), Tuesday (2), and Thursday (4) with 12.7%, 12.7%, and 13.4%, respectively.

6 DISCUSSION AND CONCLUSION

EDs serve as critical hubs for providing immediate medical care to patients in urgent need. The demand for ED services continues to rise, leading to challenges such as overcrowding, long wait times, and resource limitations. To address these issues effectively, it is crucial to have a comprehensive understanding of patient characteristics, arrival patterns, and associated factors.

In this study, we employed the k-means algorithm, using NbClust using R in RStudio to determine the optimal number of clusters, to analyze real data from a hospital. Through this approach, we identified and delineated three distinct clusters, each encapsulating unique patient characteristics and external factors. Through the application of a comprehensive cluster analysis, this paper aims to expand the understanding of healthcare delivery in ED, ultimately driving improvements in patient outcomes.

Cluster 1, which represents 43.0% of the total observations, stands out as the largest cluster. It is characterized by a high proportion of Emergency Act visits (62.8%) and Incidents visits (23.3%), indicating a significant number of urgent and non-urgent cases. The age distribution shows a relatively even distribution across different age groups. In terms of gender, there is a balanced representation between males and females. Moreover, the distribution of patients’ marital status reveals a relatively even distribution across various categories. This cluster exhibits a consistent pattern of visits throughout the seasons, with no specific season dominating. Overall, Cluster 1 can be named the "Mixed Acuity Cluster" as it encompasses a mix of emergency and non-urgent visits, and it represents a diverse range of patients in terms of age, gender, and marital status.

Cluster 2, accounting for 32.9% of the total observations, is the second largest cluster. The defining characteristic of this cluster is the overwhelming dominance of Pediatric Consultation visits, representing 81.5% of the visits within the cluster. There is also a notable presence of Incidents visits (15.9%) and a very small proportion of Emergency Act visits (1.7%). The age distribution highlights a peak in the 0-8 years age group. Gender-wise, a majority (56.6%) of the patients in this cluster are male. Furthermore, all patients with Pediatric Consultation visits are primarily single. The cluster exhibits a higher influx during the spring season compared to other seasons. Given these characteristics, Cluster 2 can be named the "Pediatric Consultation Dominant Cluster," as it primarily consists of pediatric patients seeking consultations and exhibits distinctive age, gender, and seasonal patterns.

Cluster 3, representing 24.1% of the total observations, is the smallest cluster. It shows a relatively balanced distribution of Pediatric Consultation (44.8%) and Emergency Act (43.9%) visits. The age distribution reveals two peaks, one in the 0-10 years age range and another between 34 and 50 years. Gender-wise, there is a slight majority of female patients (51.5%). Marital status analysis indicates that all patients with Pediatric Consultation visits are single (as expected).
while a significant proportion of *Emergency Act* visits come from married patients. Notably, the cluster exhibits a clear preference for visits during the winter season, particularly in November and December. Considering these characteristics, Cluster 3 can be named the “Mixed Acuity with Seasonal Preference Cluster,” as it encompasses a mix of pediatric and emergency visits, demonstrates distinct age and seasonal patterns, and showcases variations in marital status.

Regarding external factors like precipitation and temperature, no discernible patterns were discovered that had an impact on the utilization of the emergency room.

In conclusion, this analysis contributes to the identification of distinct groups with unique needs, facilitating the development of tailored approaches to optimize resource allocation, improve patient care, and enhance the overall efficiency of the emergency department. The comprehensive characterization of the clusters, including their underlying variables and the impact of emergency consultations, enhances the understanding of the diverse patient profiles within the emergency department.

This information serves as a powerful tool for improving patient care, enhancing resource allocation, and addressing challenges such as overcrowding and sub-optimal patient flow.

Future work in this context could explore alternative clustering algorithms or techniques to validate and compare the results obtained using the k-means algorithm. Such as hierarchical clustering, density-based clustering, or model-based clustering to assess their effectiveness in capturing the underlying patterns in the data.

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