Multimodal Stress Classification Based on Biosignals Extracted from Smart Devices and Electromyography

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Abstract: Work-Related Stress is the second most impactful occupational health problem in Europe, behind musculoskeletal diseases. When mental health is adequately handled, a worker's well-being, performance, and productivity can be considerably improved. This paper presents machine learning models to classify mental stress experienced by office workers using physiological signals including heart rate, acquired using a smartwatch; respiration, derived from a smartphone's acc placed on the chest; and trapezius electromyography, using proprietary electromyography sensors. Two interactive protocols were implemented to collect data from 12 individuals. Time features were extracted from heart rate and electromyography signals, with frequency features also being extracted from the latter. Statistical and temporal features were extracted from the derived respiration signal. Different input modalities were tested for the machine learning models: one for each physiological signal and a multimodal one, combining all of them. Three algorithms: Support Vector Machine, Random Forest, and K-Nearest-Neighbor were employed for mental stress classification. Random Forest obtained the best results (67.7%) for the heart rate model whereas K-Nearest-Neighbor attained higher accuracies for the respiration (89.1%) and electromyography (95.4%) models. Both algorithms achieved 100% accuracy for the multimodal model. A possible future approach would be to validate these models in real time.

1 INTRODUCTION

Work-Related Stress (WRS) disorders are becoming more prevalent among working populations being the second most severe health issue related to work in Europe, after musculoskeletal diseases (Can et al., 2019). According to a recently published survey by the European Agency for Safety and Health at Work (Curtarelli, 2022), 46% of workers deal with increased levels of stress as a result of severe time pressure or overload. Office workers are especially exposed to stress caused by an increase in the amount of demanding knowledge, expected high productivity, and ongoing technological developments (Bolliger et al., 2022).

Stress can be defined as an individual's response (psychological, physiological, and behavioral) to surrounding stimuli, such as environmental conditions or physical exertion (Salai et al., 2016; Alberdi et al., 2016). Constant exposure to stress can deeply impact a human's physical and emotional health leading to symptoms such as headaches, cardiovascular disorders, asthma, diabetes, sleep deprivation, burnout, and cancer (Salai et al., 2016). In the long term, other conditions may arise from a psychological standpoint, such as depression and anxiety. This can eventually lead to difficulties regarding personal, professional, family, social and economic affairs (Goncalves et al., 2018). When an individual is exposed to stress, clear physiological responses, including changes in electrodermal activity (EDA), heart rate (HR), muscular tension, blood volume pressure (BVP), and respiration (RESP), can be observed (Choi et al., 2011). This underlying multimodal nature of stress suggests that incorporating many modalities for stress classification can result in more accurate prediction models.

The usage of smart devices such as smartphones, smartwatches, fitness trackers, etc. has significantly increased over the past years. Most of these devices offer a variety of sensors, such as accelerometers (ACC), gyroscopes, magnetometers, step detectors, and HR sensors that can be used to either directly

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measure or infer the aforementioned physiological responses. Smart devices can be viewed as promising data collection tools given their widespread usage and sensor capabilities. They are non-invasive and unobtrusive, which significantly affects how accepted and comfortable biomedical measurements can be done. Implementing machine learning (ML) models based on data collected from smart devices ensures that these models can be potentially deployed on equipment that workers use in their daily lives.

This paper presents stress detection models for office workers using sensor-based measurements of physiological signals from different modalities such as HR, RESP, and electromyography (EMG). HR and RESP were extracted from a smartwatch and a smartphone, respectively. EMG signals were acquired using proprietary EMG sensors. The signals were collected from 12 participants, during two interactive protocols. One protocol aimed at inducing stress while the other focused on eliciting non-stressed conditions. The signals were pre-processed to extract significant features to be used for classification models that were trained in a supervised way. ML algorithms including Support Vector Machine (SVM), Random Forest (RF), and K-Nearest-Neighbor (KNN) were employed.

This work is part of the PrevOccupAI project (Biosignals LIBPhys-UNL, 2020), which has the support of the Portuguese *Autoridade Tributária* and *Direção Geral da Saúde*. The project aims to prevent occupational diseases in the office context, through the identification of risk factors to promote occupational health.

2 RELATED WORK

The advent of smart devices has sparked a plethora of research that relies on the extraction of useful biosignals and features from these devices, for different classification tasks. In this section, a look will be taken at selected studies that used information retrieved from non-invasive sensors and smart devices to develop multimodal ML models for stress classification.

In 2011 Choi et al. (Choi et al., 2011) developed a minimally invasive wearable sensor platform allowing long-term ambulatory monitoring of mental stress. Their system included HR, EMG, EDA, and a pressure-based respiration sensor and was used while exposing subjects to mental stress and relaxation conditions. After data collection, features were extracted from the signals to train a logistic regression model to predict the two conditions. In the same year, Wijsman and colleagues (Wijsman et al., 2011) used EMG collected from the trapezius, RESP, EDA, and ECG to identify mental stress. They distinguished between stress and non-stress conditions using different classifiers including KNN. In 2013, some of the same authors (Wijsman et al., 2013b) investigated if the trapezoids were suitable muscles for stress detection and concluded that they were (i.e., the EMG exhibited greater amplitudes and fewer gaps - periods of relaxation - during stress compared to a resting state). Later on that year, the same authors (Wijsman et al., 2013a) used HR, RESP, Galvanic Skin Response (GSR), and EMG of the upper trapezius muscles to distinguish between states of stress and rest in working contexts. They implemented stress tests that were aimed to simulate office-like circumstances. All studies used the arithmetic "Norinder Test" on their stress-inducing protocols. Finally, Pourmohammadi and Maleki (Pourmohammadi and Maleki, 2020) conducted research to compare the efficiency of the EMG signal with the ECG signal in detecting mental stress. According to their findings, EMG and ECG signals can accurately diagnose stress levels. They demonstrated that a classification based on the EMG signal outperformed the ECG signal in the stress detection field.

With regards to signal acquisition from smart wearables, Ciabattoni et al. (Ciabattoni et al., 2017) used a commercially available smartwatch to acquire EDA, RR-interval, and skin temperature (ST). Subjects were exposed to a 10-minute stress-inducing logic test. They extracted 27 features after preprocessing the data. The correlation between the extracted features and the reported stress was investigated by calculating the mutual information. The 10 features with the highest correlation were then used to train a KNN classifier (one neighbor) to predict whether the subject was stressed or not. Siirtola and colleagues (Siirtola, 2019) aimed to determine if it was possible to properly identify stress using ACC, BVP, EDA, HR, heart rate variability (HRV), and ST signals extracted from a commercial smartwatch. Different combinations of these signals were tested and the leading outcome was obtained with a combination of ST, BVP, and HR using Linear Discriminant Analysis (LDA). Finally, Bobade et al. (Bobade and Vani, 2020) sought to detect an individual's stress level by employing a multimodal dataset acquired during stressful conditions using wearable physiological and motion sensors. Using a chest-worn device they collected three-axis ACC, ECG, BVP, ST, RESP, EMG, and EDA. They performed a three-class and a two-class classification and were able to obtain their higher classification for both combinations using an

SVM algorithm.

The presented research focused on using either smartwatches, wearable sensors, or a combination of these. While smartwatches are devices that are widely used, most other wearables are highly specialized equipment that can be associated with higher costs. This work explored an acquisition system that relies mostly on smart devices widely utilized by everyday workers: smartwatches and smartphones. Due to the work of Wijsman et. al and Pourmohammadi et. al showing the high significance of the EMG, this modality was included as well. Unimodal models for each biosignal were developed as well as a multimodal approach, to determine the classification capabilities between these.

3 METHODS

3.1 Data

For the development of the ML models, data were acquired on three different occasions. First, data were collected from a 22-year-old female student to develop an algorithm to extract respiration rates (RespR) from a smartphone's ACC. For the second and third acquisitions, data were collected from 12 healthy volunteers (six female and six male) aged on average 25.79 ± 7.19 years. Half of the participants were students while the other half were office workers. Before participating in the study, subjects were informed they were not allowed to take drugs or medications on a daily basis and asked for their informed consent.

For the first data collection, a smartphone (Xiaomi, Redmi Note 9), an inductive respiration sensor (RIP, PLUX Wireless Biosignals), and an accelerometer (ACC, PLUX Wireless Biosignals) were used, while for the second and third acquisitions the same smartphone, a smartwatch (OPPO, OPPO Watch 41 mm), and two EMG sensors (muscleBAN, PLUX Wireless Biosignals) were utilized. The muscleBAN is a wearable sensor unit that in addition to the EMG also contains an ACC and a magnetometer.

With regards to the smartphone, its ACC was used, which is restricted by the Android system to a sampling rate of 100 Hz. The smartwatch was utilized to acquire HR (1 Hz) and ACC (100 Hz) for synchronization purposes. Given the smartwatch's limited battery capacity, an acquisition scheme was implemented in which the HR sensor acquired data for 60 seconds every three minutes. The RIP, PLUX ACC, and muscleBAN sensors were set to a sampling rate of 1000 Hz. For all acquisitions, the cross-platform application described in (Silva et al., 2022) was employed. The application was extended to permit data collection from the RIP and PLUX ACC sensors.

3.2 ACC-Derived Respiration Rate

Using the smartphone and the RIP sensor, an algorithm was designed to derive the RespR from the smartphone's ACC. The RespR extracted through the developed algorithm was later used as input for the stress classification models.

3.2.1 Experimental Setup and Protocol

The smartphone was placed on the subject's chest using a harness, as shown in Figure 1a. The RIP sensor was placed just below the phone, at the sternum, to ensure that both sensors were recording RESP at approximately the same position. The PLUX ACC sensor was attached to the back of the phone, using an adhesive, ensuring that the coordinate systems of both the phone's ACC and the PLUX ACC were aligned. Both PLUX sensors were plugged into an 8-channel hub (PLUX Wireless Biosignals) that synchronously collects data from the sensors and wirelessly transmits the data to the smartphone component of the crossplatform application.

Data acquisition was performed for 40 minutes. In the beginning, the subject performed a jumping motion that was later used to synchronize the signals of all devices. During the acquisition, three distinct breathing patterns were performed: conscious slow breathing, conscious fast breathing, and unconscious breathing while performing a mildly stressful task. Each breathing task was executed for roughly 10 minutes with three minutes of baseline in-between tasks. During slow breathing, the subject had her eyes closed and was instructed to consciously keep a steady breathing rhythm. When executing the fast breathing task, the subject repeated three one-minute fast breathing cycles with two minutes of relaxation between cycles. For the final task, the subject performed a high-difficulty level arithmetic test while her breathing cycles were recorded.

3.2.2 Algorithm Development and Evaluation

The obtained signals from the sensors were first synchronized by cross-correlation using the jumping motion clearly visible on the devices' ACCs. Then, the signal portions corresponding to the breathing tasks were extracted. This was followed by applying a fourorder low-pass butter-worth filter with a cutoff frequency of 0.5 Hz. All three axes of the smartphone's



Figure 1: Equipment placement.

ACC were combined by

$$ACC_{total} = ACC_x^2 + ACC_y^2 + ACC_z^2.$$
(1)

It was experimentally determined that the combination of the three axes produced better results than using single axes or any pair-wise combination of these. Finally, the second intrinsic mode function (IMF-2) was extracted using empirical mode decomposition (Zeiler et al., 2010).

The respiration detection algorithm follows a peak-valley detection scheme, as the RESP signal manifests itself as a quasi-cyclic waveform in the ACC signal. First, the signal is divided into 60-second windows (W_s) . In W_s , the algorithm starts by finding the first peak/valley. When this peak/valley is found, a noise threshold is applied to disregard minor local maxima/minima that might be caused by smaller movements. The threshold is applied by placing the current value into the center of a window (W_n) of 5 seconds (empirically determined). The maximum and minimum are determined within W_n and half the distance between them is set as the current noise threshold. All local maxima and minima that are below that threshold are not regarded as peaks/valleys that result from breathing. When the end of W_s is reached, the RespR, in breaths per minute (BPM) is calculated by

$$\operatorname{RespR} = \frac{f_s}{d_{avg}} \times 60 \quad , \tag{2}$$

where d_{avg} is the average number of samples between two peaks and f_s is the sampling rate. To evaluate the algorithm, the mean of the cross-correlation between the pre-processed ACC and the RIP was calculated. It was 0.57, 0.90, and 0.50 for slow breathing, fast breathing, and mild-stress breathing, respectively. The correlation values between both signals show that the smartphone's ACC can be used to extract the RespR. Furthermore, the error rate (ER) was calculated by

$$ER = \frac{\# ACC \text{ false & non-detected peaks}}{\# RIP \text{ peaks}}.$$
 (3)

It was 0.375, 0.11, and 0.42 for the above periods, respectively.

(c) MuscleBANs

3.3 Stress Classification

Data were acquired using two protocols: one aimed at inducing stress, and the other to elicit non-stressed conditions. The studies were carried out in a quiet space. Participants were asked to sit down in front of a table on which a laptop was placed that displayed the tasks to execute. The interaction was done solely through the laptop's trackpad.

3.3.1 Experimental Setup and Protocols

The smartphone was harnessed to the subject's chest, the smartwatch was placed on the wrist of the nondominant hand, and the two muscleBANs were positioned according to the recommendations stated in the SENIAM project (Hermens et al., 2000), on the left and right trapezius as illustrated in Figure 1. Prior to placing the two muscleBANs, the subject's skin was cleansed with alcohol. The acquisitions took approximately 10 minutes each and also began with a jumping motion for device synchronization. The following protocols were implemented in HTML, JavaScript, and the CSS framework Bootstrap.

For the stress-inducing protocol, one cognitive and one emotional task were performed. For these, the "Norinder Test" (Wijsman et al., 2013b) and the "Sing a Song Test" (Brouwer and Hogervorst, 2014) were used, respectively. The "Norinder Test" is an arithmetic test that has to be performed under time constraints. The test consisted of 27 calculations that had to be completed within a time frame of 2:30 min. For each calculation, participants had a maximum time of 10 seconds with four possible answer options. If the wrong one was chosen, a loud buzzing sound was played and a red screen was presented blocking the page for 3 seconds. To further increase stress levels during calculations, visual timers were implemented that changed their color from green to red depending on the remaining time. When the timer reached the five-second mark, it turned yellow and an additional ticking sound was played. For the "Sing a Song Test", participants were instructed to remain seated in front of the computer monitor and silently read 10 messages that would appear on the screen. It was indicated that one of the messages could contain a hidden task that had to be carried out. This test also included a 10-second timer, with a colored circle gradually changing from green to red, and a ticking sound when the timer reached the five-second mark. The first nine sentences were emotionally neutral, and the tenth contained the hidden task, saying: "HID-DEN TASK: Think of a song from your childhood. When the clock stops, sing the song out loud.".

The second protocol was designed to elicit nonstressed conditions, so there were no time constraints. It was created with a neutral design and implemented in a monochromatic gray-scale (Um et al., 2012). Additionally, low-volume relaxing music was played. To decrease any stress levels the subjects might be experiencing before the study, the protocol started with a breathing exercise. The 4-7-8 breathing technique was employed as it has been shown that it is an effective exercise for self-regulating stress (Lin et al., 2020). This was then followed by two tasks. The first consisted of observing 12 images of either natural landscapes or fractal images in shades of green and blue. These were chosen due to their calming effects (Kurt and Osueke, 2014). The second part of the protocol was inspired by (Um et al., 2012). Subjects were presented with 12 neutral statements that consisted of facts, that compel the reader to verify whether they are true or not. This procedure results in unconscious cognitive thinking without arousing strong emotions.

3.3.2 Pre-Processing and Feature Extraction

The Python programming language was utilized to process and analyze the physiological signals as well as develop the ML models. For pre-processing and feature extraction, only data acquired while subjects were performing tasks were considered. The retrieved signals from the three device types were synchronized with a cross-correlation function using the jumping motion captured by the ACCs built into each device.

Regarding the HR, data were re-sampled to 5 Hz using cubic interpolation for the periods in which the smartwatch was recording. The signal was segmented using an eight-second (empirically determined) sliding window. Time features were extracted identically to those found in Boonnithi et al. article (Boonnithi and Phongsuphap, 2011).

For the smartphone's ACC, the same preprocessing steps were applied as described in section 3.2.2. From 60-second (empirically determined) sliding windows, features were extracted including the ones mentioned in Table 1.

Concerning the EMG signal, only features from the left trapezius were extracted. All participants were right-handed and they used the computer's trackpad more frequently during the stress protocol. Hence, information coming from this trapezius was less prone to be adjusted to the protocols. Several features were extracted from this signal at different pre-processing steps in 60-second (empirically determined) sliding windows. The signal was filtered using a fourth-order band-pass butter-worth filter with 30Hz and 310Hz cutoff frequencies. Then, the features shown in Table 1 up to the total power were extracted. Subsequently, the signal was rectified and normalized using a maximum norm scheme, and features from the maximum value to standard deviation in Table 1 were extracted. In the final phase, a fourth-order low-pass butter-worth filter with a cutoff frequency of 2 Hz was utilized to make an envelope allowing for clear detection of muscular activity periods from the signal. From this, the remaining features shown in Table 1 were extracted.

To create the input vector for the multimodal model, only periods in which all signals were simultaneously being acquired were considered as illustrated in Figure 2. It was ensured that the end of each window was aligned in time. All windows were shifted by 4 seconds until the end of each HR data was reached. This resulted in a total of 1 hour of acquisition information from all subjects.



Figure 2: Multimodal Windowing Scheme.

3.4 **Machine Learning Models**

SVM, RF, and KNN were employed as classifiers. Data extracted from the stress-inducing protocol was labeled as 'Stressed' (165 instances) whereas the one retrieved from the protocol inducing nonstressed conditions (180 instances) was labeled as 'Not Stressed'. The labeled dataset was then randomly separated into 60% training and 40% testing in a stratified way, meaning that each participant's data could be part of either or both sets. Since linear models (like the employed SVM) produce distinct outcomes depending on whether data are normalized or not, both training and testing sets were normalized with a min-max normalization. Each model was trained using a 5-fold cross-validation. Metrics including recall, specificity, precision, negative predictivity, and accuracy were used to evaluate all models.

The models were developed using the scikit-learn library (Pedregosa et al., 2011). Hyperparameters for each algorithm were optimized using GridSearch. A RepeatedStratifiedKFold was used with 5 folds and 15 iterations, as the cross-validation splitting strategy. The SVM algorithm was setup with a linear kernel and the cost parameter (C) was set to 10. The RF used 50 estimators, and a maximum depth of 5. For the KNN algorithm a manhattan distance with 5 neighbors was chosen. With regards to all other hyperparameters, their default values were set.

For feature selection, first correlated features were removed using the TSFEL library (Fraunhofer AICOS, 2021). Then Recursive Feature Elimination (RFE) (Pedregosa et al., 2011) was applied. The selected features for each model are displayed in Table 1. The KNN algorithm does not provide feature weights or coefficient attributes. Hence the RFE function couldn't be applied to it. However, because feature selection also has an impact on this classifier's performance, the features that obtained the best results for the SVM and RF were used in KNN as well.

4 **RESULTS AND DISCUSSION**

The results for each model are presented in Table 2. Regarding each specific model, the accuracies obtained by the three classifiers were lower for the HR model. A possible justification would be that this model had access to a much smaller data set compared to the others and used the fewest features to perform stress classification. Both RESP and left EMG models attained high accuracies. Considering the multimodal model, only data from periods in which all sensors were acquiring were used. Thus, the amount



Table 1: Selected features for all models.

a - SVM b - RF c - KNN

of information was significantly less than that of the remaining models, the three of which did not obtain

Table 2: Models obtained accuracy values.

	HR	Resp	Left EMG	Multimodal
SVM	57.5%	81.5%	86.0%	96.9%
RF	67.8%	87.6%	94.9%	100%
KNN	62.3%	89.1%	93.3%	100%

results as high as this one. It can be speculated that, even with less data, a model that looks at multiple modalities to recognize a stressful situation may perform better than unimodal models because it accesses different physiological responses.

The SVM algorithm proved to consistently have the lowest performance. Since the other two techniques are non-linear, it can be deduced that adopting linearity for the created models may not be the best option. Both RF and KNN were well suited to classifying stress for the selected biosignals with the chosen protocols. Since participants were given clear instructions on how to wear all the equipment and they were focused on completing the protocols, the obtained accuracies could decrease in real-time detection. There are no limitations on movement in daily life and people usually perform many tasks at once, which makes detection more complex. Recording these signals in an uncontrolled environment can be challenging due to a variety of factors, that affect physiology, other than stress. Real-time stress detection performance could deteriorate as a result of these challenges. One possible solution to circumvent them would be to add more pre-processing steps to the acquired signals and attempt to retrieve them in conditions as similar as possible to those experienced by the worker.

Referring to prior studies (Table 3) and comparing the accuracy of various stress detection approaches, the selected biosignals, and processing techniques were found to be very efficient, attaining higher accuracies when compared to those obtained in almost all of the mentioned studies. This model, as well as the ones developed in these studies, are generic, meaning that they can be used to analyze data from any individual. Some research (Lawanont et al., 2018; Shi et al., 2010; Akmandor and Jha, 2017) built personalized models, consistently outperforming generalized models in assessments. Considering this, the accuracy rates obtained with the created generalized models are highly promising. Furthermore, related studies did not examine in detail both cognitive and emotional stress. Studying these two "types" of stress and/or how they behave separately/together can be significant because these are the two most common types of stress in office workers (Choi et al., 2011).

Table 3: Comparison of obtained accuracy with ones obtained by related work studies.

Study	Biosignals	Accuracy
Wijsman et al.	HR, RESP, EMG, GSR	GEE: 74.5%
Wijsman et al.	ECG, RESP, EMG, SC	LBN, QBN, KNN, FLSL: $\approx 80.0\%$
Choi et al.	HR, EMG, EDA	LR: 81.0%
Ciabattoni et al.	HR, GSR, ST	KNN: 84.5%
Siirtola et al.	HR, BVP, ST	SVM: 87.4%
Bobade et al.	ACC, ECG EMG, EDA ST,	SVM: 93.2%
Pourmoha- mmadi et al.	ECG, EMG	SVM: 100%
This study	HR, RESP, EMG	SVM: 96.9% RF, KNN: 100%

5 CONCLUSION

For this paper, stress detection models were created using three ML algorithms to analyze WRS. To accomplish this, an algorithm capable of accurately estimating an individual's RespR was developed. Two studies were conducted to collect biosignals. Then, features were firstly extracted and secondly selected to train a multimodal model that achieved accuracies of 96,9% with the SVM algorithm, and 100% with both RF and KNN algorithms.

The proposed multimodal model helps to identify sensitive information about a person. Although measuring office workers' stress levels can be beneficial to both users and companies, data management must be done with great caution. Knowing from which specific individual the retrieved data belongs, according to the General Data Protection Regulation (GDPR) can potentially lead to improper use of this information. To overcome this ethical issue, it is necessary to ensure that personal data cannot be traced back.

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