A Fault Management Preventive Maintenance Approach in Mobile Networks using Sequential Pattern Mining

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Abstract: Mobile networks' fault management can take advantage of Machine Learning (ML) algorithms making its maintenance more proactive and preventive. Currently, Network Operations Centers (NOCs) still operate in reactive mode, where the troubleshoot is only performed after the problem identification. The network evolution to a preventive maintenance enables the problem prevention or quick resolution, leading to a greater network and services availability, a better operational efficiency and, above all, ensures customer satisfaction. In this paper, different algorithms for Sequential Pattern Mining (SPM) and Association Rule Learning (ARL) are explored, to identify alarm patterns in a live Long Term Evolution (LTE) network, using Fault Management (FM) data. A comparative performance analysis between all the algorithms and 70.45% in the number of alarms of a certain type. There was also a considerable reduction in the number of alarms per network node in a considered area, having identified 39 nodes that no longer had any unresolved alarm. These results demonstrate that the recognition of sequential alarm patterns allows taking the first steps in the direction of preventive maintenance in mobile networks.

1 INTRODUCTION

Fault Management (FM) optimization in mobile networks involves taking advantage of Machine Learning (ML) techniques to make its maintenance proactive and preventive.

Mobile network operations still work in reactive mode, *i.e.*, the diagnosis and the problem solving starts only after a network malfunction occurs, a service is impacted, or when a customer complains. The engineers have access to a lot of information such as alarms, performance measurements and more, but they lack an effective way to quickly solve issues. Thus, Mean Time To Repair (MTTR) is affected, impacting network and service availability, operational efficiency, and customer satisfaction.

Minding a solution for preventive maintenance, operators can leverage ML to reduce operational costs, improve network and service availability, improve customer satisfaction, and reduce missed Service Level Agreements (SLAs). In this context, the main goal of this paper is to create a solution for preventive maintenance of mobile networks' alarms, using real data, that:

- 1. Mines alarms clusters and establishes relationships between them, forming association rules;
- 2. Continuously learns from new data, improves over time, and builds expertise in the network maintenance domain;
- 3. Defines antecedent and consequent alarms in a sequential pattern, where they are sorted chronologically;
- 4. Recognizes the most frequent patterns in order to find the most concerning faults and identify the main advantages that come from their prevention.

76

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Some recent related work will be presented in the following. In (Araújo, 2019), the alarms' proactive management is addressed as a way to take advantage of ML algorithms to follow the evolution of mobile networks and services operations. In (Mulvey, 2019) and (Nouioua, 2021), research is carried out on the application of ML techniques and data mining in the fault management of telecommunications networks, from an operational point of view. The authors surveyed several machine learning based techniques for fault management, including the ones used in this work.

The paper is organized as follows: Section 2 briefly presents the study carried out on Association Rule Learning (ARL) and Sequential Pattern Mining (SPM) algorithms, its methodology and their respective evaluation metrics. Section 3 presents the experimental results of all the discussed algorithms' implementation, with a comparison of their efficiencies, and finally Section 4 concludes the paper.

2 METHODOLOGY

2.1 Fault Management Data

The used dataset contains several alarm logs from a live LTE network. These logs contain the timestamp, severity, name, type, technology, detailed information, and the network node associated with each alarm.

As described in (Huawei, 2015), the alarms can be classified, as to their severity, as follows:

- 1. **Critical:** A critical alarm affects system services. As soon as it is triggered, immediate actions must be taken, even when the fault occurs outside working hours;
- Major: A major alarm affects the quality of service and requires immediate action, only if occurs during working hours;
- 3. **Minor:** A minor alarm usually does not affect the quality of service. It should be treated as soon as possible or monitored to avoid potential severe failures;
- 4. **Warning:** A warning alarm indicates a possible error that could affect the quality of service. Requires different actions depending on specific errors.

In the sequence, alarms are further categorized by their type, depending on their operational area: Power; Environment; Signaling; Trunk; Hardware; Software; System; Communication; Service quality; Unexpected operation; Operations and Maintenance Center (OMC); Integrity; Operation; Physical resource; Security; Time domain; Running; Processing.

2.2 Association Rule Learning

Association Rule Learning (ARL) allows extracting correlations or strong associations, hidden between sets of items present in transactions in a certain dataset. The association rules problem defines a transaction as a set of items where each item can have different attributes. The used dataset contains several transactions. An association rule is an implication, written as $A \rightarrow C$, where A and C are sets of items called antecedent and consequent, respectively.

Generally, there are two metrics for evaluating an association rule, support and confidence, presented in equations (1) and (2), respectively. As datasets usually store large amounts of information but only the most frequent transactions are interesting, minimum values are defined for these evaluation metrics, which help to filter out less frequent rules.

• **Support:** Relative frequency or occurrence probability of a transaction. It can take values between 0 and 1.

Support(A
$$\rightarrow$$
C) = $\frac{\text{Occurrences of AUC}}{\text{Total number of transactions}}$ (1)

Confidence: Probability of a transaction containing the consequent, knowing that it also contains the antecedent. Confidence is maximum if the consequent and the antecedent always occur together. It is not symmetric, *i.e.*, the confidence of $A \rightarrow C$ is different from the confidence of $C \rightarrow A$.

$$Confidence(A \rightarrow C) = \frac{Support(A \rightarrow C)}{Support(A)}$$
(2)

In addition to these two metrics, others can be used to better classify the association rules, considering other properties that both support and confidence cannot quantify. In the scope of this work, three more evaluation metrics were used: lift, leverage and conviction, and set in equations (3), (4) and (5), respectively. These metrics were not used to filter rules, but to better evaluate them.

• Lift: Quantifies how frequent the simultaneous occurrence of A and C is compared to what

would be expected if they were statistically independent. If A and C are independent, the lift will be equal to 1.

$$\text{Lift}(A \rightarrow C) = \frac{\text{Confidence}(A \rightarrow C)}{\text{Support}(C)}$$
(3)

• Leverage: Difference between the frequency of A and C occurring together and the frequency that would be expected if A and C were independent. A value of 0 indicates independence between the two itemsets.

Leverage(
$$A \rightarrow C$$
) = Support($A \rightarrow C$) = Support($A \rightarrow C$) - Support(A) × Support(C) (4)

 Conviction: A high value means that C is strongly dependent on A. For example, if the confidence is equal to 1, the denominator will be 0, so the conviction will be ∞. Like lift, if the items are independent, the conviction is equal to 1.

$$Conviction(A \rightarrow C) = \frac{1 - Support(C)}{1 - Confidence(A \rightarrow C)}$$
(5)

Under this work, three ARL algorithms were implemented: Apriori, Equivalence CLAss Transformation (ECLAT) and Frequent Pattern (FP)Growth, and extracted from (Agrawal, 1994), (Zaki, 2000) and (Han, 2004), respectively.

2.2.1 Apriori

This algorithm has to access the dataset several times to obtain the frequent itemsets. In the first access, the support is counted for each item individually (level 1 itemsets). Then, with a minimum defined support value, *S*, there are excluded rare items, *i.e.*, those whose support is lower than *S*.

In later accesses, higher-level itemsets containing rare items are no longer considered, because if an item's support, S_{i} , is lower than S, then all subsets that contain it will have a support equal or lower than S_i and, thus, lower than S (Apriori property).

This process is repeated until there are no more frequent itemsets to evaluate. The final list of frequent items is the junction of all the lists created for each level, including the support values calculated for each frequent itemset.

2.2.2 ECLAT

ECLAT is an improved version of the Apriori algorithm. While Apriori uses a horizontal dataset representation, ECLAT transforms it into its vertical representation where, instead of indicating the itemsets that belong to each transaction, it lists the transactions in which item occurs.

Transaction lists for higher level itemsets are created recursively, calculating the intersection of the transaction lists (from the previous level) of each item. If the intersection is null, the itemset is removed from the list. This process is over when, for a certain level, all intersections are null.

If the minimum support is set to the same value, the final list of frequent itemsets will be identical to that of the Apriori algorithm. However, ECLAT takes up less memory throughout its process, manages to be faster by using a vertical approach – in which its calculations are done in parallel – and ends up performing fewer accesses to the dataset, because it is possible to calculate the support values for any level.

2.2.3 FPGrowth

The FPGrowth algorithm implementation considers the Frequent Pattern (FP)-tree, a tree that contains the prefixes of the transactions. Each tree path represents a set of transactions that share the same prefix, where each node corresponds to a single item. Furthermore, all nodes referring to the same item are linked together, so that all transactions that contain a certain item can be easily found and accounted for when traversing this tree.

The main operation that the FPGrowth algorithm has to perform is to build the FP-tree of a projected dataset, *i.e.*, a dataset with the transactions that contain a certain item, with that item removed. This projected dataset is processed recursively, not forgetting that the frequent itemsets found share the same prefix – the item that was removed.

After building the FP-trees for all the necessary dataset projections, the process of eliminating some of the nodes associated with rare items is carried out in order to simplify the tree and speed up the process.

Thanks to an efficient implementation of FP-trees, the FPGrowth algorithm largely outperforms the previously presented algorithms (Apriori and ECLAT), both in terms of execution time and the memory required, since the storage of the dataset using a tree representation is more compact than the full list of transactions.

2.3 Sequential Pattern Mining

Sequential Pattern Mining (SPM), unlike ARL, takes into consideration the items' order in each sequence, allowing to discover frequent patterns in a dataset, which may prove useful or interesting to be explored. The objective of SPM algorithms is to find all patterns (sub-sequences) that have a support higher than or equal to the minimum support value defined by the user. Therefore, the patterns they each find are the same for all the algorithms. What differentiates them is solely their efficiency in recognizing those patterns.

Three SPM algorithms were also implemented: PrefixSpan, Sequential PAttern Discovery using Equivalence classes (SPADE) and Sequential PAttern Mining (SPAM), and extracted from (Pei, 2004), (Zaki, 2001) and (Ayres, 2002), respectively.

2.3.1 PrefixSpan

PrefixSpan is a pattern-growth algorithm, based on FPGrowth. It is the only one of the three studied algorithms that does not consider all possible combinations for the patterns that can be found. Recursively accesses the dataset to concatenate new items until the complete pattern is formed, therefore, it only considers the patterns that exist in the dataset. These successive accesses can, however, be time consuming, so the concept of "projected dataset" was introduced, to reduce its size, optimizing the access. In terms of memory, creating multiple dataset projections can take up a lot of data storage space.

2.3.2 SPADE

Inspired by ECLAT, this algorithm uses a vertical representation of the dataset, created during the first access, indicating in which itemsets and in which sequence each of the items is found.

The vertical representation has two interesting properties for recognizing sequential patterns. The first property is that the list created for any sequence allows directly calculating its support. The second property is that the list of any sequence can be obtained, without directly accessing the original dataset, by joining the various lists of the subsequences that compose it.

By taking advantage of these properties, algorithms such as SPADE and even SPAM perform their discovery for sequential patterns without repeatedly accessing the dataset and, therefore, without keeping many patterns in memory.

2.3.3 SPAM

Similar to the algorithm presented above, SPAM manages to be even more efficient by optimizing the structure of the pattern list. This algorithm encodes these lists as binary vectors, which cuts the memory necessary to store the same information. In addition,

it speeds up the mathematical operations that need to be performed. It can still be improved with the use of compression techniques that reduce the number of used bits.

This algorithm has been shown to be faster than SPADE and PrefixSpan, especially for relatively large datasets. In terms of memory, SPADE still manages to be the more efficient of the two.

3 EXPERIMENTAL RESULTS

In order to discover the association rules and sequential patterns within the network, real FM data were used to test, analyse, and compare the presented algorithms.

To evaluate every association rule, the minimum support value was set to "2 occurrences / Total number of transactions", *i.e.*, it only takes a repetition for an itemset to be considered frequent. A minimum confidence of 50% was also imposed, which indicates that, at least half of the transactions that contain the antecedent also contain the consequent (group of alarms).

Before analysing the results and to assess the efficiency of each algorithm, the execution times and the used memory were quantified. These results were obtained from tests performed on a machine with the following specifications: Intel® CoreTM i5-7300HQ CPU @ 2.50GHz (4 CPUs), 8GB RAM, Windows 10 Education 64bits.

3.1 Association Rule Learning

In the implementation of the algorithms Apriori and FPGrowth, the MLxtend library (Raschka, 2018) was used. For ECLAT, it was used the PyFIM library (Borgelt, 2012). The tests were carried out for a time window from 1 to 30 minutes, and with one minute granularity. Comparative graphs for the different time windows are shown in Figure 1 and Figure 2, for the execution time and memory usage, respectively.

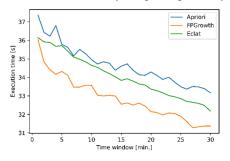


Figure 1: Execution time of each ARL algorithm, for several time windows.

As in Figure 1, the execution time of all algorithms decreases as the time window increases. Although, with this growth, there are transactions with more sets of items, the number of transactions decreases and, also, there are fewer evaluation metrics to calculate, which can justify the time reduction. Comparing the executions time of each algorithm, it is possible to verify that the fastest is FPGrowth, followed by ECLAT and, finally, Apriori.

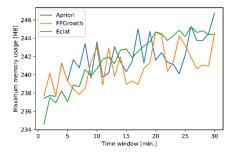


Figure 2: Memory usage of each ARL algorithm, for several time windows.

Considering Figure 2, despite constant fluctuations, it is possible to verify that the used memory increases as the time window increases, which is justified by the growth of the number of items in each transaction. In general, it is possible to conclude that the most efficient in terms of memory occupation is also FPGrowth. ECLAT manages to be very optimized for small transactions, but after a certain point, it uses even more memory than Apriori.

The list of rules, identical to all algorithms, was sorted by the Lift metric in descendent order, since those with the higher value are the ones with a greater dependence between the rule's antecedent and consequent. The top 6 rules are shown in Table 1. The confidence and conviction metrics were omitted as they were the same for all evaluated relations and time windows, having the values 1 and ∞ , respectively.

Despite the support values being low, the algorithms demonstrate conviction that these associations are quite strong, *i.e.*, when a certain antecedent alarm occurs, the consequent alarm will occur in the following.

Note that, for a given time window and network node, there is always a pair of symmetric rules, *i.e.*, there is always a second rule where the antecedent is the consequent, and the consequent is the antecedent of the first rule. Therefore, at this stage, it is not possible to be sure about the order of occurrence of the alarms, and it is necessary to move forward resorting to Sequential Pattern Mining (SPM).

Minute(s)	Network node	Antecedent(s)	Consequent(s)	Support	Lift	Leverage
15 	BX94BL	RF Unit ALD Current Out of Range	ALD Maintenance Link Failure	0.00134 	741.5 - 634.0	0.00134
15 	BX94BL	ALD Maintenance Link Failure	RF Unit ALD Current Out of Range	0.00134 	741.5 	0.00134
1 - 2	VA08OL	X2 Interface Fault	Inter-System Cabinet Configuration Conflict	0.00151 	662.0 - 632.0	0.00150
$\frac{1}{2}$	VA08OL	Inter-System Cabinet Configuration Conflict	X2 Interface Fault	0.00151 0.00158	662.0 - 632.0	0.00150 0.00157
2	BX16QL	Certificate Invalid	External Clock Reference Problem	0.00202	494.0	0.00202
2	BX16QL	External Clock Reference Problem	Certificate Invalid	0.00202	494.0	0.00202

Table 1: The top 6 association rules, and respective evaluation metrics calculated by the three algorithms, with greater Lift.

3.2 Sequential Pattern Mining

In the implementation of the algorithms, the extensive framework for data mining SPMF (Fournier-Viger, 2016) was used.

In the previous section, it was noticed that there was no need to test several time windows (1 to 30 minutes, every minute) in the definition of transactions, as the results were similar for all of them. Therefore, for these algorithms, transactions were defined based on a single dynamic window. Additionally, there is a timeout interval in which if no alarm happens during that interval, the next alarm that occurs will belong to the next transaction.

The timeout value was defined considering the predominant association rules in the previous section, namely those that contained alarms associated with the Antenna Line Device (ALD). Since the objective is to have this pair of alarms always in the same transaction, the timeout was fixed at 11 minutes, because this is the maximum time interval registered between the two alarms.

The execution times and memory usage of each algorithm were measured, for the dynamic window, and are presented in Table 2.

Observing the average value of three tests executed in a row, the fastest algorithm is the SPAM, followed by PrefixSpan, and finally SPADE. In terms of used memory, the order is reversed, with SPADE being the most efficient, followed by PrefixSpan and, finally, SPAM.

Table 2:	Execution	times	and	memory	usages	of	each
algorithm, for the dynamic time window.							

Algorithm	Execution time	Maximum memory usage		
PrefixSpan	14 minutes 43 seconds	179.07 MB		
SPADE	15 minutes 9 seconds	178.06 MB		
SPAM	13 minutes 50 seconds	180.18 MB		

The list of patterns, identical to all algorithms, was sorted by the Lift metric in descendent order, as those with this highest value are those with a greater dependency among all the alarms that make up the pattern. The top 5 patterns are shown in Table 3.

As already noticed, the same alarms that had already appeared in the association rules of the previous section continue to be present. However, in this analysis, there are no longer symmetrical rules, only the patterns, which, by themselves, already indicate the real order in which the alarms occur.

All listed patterns have been analysed using the alarm's vendor documentation. Furthermore, assuming that when the antecedent is solved, the consequent no longer happens, and that the time interval between them is sufficient to report and solve the failure, the consequent will be removed from the original dataset, and the maximum reduction in the number of alarms will be calculated.

The next sections will present some use cases considering the patterns found in Table 3.

Network node	Pattern	Support	Confidence	Lift	Leverage	Conviction
VA08OL	{Inter-System Cabinet Configuration Conflict, X2 Interface Fault}	0.00259	1.0	386.5	0.00258	8
VA83WL	{RF Unit ALD Current Out of Range, ALD Maintenance Link Failure}	0.00274	1.0	364.33	0.00274	8
BX16QL	{Certificate Invalid, External Clock Reference Problem}	0.00197	0.66	226.0	0.00196	2.9912
AB42AL	{Inter-System Cabinet Configuration Conflict, X2 Interface Fault}	0.00476	1.0	210.0	0.00474	8
TX49BL	{ALD Maintenance Link Failure, External Clock Reference Problem}	0.00477	1.0	209.66	0.00475	8

Table 3: The top 5 sequential patterns, and respective evaluation metrics calculated for the three algorithms, with greater Lift.

3.2.1 RF Unit ALD Current out of Range → ALD Maintenance Link Failure

In the context of preventive maintenance, it is necessary to understand the benefits of being able to recognize the patterns that happened in the past, in order to predict and prevent what will happen in the future. In this case, by preventing the antecedent alarm, RF Unit ALD Current Out of Range, 715 "ALD Maintenance Link Failure" alarms are avoided. This mainly translates into the reduction of the number of alarms as follows:

- "Communication" type alarms: 1860 → 1145 (-38.44%);
- "VX73KL" node alarms: $40 \rightarrow 22 (-45\%)$;
- "CS12KL" node alarms: 87 → 51 (-41.38%);
 "VX37KL" node alarms: 179 → 107 (-
- 40.22%);
- "CR29VL" node alarms: $64 \rightarrow 44$ (-31.25%).

3.2.2 Inter-System Cabinet Configuration Conflict → X2 Interface Fault

By solving the errors and configuration conflicts in the cabinet, 6327 "X2 Interface Fault" alarms can be prevented from happening. This means a reduction of:

- Total number of alarms: 191053 → 184726 (-3.31%);
- "Signaling" type alarms: 8981 → 2654 (-70.45%);
 - "LO01AL" node alarms: $37 \rightarrow 18$ (-51.35%);
 - "VX83EL" node alarms: $74 \rightarrow 37$ (-50%);
 - "VX49KL" node alarms: 53 → 27 (-49.06%).

3.2.3 Certificate Invalid → External Clock Reference Problem

By properly validating the certificate, it can prevent a lot of "External Clock Reference Problem" alarms from happening. As this pattern shares the same consequent as the next, the calculation and analysis of this impact is made for the next pattern.

These two patterns are between "Major" alarms, while the first two were between a "Minor" antecedent and a "Major" consequent. This allows to deduce, after analysing the 4 cases, that the sequence of alarms always follows an increasing order in terms of severity. Of course, there are alarms caused by other ones with the same severity, but a less severe alarm than the previous one is unlikely to occur (for example, Major \rightarrow Minor).

3.2.4 ALD Maintenance Link Failure → External Clock Reference Problem

This pattern is the second (from the ones presented) in which the "External Clock Reference Problem" alarm is found as a consequence. This suggests that the problems in the external clock reference can be indirectly caused by various sources on the network, which leads to a bad configuration of the clock or the malfunction of some hardware element, essential for proper clock synchronization.

Resuming the correct external reference clock synchronization, it prevents the occurrence of 1414 "External Clock Reference Problem" alarms. This mainly translates into the reduction of:

- "Hardware" type alarms: 7969 → 6555 (-17.74%);
- There are 39 nodes that no longer have any unresolved alarm (-100%);
- "VX30EG" node alarms: 18 → 1 (-94.44%);
- "CR06VU" node alarms: 22 → 4 (-81.82%);
- "VX88EU" node alarms: $50 \rightarrow 12$ (-76%).

Hence, it is concluded that, with sequential pattern mining, it is possible to predict which will be the most likely alarm to be the consequence of a given antecedent alarm. Within the scope of preventive maintenance, if the problem resolution is quick and effective, it is possible to completely prevent the consequent alarm from occurring, resulting in a decrease in the number of triggered alarms and failures caused by them.

4 CONCLUSIONS

This work aimed to develop and test a solution for proactive and preventive maintenance in LTE mobile networks, by using fault management data. Two types of machine learning techniques for handling this data were explored: ARL and SPM.

For the ARL algorithms, FPGrowth presented the best performance in terms of execution time and used resources. ECLAT was the most efficient for short transactions, being surpassed by Apriori for larger transactions. In all of them there was a symmetry in the association rules, having therefore evolved to the SPM algorithms, where the order of alarms' occurrence is strongly important.

For the SPM algorithms, SPAM was the most efficient in terms of execution time, but the worst in terms of resource utilization. SPADE was the most efficient in terms of resource utilization but the slowest of all. On the other hand, PrefixSpan offered a good compromise among all. In all the performed tests, it was possible to conclude that the prevention of consequent alarms results in a decrease in their absolute number in the network's nodes where they occurred.

In the best case scenario, there was a decrease of 3.31% in all analysed nodes, and 70.45% in terms of alarms of the same type. It was also noticed that 39 network nodes no longer had any unresolved alarm. These results demonstrate that sequential pattern mining drives the preventive maintenance of alarms in a LTE mobile network, reinforcing the preventive maintenance' importance for Mobile Network Operators (MNOs).

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