Analytical Model of Communication Algorithm for Simulations with Range-Limited Interactions

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Abstract: With the development towards strong-scaling in High Performance Computing (HPC), many HPC applications become communication-bound. One of them is the HPC Molecular Dynamics Simulation library FMSolvr, which we are currently revising. In order to optimize communication, one could improve or develop new communication protocols, but in this work we are focusing on problem-specific communication algorithms. We found two promising candidates, the so-called *Shift* and what we call the *Team Shift* algorithm. In this work we present an analytical model for the Shift algorithm and verify it. Our model is based on the Hockney communication model and therefore only needs the two Hockney parameters α and β as input, so it can be used on any network where the Hockney model is applicable.

1 INTRODUCTION

With the trend in High Performance Computing (HPC) towards strong-scaling, HPC programs "find their overall performance limited more by performance of the communication network than by the arithmetic performance of the nodes themselves (Hockney, 1994)", i.e. HPC again became communication-bound for many algorithms. Optimizing communication hence has become a topic of interest again. One way of optimizing is to work on new communication protocols such as LCI (Dang and Snir, 2018) or GASNet (Bonachea and Hargrove, 2018). Another way is to explore problem-specific communication algorithms with less overhead. This work focuses on the second approach.

We are interested in communication algorithms that are suitable for Molecular Dynamics Simulations (MDS). By conducting a Systematic Literature Review on communication algorithms (Werner et al., 2022) that might be suitable for our MDS library FM-Solvr, we found two algorithms which are of interest to us and may be of interest to scientists in other fields of HPC, too. The two algorithms are *Shift* communication as proposed by (Plimpton, 1995) and the algorithm developed by (Driscoll et al., 2013) that is based on the Shift and will be referred to as *Team Shift* because it uses processor teams. Seeing that the two algorithms seem to be suitable for covering different ranges in the context of range-limited interactions – Shift covering smaller areas than Team Shift – there must be one or more tradeoff points where the Team Shift starts to outperform the Shift.

In this work, we present an analytical model for the first algorithm, the Shift. We start with the Shift because it has higher relevance for FMSolvr due to being optimal for covering small ranges. With an implementation of the Shift algorithm, we can show that the Shift model can predict the real timing behavior of the implementation and hence can be used to find the tradeoff points once we have a Team Shift model as well. The only precondition for our model is determining the Hockney (Hockney and Jesshope, 1988) model parameters α and β , which can be determined by "ping pong" measurements.

Once we have a verified Team Shift model as well, revised FMSolvr will be able to select its communication algorithm by only being given the Hockney parameters of the target system as input.

As for the structure of this work: Section 2 introduces the reader to the two communication algorithms; Section 3 walks the reader through the analytical model of the Shift; Section 4 gives insight into our system properties and implementation specifics and explains how to determine the Hockney parameters for a given system; Section 5 compares the Shift

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model predictions to the measurements of the implementation; and Section 6 summarizes this work, discusses certain details, and gives an outlook to future work.

2 ALGORITHM DESCRIPTION

2.1 **Problem Description**

Before we look at the two algorithms, we should look at the problem they were developed for. Without going too deep into the physics of MDS, the scenario can be described as follows: we cut our simulation space (our particle system) into same-sized cubes or boxes in order to distribute the work over the computation nodes. Every compute node holds one of these boxes¹. Each box contains a subset of particles of the particle system. This subset of particles interacts with itself but also with particles of boxes that are handled by other nodes. So, in order to simulate the movement of its subset of particles properly, a node must exchange data with all nodes that hold boxes which are neighboring boxes of its own box, i.e. the data from other nodes must be transferred over the network. Figure 1 illustrates the problem for the dark blue and the dark orange box in a two-dimensional simulation space.

In a three-dimensional simulation, one node has a total of $(2k+1)^3 - 1$ exchange partners where $k \in \mathbb{N}$ stands for the layers of neighbors around the box, aka. cut-off radius. Parameter k = 1 means the first layer of neighbors, which share a face, an edge, or a



Figure 1: Neighbors of a box. Each node requires the data of boxes that are neighbors to its own box. The neighbors of the dark orange box are light orange; the neighbors of the dark blue box are light blue. Boxes may share neighbors. The size of the neighborhood is defined by $k \in \mathbb{N}$.



Figure 2: Shift by (Plimpton, 1995). First, the data is distributed along the row. Then, the accumulated row data is distributed along the column. Last, the accumulated data of the plane is distributed within the tower (vertical dimension).

corner with the center box; k = 2 means the second layer of neighbors, which share a face, an edge, or a corner with the first-layer neighbors. For Figure 1 k is two. So, how do we reduce the number of $(2k+1)^3 -$ 1 point-to-point messages and make communication more efficient?

2.2 Classic Shift Communication

The Shift communication algorithm uses proxy communication to reduce the number of messages. What is meant by proxy? Every node only communicates with its six direct logical² neighbors Left, Right, Front, Back, Up, and Down. If a node needs to exchange data with a node that is not one of the six direct logical neighbors, its neighbors take care of daisychaining the data to its destined receiver. In order to allow this proxy behavior with only six neighbors and still get the data to all relevant places the nodes must follow a special communication pattern.

Figure 2 illustrates the three phases of the Shift: first, a node sends its data to its Left and Right neighbor and receives their data in return (see Fig. 2a). If k > 1, the node will now send the data it received from the Left neighbor to its Right neighbor and vice versa. In return, it receives the data of its second next neighbors to the right and left. This continues until all nodes within one row have all the data of nodes k positions up and down the row. Second, the row data is pooled into one message and sent to the Front and Back neighbor (see Fig. 2b). Equivalent to the procedure in the row, the data packages are daisychained along the column for k > 1. Third, the data of the plane is combined into one message and sent to the Up and Down neighbors along what we call the towers (see Fig. 2c). That concludes the classic Shift communication by (Plimpton, 1995).

¹In fact, a node may hold several boxes, but for simplicity we shall consider the case of one box per node. An algorithm for multiple boxes per node is described in (Liem et al., 1991), which is an early version of the Shift.

²A neighbor in this context does not mean a physical neighbor, but a node that holds the neighboring box to the node's own box. In any figure, we assume the logical neighbors to be physical neighbors.



Figure 3: Team algorithm by (Driscoll et al., 2013). First, the data is distributed within the team. Second, the data is "tilted" among the teams. Third, the data is shifted in a wrap-around fashion around the whole space. Last, the accumulated data of each team is gathered by the team leader. (Graphic from (Driscoll et al., 2013).).

2.3 Team Shift Communication

The Team Shift communication algorithm was originally developed for an all-to-all exchange between nodes instead of a local interaction set. The method can, however, be adjusted to our application. But let us look at the original algorithm first, which is displayed in Figure 3.

The algorithm uses three important parameters: the total number of processors P, the number of members in a team c (for copy), and the resulting number of teams T = P/c. The data (represented by tiny circles in Fig. 3) is distributed evenly over the teams without regard for order or spatial context. The team leader broadcasts the data within its team (step (1)). Next, the data is "tilted" among the teams (step 2). Then, every team member daisy-chains (step (3)) the received data from a dedicated sender, which is c teams up the row, to a dedicated receiver, which is c teams down the row. This is done until the data has circled once all around the teams (wrap-around). Last, the team leader gathers the data its team members have accumulated, which is all the data of all teams.

Step (2) has the effect that a team has data of c different teams before it starts daisy-chaining data in step (3) like in the Shift algorithm. This allows to daisy-chain/shift in steps of c, i.e. to cover a much bigger distance in one shift than is possible with the Shift algorithm.

(Driscoll et al., 2013) extended this algorithm to fit the problem of a local interaction set. This time the data is distributed with regard to spatial context, i.e. the simulation space is cut and distributed like for the Shift. And they constrained the global algorithm to wrap around the distance defined by m (their m is our k), see Figure 4. The Team Shift with only one team member is almost identical to the Shift. The only exception is that data is daisy-chained only in one di-



Figure 4: Team Shift by (Driscoll et al., 2013). Instead of wrapping around the whole space, the algorithm wraps around the cut-off area defined by m (their m is our k). (Graphic from (Driscoll et al., 2013).)

rection and wrapped around the cut-off k instead of being daisy-chained in both directions.

While the all-to-all algorithm can simply line up all boxes and wrap around this single dimension, the range-limited algorithm must be performed thrice, once into each dimension, because we now have spatial context and not a random distribution of particle data.

3 COMMUNICATION MODEL

3.1 Hockney Model

We are using what is commonly known as the Hockney (Hockney and Jesshope, 1988, pp.85) model or the "postal" model of communication³ because despite its simplicity it is able to make accurate predictions about message latency and focuses solely on the communication and not on computation or other aspects of the machine. The PRAM (Fortune and Wyllie, 1978) model is not suitable for us since it mainly focuses on shared memory machines, and the BSP (Valiant, 1990) and LogP (Culler et al., 1993) models only use an upper bound for latency instead of calculating an accurate latency value; as the Shift (and Team Shift) works with increasing message load in different stages of the algorithm, an upper bound for latency is not useful for us. Also, the o and g parameter of the LogP model are too sophisticated for our purposes, and the effort of determining these parameters is disproportionate compared to the expected gains.

In the Hockney model each message consists of a constant part α , which represents the latency of an empty message, and the variable part $\beta \cdot m$ where β represents the inverse bandwidth (time per byte) and

 $^{^{3}}$ The original model is slightly more complex, but the reduced model we are using is commonly used and sufficient for our purpose.

m the number of bytes in the message. Together this makes a total message time of

$$T = \alpha + \beta \cdot m$$
.

3.2 Shift Model

For the Shift each node must send k messages into each direction, and each message takes the time $\alpha + \beta m_d$, where m_d is the message size depending on the dimension/phase. Thus, for each dimension we spend a total time of

$$t_d = 2k \cdot (\alpha + \beta \cdot m_d) . \tag{1}$$

The message size for the exchange within the row is m_1 . The message content of the next dimension comprises of the k messages of the right neighbors, the k messages of the left neighbors, and the node's own data, so 2k + 1 message contents of the previous dimension. Therefor, he message size for the column dimension is

$$m_2 = (2k+1) \cdot m_1$$

and the message size for the tower dimension is

$$m_3 = (2k+1) \cdot m_2 = (4k^2 + 4k + 1) \cdot m_1$$

So, we get an overall communication time of

$$T_{\text{Shift}} = 6k \cdot \alpha + 2k \cdot \beta(m_1 + m_2 + m_3) = 6k \cdot \alpha + \beta \cdot m_1(8k^3 + 12k^2 + 6k) .$$
(2)

If, like in our case, a node cannot send and receive at the same time (see Sec. 4.1), each exchange consists of two consecutive sending processes instead of two concurrent ones, so Equation 2 must be multiplied by two. Hence, we get

$$T_{\text{Shift}} = 12k \cdot \alpha + 2\beta \cdot m_1(8k^3 + 12k^2 + 6k) .$$
 (3)

4 METHODS

4.1 Implementation Background

Our cluster JURECA has an InfiniBand network with MPI (we used OpenMPI 4.1.2). MPI has two different modes of sending, eager and rendezvous protocol. Eager protocol is for small messages ($< 256 \text{kB}^4$). The send call returns immediately because the receiver is known to have enough buffer to receive the message. For message sizes above that limit, MPI uses the rendezvous protocol, which means that the



Figure 5: Coordinating communication with MPI_Ssend: First, the blue ranks send their data and the orange ranks receive, then the orange ranks send their data and the blue ranks receive.

sending node performs a handshake with the receiving node to make sure that the other is ready to receive the message and prepare its buffers accordingly. Eager protocol causes different behavior on sending and receiving nodes, which we want to avoid for now. Hence, we use MPI_Ssend, the synchronized version of the MPI_Send that forces a rendezvous. Due to this constraint a node cannot send and receive at the same time.

4.2 Implementation Details

For verifying our model we only implemented one dimension of the Shift algorithm (distribution in row). Since all three dimensions use the exact same algorithm only with a difference in message size, we can safely assume that if we verify the onedimensional model with different message sizes, the three-dimensional model is valid as well (small overhead for repackaging not considered).

Since every node receives the same number of data elements, each node knows the number of data elements each other node holds. From this information and the given cutoff radius k, one can extract the number of data elements that will be received during the course of the algorithm. The buffer is then allocated to fit 2k + 1 messages. Each node places its own data in the middle of the buffer (buffer[k]). The data it receives will be filled into the buffer sorted by origin. The data of the Right neighbor will be filled into the next buffer spot after the own data (buffer[k+1]) and the data of the Left neighbor into the spot before the own data (buffer[k-1]), then the data of the second-next neighbors into buffer[k+2] and buffer[k-2], and so on. This helps with finding the matching data for every message.

To ensure correctness of the algorithm, we send test data with each message. So, each node's buffer space is filled with test data to check results later, the rest of the communication buffer is cleared. Then, all processors are synchronized via MPI_Barrier and the time measurement begins.

The ranks a node communicates with are calculated with modulo arithmetic to ensure correct behavior at the ends of the communicator (we have peri-

⁴The limit is supposed to be much lower, but we measured it at 256kB.

odic boundary conditions for the simulation space). A node, before sending or receiving a message, calculates the rank of the real source of the next incoming and outgoing message in order to place it in or retrieve it from the correct spot in the message buffer.

Due to the use of MPI_Ssend, we need to assure that no deadlocks will occur (e.g. two nodes posting a receive call for each other). To avoid that, we use an even-odd-pattern for the communication, where the nodes with an even rank are depicted in blue and those with an uneven rank are depicted in orange (for visualization see Fig. 5). In the first step, each blue node sends its message while the orange nodes receive the messages; in the second step, the roles swap and the orange nodes become senders while the blue ones receive the messages. Since we used 64 nodes, we did not need to consider an extra phase for the case of two blue nodes meeting at the edges of the row.

Further, we dictate a sequence for the communication: first we daisy-chain all right messages k times, then we daisy-chain all left messages k times. Another way would be to interleave the right and left messages.

After all of the 2k messages have been exchanged, the measurement ends and the message buffers are checked for correctness.

4.3 Implementation Measurements

We used 64 nodes and ran the algorithm 100 times for each cut-off $k \in [1, 10]$. This resulted in 6400 data elements per k-value. So, all measured values presented in this work are averaged over 6336 measurements (the first measurement of each node is excluded because it is 100 times slower than the rest due to the path routing process).

4.4 Hockney Parameters

As (Lastovetsky et al., 2009) wrote in their paper, the Hockney parameters α and β are typically estimated by statistically evaluating one of two series of point-to-point communications:

- Roundtrips between two nodes with an empty message to determine α and roundtrips between two nodes for each relevant message load to determine β with respect to m.
- A series of roundtrips with a growing message load, so that a linear regression can be fitted over the resulting curve.

Some of our messages are too small to show a linear relation (messages <50kB), so we used the first option for our measurements. To make sure that we do

Table 1: Message latency in relation to message load with derived Hockney parameter β .

load [byte]	latency [ns]	σ[ns]	β [ns/byte]
0	2,122	176	_
10	2,234	183	11.246
100	2,686	302	5.645
1,000	2,881	305	0.760
10,000	4,808	307	0.269
100,000	15,055	749	0.129

not have different latencies between node pairs due to varying physical distance of nodes, we measured the latency between nodes from different racks. After making sure that we have sufficient location invariance (physical distance has no measurable influence on the latency), we gathered data over several days with a morning and an afternoon measurement with a set of four random nodes each time (to account for different network load patterns of other users that might influence our model negatively).

We used a one-to-all ping pong from the node with MPI rank zero to the three other nodes of the set. For each pair we returned for every value m_1 the average over 10,000 roundtrips. Overall, we performed ten measurements, getting thirty sets of data per m_1 -value per measurement, so 300 data elements for each message load value m_1 .

We determined the Hockney parameters by using the average over all 300 data elements per m_1 -value. Table 1 shows the average latency by load m_1 and the derived β (standard deviation σ over 300 data elements); the latency value for zero bytes is used for α .

5 SHIFT MODEL ANALYSIS

We explained in Section 4.2 that we implemented only one dimension/phase of the Shift, so we use Equation 1 multiplied by two to predict the timing behavior for the Shift in the row dimension.

Table 2 shows one representative example of the measurements and predictions for the onedimensional Shift algorithm with the respective standard deviation σ for the measurements; tables for the other message loads can be found in the Appendix.

The data in Tables 2 to 6 shows that the model works very well for message loads m_1 of 10 to 1,000 bytes but becomes less accurate for larger m_1 .

However, our predictions are within the standard deviation of the measurements for all message loads (10 to 100,000 bytes), hence our model can be considered correct.

k	measured		predicted
	latency [ns]	σ[ns]	latency [ns]
1	13,804	5,294	11,526
2	22,494	3,715	23,051
3	34,814	6,499	34,577
4	45,422	8,145	46,103
5	55,957	11,090	57,628
6	68,121	20,231	69,154
7	78,428	15,781	80,679
8	93,194	21,057	92,205
9	102,293	18,898	103,731
10	114,216	20,178	115,256

Table 2: Shift (1D) with $m_1 = 1,000$ bytes.

6 CONCLUSION

6.1 Summary

We want to model two communication algorithms, Shift by (Plimpton, 1995) and Team Shift by (Driscoll et al., 2013), in order to equip out MDS library FM-Solvr with an adaptive communication module that can choose the communication algorithm based on the hard- and middleware of the underlying system (respective the α and β of the Hockney model).

In this work we present an analytical model for the Shift communication algorithm and verified it by implementation.

6.2 Discussion and Future Work

6.2.1 Implementation

We imposed certain constraints upon our system (see Sec. 4.1 and 4.2), which we will relax on our way to a realistic performance model. Our strongest constraint is that we forced the synchronous rendezvous on our system by using MPI_Ssend. This constraint has to be relaxed in order to benefit from using MPI_Send or even MPI_Isend and get a more efficient implementation.

By using MPI_Ssend or MPI_Send we are forced to dictate a pre-defined pattern for the communication by sending first all messages to the right, then all messages to the left. The Shift algorithm allows for selfsynchronization, so once we allow using MPI_Isend we are able to let go of the constricting rules of where to send first and can decide the next message based on which data is already available.

6.2.2 Hockney Parameters

As we already concluded in Section 5, the data in Tables 2 to 6 shows that the model works very well

for $m_1 \in [10, 1000]$ bytes but becomes less accurate for larger m_1 . We can also see that the latencies for the three smaller m_1 show barely any difference (not even 20 μ s between 10 and 1,000 bytes for k = 10) while the difference increases to almost twice the amount of time between 1,000 and 10,000 bytes and again to about thrice the time between 10,000 and 100,000 bytes.

We think that the inaccuracy of the model for larger m_1 might be because the Shift algorithm is causing a lot of traffic in the network which becomes noticeable once the message size starts to saturate the bandwidth more. However, a single ping pong measurement does not create its own background traffic. Our approach is to re-measure α and β while creating a lot of traffic in the background so as to simulate the algorithm limiting itself.

6.2.3 Data Analysis

Since our data has not been filtered apart from dropping the first measurement of each node, we can see in Tables 2 to 6 that our standard deviation is comparably high (a sign for noisy measurements). In a few cases so much so that it makes the usefulness of the resulting average questionable (m = 10,000 bytes, $k \in \{2,9\}$, and m = 100,000 bytes, $k \in \{6,8\}$).

One approach we tried was to use only the 20% smallest values with the argument that we are interested in the best case anyway and everything above that is influenced by network noise. This must reflect in the α - and β -values too, so we excluded the higher values in the α and β measurements. This approach delivers extremely good standard deviations of less than 2 μ s (in most cases even less than 1 μ s, and in very few cases for 10,000 and 100,000 bytes up to 4 μ s) and makes the model an almost perfect fit for the measurements.

However, we did not filter α and β systematically for the smallest 20% and we cannot give a more justified reason behind the selected 20% margin other than trial-and-error evaluation. Future work will be to reevaluate all data in this fashion systematically.

6.2.4 Analytical Models

A comprehensive complexity analysis is not part of this work since it is trivial in case of the Shift algorithm.

The model already is a non-rendezvous version, i.e. a version where a node can send and receive at the same time, so it should be able to model an implementation with MPI_Send or MPI_Isend. After looking at the future work proposed in 6.2.2 and 6.2.3, we will measure these implementations next. Then we will look at the model for the Team Shift.

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APPENDIX

Table 3: Shift (1D) with $m_1 = 10$ bytes.

k	measured		predicted
	latency [ns]	σ[ns]	latency [ns]
1	11,360	4,332	8,937
2	19,478	1,765	17,873
3	30,071	8,554	26,810
4	39,338	11,080	35,746
5	48,424	10,598	44,683
6	58,701	16,412	53,619
7	66,476	9,267	62,556
8	75,920	10,316	71,492
9	88,762	24,108	80,429
10	97,072	25,594	89,366

Table 4: Shift (1D) with $m_1 = 100$ bytes.

k	measured		predicted
	latency [ns]	σ [ns]	latency [ns]
1	12,108	3,489	10,745
2	21,388	4,860	21,489
3	32,193	5,712	32,234
4	41,966	6,732	42,978
5	52,296	11,298	53,723
6	62,299	10,229	64,467
7	73,683	14,560	75,212
8	84,488	23,172	85,956
9	91,207	15,943	96,701
10	101,664	15,741	107,446

Table 5: Shift (1D) with $m_1 = 10,000$ bytes.

-	k	measured		predicted
		latency [ns]	σ[ns]	latency [ns]
ľ	1	21,925	7,736	19,233
	2	49,498	52,127	38,465
	3	65,985	29,351	57,698
	4	82,636	18,911	76,931
1	5	102,159	21,077	96,163
	6	121,945	20,153	115,396
	7	143,613	38,003	134,629
	8	164,761	38,274	153,861
	9	198,848	86,793	173,094
	10	207,388	52,577	192,327

Table 6: Shift (1D) with $m_1 = 100,000$ bytes.

k	measured		predicted
	latency [ns]	σ[ns]	latency [ns]
1	62,708	7,353	60,219
2	127,668	19,557	120,438
3	191,647	29,054	180,657
4	263,956	65,629	240,877
5	319,701	65,497	301,096
6	467,980	492,450	361,315
7	448,284	83,874	421,534
8	565,866	389,992	481,753
9	585,111	109,965	541,972
10	671,048	169,343	602,192