

Intelligent Control of Construction Manufacturing Processes using Deep Reinforcement Learning

Ian Flood¹ and Paris D. L. Flood²

¹*Rinker School, University of Florida, Gainesville, FL 32611, U.S.A.*

²*Dept. of Computer Science and Technology, University of Cambridge, Cambridge, U.K.*

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Abstract: This paper is concerned with the development and evaluation of a reinforcement learning approach to the control of factory based construction operations. The unique challenges associated with controlling construction work is first discussed: uneven and uncertain demand, high customization, the need to fabricate work to order, and a lack of opportunity to stockpile work. This is followed by a review of computational approaches to this problem, specifically those based on heuristics and machine learning. A description is then given of a model of a factory for producing precast reinforced concrete components, and a proposed reinforcement learning strategy for training a neural network based agent to control this system. The performance of this agent is compared to that of rule-of-thumb and random policies for a series of protracted simulation production runs. The reinforcement learning method was found to be promising, outperforming the two competing strategies for much of the time. This is significant given that there is high potential for improvement of the method. The paper concludes with an indication of areas of proposed future research.

1 INTRODUCTION

Achieving operational efficiency in construction is challenging, more so than most other manufacturing industries. This results in part because construction work does not lend itself to the methods of mass production. The arrival of work is random and sporadic, the work can be diverse and extensive in scope, and the products are rarely reproduced. Consequently, work has to be made to order with little or no potential for stockpiling, and with large fluctuations in resource demand.

These complexities make it difficult to derive a simple policy for controlling construction operations that is likely to be near optimal. A potentially promising approach to this problem is assisted control of operations by artificial intelligence (AI) agents. These agents would act like an advisor (in a human-in-the-loop system) or a controller (in an automated environment) offering solutions whenever an operational decision is needed.

The use of AI based decision agents to control operations in the field of construction is limited. Shitole et al. (2019) developed an agent for optimizing a simulated earth-moving operation based

on artificial neural networks (ANNs) and reinforcement learning (RL), and found it worked better than previously published hand-designed heuristics. RL is a broad class of learning techniques based on discovery and rewards that has demonstrated much success in recent years (Sutton and Barto, 2018). Their earth-moving system comprised two excavators serving a fleet of dump-trucks. The function of the agent was to direct the trucks to one or other of the excavators at a junction in the return road, with the goal of optimizing the overall production rate of the system. An issue with this approach to control is its lack of extensibility. That is, the agent can only be applied to the earth-moving system considered in the study. Applying the agent to a new situation with a different site layout and/or equipment combination would require its redevelopment. Although this could be achieved prior to the start of the new construction operation, it would nevertheless be a significant burden on planning. Clearly, there is a need for more work in the area of ANN extensibility.

An alternative application area to site-based construction, with more immediate application given current technology, is factory based manufactured

construction. In this situation, the life-span of an agent should be relatively long, lasting at least until any reconfiguration of the factory system is required or a change occurs in its operating environment. This study will be focused on factory based construction manufacture, specifically for precast reinforced concrete (PRC) component production.

Optimization of customized PRC component production has been considered by several researchers (Leu & Hwang, 2001; Chan & Hu, 2002; Benjaoran & Dawood, 2005), using genetic algorithms (GAs) to improve production performance. Although the approach was shown to be successful, heuristic search methods such as GAs are computationally expensive. Therefore, they are not well suited to situations where decisions have to be made quickly.

RL solutions based on a learned model, such as that developed by Shitole et al. (2019), will generate rapid solutions to a decision problem, once trained. A number of authors have applied this method to the control of factory operations (Waschneck et al., 2018; Zhou et al., 2020; Xia et al., 2021) and found results to be promising when compared to more conventional approaches such as rules-of-thumb. Unfortunately, applications have been outside construction manufacturing, and therefore do not address many of the challenges of this industry, although Waschneck et al. (2018) did address the problem of customization within the semiconductor industry.

The objective of this paper is to explore the potential of RL based modelling as a means of controlling factory based construction manufacturing, given the unique demands of construction projects.

2 DYNAMIC SYSTEM CONTROL

2.1 Decision Agents

The future path of a construction manufacturing system is determined by both controllable and uncontrollable events. The controllable events provide an opportunity to steer this path along a line that is favourable to the manufacturer, optimizing performance in terms of, say, productivity and/or profit. This is achieved through the selection of an appropriate sequence of decisions wherever options exist. Examples of such decisions include prioritizing jobs in a queue, deciding when to take an item of equipment offline for maintenance, and selecting the number of machines to allocate to a process.

These decisions are made by one or more agents, as illustrated in Figure 1, which operate dynamically throughout the life of the system. An agent monitors relevant variables defining the state of the system and its environment (both current and possibly past states, and even predictions about future states) then uses these insights to decide on appropriate future actions to implement. Typically, these actions will concern events in the immediate future (given that the most relevant, accurate, and valuable information is available at the time of the decision) but can also be applied to events later in the future for decisions that have a long lead time.

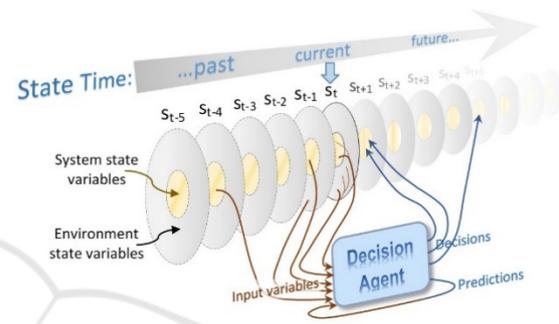


Figure 1: Decision agent control of dynamic system.

An important dichotomy of decision agents is *search* based versus *experience* based systems. *Search* based agents, which include blind and heuristic methods, use a systematic exploration of the solution space looking for the best action attainable. They tailor a solution to the specific instance of the problem at hand. As such, they may find better optimized solutions than *experience* based agents, although that needs to be tested. *Search* based agents are also highly extensible, meaning they can be easily adapted to new versions of the problem. On the downside, they can be computationally expensive and thus not suited to situations requiring rapid decision making.

In contrast, *experience* based agents, which include rules-of-thumb and artificial neural networks (ANNs), make decisions based on exposure to similar situations from the past. Once developed, an *experience* based agent can output decisions rapidly. However, because the solutions they offer are generic rather than tailored to each situation, their decisions may not be as well optimized as those of *search* based agents. Furthermore, *experience* based agents tend to lack extensibility; each new version of the problem requires redevelopment of the agent, which in turn requires the acquisition and assimilation of large volumes of new information on system behaviour.

A hybrid of these agent types is also possible. For example, an *experience* based agent can be used to make the first attempt at a solution and then a *search* based agent can be used to improve on this result. Conversely, a search based agent could be used to acquire examples for development of an experience based agent.

A longer term objective for this study is to quantify and compare the benefits of search and experience based approaches to controlling construction production systems. This paper, however, focuses on experience based approaches applied to construction manufacturing. Two experience based methods will be considered, a rule-of-thumb and a deep artificial neural network (DANN), representing two extremes in functional complexity. DANNs are variants of ANNs that include multiple hidden layers or recursion between units. The additional structure offers a corresponding increase in functional complexity, although model development has additional challenges. Although a DANN is an experience based approach, its development will involve the use of search techniques to gather good training solutions, specifically using RL techniques.

2.2 DANN Agent Development Strategies

For a construction manufacturing environment, optimal solutions to decision problems are not easily attained *a priori* or from direct observation of the real system. Simulating the manufacturing system has the potential to explore a broader range of scenarios than direct observation of the real system, but it similarly fails to provide good labels (near optimal solutions) to problems. This excludes the direct use of supervised training techniques for development of the DANN. There are many ways around this problem, including using a strategy of hindsight whereby the agent explores alternative decision paths (through

simulation), then selects those that are most successful, effectively learning by trial-and-error.

For DANNs, there are two broad approaches to hindsight model development. The first is to explore adjustments to the structure and/or weights of the DANN model (such as random perturbations), and to select those that result in a better performing decision path. This was the strategy investigated by Flood (1989) for selecting sequences for construction jobs in an offline optimization problem. The second approach is to explore adjustments to the values generated at the output from the DANN model, then to evaluate their impact on the performance of the decision path and to feed this back to the model in a supervised manner. A method for implementing this is detailed in section 3.3.3 below. This is in essence the RL method.

3 MODELLING

A key function of RL is the exploration of alternative decision paths and their impact on the performance of the system. This experience is used to shape the decisions made by the agent, mapping from system state to action. This mapping is referred to as the decision policy.

In construction production (including factory-based construction manufacturing) it is not practicable to experiment with alternative decision policies using the real system. Construction work is rarely reproduced making it almost impossible to compare the effectiveness of alternative strategies. Artificially reproducing work is also not viable given the cost and time required to manufacture a construction component. One way around this problem is to build a simulation model of the construction production system, and then to use this to experiment with alternative policies. This concept is illustrated in Figure 2, where the blue line represents the historic path taken by the real system, and the orange lines represent alternative future paths

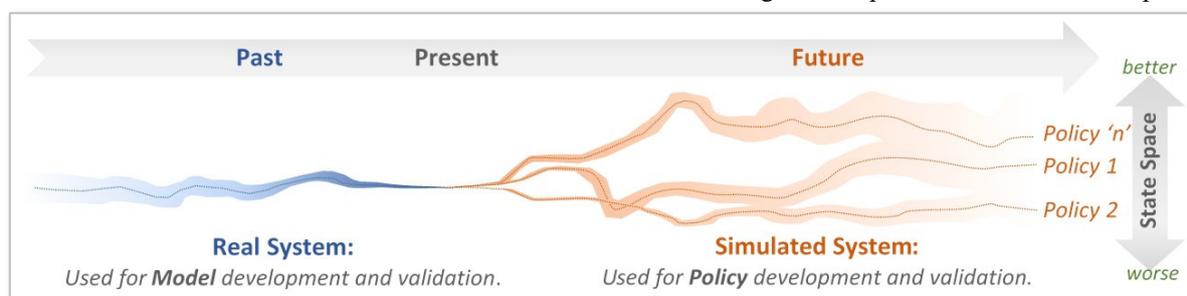


Figure 2: Historic path of the real system followed by alternative future paths of a simulated version of the system.

explored by different policies in a simulated version of the system. The horizontal axis shows the state of the system through time. Information about the real system and its past behaviour would be used to develop and validate the simulation model. The information gathered from the simulated system is used to develop and validate the policy, as described in section 4.1. For this study, a conceptual design was chosen for the simulation model, as specified in section 3.1, designed to test the feasibility of the approach.

3.1 Production Simulator

Figure 3 shows the production model of the system considered, representing the manufacture of precast reinforced concrete (PRC) components such as walls, floors, beams, and column units. The system is designed to capture the challenging and somewhat unique features of construction manufacturing, namely:

- orders arrive in a sparse random manner, must be made to order and cannot be stockpiled;
- each order consists of a batch of components variable in number;
- many if not all components are unique in design both within a batch and between batches, and therefore have variable handling times at each process;
- all components have uncertainty in the handling times at each process; and
- all components must be delivered by a given date in accordance with a site assembly schedule.

In addition, the study includes the following assumptions about the logic of the PRC manufacturing system:

- the processes are executed sequentially by all components, in the order shown in Figure 3;
- the order in which components are served can change between processes; and
- each process has only sufficient resources to serve one component at a time, except the *Cure* process which can handle an unlimited number of components.

The stochastic time related data adopted for this study, including their distribution types, are given in Table 1. The dynamics of the system are given by the relative values of these data (rather than by their absolute values) and therefore units not included. The triangular distribution was adopted because it is computationally inexpensive and yet provides a versatile way of approximating a wide range of distribution shapes, including those with skew.

Incoming orders consist of a batch of PRC components. The number of components in a batch is sampled from a positively skewed triangular distribution, rounded to a positive integer. The arrival of orders is considered to be a Poisson process, with an arrival rate, λ , selected so that the work demand and the productivity of the system would balance over time. Each PRC component is considered to have a different design and therefore their process durations (for *Forms*, *Rebar*, *Concrete*, *Strip*, and *Delivery*) are sampled separately. Curing time is considered to be the same for all PRC components.

On site delivery of a PRC component is measured as a contingency time beyond the sum of the component’s process durations, and is also sampled from a triangular distribution.

Table 1: Modelling the time-related variables.

SYSTEM VARIABLE	FORM OF UNCERTAINTY	PARAMETERS
Order arrival time	Poisson process	Arrival rate (λ) $1/5,000$
Batch size	Discretized triangular distribution	Min Mode Max 1 20 100
Processes durations	Triangular distribution	Min Mode Max 50 100 150
Cure duration	Fixed	~
Contingency time relative to site assembly time	Triangular distribution	Min Mode Max 0 100 200

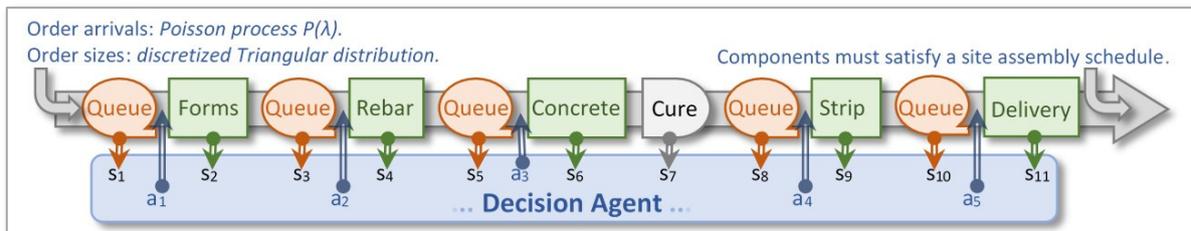


Figure 3: Production model for precast reinforced concrete (PRC) components.

3.2 Policy Types Considered

The control of the system is undertaken by a decision agent as shown in Figure 3. Whenever a vacancy arises at a process, the agent will select a PRC component for processing from the corresponding queue, using its current policy. Three alternative types of policy were considered:

1. A **DANN** based policy developed using the RL method described in section 4. The selection of a PRC component is based on the current state of the system and predictions about the handling times for all the PRC components at each of the processes.
2. A **rule-of-thumb** policy in which the PRC component with the least remaining contingency time in the queue is selected. Note, negative contingencies (delays) are possible. This type of policy was included as a performance benchmark for comparison with the DANN based policy.
3. A **random** policy strategy in which the PRC component is selected from a queue using a uniformly distributed random variate. Again, this was included as a benchmark for comparison with the DANN policy.

3.3 DANN Structure

The DANN has a layered feedforward structure as shown in Figure 4.

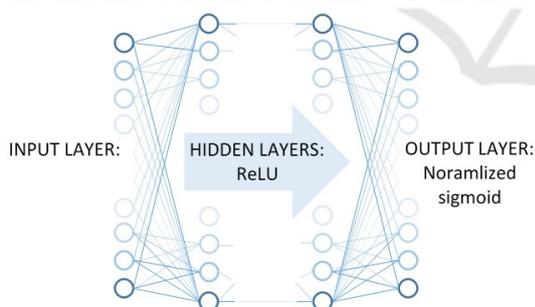


Figure 4: Policy implemented as a DANN.

3.3.1 Input Layer

The input layer receives both temporal and spatial information about the state of the system and the work to be completed. The input values specify the remaining process durations and the remaining contingencies for the PRC components currently in the system. These data are normalized for each process, so that the values range between 0.0 and 1.0 for each input variable. The location of the values at

the input indicates the relevant queue, the position in the queue, and the relevant process.

An issue with this approach stems from the fact that the structure of the inputs to the DANN is fixed (DANNs are structurally rigid) yet the number of PRC components in the system that need to be evaluated is variable. To get around this, the DANN was designed to allow up to a stipulated number (N) of PRC components to be evaluated in each queue: if the number of PRC components in a queue is less than N then the spare input values are set to 0.0; and if the number of PRC components in a queue is greater than N then only the first N PRC components will be evaluated. Furthermore, the N PRC components evaluated are those with the least contingency (or greatest delay), and in this sense this the DANN is a hybrid with the rule-of-thumb policy. For this study, N was set to 20 PRC components since the queue lengths were rarely found to extend beyond this value for the systems considered. Future work will treat this as an experimental parameter.

3.3.2 Hidden Layers

The number of hidden layers was set to 6 and the number of hidden units per layer was set to 64. These values were found to have the best performance in the DANN training phase (see section 4.2) in a preliminary search. A more thorough sensitivity analysis ranging these parameters is planned for future work.

All hidden units adopted the ReLU (rectified linear unit) activation function due its computational efficiency and avoidance of the vanishing gradient problem (Glorot et al., 2011).

3.3.3 Output Layer

The DANNs output layer is where the PRC components are selected from the queues for processing. All output units use a sigmoid activation function, thereby limiting their activation to values between 0.0 and 1.0. The output units are arranged into groups, with each group representing a different queue, and with each unit in a group representing a position in the queue. The number of units in a group is limited to N , the number of PRC components to be evaluated in each queue (see section 3.3.1 above). The current length of a queue or N , whichever is smallest, determines the number of units that are active in its group. The values generated at the active output units in a group are normalized to sum to 1.0. This allows the output values to be treated as probabilities for selecting PRC components from a queue.

The DANN based policy has two modes of operation:

- **Exploration.** This mode is used to steer the simulation through alternative partially-random paths, to gathering high-reward input-output pattern pairs for training the DANN. Monte Carlo sampling is used to select PRC components based on the values generated at the relevant output units. The higher the value generated at an output, the more likely the corresponding PRC component will be selected. The broader strategy adopted for learning is given in section 4 below.
- **Implementation.** This mode operates by selecting a PRC component from a queue based on the output unit that generates the highest value within its group. The operation is entirely deterministic. It is used to control the simulated system in non-training mode, to validate the performance of the current policy. In addition, this is the mode that would be adopted when using the policy to control the real system.

4 DANN LEARNING STRATEGY

DANN development is a deeply nested process, as shown in Figure 5. The outer level of this process comprises two main phases: the collection of training

patterns through the exploration of alternative decision paths; and the training of the DANN. These phases are cycled through a number of times until learning converges, each occasion using the most recent version of the DANN to control the simulation. Each time the system cycles back to Phase I, the simulation is reset to the beginning using a new seed for the random number generator. These phases are described in detail in the following two sections.

4.1 Collection of Training Patterns

Collecting training patterns is undertaken in a series of stages 's', as illustrated in the upper blue section of Figure 5. Each stage experiments with a predefined number of trials 't' simulating the fabrication of a set of PRC components. The trial with the best production performance (see section 4.1.1 below) is selected for later training of the DANN, and as the lead-in for the next stage in the simulation. The training patterns collected are the mappings from input to output for each state transition in the selected trial.

This process continues until a specified number of stages have been completed, each time collecting training patterns from the best performing trial. For future studies, parameters that can be investigated in terms of optimizing performance are the number of

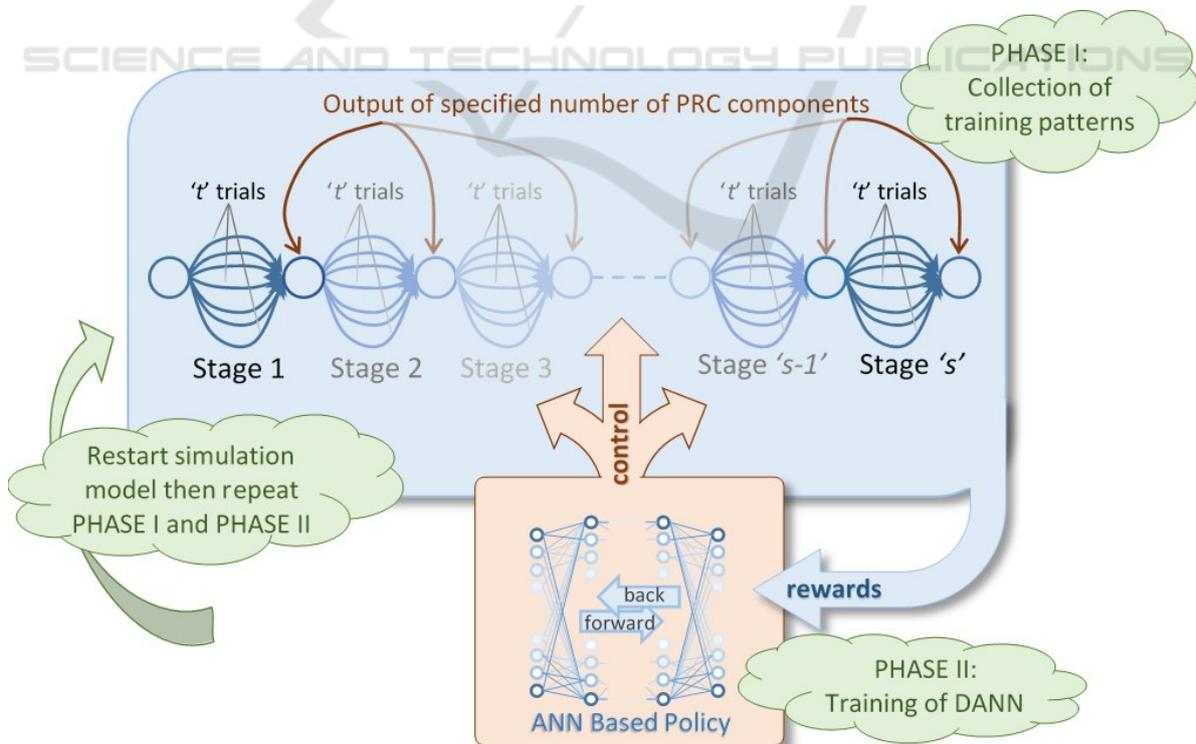


Figure 5: DANN Development Cycle.

trials per stage, the number of PRC components to be fabricated per trial (this could be variable between stages), and the number of stages in the phase.

After completion of this phase, the system moves to DANN training before returning for another round of collecting training patterns. The intent is that by cycle between Phases I and II in this manner, the policy will move towards a better solution incrementally.

4.1.1 Production Performance

Production performance is measured in terms of delays to the delivery of PRC components. The delays are offset relative to a base value in the cost function to account for the square component which would otherwise treat early and late deliveries the same. The basis of the cost function is the root-mean-square (RMS) of these delays:

$$cost = \sqrt{\sum_{i=1}^n (d_i - b)^2} / n \quad (1)$$

where:

- d is the delay for the i^{th} PRC component at its completion;
- n is the number of PRC components completed at the current trial;
- b is the base value against which the delays are offset - this value is the maximum contingency time possible for a PRC component.

4.1.2 Rewards

The learning strategy presented here collects training patterns based on their success in improving system performance. For this reason, a training pattern's output values are modified from that produced by the DANN to increase the probability of making the same selection in a similar circumstance. The modification (a reward) is to move the selected output value closer to 1.0, and to move the other relevant output values closer to 0.0, remembering that the output values are treated as probabilities of selecting an RC component from the queue. The extent of the modification will be treated as an experimental hyper-parameter, although for this study the rewards will be set to 0.0 and 1.0 without any discount.

4.2 DANN Training

The training patterns collected in Phase I are used to train the DANN, or to further train it in repeat cycles, as illustrated in the lower orange section of Figure 5.

The DANN was implemented in Python (Van Rossum, 1995) and PyTorch (Paszke et al., 2019), using the optimizer RMSProp (root-mean-square propagation) and the loss function MSELoss (mean-squared-error) with reduction set to 'mean'. Data loading used a mini-batch size of 64 (with a training set size typically between 2,000 and 6,000) with shuffling switched on. The learning rate was set to 0.001.

Training was conducted until the output from the loss function had converged, which was typically within 1,000 epochs. Validation of the system was undertaken after the learning cycle had plateaued. This involved running the simulation in implementation mode (see section 3.3.3) using a start point not used for learning.

5 RESULTS AND DISCUSSION

A series of experiments were undertaken to evaluate the ability of the DANN to learn, and to compare its performance with both the rule-of-thumb and random policies outlined in section 3.2.

The work demand (given by the batch size distribution for orders and their arrival rate, λ) and the system's productivity (determined in part by the handling times for the processes) were designed to be in balance for these experiments. The relevant parameters are given in section 3.1.

5.1 Short Term Reward Approach

The first experiment was designed to test the performance of the DANN using a short term strategy for collecting rewards, monitoring delivery delays in cycles of 20 PRC components (the mode batch size used for one order of PRC components).

Training data was collected over a 2,000 PRC component production run, divided into 100 stages of 20 components each and with 100 trials per stage (see Figure 5 and section 4.1). Learning was undertaken for six cycles of Phase I and II, at which point no further improvement in performance was found. Each cycle generated around 2,000 training patterns.

After development of the DANN, the simulation model was reset and run for a 10,000 PRC component production run for validation purposes. The results of this experiment are presented in Figure 6, plotting the RMS of the shifted delays in the delivery of the PRC components (the Phase I objective function, see Eq. 1) against the component sequence number. Note, the measure of delay is presented as the rolling average (the average delay from the first to the n^{th} component)

to capture the collective performance over the production run. The initial rise in delay in the graph (over approximately the first 700 components) is due to start-up conditions in the production system. The performance of the rule-of-thumb and random policies are also shown in the figure for the same production run.

The DANN demonstrated a slightly better performance than the two alternative policies, settling at approximately a 5% reduction on this measure of

delay. Interestingly, the rule-of-thumb and random policies had very similar performance histories throughout the run. This was found to be generally true for all experiments undertaken.

Figure 7 presents the same results but for delays rather than RMS delays. Noting that delays are measured as negative quantities, a similar conclusion is found to that drawn from Figure 6, except that the rule-of-thumb policy becomes more competitive in the latter stages of the experiment.

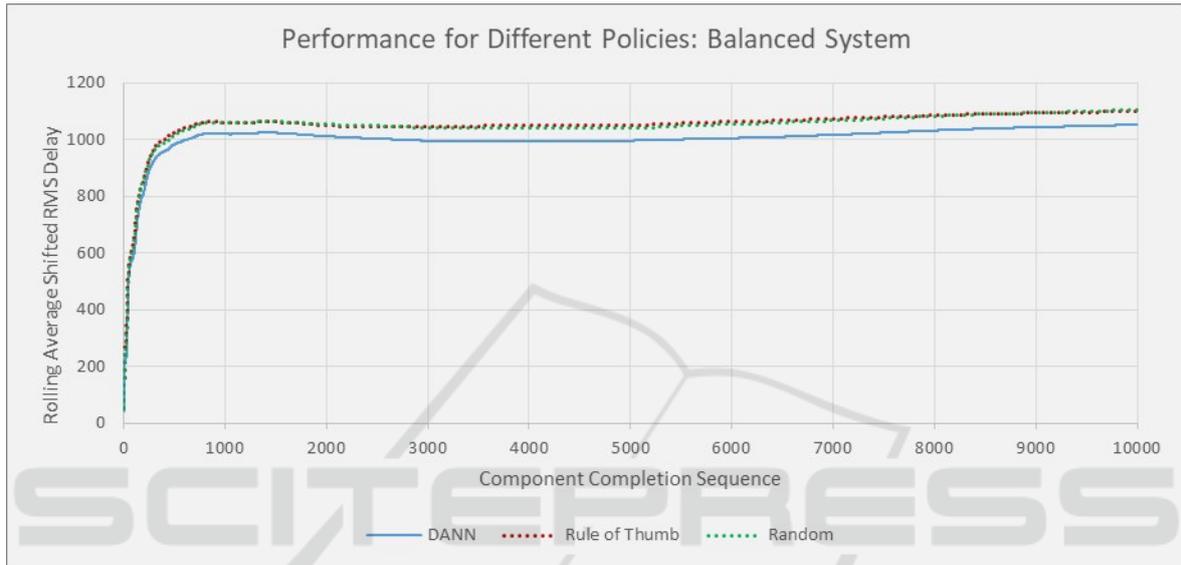


Figure 6: Validation run for a short term rewards strategy: shifted RMS delay versus PRC components.

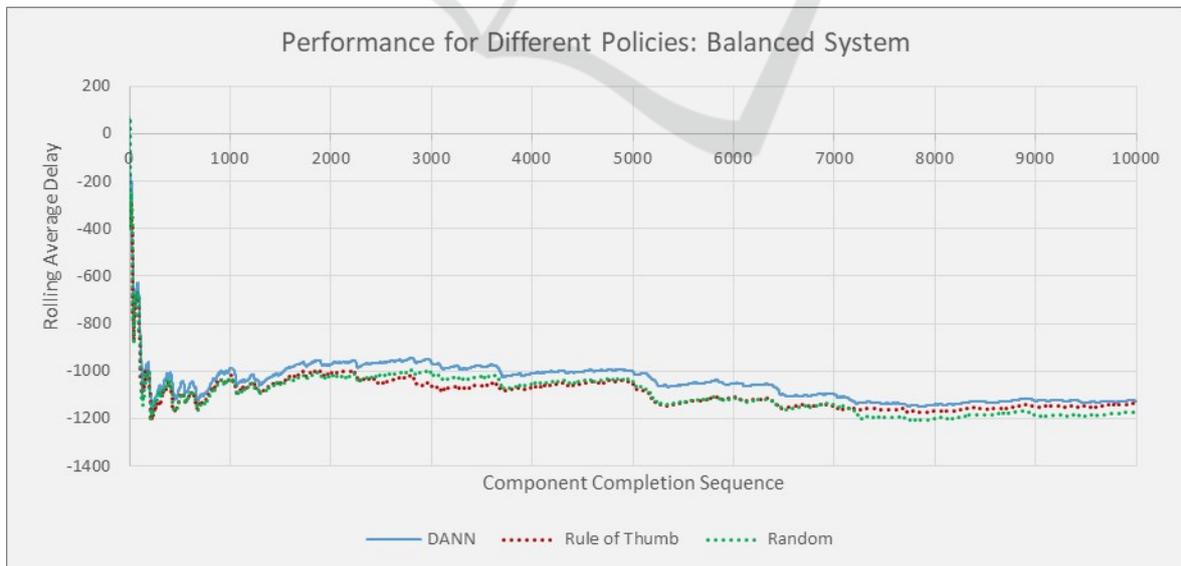


Figure 7: Validation run for a short term rewards strategy: delay versus PRC components.

5.2 Mixed Term Reward Approach

The second experiment was designed to test the performance of the DANN using a mixture of short, medium, and long term strategies for collecting rewards. In this case, delivery delays were monitored in cycles of 20, 40 and 200 PRC components using separate production runs which were then aggregated into a single training set.

Training data was collected over three 2,000 PRC component production runs, divided into stages as follows:

- 100 stages of 20 components each, with 100 trials per stage;
- 50 stages of 40 components each, with 100 trials per stage; and
- 10 stages of 200 components each, with 100 trials per stage.

Learning was undertaken for five cycles of Phase I and II, at which point no further improvement in performance was found. Each cycle generated around 6,000 training patterns.

As in the first experiment, the simulation model was reset and run for a 10,000 PRC component production run for validation purposes. The results of this experiment are presented in Figure 8 for delays. The DANN generally outperformed the rule-of-thumb and random policies, except there was a period (starting around 2,700 components) where its performance regressed. It is not clear what caused this, although the DANN appeared to make gains relative to the other policies when the average delays were increasing. The DANN does seem to be able to

learn good solutions, but this success is mixed. It could be addressed by a broader and more comprehensive set of training runs.

There was no obvious difference between the learning abilities of the short and mixed term rewards strategies. Clearly, a thorough analysis of this idea is required for a range in the term lengths of the rewards.

Figure 9 shows the performance of the DANN at each of the five learning cycles in the 10,000 production run. As expected, the lines higher on the graph were produced by the later learning cycles, indicating convergence on a solution. An important observation from this, however, is that most of the learning occurred in the region where the DANN outperformed the rule-of-thumb and random policies (compare Figures 8 and 9). In other words, the DANN's superior performance over its competitors correlates very strongly with its ability to learn. The question to be resolved is: what gives rise to the differences in learning? For example, there could be a lack of training examples similar to the situation arising at the reduced performance regions in the production run - this could be addressed by expanding training. Alternatively, it could be that there are situations where improvement in performance is unattainable, perhaps because the default solution is already optimal or near optimal. Indeed, the DANN seemed to learn more when the delays were increasing in the production run.

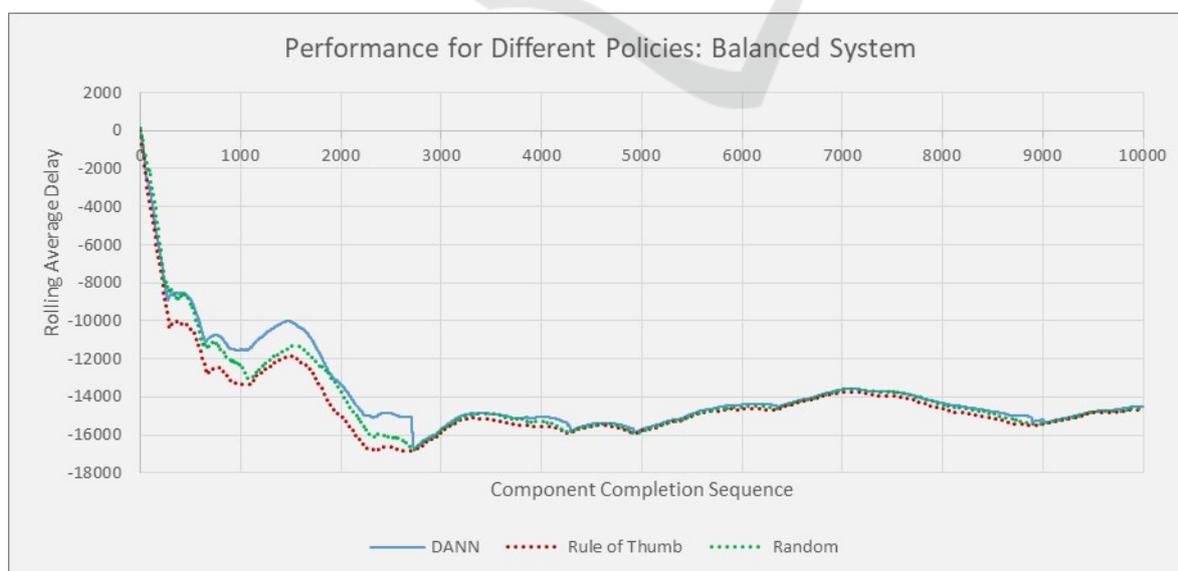


Figure 8: Validation run for a mixed term rewards strategy: delay versus PRC components.

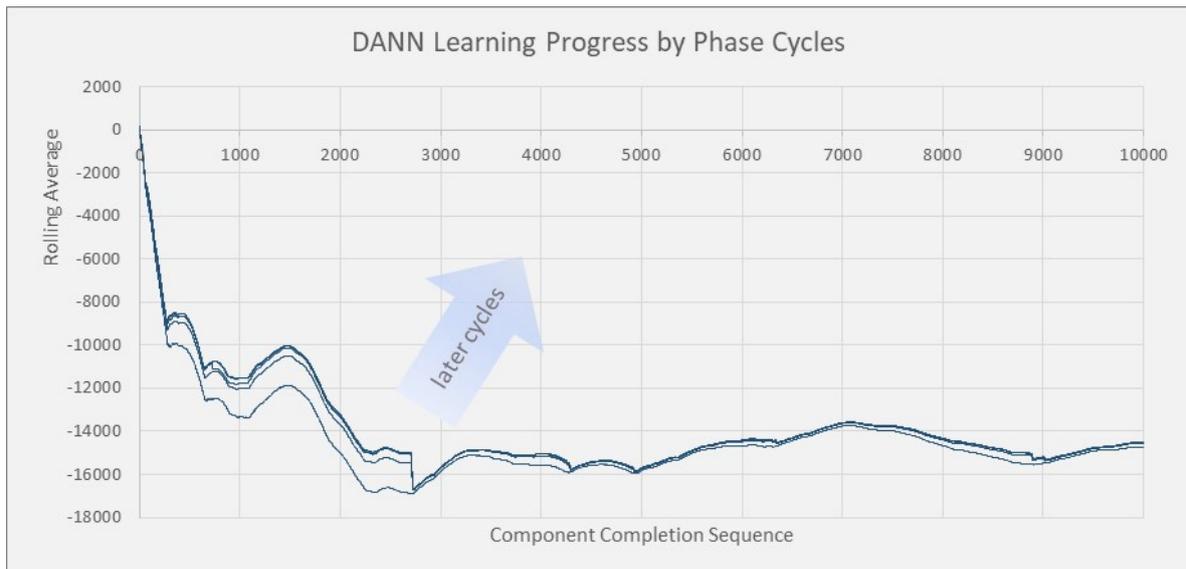


Figure 9: DANN learning progress over the first five cycles of Phase I and II.

6 CONCLUSION AND FUTURE WORK

The work presented in this paper was concerned with evaluating the potential of RL trained DANNs to provide high-performance control of factory based construction processes. The problem is particularly challenging given the nature of construction projects: uneven and uncertain demand, high customization, the need to fabricate work to order, and a lack of opportunity to stockpile work.

A preliminary series of experiments showed the approach to be promising. The DANN outperformed both a rule-of-thumb policy and a random policy in the control of long production runs. Although the improved performance was not maintained for the entire duration of the production runs, there are many opportunities for significant further development of the technique. This is in contrast to the rule-of-thumb and random policies which have no capacity for further improvement - they are fixed strategies.

Future work will be aimed at improving the performance of the RL approach, and increasing the applicability of the technique to a more diverse range of construction manufacturing problems.

The following work is planned for performance improvement of the approach:

- Increasing the length and diversity of production runs used for training, thereby increasing the size and scope of the training dataset.

- Undertaking sensitivity analyses on the RL hyper-parameters such as the reward term lengths, the rewards discount rate, the number of trials per stage, and the number of stages in a cycle.
- Undertaking sensitivity analyses on the structure and architecture of the DANN, including the number of PRC components to be sampled at the input (N), the number of hidden layers, the number of hidden units per layer, and the inclusion of an ensemble of models.
- Consideration of the use of alternative RL algorithms, and the use of heuristic search techniques to solve the same problem.

The following work is planned to increase the scope of application of the approach:

- Case studies aimed at identifying detailed performance data, logistics, and the practical issues associated with day-to-day control of construction manufacturing systems.
- Increasing the range of state data used for input and the scope of the type of decisions made by the decision agent.

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