Decision-Making in Hearthstone Based on Evolutionary Algorithm

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Abstract: Hearthstone is a two-player turn-based collectible card game with hidden information and randomness. Generally, the search space for actions of this game grows exponentially because the players must perform a series of actions by selecting each action from many options in each turn. When playing such a game, it is often difficult to use a game tree search technique to find the optimal sequence of actions up until the end of a turn. To solve this problem, we propose a method to determine a series of actions in Hearthstone based on an evolutionary algorithm called the rolling horizon evolutionary algorithm (RHEA). To apply RHEA to this game, we modify the genetic operators and add techniques for selecting actions based on previous search results and for filtering (pruning) some of the action options. To evaluate the effectiveness of these improvements, we implemented an agent based on the proposed method and played it against an agent based on the original RHEA for several decks. The result showed a maximum winning rate of over 97.5%. Further, our agent played against the top-performing agents in previous competitions and outperformed most of them.

1 INTRODUCTION

With the rapid advance in artificial intelligence technologies, many studies have been made on the development of agents playing games. In particular, many researchers have paid attention to games with hidden information and randomness, such as poker, mahjong, and collectible card games.

Hearthstone (Blizzard Entertainment, nd) is a collectible card game classified into a two-player turnbased imperfect information game. In this game, each player composes a bunch (called a deck) of 30 cards of more than 1,000 different types of cards and plays with them. The game progresses while alternating turns between two players, and the player who uses the deck on hand in their turn and eventually reduces the opponent's health to 0 wins.

Typically, in collectible card games, the search space grows exponentially because players select a sequence of actions from numerous options at each turn. Many previous studies, such as (Zhang and Buro, 2017; Świechowski et al., 2018; Choe and Kim, 2019), have used tree search techniques for collectible card games. However, finding the optimal sequence of actions to take to the end of the turn using a tree search within time constraints is often difficult.

To solve this problem, in this study, we propose a method for determining a sequence of actions in Hearthstone based on the evolutionary algorithm called the rolling horizon evolutionary algorithm (RHEA) (Perez et al., 2013). In RHEA, each individual has a sequence of genes that represents a series of behaviors in a certain interval. Furthermore, each individual is given a fitness value obtained by evaluating the state reached through its series of actions. From a set of individuals, those with high fitness are selected, and a new generation is generated by crossover and mutation. The optimal sequence of actions is eventually determined by repeating this process.

Despite being a versatile genetic algorithm, applying the RHEA directly to decision-making in Hearthstone is difficult. Therefore, our proposed method has the following three improvements. The first improvement is to modify the genetic operators to account for subsequent actions. The second improvement is to use a tree structure based on the study of Perez et al. (Perez Liebana et al., 2015) to store and reuse statistical information obtained from previously generated individuals. Finally, the third improvement is to filter action options (i.e., pruning) so that the series of actions in a turn is consistent.

In this study, we evaluated the effectiveness of these improvements by playing game against an agent based on the original RHEA. The result showed that the maximum winning rate of the proposed method

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was 97.5% when using a deck called MidrangeJade-Shaman.

Furthermore, to evaluate the performance of our proposed method, we played our agent against several agents that achieved high performance in the past Hearthstone AI Competitions (Dockhorn and Mostaghim, 2019). According to the results, our agent outperformed most of them for several decks.

The remainder of this paper is organized as follows. Section 2 explains the related work. In Section 3, we provide an overview of the background of this study. Section 4 describes our proposed method for determining the optimal sequence of actions in Hearthstone based on RHEA. In Section 5, we present the results of the evaluation by experiments. Finally, Section 6 concludes the paper and presents future work.

2 RELATED WORK

In research on decision-making in Hearthstone, many attempts based on Monte Carlo Tree Search (MCTS) (Browne et al., 2012) have been proposed. Choe et al.'s study (Choe and Kim, 2019) suppressed the expansion of search trees by abstraction and sampling. Some studies (Zhang and Buro, 2017; Świechowski et al., 2018; Wang and Moh, 2019) have improved the accuracy of state evaluation by combining neural networks. A study (Dockhorn et al., 2018) presented a method for estimating the opponent's hand based on the cards used by the opponent immediately before. Furthermore, a study (Dockhorn et al., 2018) extended the method of (Bursztein, 2016) to improve MCTS by estimating the opponent's deck.

Furthermore, studies have been conducted on the application of the RHEA to game-solving. In particular, there were many attempts at utilizing past search information in research (Perez Liebana et al., 2015; Gaina et al., 2017) that applied the RHEA to a framework called general video game AI (GVGAI). GV-GAI is a framework for handling relatively simple games, such as Space Invaders and puzzle games.

A study (Justesen et al., 2016) proposed a method called online evolutionary planning (OEP) to apply RHEA to a turn-based strategy game, which determined a series of actions based on an action plan rather than a single action decision. In a study (Dockhorn et al., 2021) that applied the RHEA to a real-time strategy game, the RHEA was not used to search the action space but to search the script space, where actions were abstracted. In each of (Justesen et al., 2016) and (Dockhorn et al., 2021), experiments showed that the RHEA-based agents were superior to MCTS in several cases.

Generally, for collectible card games with many options, it is often difficult for the MCTS to find the optimal series of actions until the end of a turn within a time limit. On the other hand, RHEA is expected to perform decision-making for actions considering the goal of the turn because it searches based on the state evaluation after executing a series of actions.

3 BACKGROUND

This section outlines the rules of Hearthstone (in Section 3.1) and RHEA (in Section 3.2), which are prerequisites for this study.

3.1 Rules of Hearthstone

A match in Hearthstone consists of two phases, namely, deck-building and battle, as described below.

3.1.1 Deck-Building Phase

A player selects 30 cards from among the cards that can be used by the selected hero and bundles them together to complete the deck.

The cards used in Hearthstone are classified into the following three types.

- **Minion:** This can be summoned to the battlefield by playing from hand. A minion consists of health and attack, and they are removed when the health of a minion on the battlefield reaches 0.
- **Spell:** Players can use the effect of a card by playing it from their hand.
- **Weapon:** This can be equipped on heroes. A hero equipped with a weapon acquires the attack power.

Each card has a cost, and when a card is used, a player needs to pay the card's cost from the resource called mana crystal.

3.1.2 Battle Phase

A hero's health is set to 30 at the start of a battle, and the first player draws cards from their deck to make a hand of three cards. The second player draws one more card from their deck and add a special card called a coin, to make their hand five cards. After that, each player aims to reduce the opponent's hero's health to 0 while taking turns. Here, we note that, for each player, the state of the game is only partially visible, and some information is hidden. The player who takes the first turn adds one card from the shuffled deck to the hand. At this time, the upper limit of mana crystal is increased by 1. Mana crystal increases up to its capacity of 10 as the turn progresses. Then, the player can perform multiple actions, such as paying mana crystal to play cards or attacking minions if they are on the battlefield.

3.2 Rolling Horizon Evolutionary Algorithm (RHEA)

RHEA (Perez et al., 2013) is a type of evolutionary algorithm for decision-making that replaces tree search in games with real-time state transitions. Each individual comprises a sequence of genes and a fitness value. The former represents a series of actions, while the latter indicates the evaluation of the state obtained when performing a series of actions. Similar to other genetic algorithms, RHEA searches for the optimal sequence of actions by repeatedly changing generations through genetic manipulations.

Figure 1 shows the optimization procedure by RHEA. Here, the numbers in the figure correspond to the step numbers in the following description. Also, each alphabet surrounded by a square represents an individual action, and a mass consisting of them represents a sequence of actions.



Figure 1: Steps for action decision based on RHEA.

- 1. Perform a series of actions at random, generate multiple individuals, and form an initial population.
- 2. Evaluate each individual. The fitness of an individual is the evaluation value of the state after performing a series of actions.
- 3. Select individuals with high fitness through tournament or elitism selection.
- 4. Generate new individuals from selected individuals through genetic operators. In a crossover, the behavior of two individuals is randomly exchanged through a uniform crossover. In mutation, the mutation point is determined at random,

and actions are randomly selected. This generates an individual with a new set of behaviors.

- 5. Evaluate the generated new individuals.
- 6. Repeat Steps 2-5 until the time limit is reached.
- 7. The first action of the individual with the highest fitness value is selected when determining an action.
- 8. Transition to the next state and search for the second action, which is the next action from Step 1.

As shown above, RHEA searches solely on the evaluation value of the state after executing a series of actions, thereby allows for action decisions to be made in anticipation of the final state of the turn.

4 DECISION-MAKING WITH RHEA

Our method for decision-making in Hearthstone is based on the idea of RHEA. A more specific search procedure is as follows. First, an initial population is generated. Second, crossover and mutation are performed for each individual contained in the population. At this time, a statistical tree constructed on the basis of the fitness possessed by the generated individuals is used. In the initialization and mutation, options are pruned by a filter that encourages consistent action decisions. The game transitions to the next step by selecting and executing the first action of the best individual in the last generation when deciding on an action. Then, the initial population is generated again to search for the next action, and new individuals are generated through genetic operators. The above procedure is repeated until the end of turn.

A more detailed description is provided below. Section 4.1 first explains the statistical tree. Section 4.2 describes the new genetic operators. Section 4.3 describes how to evaluate the fitness of each individual. Finally, Section 4.4 describes filtering for action selection.

4.1 Statistical Tree



Figure 2: Store the fitness in a statistical tree.

In the proposed method, to reuse the information obtained by searching during evolution, the information is stored in a tree structure using the techniques of (Perez Liebana et al., 2015) and (Gaina et al., 2017). Figure 2 shows how the fitness value of individuals is stored in a statistical tree. Here, the value stored in each node is a pair of the evaluation value and the number of visits at a node. Because this search tree does not store the state of the game, nodes are determined only by actions. Therefore, the expansion of the search tree can be suppressed, even if an action or state transition, including randomness, occurs. In addition, this statistical tree can construct another tree whose root is the node that can be reached by that action when a certain action is determined and executed.

In the proposed method, the Upper Confidence Bound (UCB) (Kocsis and Szepesvári, 2006), defined by the following equation, is used for crossover and mutation.

$$a^* = \arg\max_{a} \left\{ \frac{V(s,a)}{N(s,a)} + C \sqrt{\frac{\ln N(s)}{N(s,a)}} \right\}.$$
 (1)

Here, *s* represents the current node, N(s) represents the number of visits to the current node, V(s, a) denotes the cumulative evaluation value of the next nodes reached by action *a*, N(s, a) represents the number of visits to the next node that selected the action *a*, and *C* denotes the search coefficient. It is possible to prioritize and search for promising actions while maintaining a balance between exploration and exploitation, because this formula selects actions with high evaluation values and actions with low visit counts.

4.2 Genetic Operators

4.2.1 Selection



Figure 3: Tournament selection and elitism selection.

Tournament selection and elitism selection are combined to generate a population to choose for the next generation. Figure 3 shows the selection of individuals by tournament and elitism selection. Tournament selection is a selection method that randomly selects nindividuals from the population of the current generation and chooses the individuals with the highest evaluation value among the selected individuals. Meanwhile, elitism selection is a selection method that selects and retains n individuals with high evaluation values from the population. Tournament selection may lead to a more diverse selection of individuals than elitism selection, which simply selects only individuals with high evaluation values, but may omit the best individuals of the current generation. Therefore, it is supplemented by elitism selection, which selects individuals with high fitness values.

4.2.2 Crossover



Figure 4: Crossover based on the search information.

Figure 4 shows an example of individual generation by crossover. The procedure for crossover is shown below, where the numbers in the figure correspond to the numbers in the following description:

- 1. Sequentially select one individual from the population of the current generation and select another randomly.
- 2. Select one individual with a probability of 50%, and select the feasible action using the UCB. At this time, if an executable action does not exist in the search tree, that action is selected with a certain probability. Thereafter, one individual is selected again with a probability of 50%, and the action is selected in the same manner. Repeat this operation until the end of the turn to generate a series of actions.
- 3. The evaluation value of the state after executing a new series of actions is the fitness of the individual.

Our method probabilistically select a parent to inherit the behavior and generate a new individual by inheriting good behavior from the parent's series of behaviors by random selection or selection based on the UCB. Thus, it is possible to generate a series of actions that tend to be highly evaluated without generating unfeasible actions.

Either random selection or selection based on the UCB is performed by $\gamma^{N(s)}$ when inheriting the par-

ent's behavior. Here, $\gamma (0 \le \gamma \le 1)$ represents the attenuation rate, and N(s) represents the number of visits to the current node *s* that can be reached by actions thus far. According to this value, if the search information is sufficient, the search is performed by selection based on the UCB with a high probability; otherwise, the search is performed by random selection. Random selection is also performed when search information about the current node does not exist.

4.2.3 Mutation





Figure 5 shows an example of generating an individual by mutation. The mutation procedures are shown below, where the numbers in the figure correspond to those in the following description:

- 1. Select one individual from the population of the current generation.
- 2. Randomly determine mutation points.
- 3. For each subsequent action, choose an action either randomly with a rate $\gamma^{N(s)}$ or based on the UCB. Repeat this until the end of the turn to generate a series of actions.
- 4. The evaluation value of the state after executing a new series of actions is the fitness of the individual.

Therefore, in our method, after randomly determining the mutation point, all subsequent behaviors are mutated. For each behavioral mutation, two mutations are used: random mutation and mutation based on search information. The former selects randomly from the current viable options, whereas the latter selects the action using UCB. Similar to crossover, in mutation, the selection method is determined by $\gamma^{N(s)}$, and as the number of visits to nodes that can be reached by actions increases, the ratio of random mutations decreases, and the ratio of mutations based on search information increases.

4.3 Evaluation of Individuals

Evaluating the state after executing a series of actions is necessary to evaluate an individual. Our method uses random playouts often used in MCTS. In addition, to determine the opponent's deck and hand for the simulation of the opponent's actions, our method randomly determines the cards included in the opponent's deck from the observable information of the opponent's hero class. Furthermore, the opponent's hand is determined by taking it out from the generated deck so that it matches the actual number of cards in the hand.

In addition, our method abstracts card location and other information, and keeps the abstracted state and evaluation values. Therefore, evaluation can be done without playouts.

4.4 Filtering for Consistent Action Selection

In Hearthstone, consistent action decisions are required to execute a series of actions in one turn. Therefore, eliminating unnecessary options from the immediately preceding action and the current state would allow for consistent action selection.

In the proposed method, a filter is used when initialization and during mutation, and a filter is randomly applied from five filters other than the turn end when selecting actions. Moreover, the same filter is applied to subsequent action selections until the filter becomes inapplicable. Here, a filter cannot be applied if all options are blocked, in which case another filter is applied. If there is no applicable filter, a filter other than the turn end is applied only for one action, and in subsequent action selections, it is once more randomly applied from among the five types of filters.

- **Direct Attack:** This filter keeps the action of attacking the opponent's hero.
- **Destroy Minion:** This filter keeps actions that damage destructible minions. Here, a certain minion is destructible if the health of the minion is less than or equal to the total attack value of its minion.
- **Deploy Minion:** This filter keeps the action of summoning minions. In addition, this filter keep spells and hero powers that summon effect.
- **Protection:** This filter keeps the summoning of minions with taunts and actions that block opponent attacks to mitigate health loss.
- **Keep Hand:** This filter calculates the average cost of the cards in player's hand and keeps actions that play card below that value.

Except Turn End: This filter is applied when none of the above five filters is left with any options left. This filter keeps the action except for the end of the turn.

5 EXPERIMENTS

To evaluate the effectiveness of the proposed method, we conducted the following two experiments. First, to evaluate the effectiveness of the improvements explained in the previous section, we performed matches against an agent based on the original RHEA (called Vanilla RHEA) and measured the winning rate Second, we measured the winning rate of our agent against conventional agents submitted in past Hearthstone AI Competitions to demonstrate the performance of our method.

5.1 Experimental Settings

As the environment of the experiments, we used SabberStone (Dockhorn and Mostaghim, 2019), which was used in Hearthstone AI Competitions. In our experiments, there is no re-drawing of cards, and the time limit per turn is set to 30 s to conform to the rules of the competition. The turn end is forcibly selected if the time limit is exceeded when deciding on an action. Furthermore, the match may be prolonged depending on the deck and will be treated as a draw if it exceeds 25 turns. In the experiments, three decks were used: MidrangeJadeShaman, AggroPirateWarrior, and CubeWarlock, which are defined in a website (Hearthstone Top Decks, nd).

In addition, during action search, our method assumed a population size of 50 with a tournament size of 2 and an elite size of 1. During state evaluation, our method generates 20 game states, and performs 20 random playouts for each state.

5.2 **Opponent Agents**

The opponents used in the experiments were as follows. Here, except for vanilla RHEA and MCTS, the agents who have excelled in previous Hearthstone AI Competitions.

Vanilla RHEA: This is an agent developed for comparative experiments to evaluate the effectiveness of our improvements. This agent is based on the original RHEA algorithm, which searches by genetic operators based on point mutation and uniform crossover.

- **Vanilla MCTS:** This is a general MCTS-based agent implemented for comparison of the search aspect with the proposed method.
- **DynamicLookAhead (DLA):** This agent uses simple evaluation function to evaluate the state, and searches actions through depth-first search with a dynamically limited depth.
- **AlvaroAgent:** This agent searches for actions iteratively based on the UCB using the optimized evaluation functions.
- Monte Carlo graph search (MCGS): It is possible to search for actions even after the end of the turn by suppressing the expansion of the search tree used in the MCTS by abstracting, sampling, and pruning the state and assuming that the opponent's actions are random.
- **TycheAgent:** This agent uses a random search, and only the first action with a high evaluation value is selected after a certain number of iterations. It uses a complex evaluation function for the state evaluation.

5.3 Search Performance Evaluation

5.3.1 Impact of Genetic Operators and Statistical Tree on Performance

We measured the winning rate against Vanilla RHEA to evaluate the effectiveness of the proposed genetic manipulation and the statistical trees. The results are shown in Figure 6. Here, the genetic manipulation rate is set to 50% in both cases, and the filter described in Section 4.4 is not used. Combinations of methods and settings that constitute each method are expressed using the following abbreviations.

- VC: uniform crossover.
- VM: point mutation.
- PC: crossover by the proposed method (see Section 4.2.2).
- PM: mutation by the proposed method (see Section 4.2.3).
- γ : ratio of random selection (see Section 4.2.2).

In Figure 6, the vertical axis presents the list of agents, each of which represents a possible combination of the components, whereas the horizontal axis indicates the winning rate against Vanilla RHEA. Here, the orange, green, and gray graphs show the winning rates when using the decks called Midrange-JadeShaman, AggroPirateWarrior, and CubeWarlock, respectively.



Figure 6: Match results against Vanilla RHEA.

The results show that there was no significant difference between the proposed method and Vanilla RHEA when search information was not used (i.e., $\gamma = 1.0$). However, it was observed that the winning rate of the proposed method was significantly improved compared with Vanilla RHEA when search information was used (i.e., $\gamma \neq 1.0$). In particular, the winning rate of the agent with PC, PM, and $\gamma =$ 0.0 when using MidrangeJadeShaman improved to a maximum of 97.5%. This was because the selection based on the UCB during genetic manipulation could generate many highly rated individuals with a promising set of behaviors. As a result, the proposed method can search for promising series of action in the next generation.

5.3.2 Impact of Genetic Operators' Rate and Filters on Performance

We also conducted experiments to measure changes in the performance by varying the ratio of mutations and crossovers and by using a filter. We used an agent that applied the proposed crossover (PC) and mutation (PM) to genetic operators based on the experimental results presented in Section 5.3.1. Here, the ratio of random selection (γ) was set to 0.0. The results are presented in Figure 7.

In this figure, the vertical axis presents the list of agents with different genetic manipulation rates and the presence or absence of filtering, whereas the horizontal axis represents the winning rate. The colors of the graph are the same as in Figure 6.



Figure 7: Winning rates against agents with PC, PM, $\gamma = 0.0$.

This result shows that using the filter improved the winning rate when using CubeWarlock to a maximum of 78.5% and MidrangeJadeShaman to a maximum of 65.5%. This was because CubeWarlock and MidrangeJadeShaman tended to have more action options than AggroPirateWarrior, and the search performance was improved by filtering out inconsistent choices. On the other hand, it was possible to search sufficiently without using a filter when using AggroPirateWarrior, which did not have many choices, so the change in the winning rate was small.

5.4 Performance Comparison with Conventional Methods

To demonstrate the performance of our method, we performed matches between an agent based on the proposed method and agents that achieved remarkable results in past Hearthstone AI Competitions. Figure 8 shows the winning rate of our agent against those agents. In this experiment, only decks called MidrangeJadeShaman and AggroPirateWarrior were used. Some other decks have been implemented, but they were not used because of a lack of cards. Here, as the difference in performance is expected to increase depending on the state evaluation method, we used the state evaluation function implemented in AlvaroAgent for game state evaluation.



Figure 8: Winning rates of the proposed method against existing agents.

In Figure 8, the vertical axis presents the list of the opponents, while the horizontal axis represents

the winning rate of the proposed method. This figure demonstrates that the proposed method is as strong as or stronger than almost all other agents, regardless of which deck is used. In particular, the proposed method outperforms AlvaroAgent, which has the same state evaluation function, by an average of 53%, suggesting that the proposed method is equally or even better than AlvaroAgent at searching for actions. On the other hand, when using AggroPirate-Warrior, the win rate was 40% against MCGS. This is most likely because MCGS has an ingenious way of pruning options even when conducting playouts, which was more powerful than the state evaluation function for the relatively simple deck of AggroPirateWarrior, which was able to correctly evaluate the state of the game.

6 CONCLUSIONS AND FUTURE WORK

In this paper, we proposed a method for decisionmaking for actions in Hearthstone based on the RHEA. To apply the RHEA to Hearthstone, we improved the original algorithm by introducing techniques of genetic manipulation, utilizing past search information, and filtering action options.

We implemented agents based on the proposed method and the original RHEA to evaluate the effectiveness of the proposed method. The results showed that the winning rate for each improvement was higher than that without the improvement. Furthermore, our agent played against the top-performing agents in past Hearthstone AI Competitions and outperformed most of them.

In future work, we would like to investigate the performance improvement by parameter tuning because the proposed method has various hyperparameters. We are also interested in improving search efficiency. Specifically, we shall attempt to take over better individuals found during the previous search steps instead of generating the initial group only at random.

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