VoronoiPatches: Evaluating a New Data Augmentation Method

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Abstract: Overfitting is a problem in Convolutional Neural Networks (CNN) that causes poor generalization of models on unseen data. To remediate this problem, many new and diverse data augmentation (DA) methods have been proposed to supplement or generate more training data, and thereby increase its quality. In this work, we propose a new DA algorithm: VoronoiPatches (VP). We primarily utilize non-linear re-combination of information within an image, fragmenting and occluding small information patches. Unlike other DA methods, VP uses small convex polygon-shaped patches in a random layout to transport information around within an image. In our experiments, VP outperformed current DA methods regarding model variance and overfitting tendencies. We demonstrate DA utilizing non-linear re-combination of information within images, and non-orthogonal shapes and structures improves CNN model robustness on unseen data.

1 INTRODUCTION

Fueled by big data and the availability of powerful hardware, deep Artificial Neural Networks (ANNs) have achieved remarkable performance in computer vision thanks to recent advancements. But deeper and wider networks with more and more parameters are data hungry beasts. (Aggarwal, 2018) With these (often oversized) ANNs a sufficient amount of training data is critical for good performance and avoiding overfitting for a wide variety of problems. Unfortunately, data sources can be difficult to appropriately work with due to mistakes made while acquiring data, mislabeling, underrepresentation, imbalanced classes, et cetera (Illium et al., 2021; Illium et al., 2020). In these cases, learning directly from real-world datasets may not be straightforward. To overcome this challenge, Data Augmentation (DA) is commonly used because of its effectiveness and ease of use (Shorten and Khoshgoftaar, 2019). Over the years, various methods (e.g., occlusion, re-combination, fragmentation) have been developed, reviewed and contrasted. In this work, we propose a logical combination of such existing DA-methods: VoronoiPatches (VP).

First, we introduce the concept of DA and Voronoi diagrams in section 2. A discussion of existing, related works follows in section 3. We propose our approach (VP), dataset, and experimental setup and results in section 4. Finally, we summarize our findings and give an outlook for future research in section 5.

2 PRELIMINARIES

Data Augmentation (DA) is a technique used to reduce overfitting in ANNs, which in the most extreme cases has perfectly memorized its training data; and is therefore, unable to generalize well (the major advantage of ANNs). A function learned by an overfit model exhibits high variance in its output (Shorten and Khoshgoftaar, 2019) by overestimating, which ultimately leads to poor overall performance. The amount of a model’s variance can be thought of as a function of its size. Assuming finite samples, the variance of a model will increase as its number of parameters increases. (Burnham and Anderson, 2002) This is where DA methods can be applied (on training data) to increase the size and diversity of an otherwise limited (e.g., size, balance) dataset.

In the past and with the advent of deep CNN, such approaches have been very successful in the domain of computer vision (and many others) (Shorten and Khoshgoftaar, 2019). Image data is especially well suited for augmentation, as one major task of ANNs is being robust to object invariance and image features. DA algorithms, on the other hand, are made to generate such invariances. We restrict our discussion to image data as many other comparable domains exhibit their own challenges and characteristics.

Generally, a dataset supplemented with augmented data represents a more complete set of all possible data, i.e., it closes the real-world gap and...
promotes the ability to generalize. Data warping methods transform existing data to inflate the size of a dataset, whereas oversampling methods synthesize entirely new data to add to a dataset (Shorten and Khoshgoftaar, 2019).

Voronoi diagrams are geometrical structures which define the partition of a space using a finite set of distinct and isolated points (generator points). Every other point in the space belongs to the closest generator point. Thus, the points belonging to each generator point form the regions of a Voronoi diagram. (Okabe et al., 2000) In the following, we focus on 2-dimensional Voronoi diagrams (spanning Euclidean space), as used in this work.

Let $S$ be a set of $n \geq 3$ generator points $p, q, r \ldots$ in Euclidean space $\mathbb{R}^2$. The distance $d$ between an arbitrary point $x = (x_1, x_2)$ and the generator point $p = (p_1, p_2)$ is given as:

$$d(p, x) = \sqrt{(p_1 - x_1)^2 + (p_2 - x_2)^2}$$  \hspace{1cm} (1)

If we examine generator points $p$ and $q$, we can define a line which is mutually equidistant as:

$$D(p, q) = \{ x|d(p, x) = d(q, x) \}$$  \hspace{1cm} (2)

A Voronoi region belonging to a generator point $p \in S$, $VR(p, S)$, is the intersection of half-planes $D(p, q)$ where $q$ ranges over all $p$ in $S$:

$$VR(p, S) = \bigcap_{q \in S, q \neq p} D(p, q)$$  \hspace{1cm} (3)

In other words, Voronoi region of $p$ ($VR(p, S)$) is made up of all points $x \in \mathbb{R}^2$ for which $p$ is the nearest neighboring generator point. This results in a convex polygon, which may be bounded or unbounded. The boundaries of regions are called edges, which are constrained by their endpoints (vertices). An edge belongs to two regions; all points on an edge are closest to exactly two generator points. Vertices are single points that are closest to three or more generator points. Thus, the regions of a Voronoi diagram form a polygonal partition of the plane, $V(P)$. (Aurenhammer, 1991; Aurenhammer et al., 2013)

3 RELATED WORK

With the preliminaries introduced, we now survey some existing and related work from the field of Data Augmentation in the context of deep Artificial Neural Networks.

3.1 Occlusion

Methods employing the principle of occlusion mask parts of model input. Consequentially, a model encounters more varied combinations of an object’s features and context forcing stronger recognition of an object by its structure. Two of the earliest methods that use occlusion are Cutout (Devries and Taylor, 2017) and Random Erasing (RE) (Zhong et al., 2017). Both remove one large, contiguous region from training images (cf. Figure 1, 1A-B). Through Hide-and-Seek (HaS) Neural Networks (NNs) learn to focus on the overall object, occluding parts of the input (Singh et al., 2018). This approach removes more varied combinations of smaller regions in a grid pattern from neighboring model input (cf. Figure 1, 3C, 4A). However, removed regions may also form a larger contiguous region which may lead to the removal of all of an object (or none of it), which is the same major limitation of early DA methods. GridMask (Chen et al., 2020) tries to prevent these two extremes by removing uniformly distributed regions (cf. Figure 1, 1C, 2A).

While the use of simple orthogonal shapes and patterns is a common characteristic of occlusion-based methods, Voronoi decomposition-based random region erasing (VDRRE) demonstrates a potential advantage of removing more complex shapes (Abayomi-Alli et al., 2021). For the tasks of facial palsy detection and classification, VDRRE evaluated the use of Voronoi tessellations (cf. Figure 1, 3A-B).

An additional choice of many regional dropout methods is the color of the occlusion mask. Options include random values, the mean pixel value of the dataset, or black or white pixels (Yun et al., 2019). Relevant literature includes (Devries and Taylor, 2017; Zhong et al., 2017; Chen et al., 2020; Singh et al., 2018; Abayomi-Alli et al., 2021). Furthermore, regional dropout methods are not always label-preserving, depending on the dataset (Shorten and Khoshgoftaar, 2019).

3.2 Re-Combination of Data

DA methods which re-combine data, mix training images (non-)linearly. This has been found to be an efficient use of training pixels (over regional dropout methods) (Yun et al., 2019), in addition to increasing the variety of dataset samples (Takahashi et al., 2018). However, mixed images do not necessarily make sense to a person (Shorten and Khoshgoftaar, 2019) (e.g., lower section in Figure 1, 4A-B + Row 5) and it is not fully understood why mixing images increases performance (Summers and Dinneen, 2018).

Non-linear mixing methods combine parts of images spatially. CutMix (Yun et al., 2019) and Random image cropping and pasting (RICAP) (Takahashi et al., 2018) are non-linear methods that combine parts of two or four training images, respectively.
3.3 Fragmentation

Fragmentation may occur as a side effect of pixel artifacts introduced by occlusions (Lee et al., 2020) or non-linear mixing of images (Takahashi et al., 2018), which create sudden transitions at the edges of removed regions or combined images, respectively. While occluding and mixing information may prevent the network from focusing on easy characteristics, deep ANNs may also latch on to boundaries created by these pixel artifacts.

In SmoothMix (Lee et al., 2020) the transition between two blended images is softened to deter the network from latching on to them. Alpha-value masks with smooth transitions are applied to two images, which are then combined. Furthermore, SmoothMix prevents the network behavior of focusing on “strong-edges” and achieves improved performance while building on earlier image blending methods like CutMix.

Cut, Paste and Learn (CPL) (Dwibedi et al., 2017) is a DA method developed for instance detection. To create novel, sufficiently realistic training images, objects are pasted on random backgrounds while the object’s edges are either blended or blurred. Although the resulting images look imperfect to the human eye, they perform better than manually created images or training on real data alone (Dwibedi et al., 2017).

While SmoothMix and CPL aim to minimize artificially introduced boundaries, MeshCut (Jiang et al., 2020) uses a grid-shaped mask to fragment information in training images and purposefully introduces boundaries within an image (cf. Figure 1, 2B-C). By doing so, the model learns an object by its many smaller parts, thereby focusing on broader areas of an object. In contrast to SmoothMix and CPL, MeshCut demonstrates that intentionally created boundaries can improve model performance.

4 METHOD

Noticing the benefits of using non-trivial edges introduced by randomly organized Voronoi diagrams (Abayomi-Alli et al., 2021), as well as the power of occluding multiple small squares (HaS)(Singh et al., 2018), we opted for a conceptional fusion of these approaches. From our perspective, there also is the need for a DA technique which does not occlude wide areas of the image (that may hold the main features), as the occlusion of areas with black (zero-values) or Gaussian noise seems to not be fully error-free. Therefore, we argue in favor of a new category of Data Augmentation methods: Transport. The main idea
of transport-based approaches is to preserve features and reposition them in their natural context, in contrast to a replacement by non-informative data. Combined with the concept of non-trivial edges (Voronoi diagram) and occlusion-of-many HaS, we suggest VoronoiPatches (VP).

4.1 VoronoiPatches (VP)

Our goal was to supplement training data using novel images generated online through a non-linear transformation. To do so, an image is partitioned into a set of convex polygons (patches) using a Voronoi diagram. A fixed number of patches are randomly chosen and copied from the original image. Then, these are pasted randomly over the center of a polygonal region (cf. Figure 2). The result is a (novel) image containing (mostly) the same object features. VP may occlude and duplicate parts of the object in the original image while preserving the original label. The location, number, and visibility of an object’s parts in an image vary each time VP is applied. By recombining information within the image instead of replacing them with random values or a single value, information loss is minimized. Due to the random nature of a patch’s shape, size, and final location, patches may overlap or not move at all. This procedure is further described in algorithm 1.

There are three tunable Hyperparameters (HPs): Number of generator points: The approximate size and number of patches generated by a Voronoi partition. Using few generator points results in fewer larger polygons, and v.v. Number of patches: The number of patches to be transported. Smooth: The transition style between moved patches and the original image. This may be left as they are (sudden) or smoothed. Sudden transitions may create pixel artifacts caused by sudden changes in pixel values, whereas the application of smooth transitions reduces this effect (cf. Figure 3).

4.2 Setup & Dataset

In this section, we briefly outline our data pipeline and introduce the dataset and performance metrics used.

First, we use an 85:15 split of our training set as an additional validation set for model selection. During the training process, performance metrics were calculated and collected each epoch on both the training and validation sets and used to monitor the training process. Measurements were based on the checkpoint with the highest measured accuracy; we note that this may over- or underestimate true performance (Aggarwal, 2018).

Algorithm 1: VoronoiPatches.

| Input: sample, generators, patches, smooth | Output: aug |
| begin: | |
| aug ← copy of sample | |
| polygons ← Voronoi(generators); | |
| centroids ← mean(p) in polygons; | |
| for range(patches) do | |
| p ← random(polygons); | |
| c ← random(centroids); | |
| Move p such that arith.Mean(p) = c; | |
| for x, y in p do | |
| aug[x, y] ← sample[x, y]; | |
| if smooth then | |
| s ← gauss.Filter(aug); | |
| borders ← calc_border(); | |
| for xy in borders do | |
| aug[xy] ← s[xy]; | |

The dataset was chosen based on two factors: sample size and dataset size. By using medium to large resolution images, we ensure more variation in partitions computed and the ability to generate small enough polygons.

The 2012 ImageNet Large-Scale Visual Recognition Challenge (ILSVRC) dataset, colloquially referred to as ImageNet, consists of 1.2 million training and 60,000 validation full-resolution images categorized and labeled according to a WordNet2 based class hierarchy into 1,000 classes (Russakovsky et al., 2015). While the images’ resolution is sufficient, the size of the dataset in its entirety is impractical for our experiments.

We used the “mixed_10” dataset (Engstrom et al., 2019), which is a subset of the 2012 ImageNet dataset.

As “mixed_10” is a well-balanced dataset, we chose the performance metric classification accuracy in %. Additionally, we examined the variance and entropy introduced by the DA methods.

4.3 Experimental Setup

Baseline. We first established a baseline model based on SqueezeNet 1.0 (through grid search), which provides us with default performance metrics. We chose SqueezeNet 1.0 because it was designed specifically for multi-class image classification using ImageNet and has a small parameter footprint (Iandola et al., 2016). Using 50x fewer parameters, it matched or outperformed the top-1 and top-5 accuracy (Iandola et al., 2016) of the 2012 ILSVRC win-

1http://www.image-net.org/
2https://wordnet.princeton.edu/
Our choice of VoronoiPatches HPs was influenced by the characteristics of our dataset and reflects the two main goals: To preserve the label of each augmented image and to occlude or repeat the features of an object or its context in a distributed manner. The diversity of samples in our dataset creates a challenging situation for choosing an optimal number of generator points. Specifically, the object-to-context ratio can vary greatly. In an image where the object is tiny, the danger of all features being occluded by VP is still present. Given the variety of this ratio in the “mixed.10” dataset, it is difficult to estimate what size patch might be too small or large, or how many patches (i.e., total pixels moved) are necessary to have a positive impact on model performance. To balance the parameter space of our grid search with the variation in object-to-context ratio in our dataset, we chose \( \text{generators} = \{50, 70, 90\} \), cf. Figure 4. To explore how the size and number of patches might impact performance, we chose \( \text{patches} = \{5, 10, 15\} \). For all combinations, we also considered both transition styles, \( \text{smooth} = \{\text{true}, \text{false}\} \).

Figure 2: VP: An example image and its Voronoi diagram (50 generator points), 5 randomly selected patches copied, then transported to random locations, and the resulting novel image with sudden transitions (left to right).

Figure 3: Voronoi Patches: The original image (left), the same image augmented with sudden (middle), or smooth transitions (right).

Figure 4: Voronoi Diagrams: Computed with (left) 50, (middle) 70, and (right) 90 generator points.

Reproducibility. To ensure the reproducibility of our results, we seeded our interpreter environment, as well as all random number generators involved in the training process. All models (and DA methods) along all grid searches use the same seed to ensure reproducibility and validity. Seeds were chosen at random from \([0, 2^{32} - 1]\). To calculate the avg. expected performance, we re-trained our baseline model with the best performing HP values with the aforementioned seeded grid search. After training to con-
Figure 5: 1: Train and val. accuracy (100 epochs); 2: CE-loss. Over-fitting visible; 3: Avg. SSIM for VP and RE; 4: Avg. effect of VP (dark blue=sudden, light blue=smooth transitions) and RE (gray lines) measured in entropy $H$.

vergence (100 epochs, max. acc. 80.1% at epoch 74), the highest accuracy checkpoints are selected for each seed. To find suitable VP HPs, we performed a grid search over all combinations of generators and patches, w/ and w/o smoothing. Predefined by the baseline model, the training of DA methods was limited to 100 epochs.

**Results.** For all numbers of patches and patch sizes of VP used while training, we observed a performance improvement over baseline performance. While this improvement is clear, our results showed this gain varies across HP combinations. The highest validation accuracy for VP that can be reported is 83.6% with HPs: generators=70, patches=15, and smooth=False. We observed an improvement in val. acc. by about 1.3-3.5% over the baseline models for all models trained with VP. On the test set (unseen in training and validation), we evaluated the performance of the resulting best baseline and optimal VP models. The avg. expected performance is **80.9%** accuracy, **80.9%** macro-recall, and **81%** macro-precision. The avg. expected performance of a model trained using VP is **83.3%** accuracy, **83.5%** macro-precision, and **83.3%** macro-recall.

Even though macro-measures are quite similar, the performance of individual classes varies as expected.

However, the classes *Car* and *Truck* both perform about 10-30% worse than the others due to noisy labels and for which ImageNet was recently strongly critiqued (Beyer et al., 2020).

**Smoothing.** Unfortunately, we did not observe any clear improvement in the performance of VP using smooth over sudden transitions (smooth=false). The best performing models use sudden transitions, based on our observations in model training; however, we cannot rule out a possible advantage at this time. Dependent on the other HPs, either approach can be of advantage. We implemented the width of the smoothed transition between patch and image with a fixed factor. In future works, this could be introduced as another HP. Both smoothing and the factor could also be bound to a stochastic process (sampled on each VP application).

**Comparisons.** To verify the validity and utility of VP, we evaluated the performance of the RE, VDRRE and a combination of both, using the same procedure as outlined above. For RE HPs outlined in (Zhong et al., 2017), *black* (0) and *random* (uniform [0,1]) pixel values were chosen. Figure 5: 1 shows an avg. acc. improvement by VP over RE of 0.6%-0.7%. VDRREs parameters were chosen as suggested. (Abayomi-Alli et al., 2021) used six regions, of which one was then occluded with noise. On our dataset, VDRRE achieved results, which are close to RE. *MeshCut* (augmentation schedule and HPs as stated by (Jiang et al., 2020)) behaved worse than ‘Random’ VP. Inspired by the occlusion with Gaussian noise, we also tested a VP version with randomly filled patches, rather than transporting sections of the image (more or less comparable with VDRRE, but at smaller scale). ‘Random’ VP achieved better results than both, VDRRE and RE, while performing close to VP.

**Entropy $H$.** To measure the effect of our method on the training data, we employed entropy $H$ involving the resulting prob. distribution of each image $x$ (with $P := \text{prob.}, K := \text{classes}$): $H(x) = -\sum_{k} P(k) \log(P(k))$ (Goodfellow et al., 2016). We then aggregated the entropy scores for all images with the arithmetic mean (cf. Figure 5): 4. The avg. entropy is a measure of how balanced the prob. distributions are on avg. (Goodfellow et al., 2016). For a prob. distribution of 10 values (for 10 classes) the lower and upper bounds for entropy are 0.00 and 3.32, respectively. The bounds correspond to the case of a perfect classification with 1.0 probability for one class and 0.0 for all others (low entropy) and the case of perfectly balanced probability of 0.1 across all classes (high entropy). As anticipated, the more data transported within an image by VP the more uncertainty is embedded in the model’s output (i.e., the more balanced the model’s prob. distributions are). We observe that this effect is greater among all VP HP combinations than RE with either *black* or *random* pixels. We attribute the reduced entropy of RE using *random* pixels over *black* pixels to the model finding patterns in these values.
Main Findings. (1) Figure 6 shows the measured variance for examined DA methods. Over all seeded runs, our proposed method (VP) exhibits the lowest variance, even lower than training on non-augmented training data. Taking the results of the entropy analysis (above) in to consideration, we found the last point to be quite a surprising finding.

(2) By inspecting the avg. acc. and the CE-loss in Figure 5: 2 we observe an additional interesting finding. All training runs (w/ and w/o DA) came at the cost of still overfitting to the training data of “mixed_10”. Our method VP, in contrast to all those compared, showed the least amount of overfitting. This can be observed in the growing distance of the CE-loss in Figure 5: 2.

![Figure 6: Model Variance Comparison Avg. test variance (std) of VP and the other DA methods.](image)

Further Analysis. The number of generator points determines the avg. patch size. We collected the avg. pixels$^2$ (2D area) for bounded polygons using 50, 70, and 90 generator points. The avg. patch sizes are 932, 673, and 528 pixels$^2$, respectively. We observe that using many smaller patches (15 patches, 528 pixels$^2$), as well as using fewer larger patches (5 patches, 932 pixels$^2$) results in better model performance on avg. We observe no clear improvement using smooth transitions; we assume, either, that the transition areas’ width is not optimal or that the pixel artifacts have a positive effect similar to the mesh mask in (Jiang et al., 2020).

To measure how different HP values change our images, we calculate the Structural SIMilarity Index (SSIM) between orig. and augmented images. SSIM was developed as a measurement ([0-1]) of degradation by comparing the luminance, contrast, and structures of two images (Wang et al., 2005). SSIM(original, augmented) = 1.0 represents the trivial case of a perfect match (identical images) (Wang et al., 2005). Collecting and aggregating (arith. mean) SSIM values for 1,000 iterations with several HP combinations Figure 5 confirmed that by increasing the number of patches or decreasing the number of generators, the SSIM of original and augmented images decreases. The relationship between the avg. SSIM and avg. entropy is approximately linear. As the structural difference in augmented images increases, so does the entropy of the predictions for these images.

Further, we observe a drop-off in performance when more than approx. 10,000 total pixels$^2$ are transported. The best performance was achieved with 10,095 total pixels$^2$ (generators = 70, patches = 15, smooth = False), a re-combination of 20.1% of an images’ data.

There appears to be an optimal avg. patch size and/or number of patches moved similar to the optimal ratio of the grid mask of uniformly distributed squares in (Chen et al., 2020), which controls how many squares make up the mask, as well as how much space masked between. As a point of reference, the avg. rectangle size generated by Random Erasing (RE) is 10,176 pixels$^2$. In accordance with the default values in (Zhong et al., 2017), RE was applied with a probability of 50%. On avg., RE removes a rectangle equal to 20.3% of an image when applied, whereas VP re-orders patches equal to 20.1%, combined, in each image.

We noticed an improvement in performance by re-combining many small VP patches over randomly erasing one large contiguous rectangle with 50% probability (RE).

Variance in patch sizes is also determined by how generator points are distributed across the image. Through visual inspection, a trend of smaller patches resulting from more generator points, and v.v., can be observed.

5 CONCLUSION

In this work we introduced VoronoiPatches (VP), a novel DA method, and data warping category (transport) to solve the problem of overfitting for CNNs. We sought to minimize information loss and pixel artifacts, as well as exploit non-orthogonal shapes and structures in DA. Our method, which employs non-orthogonal shapes and structures to re-combine information within an image, outperforms the existing DA methods regarding model variance and overfitting tendencies. Additional experiments analyzed VP’s influence on predictions, as well as the influence of HP values on performance. We show that there are further opportunities to build on our findings and add validity to our initial evaluation of VP. This includes: optimizing smooth transitions, exploring different pixel values or patch shapes (to better understand the contribution to DA), mixing images across the training set, and eventually exploring applications of VP in
other tasks or fields (e.g., medical image analysis or the field of audio in the form of mel spectrograms). From a practical standpoint, solving the limitation of expensive training would enable more efficient usage of the available datasets. Currently, expensive training is a limitation of VP, which is also a possible future task.

REFERENCES


