A Bi-Level Genetic Algorithm to Solve the Dynamic Flexible Job Shop Scheduling Problem

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Abstract: The dynamic flexible job shop scheduling problem (DyFJSP) is an extension of the flexible job scheduling problem (FJSP) as the production environment is characterized by a set of disturbances that require a method capable of reacting in real time in order to generate an efficient schedule in case of production failure. In this paper, we propose a bi-level genetic algorithm (BLGA) to solve the DyFJSP in order to minimize the maximum completion time (Makespan). The dynamic scenario taken into account in this work is job insertion. To evaluate the performance of our approach, we carry out experiments on Brandimarte benchmark instances. The results of the experiments show that the BLGA is characterized by its efficiency and performance in comparison with other methods published in the literature.

1 INTRODUCTION

With the great evolution of the production environment characterized by competition and the strict demands of customers, the improvement of industrial managing tools has become a persistent need to meet the limits of traditional disciplines (Martinsons and Davison, 2007). One of the most important management instruments in the decision-making of manufacturing is the production scheduling. This tool is considered as a vital link that transfers the flow between the operational and tactical levels within the company. Indeed, the scheduling function manages all the available resources and resolves conflicts caused by the implementation of product lines over the estimated time horizon. For this reason, studying this problem in the field of optimization is becoming increasingly important and it is interesting to implement practical solutions and manage the expectations of decisionmakers in various areas (Wiers, 1997) such as the logistic field in order to schedule a list of operations to produce a set of jobs, or in the field of healthcare in order to affect resources with the aim of carry

out a list of medical operations. One of the scheduling problems that has been studied extensively in recent years is the flexible job shop scheduling problem. This type of workshop problem is an extension of the classic job shop problem, it is characterized by the ability to perform each operation by one or more alternative machines with different processing times, whose objective is to determine the order of operations and assigning them to machines (Xie et al., 2019). Because of the high complexity of the FJSP, known as NP-hard (Garey et al., 1976), several approaches are proposed as optimization tools of this type of problems, with the aim of minimize one or more objectives considered as performance criteria in each study. We cite the following studies to solve the FJSP, a hybrid metaheuristics-based multiagent model (Nouri et al., 2018), multi-agent model based on combination of chemical reaction optimization metaheuristic with tabu search (Marzouki et al., 2018) and a genetic algorithm combined with tabu search in a holonic multi-agent model (Nouri et al., 2015). Although these scheduling methods prove their performance when offered for the static workshop, they lose their efficiency when applied in a dynamic production environment characterized by disturbances like the insertion or cancellation of jobs, the breakdowns or conflicts between machines, and the

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problems related to the workers such as their fatigue and absences (Mohan et al., 2019). In this paper, we are interested in dynamic flexible job shop scheduling problem (DyFJSP), which is defined as a production environment characterized by the job insertion during the production process. which require the implementation of an effective model to ensure the continuity of the production process in the flexible job shop. In the literature, several studies which focus on the monoobjective optimization of the DyFJSP are published. To minimize the makespan, (Zhang and Wong, 2017) proposed a multi agent system combined with an ant colony optimization method to solve this problem in a dynamic workshop described by the rush orders, the job cancellation, and the machine breakdown or repair. (Li et al., 2017) used a hybrid artificial bee colony algorithm in a workshop characterized by job insertion, machine failure, and job cancellation. In addition, (Long et al., 2022), (Mihoubi et al., 2021) and (Ali et al., 2020) focused on the job insertion scenario and proposed a dynamic self learning artificial bee colony, genetic algorithm combined with a hybrid neuronal surrogate and an improved genetic algorithm as a scheduling algorithm respectively. And to minimize the mean weighted tardiness in the DyFJSP with the job insertion scenario, (Fan et al., 2021) used a genetic programming based hyper heuristic as a scheduling algorithm. We notice that the makespan is the most important objective studied in the literature. For this reason, we are interested in this work to minimize this criterion when solving the dynamic flexi- . The definition of jobs is determined before the ble job shop scheduling problem. We also notice that dynamic events related to jobs are the most disruptive in the production system. The remainder of this article is organized as follows. Section 2 formulates the DyFJSP with new job insertion, the model proposed to optimize this problem is described in section 3. Next, an application of the proposed approach to solve the DyFJSP is presented in section 4. Then, the experimental study is shown in section 5 to verify the efficiency of our proposed approach and compare its performance with other existing algorithms. Finally, some conclusions and perspectives are presented in section 6.

2 **PROBLEM FORMULATION**

In this paper, we aim to solve the dynamic and flexible job shop scheduling problem (DyFJSP) which is an extension of the flexible job shop scheduling problem (FJSP). The FJSP is formulated as follow. There is a set of *n* jobs $J = \{J_1, ..., J_n\}$ to be realized by a set of *m* machines $M = \{M_1, ..., M_m\}$, such as each job J_i is

defined by a sequence of k operations $\{O_{i,1},...,O_{i,k}\}$ to be executed successively. We notice that each operation can be performed by a list of alternative machines with different processing times $p_{i,j,m}$, where *i* is the index of the job, *j* is the index of the operation and m is the index of the machine. The main objective of this problem is to minimize the maximum completion time which is the makespan. This performance criterion is defined by C_{max} . (See (1)), where C_i is the completion time of the job J_i .

$$minC_{max} = max_{1 < i < n}(C_i) \tag{1}$$

The FJSP problem is composed by two sub-problems which are the determination of the optimal sequencing of operations and the assignment of machines for the execution of operations. In a dynamic production environment, the flexible job shop scheduling problem becomes dynamic and will be characterized by the possibility of inserting jobs at a random time before the makespan. So the assumptions considered in the DyFJSP are the following:

- All the machines are available at time zero.
- The independence of machines between themselves.
- · Machines are available until the end of the schedule.
- A machine can only perform one operation at a given moment.
- start of the schedule.
- Jobs are independent of each other.
- The execution of a job can only be on one machine at a time.
- A running operation cannot be interrupted
- · Jobs are allowed to wait for resources as long as necessary.
- · The production environment is characterized by the insertion of new jobs.
- · Disturbances are characterized by their timing.

THE BI-LEVEL GENETIC 3 **ALGORITHM**

To solve the DyFJSP, we propose a Bi-Level Genetic Algorithm, denoted by BLGA, based on the bi-level optimization design, which is defined as a specific type of optimization where a problem is integrated (nested) within another. The outer optimization task



Figure 1: The description of bi-level genetic algorithm.

is commonly referred to as the upper-level optimization task, and the inner optimization task is commonly referred to as the lower-level optimization task (Zadeh et al., 2018). We note that each level has its objectives, constraints, and variables.

Our method BLGA can be defined by modeling two levels of optimization as shown in Figure 1. In each level, we use the genetic algorithm. In the upper level, we seek the optimization of the general problem, and in the lower level, our objective is the optimal allocation of machines to carry out the workshop operations.

The upper level can be described as follows:

- A randomly generated initial population. Such as each individual of the population is defined with the operations sequence chromosome (**OS**) and the machines sequence chromosome (**MS**).
- Application of the selection, two points crossover, and mutation operators.
- Return the best scheduling.

The lower level is included just before the selection phase and it allows us to improve the quality of each individual generated randomly in the previous phase. In this level, the OS chromosome of operations is fixed and improvements are made on the MS chromosome of machines. This improvement is described as follows for each individual:

- Removal of the initial MS chromosome.
- Generation of a new machines population.
- Application of the selection, two points crossover, and mutation operators.

• Return the improved individual.

We present two flowcharts, see Figures 2 and 3, that illustrate the general approach and the lower level al-gorithm successively.



Figure 2: The flowchart of the bi-level genetic algorithm.

4 APPLICATION OF THE BLGA

We consider the dynamic flexible job shop, which is composed by four Jobs J_1 , J_2 , J_3 , and J_4 and five machines M_1 , M_2 , M_3 , M_4 , and M_5 . The jobs are defined as follows: J_1 : $[O_{11}, O_{12}, O_{13}]$



Figure 3: The flowchart of the lower level.

 $J_2: [O_{21}, O_{22}] \\ J_3: [O_{31}, O_{32}]$

 $J_4: [O_{41}, O_{42}, O_{43}]$

We present below in Table 1 the list of the alternative machines for each operation and the processing time required for each operation on that machine.

Table 1: List of the alternative	machines for each operation.
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Operations	List of alternatives machines	INC
<i>O</i> ₁₁	$\{M_2(4), M_4(3)\}$	
<i>O</i> ₁₂	$\{M_3(3), M_4(5), M_5(2)\}$	
<i>O</i> ₁₃	$\{M_1(2), M_2(2), M_4(1)\}$	
<i>O</i> ₂₁	$\{M_1(4), M_3(3)\}$	
<i>O</i> ₂₂	$\{M_2(5)\}$	
<i>O</i> ₃₁	$\{M_1(1), M_2(2), M_3(1)\}$]
<i>O</i> ₃₂	$\{M_3(2), M_4(3)\}$	
<i>O</i> ₄₁	$\{M_2(3), M_4(5)\}$	
<i>O</i> ₄₂	$\{M_1(4), M_3(3), M_4(2)\}$	
<i>O</i> ₄₃	$\{M_2(5), M_4(6)\}$	

4.1 Solution Encoding

For the encoding of the solution, we choose two chromosomes called MS and OS, as MS is the chromosome that represents the assignment of machines for each operation, and the OS chromosome for the representation of the order of operations.

4.1.1 MS Chromosome

As each Job is composed of operations assigned to machines to be performed. The MS chromosome is constructed as follows:

We start by assigning machines to the operations of the first job while respecting the order of the operations of this job, and we redo the same procedure job by job. We note that each machine is represented by its index in the list of machines allocated to perform the operation. See Figure 4.

4.1.2 OS Chromosome

The OS chromosome is reserved for the representation of the sequencing of operations and is constructed as follows, see Figure 5:

- Each gene on the chromosome contains a number that symbolizes a job.
- Each job appears in the chromosome k times such as k is the number of their operations.
- The operations of the job *J* are presented in the chromosome by the order of appearance of the job *J* index.

4.2 Implementation of The BLGA

We demonstrate in the rest of this section the implementation of the algorithm with the example shown above: Step 1 (Upper Level): We suppose a population P of

size 3 individuals: $I_1 = \{OS_1, MS_1\}, I_2 = \{OS_2, MS_2\}$ and $I_3 = \{OS_3, MS_2\}$

 MS_3 . See Figure 6.

i = 0

While i < 3

Do: Step 1 (Lower Level): Generation of 3 machines individuals for the individual (i=0) generate in the first step of the upper level. $I_1 = \{MS_{11}, MS_{12}, MS_{13}\} I_{11} = \{OS_1, MS_{11}\}$ (See Figure 7).

$$\{OS_1, MS_{11}\}$$
 (See Figure /)

 $I_{12} = \{OS_1, MS_{12}\}$ (See Figure 8).

 $I_{13} = \{OS_1, MS_{13}\}$ (See Figure 9).

Step 2 (Lower Level):

- Calculate fitness for each individual.
- Application of the selection operator: We select I_{11} and I_{12} .

Step 3 (Lower Level): Application of the two points crossover operator. (See Figure 10).

Step 4 (Lower Level): Application of the mutation operator. (See Figure 11).

Step 5 (Lower Level): Calculate fitness value and return the best individual for the first individual of the

	MS Chromosome										
0	2	0	1	0	2	1	0	2	1		
	Significance of the value of each gene										
O 11	O ₁₂	O13	O ₂₁	O ₂₂	O ₃₁	O ₃₂	O41	O42	O43		
M[0]=M ₂	M[2]=M5	$M[0]=M_1$	M[1]=M3	M[0]=M2	M[2]=M3	M[1]=M4	M[0]=M ₂	M[2]=M4	M[1]=M4		
J1		J ₂		J_3		J4					

Figure 4: The representation of the MS chromosome.

OS Chromosome											
2	3	0	1	2	0	0	3	1	3		
Significance of the value of each gene											
O31	O41	O11	O ₂₁	O ₃₂	O ₁₂	O ₁₃	O42	O ₂₂	O43		

Figure 5: The representation of the OS chromosome.



OS 1	2	3	0	1	2	0	0	3	1	3
								Тθ		
$MS1_1$	1	2	0	0	2	0	1	1	0	2

Figure 7: The first MS_{11} chromosome of the first individual I_1 generate in the upper level.

OS 1	2	3	0	1	2	0	0	3	1	3
MS12	0	0	1	2	2	0	1	1	2	0

Figure 8: The second MS_{12} chromosome of the first individual I_1 generate in the upper level.

OS 1	2	3	0	1	2	0	0	3	1	3
	-								-	
MS13	1	2	1	2	0	1	2	0	2	1

Figure 9: The third MS_{13} chromosome of the first individual I_1 generate in the upper level.

upper level to be saved as an element of the population P.

i++ Step 2 (Upper level):

- Calculate the fitness value for each individual of the new population improved in lower level.
- Application of the selection operator: We select I_1 and I_2



Figure 10: Application of the two points crossover in the lower level.



Figure 11: Application of the mutation in the lower level.

Step 3 (Upper Level): Application of the two points crossover operator.

Step 4 (Upper Level): Application of the mutation operator.

Step 5 (Upper Level): Evaluate each individual of the population and return the best scheduling.

5 EXPERIMENTAL STUDY

In this section, we present the experiments carried out on the DyFJSP in order to minimize the objective function C_{max} (Makespan).

5.1 Experiment Design

The proposed BLGA is implemented in java language on a 2.00 GHz Intel® Core (TM) i3-5005U and 6GB of RAM memory, where we use the integrated development environment (IDE) "IntelliJ". As a parameter for the algorithm used to solve the DyFJSP, the values of population size, crossover and mutation probability, number of generations, perturbation probability, and maximum generation with no improvement are adjusted experimentally. The appropriate parameters of the two levels are shown below in Table 2.

Table 2: The	appropriate	parameters for	r our approac	h
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5.2 Performance of the BLGA

In this subsection, we will present the experimental results developed using the BLGA. First, we will focus on the performance of our approach by comparing their result with other approaches in the literature, and then we will test the ability of our method to solve the dynamic scenario in the next subsection.

5.2.1 Benchmark Instances

For the performance tests of the proposed approach, we worked on the Brandimarte (Brandimarte, 1993) benchmark instances. This benchmark is used for the FJSP. But due to the absence of specific benchmarks for dynamic and flexible problems, we worked on this benchmark and we insert a new job at a given moment T for the job insertion scenario based on the work of (Long et al., 2022). To measure the performance of our approach in minimizing the C_{max} criterion, we will compare the results of BLGA with other algorithms.

5.2.2 Comparison of the BLGA Results With Other Algorithms

To test the performance of our approach, we will compare the results of BLGA obtained in the flexible scheduling phase with those of other algorithms used in the existing literature which worked with the Brandimarte benchmark, including SLABC (Long et al., 2022), IPSO (Ding and Gu, 2020), SLGA (Chen et al., 2020), MACROG (Marzouki et al., 2017), MAPSO (Nouiri et al., 2018) and GATS+HM (Nouri et al., 2015).

The next Table 3, presents the best values obtained by these algorithms cited above to resolve the Brandimarte benchmark instances. Such as the HOV represents the optimal historical value of each instance. We note that the scale of each instance is defined by the number of jobs (n) and the number of machines (m).

By analyzing the Table 3 we note that the use of the BLGA gives more optimized results in 5 instances of the Brandimarte benchmark compared to the other algorithms used for comparison in this paper.

5.3 Experimental Results of the BLGA on Job Insertion Scenario

This part is reserved for the presentation of the test performed on dynamic event characterized by the insertion of a new job that require a rescheduling of the initial scheduling.

We will use a scenario posed by (Long et al., 2022) which consists in inserting an 11^{th} job to the MK02 instance of the Brandimarte benchmark at the moment T= 12. This job is defined in Table 4.

First, we will start with an initial scheduling of the MK02 instance. This scheduling is presented in Figure 12.

In Figure 13, we present the final scheduling of the MK02 instance with the insertion of job 11.

The BLGA application generates a $C_{max} = 30$ for dynamic scheduling characterized by the insertion of a new job in the MK02 instance of the Brandimarte benchmark. In the other side the DSLABC (Long et al., 2022) returns a $C_{max} = 38$, so we notice that our approach is more appropriate for optimizing this type of problem.

6 CONCLUSIONS AND PERSPECTIVES

Over the past decade, many research efforts have been devoted to dynamic scheduling. The objective is to

T	Scale $(n + m)$	UOV		Algorithms								
Instance	Scale $(n * m)$	поv	SLABC	IPSO	SLGA	MACROG	MAPSO	GATS+HM	BLGA			
MK01	10 x 6	36	42	40	40	40	41	40	40			
MK02	10 x 6	24	29	29	27	32	26	27	27			
MK03	15 x 8	204	204	204	204	204	207	204	204			
MK04	15 x 8	48	67	66	60	64	65	64	62			
MK05	15 x 4	168	175	175	172	179	171	173	173			
MK06	10 x 15	33	80	77	69	85	61	65	64			
MK07	20 x 5	133	155	145	144	172	173	144	142			
MK08	20 x 10	523	523	523	523	552	523	523	523			
MK09	20 x 10	299	364	320	320	421	307	311	310			
MK10	20 x 15	165	283	239	254	358	312	222	220			

Table 3: The best value obtained by seven algorithms to solve 10 Brandimarte instances.



Figure 13: The final scheduling of MK02 with job insertion.

Table 4:	The	operations	of the	new job.
14010 1.	1 110	operations	or the	ne joo.

Operations	M1	M2	M3	M4	M5	M6
<i>O</i> ₁₁₋₁	2	-	5	3	3	-
<i>O</i> ₁₁₋₂	-	3	-	3	5	4
<i>O</i> ₁₁₋₃	-	6	3	5	-	-
<i>O</i> ₁₁₋₄	4	1	-	2	5	3
<i>O</i> ₁₁₋₅	-	5	2	4	-	3
<i>O</i> ₁₁₋₆	5	6	3	2	-	4

contribute to a more productive and efficient functioning of a manufacturing company in the face of an ever-changing market environment. In this paper, we present a bi-level genetic algorithm, called BLGA, for the dynamic flexible job shop scheduling problem (DyFJSP). In this approach, we used two levels for the optimization of the DyFJSP by the upper level and the optimization of the assignment of the machines by the lower level respectively. The main objective of this paper is to minimize the makespan in a dynamic flexible job shop characterized by the insertion of jobs. The experimental results show that the proposed approach is efficient in comparison with other approaches used to solve the FJSP and also show that the BLGA can resolve the perturbation in the DyFJSP. As future work, we plan to work towards optimizing other objectives. To achieve this, a method dedicated to solving multi-objective problems should be applied in order to produce more useful results in terms of the objectives that will be considered in future work on this production environment. Another perspective to consider is the improvement of our approach to be capable to solve scheduling problems with transportation in a dynamic environment characterized by other dynamic events such as machines or robot breakdowns.

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