A New Neural Network Model for Prediction Next Stage of Alzheimer's Disease

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Abstract: Alzheimer's disease (AD) is a brain-related illness; The risk of development is minimized when diagnosed early. The early detection and treatment of Alzheimer's disease are crucial since they can decrease disease progression, improve symptom management, allow patients to receive timely guidance and support, and save money on healthcare. Regrettably, much current research focuses on characterizing illness states in their current phases rather than forecasting disease development. Because Alzheimer's disease generally progresses in phases over time, we believe that analyzing time-sequential data can help with disease prediction. Long short-term memory (LSTM) is a recurrent neural network that links previous input to the current task. A new Alzheimer's Disease Random Forest (RF) LSTM Prediction Model (RFLSTM-PM) is proposed to capture the conditions between characteristics and the next stage of Alzheimer's Disease after noticing that a patient's data could be beneficial in predicting disease progression. Experiments reveal that our approach beats most existing models and can help with early-onset AD prediction. Furthermore, tests show that it can recognize disease-related brain regions across multiple data modalities (Magnetic resonance imaging (MRI), Neurological Test). Also, it showed decreased value in Mean Absolute Error and Root Mean Square Error for forecasting the progression of the disease.

1 INTRODUCTION

Alzheimer's Disease (AD) is a form of dementia that impacts humans' memory, daily activities' performance, and communication abilities(Association, 2019). Effective early diagnosis and treatment of AD is of fundamental importance as it can reduce disease progression, and therefore, reduce the substantial cost for health care. Recent research shows that only 20-40% of individual cases will change to AD within three years; This is a lower rate of exchange reported in medical samples than in clinical cases (Vemuri et al., 2017). However, AD's progression starts several years before any symptoms become visible and progressive (Association, 2019). Thus, identifying high-risk patients who will convert to AD is substantial (Association, 2019; Edwards III et al., 2019). As a result, early diagnosis is essential for making a treatment strategy to slow down the progress. It is where the disease altered from one symptom to another. At the same time, current research mainly focuses on predicting the possibility that it converts into another stage.

In recent years, the growth of neurodegenerative disorders such as AD has gained much interest from researchers worldwide to develop high-performing methods for diagnosis, treatment, preventive therapies, and target drug discovery. In the risk assessment of conversion from MCI, these variables' change rate could represent an additional source of knowledge (Perneczky, 2018). Researches made it possible to diagnose AD using advanced diagnostic tools and combine markers from different clinical features (Davda and Corkill, 2020; Tanveer et al., 2020). Combining markers from different clinical features and investigation modalities has been shown to maximize their sensitivity and specificity in clinical use compared to individual biomarkers. Unfortunately, current studies mainly focus on classifying disease states in their current stage using MRI instead of combining multiple features. As a result, these studies serve as proof of concept without being tested in the real world.

Machine learning models can help to forecast the disease progression(Yang et al., 2018; El-Sappagh

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et al., 2020) accurately. Time-series forecasting models based on recurrent memory-based approaches are used to examine extracting patterns from sequential healthcare data and classifying data based on diagnostic categories. A variety of settings in healthcare uses predictions(Piccialli et al., 2021). They ranged from predicting future medical outcomes and diagnosis to univariate time-series predictions of monthly expenditures of patients for medication. We can find that it has lots of applications in the healthcare industry. Time series forecasting has been a growing science subject due to its utility in real-world applications, but technique development has been a concern. In medical applications, time series forecasting models predict sickness progression, estimate death rates, and assess time-dependent risk(Bui et al., 2018). However, the large number of various methodologies available, each of which thrives in different scenarios, makes selecting an effective model more complicated.

This paper addressed several of the mentioned issues for generating time-series predictions of AD data. On the other hand, studies show that developments linked with Alzheimer's disease can begin more than 20 years before symptoms arise. The proposed model can identify the stage of transformation throughout time. In contrast to earlier research, a model using LSTM (Hong et al., 2019; Basher et al., 2021) presents to predict the progression of Alzheimer's disease. It employs the time step data acquired by a data preprocessing pipeline because the time series data may alter the prediction. It predict time progression of AD based on these data. The paper is organized as follows: Related work and previous work is discussed in section 2. Section 3 illustrate the proposed data and methods. Section 4 discusses the proposed prediction model (RFLSTM-PM). Finally, experiments where the models sensitivity was tested to different features and test its stability in various data sizes shown in section 5

2 RELATED WORK

Most of the studies on Alzheimer's disease have focused on using medical imaging as the only factor. (Martí-Juan et al., 2020) is a survey concentrating on longitudinal imaging data. It focused on papers that have been published between 2007 and 2019. (Hong et al., 2019) introduce Long shortterm memory (LSTM) to predict the development of AD. It carries out the future state prediction for the disease, rather than the state of a current diagnosis. While (Janghel, 2020) develops and compares dif-

ferent methods to diagnose and predict AD by using MRI scans only. It implements one model which is the convolution neural network (CNN). At the same time, it uses four different architectures of CNN. An embedded feature selection method based on the least-squares loss function and within-class scatter for selecting the optimal feature subset are proposed by (Cai et al., 2020). The optimal subsets of features used for binary classification are based on a support vector machine (SVM). Also, deep learning technology was discussed by (Bi et al., 2019). It focused on the problem of automatic prediction of AD based on MRI images. It applies two main steps: 1-implement the unsupervised CNN for feature extraction. 2- utilizes the unsupervised predictor to achieve the final diagnosis.

According to our knowledge, (Grassi et al., 2019) and (Liu et al., 2020) are the only work that employs more realistic and affordable data for diagnosis. First, (Grassi et al., 2019) use a weighted rank average grouped by different supervised machine learning methods to predict 3 years conversion. Only a limited set of diverse characteristics are used to make predictions. The employment of algorithmic decisionmaking tools is the key benefit. While,(Liu et al., 2020) provides a new method for detecting AD based on spectrogram characteristics collected from voice data. This can assist families in better understanding the progression of a patient's sickness at an early stage.

The following studies serve as the foundation for our study. They are listed in ascending order. The first, (Qiu et al., 2018), explains how MRI data can improve the accuracy of diagnoses for the Mini-Mental State Examination and logical memory tests. It accesses model correctness via Multilayer Preceptor. The second, (Grassi et al., 2019), shows how clinically translatable strategies for conversion can be predicted. It also detects high-risk people who are converted. Then, (Haaksma et al., 2018) address the link between Alzheimer's disease and its predictors. It included some Alzheimer's disease cases that have had at least one examination following diagnosis. To determine whether there are any latent classes of Mini Mental State Examination and Clinical Dementia Rating sum of boxes routes across time. To find baseline predictors of class membership, researchers utilised bias-corrected multinomial logistic regression. A multimodal data (Shikalgar and Sonavane, 2020) classifier that employs a hybrid deep neural network classifier. It is based on a set of MRI pictures as well as EEG inputs. The goal is to improve the learning process by incorporating the weight component of DNN into CNN. Then it explains how the

accuracy of hybrid classifiers is determined.

To find correlations between brain areas and genes, use the appropriate correlation analysis approach at the conclusion. (Bi et al., 2020) was proposed via a cluster evolutionary random forest (CERF). It adds the concept of clustering evolution to increase the random forest's generalisation performance. (Farouk and Rady, 2020) investigate the use of unsupervised clustering methods for the early identification of Alzheimer's disease. This research developed a two-stage technique for effectively predicting Alzheimer's Disease (AD)(Soliman et al., 2021). Using an upgraded sparse autoencoder (SAE), an unsupervised neural network, the initial stage includes finding the optimal representation of the training data. Based on the learned records and brain MRI scan, the second stage involves employing a 3D-Convolutional Neural Network (3D-CNN) to discern between health and ill status.

3 METHODS AND PREPROCESSING

3.1 Data Preparation

Data used in this article's preparation is obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). The ADNI was launched in 2003 as a public-private partnership. ADNI's primary goal is developing clinical, imaging, genetic, and biochemical biomarkers for the early detection and tracking of Alzheimer's disease. It contains nine classes cognitive normal normal aging (NL), Mild Cognitive Impairment (MCI), Alzheimer's disease (AD), early mild cognitive impairment (EMCI), and late mild cognitive impairment (LMCI) to dementia or AD. The subjects recruited in over 50 different US and Canada centers, with follow up assessments performed every six months. The proposed work uses only two types of data: 1)Magnetic resonance imaging (MRI), 2)Assessment data. Due to the numbers of records in each classes the only ones that contains multiple data, only three classes is selected(AD, MCI, and NL).

3.2 Subjects

Our prediction model was trained and tested on data extracted from the ADNI; 18-month longitudinal trajectories of 900 cases on each class (MCI, NL, AD). It covers a total of 2700 instances and 90 attributes. Each patient profile consisted of multiple data sources (24 tests and 7 image files with personal records). Data is classified as ordinal, continuous, or image. Patient trajectories described the time evolution of all variables in 3-month intervals. The following subsection describes data processing steps in more detail. The subject inclusion criteria employed in this study are: 1- Age ranges from 55 to 90; 2-Education levels range from primary to graduate; 3-All colors and ethnicities included. The proposed work uses different types of data: 1-Neurological test (neuropsychologist), and 2-Brain image technology (MRI only). Personal Information is excluded from the study, where the main objective of this work is to predict the next stage of ad over 3-month interval values.

3.3 Data Preprocessing

ADNI data (per subject) was captured multiple times over a maximum period of 120 months. The challenge for the prediction is that it encounters many real-world data problems, such as the following three:

- Incomplete data: Some subjects lack physical examination data at specific time points. The number of values in a set is known, but the values themselves are unknown, resulting in incomplete data from missing data.
- Missing data: Some subjects lack several values of data. As a result, they are deleting the missing values exceeding 60%. Table 1 views the rest of the data it shows the percentage of missing data. Other missing data are replaced by mean or variance depending on the data type.
- Time-frequency data: The different aspects of the subjects over specific time value. However, not all the subjects had the same time-frequency. Some of the subjects may have only one type of data model.

3.4 Methods

Alzheimer's disease is a progressive neurological illness that begins gradually and worsens with time. A primary diagnostic system, comprised of a random forest selection and multiple illness prediction models, is constructed to prevent disease progression. Different methods exhibit different changes as the disease progresses and identify relevant components. The methodology is applied to different inputs separately to uncover relevant biomarkers for each type. It is critical to identify the most significant diseaserelated risk factors (Remeseiro and Bolon-Canedo, 2019; Feng et al., 2021). In recent years, most authors have concentrated on hybrid approaches to feature selection (Hancer et al., 2020). Variable selection

| Name | Туре | Mean | Missing % |
|-----------------------|------------|--------|-----------|
| CDRSB | continuous | 2.425 | 1 |
| MMSE | continuous | 25.862 | 0 |
| ADAS11 | continuous | 13.009 | 0 |
| ADAS13 | continuous | 19.939 | 2 |
| RAVLT immediate | continuous | 31.223 | 1 |
| RAVLT learning | continuous | 3.547 | 1 |
| RAVLT forgetting | continuous | 4.067 | 1 |
| RAVLT perc forgetting | continuous | 63.86 | 3 |
| FAQ | continuous | 6.769 | 0 |
| Ventricles | image | _ | 2 |
| Hippocampus | image | - | 2 |
| WholeBrain | image | _ | 1 |
| Entorhinal | image | - | 2 |
| Fusiform | image | - | 2 |
| MidTemp | image | - | 2 |
| ICV | image | — | 1 |

Table 1: The model contains cognitive function variables, as well as MRI images. The percentage of missing data for each feature is indicated in the missing percentage column.

approaches include filter methods, wrapper methods, ensemble methods, and embedding methods.

Feature selection becomes more critical in data sets with many variables and features. Random Forest (RF) has proven to be a practical feature selection approach, even when dealing with many variables. It will eliminate insignificant variables and increase classification accuracy and performance. The fact that it is simple to calculate the relevance of each variable on the tree decision contributes to its interpretability(Hameed et al., 2015; Khaire and Dhanalakshmi, 2019). It falls under the area of embedded techniques. Embedded methods combine filter and wrapper methods to implement algorithms with built-in feature selection methods used. It indicates critical advantages over other methodologies regarding handling highly non-linearly correlated data, robustness to noise, tuning simplicity, and opportunity for efficient parallel processing (Dimitriadis et al., 2018). Moreover, It presents another essential characteristic: an intrinsic feature selection step, applied before the classification task, to reduce the variables' space by giving an importance value to each feature (Zhong et al., 2021; Helal et al., 2015).

LSTM networks are recurrent neural networks that may learn order dependence in sequence prediction challenges. They are a problematic area of deep learning to master. It is required in various complicated issue domains, including machine translation, speech recognition, and others (Alom et al., 2019). Developing and selecting accurate time series models is a difficult task because it requires training several different models and selecting the best among them. At the same time, it needs extensive feature engineering to derive informative features and find optimal time lags, which are commonly used input features for time series models. For the processing of temporal data, LSTM models are prominent. The majority of articles that utilize LSTM models do so with modest variations. The following section describe it in more details. Almost all of the gates in this model contain the concept of peepholes.

Figure 1 shows the model preprocessing pipeline; It uses two different data types. One is an MRI image, while the second one is neurological test results. It contains 24 data attributes (9 neurological tests) and 8 MRI that views the participant's brain. The first stage in the proposed model got the patients data as input values. In this stage the missing values and outliers data is dealt with. Second come the feature importance where the best feature is selected. To include all this type of data costs a high amount of money and processing power. As a result, the proposed model chooses the best features that will increase performance. The output of this is the attributes that best descripe patients next progression time. Prediction phase organize the data with specific time and each feature with corresponding disease stage. Finally, the future prediction of next test value appears in sequential data preprocess.

4 AD PREDICTION MODEL

The proposed model is a combination between LSTM and RF. This paper proposed a new hybrid model



Figure 1: Propsed Model Pipeline.

called Random Forest Least Short Term Memory Prediction Model(RFLSTM-PM). It is a recurrent neural network that links the previous state to the current one. A network with fully connected and activation layers is created to encode the temporal relationship between features and the next stage of AD (Hochreiter and Schmidhuber, 1997). It is supposed to avoid the long-term dependency problem by using a sequence of repeating neural network modules.

Figure 2 illustrates the proposed architecture. It involves two layers: Fully Connected Layer and Out-Fully Connected Layer. A fully connected layer is used to find the correlation between these selected features and Alzheimer's Disease. It is connected with the exponential linear unit (ELU) as the activation function predicts AD by feeding these features to the layer. This model uses two types of data (MRI and Neurological test), as stated earlier. The Out-Fully Connected layer consists of a sigmoid layer fully connected. It consist of four layers that communicate with one another, such as:

- forget gate: The decision of whether the information is thrown away from the cell state is made by the forget gate, shown in Equation1
- input gate: Equation 2 and 3 show the input gate that decides which values to update with sigmoid and tanh layers.
- update gate: The update gate in Equation4. updates the old cell state with the value from the input gate.
- output gate: The output gate in Equation5 and 6 decides which value is to be output from the layer.

$$f_t = \sigma(W_f[h_{t-1}, x_t] + b_f) \tag{1}$$

where W_f is the weight matrix, b_f is the bias vector, and f_t is a number between 0 and 1, where 0 represents the forget and 1 represents the keep.

$$i_t = \sigma(W_i[h_{t-1}, x_t] + b_i) \tag{2}$$

$$\check{C}_t = \tanh(W_c[h_{t-1}, x_t] + b_C) \tag{3}$$

where W_i and W_c are the weight matrices; b_i and b_c are the bias vectors; and i_t , \check{C}_t are the outputs of these two equations.

$$C_t = f_t * C_{t-1} + i_t * \check{C}_t \tag{4}$$

where f_t decides which information is to be forgotten, and $i_t * \check{C}_t$ chooses the updated values for the cell.

$$i_o = \sigma(W_o[h_{t-1}, x_t] + b_o) \tag{5}$$

$$h_t = o_t * \tanh(C_t) \tag{6}$$

where the value of i_o in Equation 5 decides which part of the cell state will be the output. The new cell state C_t multiplied by o_t , and function tanh have selected, to obtain h_t in Equation 6, which is the output of the parts t_o

The proposed work based on one assumption is that each time-dependent variable in a patient's clinical record is stochastic. It is effective at differentiating between different aspects of data. RFLSTM-PM represents the underlying time-dependent probability distribution of value. It sampled from a range of values rather than taking on a single deterministic value.

During model training, preprocess sequential data with time steps feds into the model, and the model predicts the status of the following year. The model predicts the stage of the 18-th month, one year following the final month, as AD, MCI, or NI. During model testing patient's 18th and 24-th month features data are entered into the model, the output is a forecast of his state in the next year. The model selects MMSE and CDR-SB from the test, the Ventricles view from the MRI image. Mean Absolute Error, Absolute Percentage Error, and Root Mean Square Error are three of the most critical evaluation metrics for evaluating the forecasting model's performance. The following section describes the experiments and test results in more details.



5 EXPERIMENTS

Studies show that symptoms associated with AD may begin several years before it already appears. In this work, the prediction stated of AD after two years for MRI image and neurological tests. As a result, the states of disease are labeled as prediction status. The proposed model can predict the next state with an error near 0.04. Also, the development of AD is predicted by putting into consideration multiple factors.

In order to evaluate model performance over time, they are computing three distinct measurements and comparing the anticipated value to the actual value. First, Mean Absolute Error (MAE) is the most basic measure of forecast performance. Second, compare forecasts of different series in different scales using the Mean Absolute Percentage Error (MAPE). Because both of these strategies are dependent on the mean error, the impact of significant errors is underestimated. The uncommon error will catch us off guard if it focuses too much on the mean. That is the reason why the Root Mean Square Error (RMSE) adjusts for huge, infrequent faults.

A recurrent neural network (RNN) is a type of Artificial Neural Network used to execute prediction operations on sequential or time-series-based data. These Deep learning layers are widely employed for ordinal or temporal problems like Natural Language Processing, Neural Machine Translation, and automated picture captioning. The LSTM is a type of RNN that can learn long-term sequences. It is created to avoid long-term dependency issues. Its method of operation is to remember large sequences for an extended period. A Gated Recurrent Unit (GRU) workflow is similar to that of an RNN, except for the operation and gates associated with each GRU unit. GRU integrates two gate operating techniques named Update gate and Reset gate to solve the problem presented by ordinary RNN. Table 2 shows the model performance against the previously described models. It shows good prediction responses over a specific time sequence; Lower RMSE values find a

better match. If the model objective is prediction, then RMSE is a good indicator of how accurately the model predicts the response, and it is the most important criterion for fit.

Table 2: Performance Metrices.

| Model | MAE | MAPE | RMSE |
|----------------|---------|--------|--------|
| Proposed Model | 0.0298 | 2.9795 | 0.0358 |
| RNN | 0.0363 | 3.8431 | 0.0448 |
| GRU | 0.03889 | 4.0859 | 0.0471 |
| LSTM | 0.0394 | 4.1171 | 0.0470 |

Furthermore, To validate the resulting model and analyze the summary results, cross-validation based on prediction is used. It is a statistical tool for determining how well machine learning models perform. It is used to safeguard our predictive model from overfitting, especially when the amount of data available is minimal. Following the discovery of the best lag length and the number of layers, the following are the tested meta-parameters for the RFLSTM-PM:

- 1. batch size as 50, the learning rate as 0.001
- 2. the number of pre-fully connected cells as 128
- 3. the number of post-fully connected cells as 3 and keep probability as 0.8
- 4. the number of fully connected cells is 128, and the number of layers is 2
- 5. Sigmoid, hyperbolic tangent (tanh), exponential linear unit (ELU) activation functions in hidden layers. The exponential linear unit helps reach the best values.

At the same time, the proposed model tuned with the following parameters to discover the optimal parameters: the number of fully connected cells, the number of cells, and the number of layers. Experiments on AD vs. MCI vs. NC predict that the model obtains the best MAE, MAPE, and RMSE. Our model achieves the best prediction for the MMSE exam, CDR-SB neurological test and Ventricles. Figure 3 figure 4 and figure 5 shows a good fit and stable prediction for the medium term horizon of next stages in symmetric order.



Figure 5: Ventricles Prediction Results.

6 CONCLUSION

This research introduces a deep learning model for predicting the next stage of Alzheimer's disease. Because the condition is essentially progressive, the model considers the timing data gathered from the cases. In contrast to previous methodologies, our model can predict the disease's future condition rather than classify the state of a current diagnosis. Experiments have shown that our model outperforms the vast majority of existing techniques. Improving the model's performance will require further research in future studies. Ventricles' prediction also needs to be improved. Personal data could improve the accuracy and efficiency of AD prediction at an earlier stage. Furthermore, the proposed methodology will be tested using actual data.

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