# PRiDAN: Person Re-identification from Drones with Adaptive Weights and Expanded Neighbourhood

Chatchanan Varojpipath and Krystian Mikolajczyk Imperial College London, London, U.K.

Keywords: Person Re-identification, Visual Surveillance, Drone, Unmanned Aerial Vehicle, Biometrics, Image Retrieval.

Abstract: There has been a growing interest in drone applications and many computer vision tasks were specifically adapted to drone scenarios such as SLAM, object detection, depth estimation, etc. Person re-identification is one of the tasks that can be effectively performed from drones and new datasets specifically geared towards aerial person imagery emerge. In addition to the common problems found in almost every person re-ID dataset, the most significant difference to static CCTV re-ID is the very different human pose across views from the top and similar appearance of different people but also motion blur, light conditions, low resolution and occlusions. To address these problems, we propose to combine a Part-based Convolutional Baseline (PCB), which exploits local features, with an adaptive weight distribution strategy, which assigns different weights to similar and dissimilar samples. The result shows that our method outperforms the state of the arts by a large margin. In addition, we propose a re-ranking method which aggregates Expanded Cross Neighborhood (ECN) distance and Jaccard distance to compute the final ranking. Compared to the existing methods, our re-ranking achieves 3.30% and 3.03% improvement on mAP and rank-1 accuracy, respectively.

# **1 INTRODUCTION**

Person re-identification is in great demand and attracts a lot of attention from both academic and industrial sectors. It consists of identifying a person across non-overlapping multi-camera networks (Liu et al., 2016). Generally, given an image of a person of interest (query image), the system searches across gallery images to find the closest matches based on various similarity measures and then ranks the results according to those similarity scores.

Recently, intelligent aerial surveillance systems have been receiving great attention due to the growing use of drones and their practical applications (Xia et al., 2018; Zhu et al., 2018). However, many researchers focus either on the task of object detection (Zhou et al., 2018b; Zhou et al., 2018a) or tracking (Xiang et al., 2014) while little attention has been paid to the task of person re-ID from aerial views. Furthermore, current datasets for person re-ID tasks are mostly designed for traditional surveillance systems with fixed locations of cameras. Some popular datasets under this setting are CUHK03 (Li et al., 2014), Market-1501 (Zheng et al., 2015), and DukeMTMC-reID (Ristani et al., 2016).

Recently, a large scale dataset specifically de-

signed towards the task of person re-ID from UAVs has been introduced (Zhang et al., 2020). This dataset, PRAI-1581, contains 39461 images from 1581 different person identities, which is comparable to the ones for fixed CCTV cameras. All images are taken from 2 different drones at the height of 20-60 meters above the ground, which is significantly higher than the other benchmarks, and makes the task of aerial person re-ID much more challenging. In images taken from very high altitudes, the views of a person are greatly reduced. Some valuable information such as their unique facial features, lower body parts, or overall appearance is partially lost. Consequently, the difficulties that already exist in traditional person re-ID datasets are further increased and become harder to tackle.

We identify two main problems associated with the aerial person re-ID:

**Similar Appearance.** Even though the similarity in appearance is a common issue found in every person re-ID dataset, the fact that aerial images are taken from different moving drones at height altitudes with different viewpoint angles and are usually captured in low resolution due to a long distance from the persons makes the similar appearance of different IDs even

Varojpipath, C. and Mikolajczyk, K.

PRiDAN: Person Re-identification from Drones with Adaptive Weights and Expanded Neighbourhood.

DOI: 10.5220/0010820000003122

In Proceedings of the 11th International Conference on Pattern Recognition Applications and Methods (ICPRAM 2022), pages 411-422 ISBN: 978-989-758-549-4; ISSN: 2184-4313

Copyright © 2022 by SCITEPRESS – Science and Technology Publications, Lda. All rights reserved

more challenging. Different people start to look surprisingly similar and become very difficult to distinguish even when judged by a human eye. The left side of figure 1 shows an example of images with similar appearance. Note that the persons at the top row and bottom row are different IDs. Moreover, as a result of smaller field of view of person's body parts, there are less discriminative feature that can be exploited by a learning algorithm e.g. shoes. Consequently, a learning model is less likely to distinguish between these similar persons.



Figure 1: Challenges in UAV person re-ID. Similar appearance for different IDs (left). Outliers (right). The same person with different ID labels (third column). Different persons with the same ID label (fourth column). The images are from PRAI-1581 dataset.

**Outliers.** They refer to images that are labelled incorrectly, which negatively impacts the learning process by making a model optimize an invalid objective, when the same person has different ID annotations or different persons have the same ID annotation (Elgendy, 2020). Due to the problem of similar appearance, the ground truth of aerial person images is more likely to include such outliers. The right side of figure 1 shows examples of outliers in PRAI-1581 dataset. Note that outliers are also general problems found in other datasets but this label noise is more frequent in data from aerial views.

We address the above challenges with the following contributions:

- Exploiting local features in the learning objective of the model to address the problem of similar appearance between a query and gallery images.
- Incorporating the adaptive weight strategy into triplet selection process in order to mitigate the negative effect of outliers.
- Introducing cross neighborhood relationship matrix in the re-ranking approach that improves the performance of ranking results.
- Reporting our and state-of-the-art results in person re-ID from drones on widely used PRAI-1581 benchmark.

### 2 RELATED WORK

In this section, we provide some background and summarize previous works pertinent to the method proposed in this paper.

Loss Functions. There are three commonly used loss functions in person re-ID literature, namely identity loss (Zheng et al., 2017b), verification loss (Chen et al., 2018), and triplet loss (Wang et al., 2018c; Hermans et al., 2017). Note that some works use variants or combinations of these losses (Wang et al., 2018a; Guo and Cheung, 2018; Zheng et al., 2017a). In the identity loss, a person re-ID task can be thought of as an image classification problem where each identity corresponds to a class. After training, an image retrieval can be performed using the last fully connected layer as the feature extractor. Regarding the verification loss, person re-ID can be viewed as a binary classification where the system indicates whether two images belong to the same class or not. In this loss, pairwise relationship between two images is optimized using either a contrastive loss (Varior et al., 2016) or binary verification loss (Ye et al., 2021; Li et al., 2014). Lastly, in triplet loss, a person re-ID task can be considered as a retrieval ranking problem. The intuition behind this loss is that distance between positive pairs (same identity) should be smaller than distance between negative pairs (different identities) by a pre-defined margin (Hermans et al., 2017).

Sampling Techniques. A majority of easy triplets which results in low or zero loss may dominate the training process and affect the performance of the model. As a result, several techniques for selecting informative triplet, also known as mining, have been extensively studied in the literature. One of the popular approaches that consist of searching for meaningful samples is hard data mining. It is a sampling technique that selects a hard positive and a hard negative sample relative to an anchor. In the context of triplet loss, a hard negative sample refers to the one that is similar to the anchor. Similarly, a hard positive is further away from the anchor in the embedding space. In (Hermans et al., 2017) the batch hard (BH) and batch all (BA) sampling techniques were proposed. In BA, all valid triplets within a batch are used, which can potentially lead to averaging out the contributions of the informative triplets as many valid triplets are trivial. In contrast, BH only considers the hardest sample relative to an anchor. The advantage of BH is that it is robust against information averaging out, as trivial samples are ignored. However, in datasets with noisy labels i.e., outliers, the problem is that these outliers with incorrect labels can be selected as hard samples (Elgendy, 2020). To address this issue, (Ristani and Tomasi, 2018) proposed the adaptive weight strategy which assigns weight to positive and negative samples based on their distance from a corresponding anchor. This technique results in harder samples receiving more weight than trivial ones but also does not ignore other easier yet informative samples.

**Feature Representation.** It plays an important role in any person re-ID systems and is directly related to the discriminatory capability of the model. It is a construction of vector representation which captures and represents an input image (Ye et al., 2021). Those features can be extracted globally from the entire image or locally from different parts of the image.

Global Feature representation is one of the earliest form of vector representation in the deep learning literature. Originally, with the advent of deep learning based approach in the image classification task (Simonyan and Zisserman, 2014), global feature was the main choice of representation which could capture overall information of an input image. One of its drawbacks is that it usually fails to capture the locations of characteristic features in an image, since only the global representation is used in single cross entropy loss. However, we argue that global features are crucial for any re-ID systems to make it robust and achieve high classification accuracy (Ye et al., 2021; Zheng et al., 2017b), as it has been validated in many person re-ID methods. Therefore, we incorporate global feature learning into our learning objective, with the expectation that it further improves the re-ID performance.

Local Feature representation extracts local region information within each person. The regions can be defined by the body parts extracted either by automatic pose estimation or partitioning. As for pose estimation, one popular approach from (Suh et al., 2018; Zhao et al., 2017) exploited full body representation, along with part-level features to construct the final vector. A widely used solution under this category is to utilize pose-driven matching to make final vector robust against clutter and occlusion. However, this method usually suffers from the additional error introduced by pose estimation (Iodice and Mikolajczyk, 2018) and thus has not been included in our approach. Local features from uniform partitioning were used in PCB approach (Sun et al., 2018), which has served as a strong baseline in person re-ID community. Later works have build on PCB method and achieved state-of-the-art result (Song et al., 2019; Sun and Zheng, 2019; Zhong et al., 2019).

Re-ranking Process. It is a crucial step in improving the accuracy of the initial ranking list during the inference stage. It re-computes a ranking result by exploiting gallery-to-gallery similarity (Ye et al., 2021). Many previous works on re-ranking methods utilize similarity relationship among top-ranked images in initial ranking lists (Chum et al., 2007; Qin et al., 2011; Ye et al., 2015; Ye et al., 2016). In particular, (Ye et al., 2015) performs similarity pulling and dissimilarity pushing for bottom ranked results. However, the performance of re-ranking greatly relies on the condition of the initial ranking list. Therefore, directly using the initial ranking usually leads to worse performance. One popular solution to this problem is to exploit k-reciprocal nearest neighbor relationship (Qin et al., 2011; Jegou et al., 2007). In this approach, image pairs are considered to be k-reciprocal nearest neighbors if they are both ranked top-k when the other image is used as a query image (Zhong et al., 2017).

The method proposed in (Zhong et al., 2017) used an encoding of k-reciprocal nearest neighbors from which the Jaccard distance can be computed. The final distance matrix is then the aggregation of Jaccard distance and the original Euclidean distance. However, the original distance is usually not ideal and contains many false matches, which can compromise the final result. Therefore, we replace this original distance matrix with another one computed from a reranking technique proposed by (Sarfraz et al., 2018), where the author exploits the notion of expanded neighborhood relationship and aggregates cross distance between any two images.

## **3 METHOD**

In this section, we introduce our proposed method. We discuss our model architecture, starting from the backbone network and present other components of our model.

#### 3.1 Part-based Convolutional Baseline

In PCB, ResNet50 is used as the backbone network. It consists of a sequence of convolutional layers and a set of residual blocks. However, the global average pooling layer (GAP) is removed from the original ResNet50 model, as GAP can only produce global feature and prevents us from utilizing the benefit of part-level/local features. Additionally, the last fully connected layer (FC) is also removed to accommodate for the number of classes in our training set (781 classes) which differs from 1000 classes in ImageNet

dataset (Deng et al., 2009) on which ResNet model is trained.

Figure 2(top) illustrates the architecture of PCB model. Note that we replace the GAP layer in original ResNet50 network with the conventional average pooling layer, as we want to utilize local features instead of global ones. An input image is fed into ResNet50 backbone to output a 3D tensor feature map T. This is followed by another average pooling which is applied to this feature map T to reduce its dimension to the desired number of part-level features P. Each of these part-level features is fed into a sequence of layers which is called a Block module. The bottom of figure 2 shows the architecture of the Block module. Finally, an individual cross entropy loss is applied to the output of each block module. One can see that by forcing the model to learn to classify each part-level feature of an input image, the model can focus on separate parts of a person, thus incorporating locally unique information into the learning process.



Figure 2: The architecture of PCB model (top) and components of the Block module (bottom).

### 3.2 Adaptive Weight Strategy

The conventional triplet loss is defined as follows:

$$\mathcal{L}_{tri} = [m + d(x_a, x_p) - d(x_a, x_n)]_+$$
(1)

where  $x_a$ ,  $x_p$ , and  $x_n$  are an anchor, positive, and negative sample, respectively. *m* is the pre-defined margin.  $d(\cdot)$  represents the distance between two feature vectors in the embedding space, and  $[\cdot]_+ = max(0, \cdot)$ . In our proposal, we incorporate triplet loss into our training objective to learn appearance features of a person. The generalized version of triplet loss is formulated as follows:

$$\mathcal{L}_{tri} = \left[ m + \sum_{x_p \in P(a)} w_p d(x_a, x_p) - \sum_{x_n \in N(a)} w_n d(x_a, x_n) \right]_+$$
(2)

where, given an anchor  $x_a, x_p \in P(a)$  are positive samples and  $x_n \in N(a)$  are negative samples. One can see that as opposed to the conventional triplet loss

defined in equation 1 in which only one positive and one negative sample are chosen per anchor, equation 2 also considers other samples, which enables the implementation of various weight distributions, including the adaptive weight strategy. Batch hard (binary weighted triplet loss) proposed by (Hermans et al., 2017; Mishchuk et al., 2017) can be implemented from equation 2 by simply choosing weight to be 1 for the most difficult positive and negative sample and 0 for the rest of samples within a given batch. Triplet loss with the binary weight distribution (batch hard) achieves a better result than triplet loss with a uniform weight distribution (batch all), since, in batch all, the contribution of informative/hard samples will be dominated by many easy samples, resulting in low loss.

In our proposed method, our goal is to use another weighting scheme that achieves as high accuracy as batch hard but also remains robust against outliers as in batch all. Figure 3 shows the hardness of negative samples relative to an anchor and the idea of an adaptive weight distribution along with other weighting schemes. Note that hard negatives are samples which are closer to an anchor and vice versa for easy negatives. From the figure, while binary weight assigns full weight to the most difficult sample and uniform weight gives equal weight to all samples, the adaptive scheme assigns weight to negative samples based on their distance from the anchor.



Figure 3: Weighting schemes. Negative examples ranked by their distance to the anchor (left). Binary weight in the batch hard approach, uniform weight distribution in simple triplet loss, and adaptive weight strategy used in our approach (right).

The distribution of weights in the adaptive weight scheme follows softmax and softmin distribution defined as follows:

$$w_p = \frac{e^{d(x_a, x_p)}}{\sum_{x \in P(a)} e^{d(x_a, x)}}, \quad w_n = \frac{e^{-d(x_a, x_n)}}{\sum_{x \in N(a)} e^{-d(x_a, x)}} \quad (3)$$

### 3.3 Model Architecture

We combine the components discussed in the previous section in our final model, as shown in figure 4.



Figure 4: The final model architecture. During training, the input image is input into the ResNet50 backbone to form a feature map T. In the lower branch, the feature map T is partitioned into P features which are then processed through different block modules to form local features used in individual cross entropy losses. In the upper branch, global average pooling is applied to a feature map T to produce an intermediate vector also processed by a block module and used in adaptive weighted triplet loss as well as single cross entropy loss. During testing, an appearance and P part-level features are concatenated to form the final feature vector.

During the training phase, a batch of input images is fed into ResNet50 backbone to produce feature maps T. A feature map is then directed to the lower and upper branch. As for the lower branch, PCB model is used to partition feature map T into P different part-level features which are then passed through block modules and used in individual cross entropy losses. In the upper branch, we apply global average pooling to the feature map T in order to produce an intermediate global feature vector that is then fed into another block module to output appearance features. Adaptive weighted triplet loss is applied to this appearance features to optimize an embedding space. In addition, as noted earlier, we also utilize global feature for the classification task, as this feature is crucial for capturing overall representation of a person image. The final loss function of our proposed method is defined below:

$$\mathcal{L}_{final} = \mathcal{L}_{local} + \mathcal{L}_{tri} + \mathcal{L}_{global} \tag{4}$$

where  $\mathcal{L}_{local}$  denotes *P* cross entropy losses applied to respective *P* local features, and  $\mathcal{L}_{tri}$  follows generalized triplet loss with the adaptive weight scheme as defined in equation 2 and 3. Lastly,  $\mathcal{L}_{global}$  denotes single cross entropy loss applied to the global feature.

During the testing (inference) phase, the appearance feature and P part-level features are extracted from an input image in the upper and lower branch, respectively. These features are then concatenated to produce the final feature vector as shown in figure 4.

Note that weights are only shared in ResNet50 backbone but not in different block modules, as they are optimized for different objectives. In particular,

each local feature in the lower branch learns to represent distinct parts of person images. In the upper branch, appearance features learn to optimize the distance between positive and negative pairs in the embedding space and global feature learns to represent a person image as a whole.

#### 3.4 Proposed Re-ranking

After obtaining final feature vectors of person images, an initial distance between a query image and gallery images can be computed by calculating Euclidean distance between the two features vectors. Then, an initial ranking list can be obtained by sorting this distance matrix in an increasing order. According to the method proposed by (Zhong et al., 2017), an encoding of k-reciprocal nearest neighbors is effective in improving the feature representation, which is subsequently used to compute Jaccard distance. The final distance in their proposal is the aggregation of Jaccard distance and original Euclidean distance. However, as we discussed earlier, this original distance relies on an image pair only and leads to many false matches in a top-k ranking list due to the problem of similar appearance. Thus, we replace the original distance with the one utilizing cross expanded neighborhoods distance between image pairs (Sarfraz et al., 2018).

**Initial Ranking.** Given a query image q and a gallery set with N images  $G = \{g_i | i = 1, 2, ..., N\}$ , the squared Euclidean distance between q and gallery images  $g_i$  is  $d(q, g_i) = ||x_q - x_{g_i}||_2^2$  where  $x_q$  and  $x_{g_i}$  are feature vectors of a query and gallery images, respectively. After obtaining all pairwise distances, an initial ranking list  $L(q, G) = \{g_1^0, g_2^0, ..., g_N^0\}$  is obtained where  $d(q, g_i^0) < d(q, g_{i+1}^0)$ .

**Expanded Cross Neighborhood (ECN) Distance.** After the initial ranking list *L* is computed for all images in a query and gallery set, we define N(q,t) as *t* nearest neighbor images of a query *q* and N(t,m) as *m* nearest neighbor images of each candidate in set N(q,t). Then, the expanded neighborhood of a query image *q* is define as N(q,M) such that

$$N(q,M) \leftarrow \{N(q,t), N(t,m)\}$$
(5)

$$N(q,t) = \{g_i^0 \mid i = 1, 2, \dots, t\}$$
(6)

$$N(t,m) = \{N(g_i^0,m),\dots,N(g_t^0,m)\}$$
(7)

The idea of replacing original distance with ECN distance is not new, as it has been proposed by (Lv et al., 2020). However, different from (Lv et al., 2020), where the authors keep decreasing the number of m nearest neighbors as more candidates in set

N(q,t) are used as a query to find their nearest neighbors, we directly use ECN distance with *m* nearest neighbors for all candidates, since it is believed that the knowledge of cross distance between all pairs of images should be considered as much as possible to fully exploit the benefit of the expanded neighborhood set. Similar to N(q,M), we also define  $N(g_i,M)$  as the expanded neighbors of each gallery image  $g_i$  where  $M = t + t \times m$  is the total number of expanded neighbors. Lastly, ECN distance between a query image q and gallery images  $g_i$  is defined as follows:

$$ECN(q,g_i) = \frac{1}{2M} \sum_{j=1}^{M} d(qN_j,g_i) + d(g_iN_j,q) \quad (8)$$

where  $qN_j$  is the  $j^{th}$  closest neighbor in expanded neighborhood set of a query N(q, M). Similarly,  $g_iN_j$ is the  $j^{th}$  closest neighbor in expanded neighborhood set of gallery images  $N(g_i, M)$ . Thus, the *ECN* distance between two images is calculated as the distance between their expanded neighbourhoods.

**K-Reciprocal Nearest Neighbors.** Given a query image q, its k-nearest neighbors set T(q,k) is defined as follows:

$$T(q,k) = \{g_1^0, g_2^0, \dots, g_k^0\}$$
(9)

$$|T(q,k)| = k \tag{10}$$

where  $|\cdot|$  denotes cardinality. Two images are called k-reciprocal nearest neighbors if they are ranked top-k when the other image is used as a query. K-reciprocal nearest neighbors are formulated as follows:

$$R(q,k) = \{g_i \mid (g_i \in T(q,k)) \land (q \in T(g_i,k))\} \quad (11)$$

Based on equation 11, the k-reciprocal nearest neighbors can represent a query q more accurately than knearest neighbors (Lv et al., 2020). However, some false matches can still creep into the top-k ranking result due to the problem of similar appearance.

**Expanded K-Reciprocal Nearest Neighbors.** To improve the Jaccard distance with k-reciprocal nearest neighbors, we expand k-reciprocal nearest neighbors (Zhong et al., 2017). Specifically,  $\frac{1}{2}$ k-reciprocal nearest neighbors for each candidate in R(q,k) are added into R(q,k) to form a final expanded reciprocal nearest neighbors set  $R^*(q,k)$  which is believed to include more positive images in the top-k ranking result. It is defined as follows:

$$R^{*}(q,k) \leftarrow R(q,k) \cup R\left(q^{*}, \frac{1}{2}k\right)$$
  
s.t.  $\left|R(q,k) \cap R\left(q^{*}, \frac{1}{2}k\right)\right| \ge \frac{2}{3} \left|R\left(q^{*}, \frac{1}{2}k\right)\right|,$ <sup>(12)</sup>  
 $\forall q^{*} \in R(q,k)$ 

The intuition behind equation 12 is that if two images are of the same person, members in their expanded k-reciprocal nearest neighbor set should be similar to each other.

**Jaccard Distance.** Given the expanded neighbourhoods, the distance between a query image and gallery images can be defined as follows:

$$d_j(q,g_i) = 1 - \frac{|R^*(q,k) \cap R^*(g_i,k)|}{|R^*(q,k) \cup R^*(g_i,k)|}$$
(13)

**Final Distance.** The distance between two images that is used for ranking is defined as:

 $d_{final} = (\lambda)ECN(q, g_i) + (1 - \lambda)d_j(q, g_i)$  (14) where  $\lambda \in [0, 1]$  controls the balance between ECN and Jaccard distances.

### **4** EXPERIMENTAL EVALUATION

We first discuss the experimental settings and present our results that include a comparison to the state of the art on person re-ID from aerial views, ablation study and experiments on re-ID datasets from static CCTV cameras.

### 4.1 Experimental Settings

Below we present the datasets, evaluation metrics and the implementation of our method. Code will be made available<sup>1</sup>.

**PRAI-1581.** It consists of 39461 images from 1581 different person identities. The training set contains 19523 images with 781 identities and the testing set contains 19938 images with 799 identities. In testing set, 4680 images with 799 identities are used as query images and 15258 images with the same number of identities are used as gallery images. All images are taken from 2 different drones at the height of 20-60 meters above the ground. The fraction of outliers i.e. incorrect labels in this dataset is approximately 5%.

**Market-1501.** One of popular person re-ID datasets from static cameras. It consists of 32668 images of 1501 different identities. 12,936 images with 751 identities are used in training and 19732 images with 750 identities are for testing where 3,368 images are used as query and the rest is for gallery images. All images are captured by 6 different cameras at the height of less than 10 meters.

<sup>&</sup>lt;sup>1</sup>https://github.com/Chatchanan-V/PRiDAN

**DukeMTMC-reID.** It is another commonly used dataset for a standard CCTV setting with 36411 images of 1404 identities in total, which are captured by 8 different cameras. 16522 images with 702 identities are used for training and 19889 images with 702 identities are for testing. The testing set is split into two parts: 2228 images are for query images and 17661 images are used as gallery images.

**Evaluation Metrics.** Cumulated Matching Characteristics (CMC) reports the accuracy of a ranking result. Rank-k accuracy is defined as the percentage of query images for which their corresponding relevant gallery images appear in the top-k ranking list. CMC top-k accuracy for each query is defined as follows:

rank-k = 
$$\begin{cases} 1 & \text{if a top-k result contains a true match} \\ 0 & \text{otherwise} \end{cases}$$

However, rank-k accuracy does not reflect the overall accuracy of a ranking result. Another metric that addresses this issue is mean average precision (mAP), which measures how high each of the relevant images is in the ranking list. To calculate mAP, one needs to compute average precision (AP) for each rank-list and average it across all queries. AP is defined as follows:

$$AP = \frac{1}{N} \sum_{i=1}^{N} \frac{i}{r_i} \tag{16}$$

(15)

where  $r_i$  is the rank in which  $i^{th}$  relevant gallery image appear and N is the total number of relevant gallery images.

**Data Augmentation.** The sequence of data transformation applied to input images during training phase is as follows. Firstly, all images are resized to dimension 384x192. This is followed by random horizontal flip with a probability 0.5. Lastly, normalization is applied to each channel of input images using mean and standard deviation of ImageNet dataset (Deng et al., 2009) with *mean* = [0.485, 0.456, 0.406] and *std* = [0.229, 0.224, 0.225].

**Training Setup.** The model is trained for 60 epochs with a batch size of 64 samples. For each batch, 16 identities are randomly samples with 4 images per identity. Weights of the ResNet50 model are pre-trained on ImageNet dataset (Deng et al., 2009). For the optimizer, we use Stochastic Gradient Descent (SGD) with momentum 0.9 and L2 regularization 0.0005. Different learning rates are used in our setting. In particular, the learning rate of layers in the ResNet50 backbone is set to 0.001, and the learning rate of 0.01 is used for layers in each block module.

Triplet Selection. Based on generalized triplet loss defined in equation 2 and the fact that we apply the adaptive weight scheme in the triplet selection process, the number of negatives samples for each anchor  $x_a$  in triplet loss equation is another hyperparameter in our setting. We refer to this number as  $n_{neg}$ , where  $n_{neg} = |N(a)|$ . Similarly,  $n_{pos}$  denotes the number of positive samples corresponding to an anchor, where  $n_{pos} = |P(a)|$ . Note that, in our experiments, the number of positive samples  $n_{pos}$  is set to 1, as the percentage of samples which happen to be outliers within the same identities (different persons with same ID) is much lower than outliers of different identities (same person with different IDs). Thus, the number of incorrect positive samples in the triplet selection has a minimal effect on the final result. We give more insight into the effect of the number of negative samples  $n_{neg}$  in our ablation study.

#### 4.2 Comparison to State of the Arts

In this section, we compare our result to state-ofthe-art methods on PRAI-1581 dataset. We train our model with P = 8 parts for PCB and  $n_{neg} = 3$  for the number of negative samples in the adaptive weight scheme. The rest of the training setup is the same as the one given in section 4.1. Table 1 provides a summary of results on PRAI-1581 dataset. From the table, ID, TL (Hermans et al., 2017), and PCB (Sun et al., 2018) are all baseline methods, from which the ideas are incorporated into our model. ID denotes identification loss and TL denotes batch-hard triplet loss. PCB applies P individual cross entropy losses to P respective local features. ResNet50 is used as the backbone network to form a feature map T for these three baselines as well as for our method. It can be seen that our method achieves a dramatic 16.91% improvement over ID method on rank-1 and a 12.06% increase over TL and PCB methods on rank-1. This result validates our assumption about the benefit of combining these methods in our model. Furthermore, it can be seen that our proposed method outperforms the previous state-of-the-art works on both mAP and rank-1 metrics by a large margin.

Figure 5 qualitatively shows some failure cases of our method. These are mainly caused by view angle (first 2 rows) and occlusion (last 2 rows). Note that low resolution can be observed in all those cases.

#### 4.3 Ablation Study

In this section we give insights into the performance of our method depending on the number of parts and the number of negative samples during training.



Figure 5: Failure cases of our method. Green and red labels indicate correct and incorrect matches, respectively. The main challenge are viewing angle (first 2 rows), occlusion (last 2 rows), and low resolution (all rows).

Table 1: Table of results on the PRAI-1581. The results reported for the state-of-the-art methods are taken from (Zhang et al., 2020).

Method	rank-1	mAP
Part-align (Zhao et al., 2017)	43.14	32.86
IDE (Zhong et al., 2018)	43.90	32.90
SVDNet (Sun et al., 2017)	46.10	36.70
2Stream (Zheng et al., 2017a)	47.79	37.02
AlignedReID (Zhang et al., 2017)	48.54	37.64
MGN (Wang et al., 2018b)	49.64	40.86
DSR (He et al., 2018)	51.09	39.14
OSNET (Zhou et al., 2019)	54.40	42.10
ID	42.62	31.47
TL (Hermans et al., 2017)	47.47	36.49
PCB (Sun et al., 2018)	47.47	37.15
Ours	59.53	45.35

The Number of PCB Parts. Figure 6(left) shows how rank-1, 5, 10 depend on the number of parts used in the PCB model. All scores are averages of five repetitions. The accuracy is the lowest when P = 1, as the learned feature is a global one and the benefit offered by PCB has not been exploited. As the number of parts increases, rank-k accuracy consistently increases at first and reaches the highest accuracy at P = 8. This phenomenon can be explained by the fact that as more parts of input images are incorporated into the learning process, the model is given more meaningful information necessary for discriminating different persons. However, when P > 8, the accuracy starts to drop, as each part-level feature will only represent a very tiny portion of a person image which, for many images, happen to look similar to each other and misalignment of parts between images starts to affect the performance.



Figure 6: Effect of the number of parts on rank-1, 5, and 10 accuracy (left). Effect of the number of negative samples on rank-1, 5, and 10 accuracy (right).

**Effect of Adaptive Weights.** Figure 6(right) shows how the performance depends on the number of negative samples  $n_{neg}$ . All rank-k accuracy fluctuates with the growth of  $n_{neg}$ . One interesting point from the figure is that the rank-k accuracy is at its peak when  $n_{neg} \neq 1$ . This validates the benefit of the adaptive weight scheme in dealing with the problem of outliers compared to the binary weights. In particular, when  $n_{neg} = 3$ , both rank-1 and rank-5 reach the highest accuracy, and the same goes for rank-10 when  $n_{neg} = 7$ . The fluctuation could be attributed to the fact that if more negative samples are chosen for a corresponding anchor, the contribution of meaningful samples could potentially be washed out by some easy, uninformative samples.

**Re-ranking Analysis.** We study the effect of parameters of our re-ranking method on PRAI-1581 dataset, namely k and  $\lambda$ . As explained earlier, k is

the size of k-reciprocal neighbors set in  $R^*(q,k)$  as defined in equation 12, and  $\lambda \in [0,1]$  is the weight assigned to ECN and Jaccard distance as defined in equation 14. Moreover, we compare our approach to a baseline method which is k-reciprocal encoding (Zhong et al., 2017) on rank-1 accuracy. Finally, we qualitatively show ranking lists of several methods to emphasize the advantage of our re-ranking.

The left side of figure 7 illustrates the effect of the size of k-reciprocal neighbors set k on rank-1 accuracy. Regarding the impact of parameter k on our re-ranking method (red line), it can be seen that as k increases, rank-1 improves consistently and reaches the optimal point when k = 40. This can be attributed to the fact that there are many sample images per identity in PRAI-1581 dataset. Therefore, when using higher k, more positive images will be included in k-reciprocal set, resulting in full utilization of galleryto-gallery relationship and thus better performance. However, when k > 40, rank-1 accuracy starts decreasing due to the fact that more negative images can potentially creep into the k-reciprocal set and compromise the final performance. Compared to the initial ranking result without re-ranking (green dashed line), our re-ranking method achieves a remarkable 9.83% improvement from 58.70% to 68.53% at k = 40. In comparison to the baseline method (blue line), our re-ranking approach consistently outperforms it and achieves a 1.65% improvement at k = 40.

The right side of figure 7 illustrates the impact of  $\lambda$  on rank-1 accuracy. Regarding our proposed method (red line), from the figure, it can be seen that rank-1 accuracy increases at first and reaches the optimal point when  $\lambda = 0.6$ . These results confirm that the best performance can be achieved if both Jaccard and ECN distance are considered. More importantly, the fact that the optimal rank-1 accuracy is achieved when  $\lambda$  is greater than 0.5 also confirms the effectiveness of using ECN in the final distance. When  $\lambda > 0.1$ , our re-ranking consistently outperforms the baseline method. This demonstrates the advantage of our approach over the baseline where more weight is given to the original distance.



Figure 7: Effect of the size of k-reciprocal neighbors set k on rank-1 accuracy (left). Impact of  $\lambda$  on rank-1 accuracy (right).

Lastly, figure 8 shows an example with three ranking lists: the initial ranking list, k-reciprocal ranking list, and our result. Note that green colour above the images indicates true matches, and red colour indicates false matches. From the figure, it can be observed that our method is capable of correcting false matches in the initial ranking list and also moves some false matches in k-reciprocal ranking list to lower ranking positions, resulting in an increase in rank metrics. This result demonstrates the advantage of our approach over the baseline method and shows that the idea of replacing original distance with ECN distance is beneficial to the final ranking list.

#### 4.4 Performance on Other Datasets

To further demonstrate the effectiveness of our proposed method, we compare our result to the stateof-the-art methods on two standard person re-ID datasets: Market-1501 and DukeMTMC-reID. Table 2 shows the summary of mAP and rank-1 results.

Table 2: Results on Market-1501 and DukeMTMC-reID.

	Market-1501		DukeMTMC-reID	
Method	Market-1501		Dukemi i me-teid	
	rank-1	mAP	rank-1	mAP
SVDNet (Sun et al., 2017)	82.3	62.1	76.7	56.8
IDE (Zhong et al., 2018)	85.7	65.9	72.3	51.8
FD-GAN (Ge et al., 2018)	90.5	77.7	80.0	64.5
CBN (Zhuang et al., 2020)	91.3	77.3	82.5	67.3
OSNET (Zhou et al., 2019)	<u>94.8</u>	<u>84.9</u>	<u>88.6</u>	<u>73.5</u>
MGN (Wang et al., 2018b)	95.7	86.9	88.7	78.4
ID	88.8	71.6	77.5	60.8
TL (Hermans et al., 2017)	84.9	69.1	72.4	53.5
PCB (Sun et al., 2018)	92.6	77.5	81.8	66.1
Ours	93.0	78.8	86.2	72.5

From the table, it can be seen that our method outperforms several state-of-the-art works. Moreover, similar to section 4.2, we also compare our result to ID, TL, and PCB methods which are used as base-lines in our proposal. In particular, for Market-1501, our method achieves an impressive 4.20% and 8.10% improvement on rank-1 over ID and TL methods, respectively and outperforms PCB on both Market-1501 and DukeMTMC-reID datasets. Even though our results do not surpass the performance of OSNET (Zhou et al., 2019) and MGN (Wang et al., 2018b) which fuse multi-scale or multi-granularity global and part features, it should be noted that our model is much smaller and less complex compared to them.



Figure 8: Comparison of the re-ranking methods. Note how our re-ranking method brings to the top the correct matches.

## 5 CONCLUSIONS

In this paper we extensively study the problem of large-scale aerial person re-ID. We observe and point out two main challenges in this task: similar appearance and outliers. Based on these two observations, a model architecture is designed to specifically address these problems. In particular, in order to address the challenge of similar appearance, we adopt PCB model to learn local features. As for outliers, the adaptive weight scheme is used to lessen their negative impact on learning process. Lastly, as we observe that the original ranking distance is not ideal and contains many false matches due to the problem of similar appearance, we propose a re-ranking method that aggregates ECN and Jaccard distance. We significantly outperform the state-of-the-art results on re-ID from drones dataset PRAI-1581 and obtain competitive results on standard re-ID benchmarks. We demonstrate the improvements brought by each of the proposed components of the system, which validates their contribution to the overall performance.

#### ACKNOWLEDGEMENT

This project was supported by Chist-Era EP-SRC IPALM EP/S032398/1 and FACER2VM EP/N007743/1 grants.

### REFERENCES

- Chen, D., Xu, D., Li, H., Sebe, N., and Wang, X. (2018). Group consistent similarity learning via deep crf for person re-identification. In *Proceedings of the IEEE conference on computer vision and pattern recognition.*
- Chum, O., Philbin, J., Sivic, J., Isard, M., and Zisserman, A. (2007). Total recall: Automatic query expansion with a generative feature model for object retrieval. In 2007 *IEEE 11th International Conference on Computer Vision*.
- Deng, J., Dong, W., Socher, R., Li, L.-J., Li, K., and Fei-Fei, L. (2009). Imagenet: A large-scale hierarchical image database. In 2009 IEEE conference on computer vision and pattern recognition.
- Elgendy, M. (2020). *Deep Learning for Vision Systems*. Manning Publications.
- Ge, Y., Li, Z., Zhao, H., Yin, G., Yi, S., Wang, X., and Li, H. (2018). Fd-gan: Pose-guided feature distilling gan for robust person re-identification. arXiv preprint arXiv:1810.02936.
- Guo, Y. and Cheung, N.-M. (2018). Efficient and deep person re-identification using multi-level similarity. In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition.
- He, L., Liang, J., Li, H., and Sun, Z. (2018). Deep spatial feature reconstruction for partial person reidentification: Alignment-free approach. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition.*
- Hermans, A., Beyer, L., and Leibe, B. (2017). In defense of the triplet loss for person re-identification. *arXiv preprint arXiv:1703.07737*.
- Iodice, S. and Mikolajczyk, K. (2018). Partial person re-

identification with alignment and hallucination. In *Asian Conference on Computer Vision*. Springer.

- Jegou, H., Harzallah, H., and Schmid, C. (2007). A contextual dissimilarity measure for accurate and efficient image search. In 2007 IEEE Conference on Computer Vision and Pattern Recognition.
- Li, W., Zhao, R., Xiao, T., and Wang, X. (2014). Deepreid: Deep filter pairing neural network for person reidentification. In *Proceedings of the IEEE conference on computer vision and pattern recognition.*
- Liu, J., Zha, Z.-J., Tian, Q., Liu, D., Yao, T., Ling, Q., and Mei, T. (2016). Multi-scale triplet cnn for person reidentification. In *Proceedings of the 24th ACM international conference on Multimedia*.
- Lv, J., Li, Z., Nai, K., Chen, Y., and Yuan, J. (2020). Person re-identification with expanded neighborhoods distance re-ranking. *Image and Vision Computing*.
- Mishchuk, A., Mishkin, D., Radenovic, F., and Matas, J. (2017). Working hard to know your neighbor's margins: Local descriptor learning loss. arXiv preprint arXiv:1705.10872.
- Qin, D., Gammeter, S., Bossard, L., Quack, T., and Van Gool, L. (2011). Hello neighbor: Accurate object retrieval with k-reciprocal nearest neighbors. In *CVPR 2011*.
- Ristani, E., Solera, F., Zou, R., Cucchiara, R., and Tomasi, C. (2016). Performance measures and a data set for multi-target, multi-camera tracking. In *European conference on computer vision*. Springer.
- Ristani, E. and Tomasi, C. (2018). Features for multi-target multi-camera tracking and re-identification. In *Proceedings of the IEEE conference on computer vision and pattern recognition.*
- Sarfraz, M. S., Schumann, A., Eberle, A., and Stiefelhagen, R. (2018). A pose-sensitive embedding for person reidentification with expanded cross neighborhood reranking. In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition.
- Simonyan, K. and Zisserman, A. (2014). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556.
- Song, J., Yang, Y., Song, Y.-Z., Xiang, T., and Hospedales, T. M. (2019). Generalizable person re-identification by domain-invariant mapping network. In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition.
- Suh, Y., Wang, J., Tang, S., Mei, T., and Lee, K. M. (2018). Part-aligned bilinear representations for person re-identification. In *Proceedings of the European Conference on Computer Vision*.
- Sun, X. and Zheng, L. (2019). Dissecting person reidentification from the viewpoint of viewpoint. In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition.
- Sun, Y., Zheng, L., Deng, W., and Wang, S. (2017). Svdnet for pedestrian retrieval. In *Proceedings of the IEEE* international conference on computer vision.
- Sun, Y., Zheng, L., Yang, Y., Tian, Q., and Wang, S. (2018). Beyond part models: Person retrieval with refined part

pooling (and a strong convolutional baseline). In *Proceedings of the European conference on computer vision (ECCV)*.

- Varior, R. R., Shuai, B., Lu, J., Xu, D., and Wang, G. (2016). A siamese long short-term memory architecture for human re-identification. In *European conference on computer vision*. Springer.
- Wang, C., Zhang, Q., Huang, C., Liu, W., and Wang, X. (2018a). Mancs: A multi-task attentional network with curriculum sampling for person re-identification. In *Proceedings of the European Conference on Computer Vision (ECCV)*.
- Wang, G., Yuan, Y., Chen, X., Li, J., and Zhou, X. (2018b). Learning discriminative features with multiple granularities for person re-identification. In *Proceedings of* the 26th ACM international conference on Multimedia.
- Wang, Y., Wang, L., You, Y., Zou, X., Chen, V., Li, S., Huang, G., Hariharan, B., and Weinberger, K. Q. (2018c). Resource aware person re-identification across multiple resolutions. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition.*
- Xia, G.-S., Bai, X., Ding, J., Zhu, Z., Belongie, S., Luo, J., Datcu, M., Pelillo, M., and Zhang, L. (2018). Dota: A large-scale dataset for object detection in aerial images. In *Proceedings of the IEEE conference on computer vision and pattern recognition*.
- Xiang, Y., Song, C., Mottaghi, R., and Savarese, S. (2014). Monocular multiview object tracking with 3d aspect parts. In *European Conference on Computer Vision*. Springer.
- Ye, M., Liang, C., Wang, Z., Leng, Q., and Chen, J. (2015). Ranking optimization for person re-identification via similarity and dissimilarity. In *Proceedings of the 23rd ACM international conference on Multimedia*.
- Ye, M., Liang, C., Yu, Y., Wang, Z., Leng, Q., Xiao, C., Chen, J., and Hu, R. (2016). Person reidentification via ranking aggregation of similarity pulling and dissimilarity pushing. *IEEE Transactions on Multimedia*.
- Ye, M., Shen, J., Lin, G., Xiang, T., Shao, L., and Hoi, S. C. (2021). Deep learning for person re-identification: A survey and outlook. *IEEE Transactions on Pattern Analysis and Machine Intelligence*.
- Zhang, S., Zhang, Q., Yang, Y., Wei, X., Wang, P., Jiao, B., and Zhang, Y. (2020). Person re-identification in aerial imagery. *IEEE Transactions on Multimedia*.
- Zhang, X., Luo, H., Fan, X., Xiang, W., Sun, Y., Xiao, Q., Jiang, W., Zhang, C., and Sun, J. (2017). Alignedreid: Surpassing human-level performance in person re-identification. arXiv preprint arXiv:1711.08184.
- Zhao, L., Li, X., Zhuang, Y., and Wang, J. (2017). Deeplylearned part-aligned representations for person reidentification. In *Proceedings of the IEEE international conference on computer vision*.
- Zheng, L., Shen, L., Tian, L., Wang, S., Wang, J., and Tian, Q. (2015). Scalable person re-identification: A benchmark. In *Proceedings of the IEEE international conference on computer vision*.

- Zheng, Z., Zheng, L., and Yang, Y. (2017a). A discriminatively learned cnn embedding for person reidentification. ACM Transactions on Multimedia Computing, Communications, and Applications (TOMM).
- Zheng, Z., Zheng, L., and Yang, Y. (2017b). Unlabeled samples generated by gan improve the person reidentification baseline in vitro. In *Proceedings of the IEEE International Conference on Computer Vision*.
- Zhong, Z., Zheng, L., Cao, D., and Li, S. (2017). Reranking person re-identification with k-reciprocal encoding. In *Proceedings of the IEEE conference on computer vision and pattern recognition.*
- Zhong, Z., Zheng, L., Luo, Z., Li, S., and Yang, Y. (2019). Invariance matters: Exemplar memory for domain adaptive person re-identification. In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition.
- Zhong, Z., Zheng, L., Zheng, Z., Li, S., and Yang, Y. (2018). Camera style adaptation for person reidentification. In *Proceedings of the IEEE conference* on computer vision and pattern recognition.
- Zhou, K., Yang, Y., Cavallaro, A., and Xiang, T. (2019). Omni-scale feature learning for person reidentification. In *Proceedings of the IEEE/CVF International Conference on Computer Vision.*
- Zhou, P., Han, X., Morariu, V. I., and Davis, L. S. (2018a). Learning rich features for image manipulation detection. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*.
- Zhou, P., Ni, B., Geng, C., Hu, J., and Xu, Y. (2018b). Scale-transferrable object detection. In *proceedings of the IEEE conference on computer vision and pattern recognition.*
- Zhu, P., Wen, L., Bian, X., Ling, H., and Hu, Q. (2018). Vision meets drones: A challenge. *arXiv preprint arXiv:1804.07437*.
- Zhuang, Z., Wei, L., Xie, L., Zhang, T., Zhang, H., Wu, H., Ai, H., and Tian, Q. (2020). Rethinking the distribution gap of person re-identification with camerabased batch normalization. In *European Conference* on Computer Vision. Springer.