




# Multi-factor Prediction and Parameters Identification based on Choquet Integral: Smart Farming Application

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**Abstract:** In this paper, we consider the domain of smart farming aiming at agronomic processes optimization, and, more particularly, the issue of predicting the growth stages transitions of a plant. As existing automated predictions are not accurate nor reliable enough to be used in the farming process, we propose here an approach based on Choquet integral, enabling the passage from multiple imperfect predictions to a more accurate and reliable one, considering the relevance of each source in the prediction as well as the interactions, synergies, or redundancies between factors. Identifying the parameter values defining a Choquet-based decision model being not straightforward, we propose an approach based on an observation history. Our proposal defines an evaluation function assigning to any potential solution a predictive capability, quantifying a degree of order present in its output, and an associated optimisation process based on truth degrees regarding a set of inequalities. A case study concerns smart farming, the prototype we implemented enabling, for a given culture and several input sources, to help farmers to predict the next growth stage. The experimental results are very encouraging, the predicted day remaining stable despite presence of noise on evidence values.


## 1 INTRODUCTION


Decision-based applications and environments all require an intelligent combining of data coming from multiple sources, including sensors, humans, and algorithms. Combining data with relevant aggregation operators and identifying parameters corresponding to the best decision model is a challenging issue, due, among those, to the amount of available data to be considered. Several aggregation operators have been adapted to be used in a variety of information fusion problems, the choice of an aggregation operator depending essentially on the nature of available information as well as the types of values to be used: quantitative, qualitative, binary, ....


We consider here the agricultural domain, a strategic area where, today, a major issue consists in increasing field productivity while respecting the natural environment and farms sustainability, requiring the development of advanced decision

support tools. In this context, a key issue in maximizing crop efficiency remains this of making reliable predictions regarding growth stage transition of plants, especially dates of transitions from the current stage to the next one. Such a prediction should be based on various available sources of information, that deliver in practice more or less accurate and reliable information. It will enable the farmer to prepare and perform relevant actions at the best instant with a maximized efficiency.

In this context, multi-factor decision requires use of aggregation functions, such as fuzzy integrals, more precisely the well-known integral of Choquet. This latter is defined from a fuzzy measure and allows to consider the possible interactions between factors (Sugeno, 1974). Choquet integral will be used here as an operator of aggregation, in charge of fusing multiple imperfect predictions into a more certain and accurate one, exploiting source diversity to get a more significant and reliable information for guiding decisions.

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In this paper, we focus on the Choquet integral, proposing a parametric function for data aggregation. We put our interest on an application of smart farming, proposing a way to identify the parameters of a growth stage prediction model. We point out that in a previous work (Dantan, 2020), we proposed a fuzzy decision support environment for smart farming ensuring better data structuration extracted from farms, and automated calculations, reducing the risk of missing operations.

Our aim here is to identify the parameters of a Choquet-based prediction using a training dataset including past data delivered by sources jointed to observed evidence, proposing parameter values based on observed preferences. Our proposal defines 1) an evaluation function enabling to quantify the prediction capability of any potential solution, based on truth degrees of inequalities issued from evidence, 2) on algorithm adapted from the classical gradient descent providing a robust solution. The originality of our proposal relies on one hand to identify the parameters only using inequalities, without values of the function to be learnt, and, on the other hand, to robustness of the obtained solution due to its least specificity related to training data.

Our operator, based on Choquet integral, should apply ponderations to each information source, considering possible interactions, synergy complementarity, or, conversely, partial redundancy, between them. It will transform the input fuzzy sets delivered to sources into a new fuzzy set aggregating the input sources and delivering a global value of confidence based on several source-dependent inputs. The solution to our problem will be the optimum of our evaluation function, the obtained evaluation value quantifying both the ability of the solution to make right predictions and the robustness of this solution.

The remainder of this paper is organized as follows. Section 2 presents the preliminaries and the related works. Then, in sections 3, 4 and 5, we formalize the problem and detail our proposal and its main components including the proposed algorithm. Section 6 is dedicated to a presentation of the numerical results and the interpretation of the obtained results. Finally, we conclude and present our future work in section 7.

## 2 STATE OF THE ART AND MOTIVATIONS

In this section, we first present preliminaries, and then an overview of the related research concerning

Choquet integral and decision models along with our motivations and objectives.

### 2.1 The Smart Farming Application

The overall functional architecture of our prediction process is presented on the figure 1. We have three levels:

- Sensor and data acquisition level, that includes terrain sensors, aircraft and satellite images acquisition, and external data collection through Web Service invocations;
- The level of prediction sources, including the various algorithms in charge of performing experimental or more complex predictions;
- The global aggregation level.

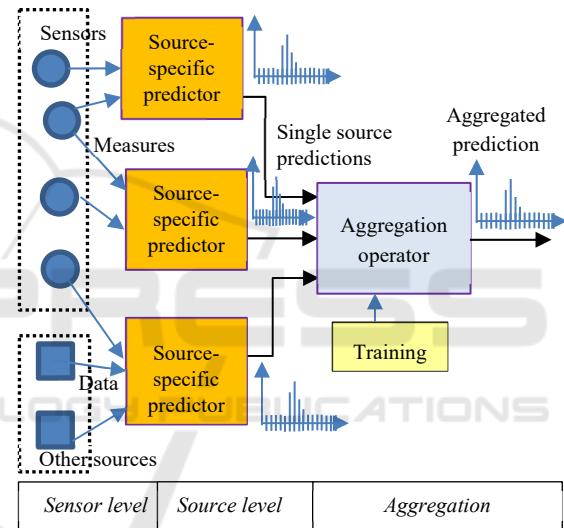


Figure 1: Functional schema of growth stage predictions.

### 2.2 The Choquet Integral

Choquet integral has been adopted taking into account the minimal set of hypothesis required on the nature of data, as well as its ability to properly model multiple interactions between sources, including partial redundancy and possible substitutability of them. In addition, we must mention the simplicity of calculation to be performed at real time, and the human understandability of the various model parameters.

The notion of fuzzy integral, based on the concept of fuzzy measure (Sugeno, 1974), also called capacity, enables to assign a relative importance, not only to each individual decision criterion, but also to any subset of criteria. In the context of multi-criteria analysis, the weight or importance of the set of

criteria association influences the entire combination of criteria, which could be also defined.

Considering a capacity  $\mu$  on the set  $\mathcal{N} = \{1, \dots, n\}$ , i.e. a function from  $2^{\mathcal{N}}$  into  $\mathbb{R}^+$  such as  $\mu(\emptyset) = 0$ ,  $\mu(\mathcal{N}) = 1$ , and monotonic (i.e.  $S, T \subseteq \mathcal{N}$  then  $\mu(S) \leq \mu(T)$ ), the discrete Choquet integral related to the capacity  $\mu$  is defined as the function that associates to any n-uple  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ .

$$C_{\mu}(x_1, \dots, x_n) := \sum_{i=1}^{i=n} (\mu(A_{\sigma(i)}) - \mu(A_{\sigma(i+1)})) \cdot x_{\sigma(i)} \quad (1)$$

Where  $\sigma$  is a permutation on  $\mathcal{N}$  such as  $x_{\sigma(i)} \leq \dots \leq x_{\sigma(n)}$ , and where:

$$A_{\sigma(i)} := \{\sigma(i), \dots, \sigma(n)\} \quad \forall i \in \mathcal{N}, A_{\sigma(n+1)} = \emptyset \quad (2)$$

To simplify notations, we shall write, for any subset  $\{i_1, \dots, i_p\}$  of  $\mathcal{N}$ :

$$\mu(\{i_1, \dots, i_p\}) = \mu_{i_1, \dots, i_p} \quad (3)$$

A particular case of Choquet integral is the simple weighted sum  $\sum_i a_i \cdot x_i$  ( $\sum_i a_i = 1$ ), the values  $\mu(S)$ ,  $S \subseteq \mathcal{N}$ , generalizing here a classical weight vector. Limit cases of Choquet integral are the Min and Max operators.

The Choquet integral enables the representation of non-additive criteria, i.e., with interactions between pairs or groups of criteria. Its interest consists mainly in identifying, during a decision-making process, given a set of values that meet this situation, the better quality alternative.

### 2.3 Related Works

The Choquet integral is based on two fundamental concepts: utility and capacity.

A utility function aims to model the preferences of the decision maker regarding various possible input values  $x_i$ . Utility functions can be seen as making it possible to translate the values of the attributes  $x_i$  into a satisfaction degree (Kojadinovic, 2009). Utility values are commensurable, monotonic, and ascending because, if an alternative  $a$  is preferred to  $b$ , then  $u(a) \geq u(b)$  (Labreuche, 2009).

A capacity models the fuzzy measure on which the integral is based and summarizes the importance of the criteria by aggregating utility functions, generalizing traditionally used weight vector. The learning ability of the Choquet integral has been demonstrated, mainly in (Grabisch, 2008). Functions dealing with data mining issues such as least square and linear programming have been used in this context. Preference learning consists in observing and learning the preferences of an individual, precisely in

particular when ordering a set of alternatives, to predict automatic scheduling of a new set of alternatives (Fürnkranz, 2012).

The Choquet integral learning function is based on a set of concepts that make it possible to leverage the consideration of user preferences (or decisions) and the interaction and/or synergy between the various criteria for data aggregation. Given a preferential ordering on a sample learning, the discrete Choquet integral is able to quantify, then learn, the relative weights of the different quality metrics.

In the literature, fuzzy integrals have been used for different purposes, for preferences or opinions fusion from a variety of sources, and several applications and extensions of fuzzy integrals have been developed. In (Vitor de Campos Souza, 2018), the authors have proven that the use of fuzzy neural network is more effective than the decision tree algorithms often used in the literature. The fuzzy neural network model allows precision improvement and less redundancy in decision-making.

In our previous work, we have proven that applying Choquet integral to order data sources according to the user's preferences, is an interesting and challenging area of research and can lead to more relevant results (Dantan, 2020). One originality of the work described in this paper consists in the proposal of an evaluation function attaching to any potential solution a degree of acceptability, based on truth degrees of inequality.

## 3 PROBLEM STATEMENT

Considering a crop with  $n$  prediction sources, information delivered by a source will consists in a sequence of confidence levels  $x_i(d) \in [0,1]$  associated to future days  $1, \dots, D$ , given a temporal horizon of  $D$  days.  $x_i(d)$  value reflects the belief of the  $i^{\text{th}}$  source regarding the occurrence of transition at  $d$  day, from the present phenological stage to the next one. We have so as many functions  $d \in \{1, \dots, D\} \rightarrow x_i(d) \in [0, 1]$  as prediction sources  $i=1, \dots, n$ , and  $x_i(d)$  can be seen as the membership function of a fuzzy subset of  $\{1, \dots, D\}$ , 0 meaning a null confidence, and 1 the maximum value, as presented on figure 2.

No hypothesis can be made here on confidence levels semantics. In particular, these levels are not probabilities, only inequalities between two values issued from the same source being significant. Note the case with several days having all a 1 value is possible, reflecting inaccuracy of the prediction.

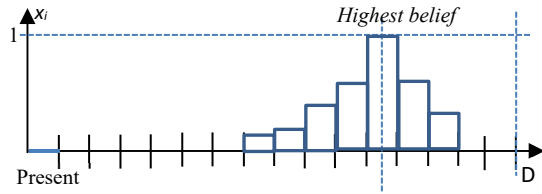


Figure 2: Fuzzy prediction on a temporal horizon of D days.

We use the Choquet integral as an operator of aggregation, in charge of fusing the later n fuzzy predictions into a more certain and accurate one. It is expected from source diversity more significant and reliable information for guiding decision. This operator will have to apply proper ponderations to each information source, considering possible interactions, synergies complementarities, or, at contrary, partial redundancies, between them. It will transform n fuzzy subsets into a result fuzzy subset, aggregating the n input sources. Our goal is to enable an automated estimation of Choquet integral coefficients based on a recorded history, i.e., on a dataset of past source predictions, in addition to the corresponding observed evidence.

$X = (x_1, \dots, x_n)$  denoting a confidence vector, n being the number of sources, the training dataset consists in P sessions regarding the same plant, a session being related to a field at a given period. For each session, we have a sequence of  $X_d$ , prediction vectors for days  $d=1, \dots, D$ , in addition to  $d_{Tr}$ , the real day of transition, a posteriori observed for this session.

Available information may be expressed thanks to a set of  $R = (D-1).P$  inequalities :

$$C_\mu(X_k) < C_\mu(X_{evidence(k)}) \quad (4)$$

with  $k, evidence(k) \in [1, D.P]$ ,  $evidence(k) (\neq k)$  being the  $d_{Tr}$  transition day for the session containing the day k.

Our problem is to learn a  $\mu$  capacity, i.e. the values of the  $2^n - 1$  parameters  $\mu_i, \mu_{i,j}, \mu_{i,j,k}, \dots$  satisfying the above inequalities. Despite some of these may be trivially satisfied by any Choquet integral, i.e., for any  $\mu$ , we keep them as input data of our problem, intensities of differences being considered here as significant pieces of information. It is the same for inequalities implicitly satisfied by transitivity, e.g.  $C_\mu(X) < C_\mu(Z)$ , if  $X < Y$  and  $C_\mu(Y) < C_\mu(Z)$ .

Based on Choquet integral definition, available information may be expressed under the form of R inequalities, applying on linear expressions:

$$a^{k_1} \cdot \mu_1 + \dots + a^{k_n} \cdot \mu_n + a^{k_{1,1}} \cdot \mu_{1,2} + \dots + a^{k_{n-1,n}} \cdot \mu_{n-1,n} + \dots + a^{k_{1, \dots, n}} \cdot \mu_{1, \dots, n} > 0, k=1, \dots, R \quad (5)$$

That is, using a matrix notation:

$$[A]_k \cdot [\mu] > 0, k=1, \dots, R \quad (6)$$

where  $[A]_k$  is the  $(2^n - 1)$  row vector  $[a^{k_1}, \dots, a^{k_n}, a^{k_{1,1}}, \dots, a^{k_{n-1,n}}, \dots, a^{k_{1, \dots, n}}]$  and  $[\mu]$  the  $(2^n - 1)$  column vector  $[\mu_1, \dots, \mu_n, \mu_{1,1}, \dots, \mu_{n-1,n}, \dots, \mu_{1, \dots, n}]$

That we may denote:

$$[A] \cdot [\mu] > 0 \quad (7)$$

$[A]$  being the rectangular matrix build with rows  $[A]_k$ , and coefficients  $\mu_i, \mu_{i,j}, \mu_{i,j,k}$ , etc., satisfying the minimal set of constraints:

$$\begin{aligned} \mu_i &\leq \mu_{i,j}, \forall i, j, i \neq j, \\ \mu_{i,j} &\leq \mu_{i,j,k}, \forall i, j, k, i \neq j, j \neq k, k \neq i, \dots \\ \text{With } \mu_i &\geq 0 \forall i, \text{ and } \mu_{1, \dots, n} \leq 1 \end{aligned} \quad (8)$$

that may be more concisely expressed by:

$$\begin{aligned} \mu(S) &\leq \mu(S'); |S'| = |S| + 1, S \subset S' \\ \text{with } \mu(\emptyset) &= 0 \text{ and } \mu_{1, \dots, n} = \mu(2^N) \leq 1 \end{aligned} \quad (9)$$

We have here no values regarding a function to be learnt, but only a set of statements regarding inequalities. So, a direct identification method is not applicable. For building the solution, we are expecting here 1) a scalable algorithm, i.e., an algorithm that will be efficient for a huge training dataset with a time of execution linearly increasing with respect to the number of sessions P. In addition, 2) we consider data as potentially inaccurate, the solution having to be robust in case of conflicting examples, i.e., the expected solution should be able to tolerate some local “nearly satisfied” inequalities. At last, 3) we are expecting a solution easily improvable by increments when new data are acquired.

## 4 THE PROPOSED APPROACH

Except in singular cases, joint inequalities (5) and (8) have either zero or an infinity of solutions. In practice, as numerous  $x_i$  provided by sources are not perfect values, local violations of inequalities (5) should be accepted. So, we do not consider only exacted solutions, but all potential solutions with a  $\mu$  vector satisfying the only strict inequalities (8). On this domain of potential solutions, we shall optimize an evaluation function reflecting the expected

characteristics considered above, in order to get the optimized solution.

### 4.1 Evaluation Function

Considering a given  $\mu$  capacity, the empirical distribution of  $[A]_k.[\mu]$  values on  $[-1, 1]$  looks as the following histogram (figure 3).

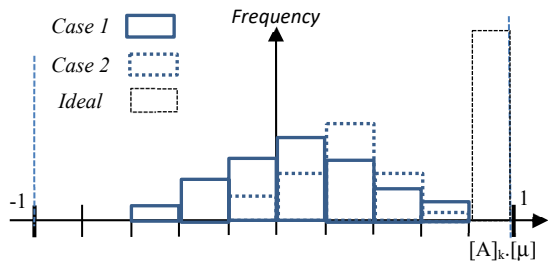


Figure 3: Empirical distribution of inequality intensities with various  $[\mu]$ .

We must choose  $\mu$  in such a way this distribution has the less as possible negative values (correct predictions), and the greatest as possible positive values (lowest specificity). This is illustrated on figure 3, case 2 being better than case 1, ideal case being this where all  $[A]_k.[\mu]$  would be exactly equal to 1.

To meet such a distribution, we choose to minimize an additive cost function having the following form:

$$\Phi(\mu) = \sum_{k=1, \dots, R} \varphi([A]_k.[\mu]) \quad (10)$$

Where  $\varphi$  is a function :  $[-1, 1]^m \rightarrow \mathbb{R}^+$  continue, strictly decreasing, and C1 class, with:

- $\varphi(1) = 0$  (case of perfect ordering);
- $\lim_{\delta \rightarrow -1} \varphi(\delta) = +\infty$  (case of worst ordering).

$\varphi$  being in addition strictly convex:  $\forall \delta x > 0, \varphi(-\delta x) + \varphi(\delta x) > 2.\varphi(0)$  will give us assurance that a defect of ordering corresponding to  $-\delta x$  is not compensated by an overage of same intensity  $\delta x$ .

So, we can choose a local cost function:

$$\varphi(x) = -L.\log_2(\frac{1}{2}.(x + 1)), \text{ with } L \in \mathbb{R}^+ \quad (11)$$

Normalizing the expression of  $\varphi(x)$  with respect to R, number of inequality statements, we get:

$$\Phi(\mu) = -(1/R). \sum_{k=1, \dots, R} \log_2(\frac{1}{2}.( [A]_k.[\mu] + 1)) \quad (12)$$

This quantity quantifies the average “degree of order” present in results delivered by  $C_\mu$  integral with respect to evidence, expressing thus the predictive capability of  $C_\mu$  with the chosen  $[\mu]$ . This order reflects both the crispness of the aggregated prediction and its degree of matching with reality.

More generally, we may use a function:

$$\Phi(\mu) = -(1/R). \sum_{k=1, \dots, R} \log_2(v([A]_k.[\mu])) \quad (13)$$

$v(\delta)$  being a fuzzy comparator, associating to any  $[A]_k.[\mu] \in [-1, 1]$  a value  $\in [0, 1]$ , that is in fact the degree of truth of the assertion:

$$[C_\mu(X_d) < C_\mu(X_{Evidence(d)})] \quad (14)$$

$v$  may be for example  $v(\delta) = \frac{1}{2}.\lambda.(1 + \text{erf}(\frac{\sqrt{2}.\delta}{\lambda}))$ , where erf is the Gauss error function, enabling us to take into account a known inaccuracy of input values  $x$ . Our first expression corresponds just to the case where  $v$  is the linear comparator  $v(\delta) = \frac{1}{2}.\delta + 1$ .

### 4.2 A Measure of Order

More generally, one can associate to any fuzzy prediction  $x_i, i=1, \dots, D$ , a quantity  $S$  reflecting an actual lack of information in comparison with evidence, the considered prediction being here either information delivered by a single particular source, or a result from a multisource aggregation operator.

$$S = -(1/(D-1)). \sum_{k=1, \dots, D-1} \log_2(v([A]_k.[\mu])) \quad (15)$$

We can equivalently consider a quality factor defined by  $Q = 2^{-S} \in [0, 1]$ , 1 being the value corresponding to a perfect prediction, and 0 standing for an absence of information. The figure 4 presents some examples of different qualities of prediction.

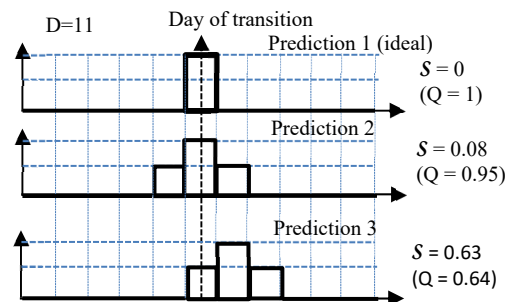


Figure 4: Examples of fuzzy predictions with associated  $S$  values.

$\Phi(\mu)$  appears as the average value of  $S$  on the given training dataset. It represents the expected value of  $S$  related to a new prediction and quantifies thus the performance of the aggregation operator.

## 5 ALGORITHM

The function  $\Phi(\mu)$  being strictly convex as a sum of strictly convex functions, and then, having a unique minimum, we use a simple gradient descent method to minimize it.

At first, we shall combine this basic method with the use of a penalization function in order to keep candidate solutions inside the limits of the domain of validity defined by the set of inequations (8).

### 5.1 Gradient Descent

The solution is a  $(2^n - 1)$  dimension vector  $[\mu] = [\mu_1, \dots, \mu_n, \mu_{1,1}, \dots, \mu_{n-1,n}, \dots, \mu_1, \dots, \mu_n]$ , denoted here  $[m] = [m_1, m_2, \dots, m_{2^n-1}]$ , minimizing  $\Phi$ .  $\Phi$  may be expressed as:

$$\Phi(m) = -L \cdot \sum_{k=1, \dots, R} \text{Log}(1 + \sum_{i=1, \dots, 2^n-1} a_{k,i} \cdot m_i) \quad (16)$$

Where  $a_{k,i}$  is the  $i^{\text{th}}$  component of  $[A]_k$ , and where  $L=1/(R \cdot \text{Log}(2))$ , the  $j^{\text{th}}$  component of the gradient being:

$$\partial\Phi/\partial m_j(m) = -L \cdot \sum_{k=1, \dots, R} a_{k,j} / (1 + \sum_{i=1, \dots, 2^n-1} a_{k,i} \cdot m_i) \quad (17)$$

First, we calculate, for each statement of inequality  $k$ , the  $2^n - 1$  values  $a_{i,k}$ . Then, a loop calculates successive iterations  $m_p$  of the  $m$  vector, according to the formula:

$$m_{p+1} = m_p - \epsilon_p \cdot \text{grad}(m_p) + \Psi(m_p) \quad (18)$$

Where  $\text{grad}(m_p)$  is the gradient vector  $[\partial\Phi/\partial m_j(m_p)]$ , and where  $\Psi(m_p)$  represents a penalization related to domain frontiers, i.e. associated to the constraints  $\mu_i \geq 0$ ,  $\mu_{i_1, i_2} \geq \mu_{i_1}$ ,  $\mu_{i_1, i_2, i_3} \geq \mu_{i_1, i_2}$ ,  $\dots$ , and  $\epsilon_p$  being a step size with an initial value  $\epsilon_0$ , and possibly updated at each iteration.

The iteration loop stops when  $\|m_{p+1} - m_p\| < \eta$ , where  $\eta$  is a predefined value.

### 5.2 Penalization and Projected Gradient

We consider the frontiers of the solution domain with the use of a penalization function  $m \rightarrow \Psi(m)$  defined as:

$$\Psi(m) = \sum_{i=1, \dots, n} \theta(\mu_i) + \sum_{S \subseteq N, S \neq \emptyset, S \neq N, \{i\} \cap S = \emptyset} \theta(\mu_{S \cup \{i\}} - \mu_S) \quad (19)$$

where  $\theta$  is continuous and derivable,  $\theta(x) \approx 0$  for  $x > 0$ ,  $\theta(x)$  being large positive for  $x < 0$ . E.g., for  $n = 2$ , to express the required constraints  $\mu_1 \geq 0$ ,  $\mu_2 \geq 0$ ,  $\mu_1 \leq \mu_{1,2}$ ,  $\mu_2 \leq \mu_{1,2}$ , and  $\mu_{1,2} \leq 1$ , we shall have  $\Psi([\mu_1, \mu_2, \mu_{1,2}]) = \theta(\mu_1) + \theta(\mu_2) + \theta(\mu_{1,2} - \mu_1) + \theta(\mu_{1,2} - \mu_2) + \theta(1 - \mu_{1,2})$ .

We use here a simple exterior penalization  $\theta(x) = \min(x, 0)^2 / (2 \cdot \gamma)$ ,  $\gamma$  being a parameter in relationship with the expected result accuracy (e.g.,  $\eta = 0.01$ ).

As convergence process may be long, especially when the optimum solution is on the domain frontier, i.e. on one of the canonical hyperplanes  $\mu_i = 0, \dots, \mu_{i_1}, \dots, i_p = \mu_{i_1}, \dots, i_p, i_{p+1}, \dots, \mu_{i_1}, \dots, i_n = 1$ , instead of a penalization, we use an adaptation of projected gradient method, that is simple in our case where domain is a convex polytope closed by a set of canonical hyperplanes.

At each iteration, we evaluate the functions  $\omega_i(\mu) = \mu_i, \dots, \omega_{i_1, \dots, i_p, i_{p+1}}(\mu) = \mu_{i_1}, \dots, i_p, i_{p+1} - \mu_{i_1}, \dots, i_p$ , and  $\omega_{i_1, \dots, i_n}(\mu) = 1 - \mu_{i_1}, \dots, i_n$ , a negative  $\omega$  value meaning the candidate solution vector  $m_p$  is out of the domain. In this case, the actual step  $\epsilon_p$  is chosen in such a way that candidate solution is put just on the frontier. Then, at the next iteration, gradient  $\text{grad}(m_p)$  is replaced by  $\text{grad}(m_p)^{\text{proj}}$ , orthogonal projection of  $\text{grad}(m_p)$  on the considered hyperplane, ensuring that the new candidate solution will remain inside the domain.

### 5.3 Overview of the Algorithm

The algorithm is shown in pseudo-code (cf. Figure 5). The first line initializes the Choquet integral coefficients, number of days and sources of the current session and penalization function (pf).

```

Initialize muij, d, s, epsilon, pf(x)
/* 2-dimensions confidence table for
data source/day */
list[d][s] sessionDS
/* Confidence at transition day */
list[s] transitionDS
list[d] deltaEquationList = map(-,
(CreateEquation(transitionDS),
createEquation(sessionDS)))
list[d] entropyEquationList = map(-log2
(erf(x)), deltaEquationList)
do {
    dict gradientDict[key="muij"].values
= sum (map(diff(muij),
EntropyEquationList(muij)))
    dict
penalizationDict[key="muij"].values =
sum(map(min(diff(pf(x)), muij), 0)
/* Penalization */
new_muij = muij
+ epsilon* (gradientDict["muij"]
+ penalizationDict ["muij"])
} while (sqrt(sum(new_muij-muij)**2) <
epsilon
    
```

Figure 5: Algorithm in pseudo-code.

## 6 CASE STUDY AND NUMERICAL RESULTS

The smart farming consists in the implementation of "intelligent" tools intended to support and control all the actions required on the crops based on collected information. In this context, sensors attached to agricultural plots carry out physical variables, enabling to periodically collect and store data. All these sources provide partial, imprecise, and uncertain information about reality.

Therefore, in smart farmer context, at first, it is necessary to develop an estimated future state of the reality regarding the development of culture, based on a set of imperfect measures. Such an estimate state has to be maintained and improved over time. Our evaluations of the proposed approach are led in the context of crop monitoring, and aim at predicting the growth stages, called phenological stages, of a plant. So, in each stage, we propose to predict (reconsidered and refined in real time according to the new available information/data) the transition date to the next stage of plant development. This is a key factor for the farmer, which will allow each action to be carried out.

## 6.1 Experimental Setup

In a smart farming application, the days of transition from a growth stage to the next one is a very important issue for operators like farmers, who can then take the right actions at time  $t$ , to plan the sequence of actions to be taken with the right timing, e.g. fertilization, watering, other treatments. The  $n$  sources are then the predictions delivered according to  $n$  different methods with various input data and processes.

As an illustrative example, we base our experiment, for a given culture, on three sources of input, which are:

- The classical empirical calculation called "growing degree-days method";
- A statistical model of the plant;
- A digital image processing.

### 6.1.1 Classical Empirical Calculation

The growing degree-days method is based on the cumulative daily variations of observed ambient temperature, according to the following formula, valid in absence of any disturbing element (e.g. stressing environmental factors like unseasonal drought or disease) and under given temperature conditions:

$$GDD(d) = \sum_{n=D_0, 1, \dots, d} [(T_{\max}(n) - T_{\min}(n))/2 - T_{\text{base}}] \quad (20)$$

Where:

- $T_{\max}(n)$  and  $T_{\min}(n)$  are the minimum and maximum temperature measured during day  $n$ , computed from day  $D_0$ , changeover day in the current growth stage;
- $T_{\text{base}}$  is a constant value depending on the plant (called "zero vegetation temperature").

This calculation, based on daily measured temperatures allows the estimation of changeover day to the next stage (day  $d^*$ ) for which  $GDD(d^*)$  reaches a known value, denoted  $DJ_0$  (specific constant depending on both the current growth-stage and the considered plant). The calculation will be based on the weather data recorded up to the current day  $d$ , then on the weather forecast from day  $d+1$ . In practice, such a prediction is attached to low precision but quite good trueness. In general, the closer the planned changeover date is, the more precise is the prediction on day  $d$ , this prediction being moreover daily refined.

### 6.1.2 Statistical Model of the Plant

The statistical model of the plant. Such a model depends on various parameters, such as the type of plant and the considered region. From data given as input and representative of the context (e.g. average temperature or soil quality indexes), such a model provides a prediction (by the application of a formula or an extrapolation from a table).

This prediction will be affected of a certain degree of confidence that depends on the quality of the model, and more or less good fits to empirical data. In practice, a prediction will therefore result in a confidence interval, i.e. a confidence interval associated to a given confidence threshold.

### 6.1.3 Digital Image Processing

Observation by digital image processing delivers a measurement based on visual characteristics (red and infrared channels) of the observed plant, issued from a satellite image, with calculation of NDVI (Normalized Difference Vegetation Index).

Using different cameras in different locations, such a source can also perform a digital image processing aiming at extracting typical visual characteristics of growth stages, e.g. specific forms and their degree of development. The results issued from such growth-stage prediction methods are also imprecise.

## 6.2 Experimental Evaluation

The case study concerns the cultivation of winter wheat in Normandy, which is a region with a temperate oceanic climate in France. This case is based on simulation data mixed with data from past experiences. In this example, we are at the beginning of stage 8 (maturity) and we are trying to predict the end of ripening and therefore the beginning of stage 9 (senescence), in order to harvest wheat. The prototype was developed in Python 3 programming language.

In order to assess the robustness of our algorithm, we placed as inputs noisy sensor confidence data, with Gaussian noises of increasing standard deviations. Figure 6 illustrates examples of graphs obtained with noisy data sources.

We performed a simulation with noisy input 50 times per noise value (Gaussian noise with standard deviation from 0.05 to 0.15). For each simulation, we computed descriptive statistics about the predicted day of passage (cf. table 1). These analyses seem to show a certain robustness of the algorithm, even with

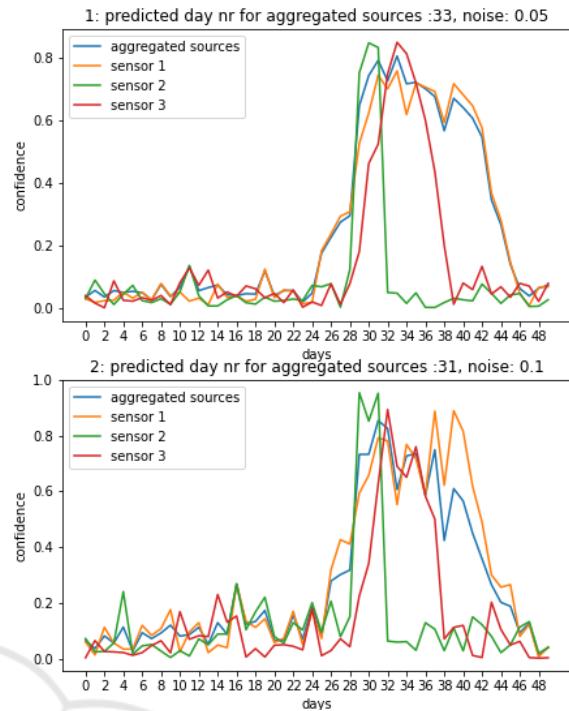


Figure 6: Predicted days for the next growth stage.

noisy signal. The predicted day does not seem to vary significantly. Table 1 contains the summary of the simulations.

Table 1: Experimental results.

| Noise | Predicted day |                      |            |            | Avg max Choquet integral value |
|-------|---------------|----------------------|------------|------------|--------------------------------|
|       | <i>Avg</i>    | <i>Std deviation</i> | <i>Min</i> | <i>Max</i> |                                |
| 0.05  | 31.72         | 1.266                | 30         | 35         | 0.806                          |
| 0.075 | 32.26         | 1.467                | 30         | 35         | 0.809                          |
| 0.1   | 32.52         | 1.459                | 30         | 35         | 0.821                          |
| 0.15  | 32.1          | 1.992                | 29         | 37         | 0.880                          |

## 7 CONCLUSION AND FUTURE WORK

In this paper, we proposed a Choquet-based decision model associated to a parameter identification approach. We explored the genericity, the non-additivity and the synergies between the different criteria parameters, related to the Choquet integral, to propose a solution as an answer to the difficulty of defining several parameters values.



In our proposal, we identified the parameters of a Choquet-based decision model from a training dataset (the sources data and the observed reality) to propose the coefficients based on a large set of preferences constraints. A measure aiming at evaluating the prediction capability, attaching to any potential solution a degree of order, has been detailed.

The case study concerns smart farming, and the implemented prototype allows, for a given culture and several sources of input, to help farmers to predict the senescence growth stage. We have studied how the Choquet integral has led to a parameter's identification for decision model. The case study concerns the cultivation of winter wheat in Normandy. Indeed, for a given culture, several sources of input are considered, mainly the classical empirical calculation called "growing degree-days", a statistical model of the plant and observation given by digital image processing.

The experimental results are very encouraging, the predicted day is stable despite the variation of the noise value. The proposed algorithm is currently extended to integrate the entropy criterion.

Future work will include extending the proposal to support the Bi-capacities, which emerge as a natural generalization of capacities in such a context and could be interesting to integrate information that goes against a phase transition over a given period.

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