


# Hyperspectral Methods in Microscopy Image Analysis: A Survey

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**Abstract:** Hyperspectral imaging (HSI) has found applications in remote sensing, agriculture, medicine, and biology. HSI acquires a three-dimensional dataset called hypercube, with two spatial dimensions and one spectral dimension. Hyperspectral microscope imaging (HMI) is an emerging imaging spectroscopy technology, which combines the advantages of HSI with microscopic imaging; HSI provides rapid, nondestructive, and chemical free data analysis, whereas a microscope can be used to study microstructure of a sample such as nanoparticles. Integration of HSI and microscopy, results in nondestructive evaluation using both spatial and spectral information along with analysis at the molecular or cellular level. The aim of the survey is an overview of the recent applications for HMI in medicine and biology fields.

## 1 INTRODUCTION

Microscopic image processing has been an essential part of advancements and discoveries in biology, chemistry, medicine, and other related fields. One example is successful completion of the human genome sequencing project (Wu et al., 2008). It plays a critical role in cancer diagnosis and prognosis processing large amount of image data that when processed manually could be nor accurate and even impossible (time-lapse cell tracking) to process manually.

Hyperspectral imaging (HSI) has been known and widely utilized for many years as a rapid non-destructive technique. It is based on acquiring for every spatial pixel spectral responses in more than a hundred contiguous spectral bands, in a single observation, from the visible and near-infrared, infrared, mid-infrared, and thermal infrared regions of the electromagnetic spectrum (from 400nm up to 100 $\mu$  m). Henceforth, the hyperspectral technology has been implemented in various fields including remote sensing, food and agriculture, medical science, art, history, forensic science and document processing. Comparing with monochrome or RGB images which have only one- (Figure 1) or three-color channels (Figure 2), hyperspectral images can have several hundred spectral bands. A hyperspectral image

denotes a 3D cube, where height and width are considered as two spatial dimensions, and  $\lambda$  (the number of spectral bands) represents the spectral dimension (Figure 3).

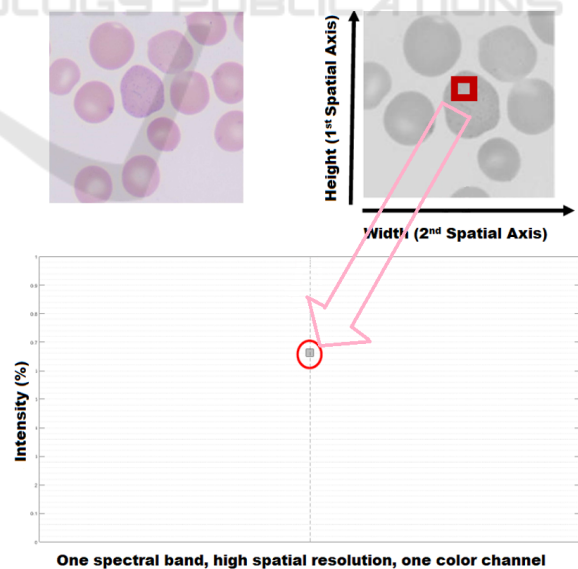



Figure 1: top left: color image, top right: monochrome image, bottom : intensity diagram.

Recently, hyperspectral microscopy is emerging as a powerful technology that has found many

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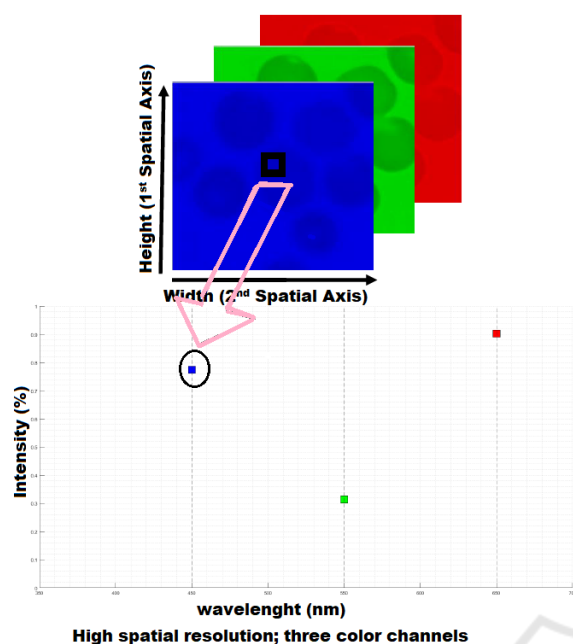


Figure 2: top: RGB data cube, bottom: intensity diagram.

biomedical and other applications including disease diagnosis, nanotechnology research, microorganism detection, microscopic contaminants analysis. It is the result of combining conventional microscope systems and spectroscopy technology to collect both high spatial and spectral information. Although being very similar to conventional optical microscope images, HSI microscope images have the complete reflectance spectral response for each pixel in the spatial domain which enables non-destructive measurements. The first development of a hyperspectral microscope imaging system, integration of an imaging spectrometer and an epifluorescence microscope, was used to classify spleen cells of a Balb/c mouse, (Tsurui et al., 1999). Two years later, by combining a standard epifluorescence microscope and an imaging spectrograph, (Schultz et al., 2001) developed a prototype of a hyperspectral imaging microscope to capture and identify a complete emission spectrum from a microscope slide, during a single-pass evaluation. The major problem of both above-mentioned systems was their small fields of view. To solve this issue, (Constantinou et al., 2009) integrated a confocal scanning microscope with a prototype hyperspectral imager to capture the entire slide image. Since then, an extensive research and development has been conducted on HSI microscope technology. The use of machine learning for generating, manipulating, and analysis of high volumes of data at faster rate, has advanced the technology significantly.

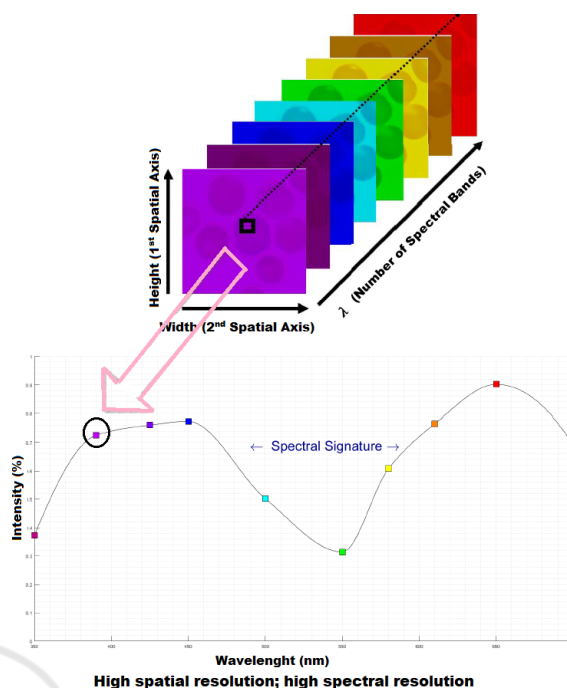


Figure 3: top: hyperspectral data cube, bottom: spectral signature.

The purpose of this paper is to provide a summary of research on the subject in recent years (2016 -2021). HMI instruments are presented in section 2. Application areas of the HMI in medicine and biology fields are discussed in section 3, and section 4 concludes the paper. Finally, all acronyms used in this manual are listed in the Appendix.

## 2 INSTRUMENTS

A typical HMI system consists of two parts: the optical and the mechanical subsystems. The optical subsystem includes: (1) hyperspectral camera and (2) a microscope, while the mechanical section is composed of (3) a controller of the mechanical system and (4) a stepper motor for stage movement control (Figure 4). Point scanning, and line scanning are among major methods for acquiring hyperspectral images. A point scanning hyperspectral camera can measure a spectrum for each pixel at a time. To construct a whole image, the sample should be re-positioned in both x and y direction. The hyperspectral camera shown in figure 4, is an example of point-scan imager that the stage can move in both X and Y directions (horizontal directions). A line-scan imager collects data, one vertical line at a time. The stage movement is only in one direction (left to right or right to left).

To create two spatial dimensions, multiple lines are assembled to form a complete image. The stepper motor electronically controls stage's movement.

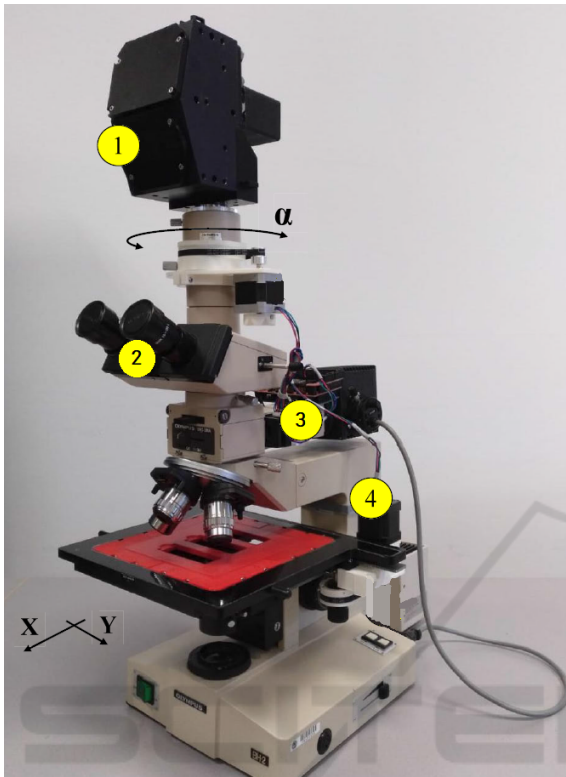


Figure 4: The microscopic hyperspectral imaging system (Ortega et al., 2019).

A microscope can acquire information about the microstructure of a sample. Microscope types used with HMI technology are fluorescence, confocal, and dark field.

The fluorescence microscopy uses the fact that light incident on molecule is absorbed and then emitted in a different color, a process known as fluorescence. Being more sensitive, fluorescence microscopes have gained several advantages over the reflected or transmitted ones. Often, it is possible to attach fluorescent molecules to specific parts of the specimen, making them the only visible ones in the microscope.

The confocal microscope acts like a fluorescence microscope, but instead of illuminating the whole sample at the same time, it illuminates by passing light through a defined point at specific depth, and thus produces high resolution 3D images of the sample (Semwogerere and Weeks, 2005).

Dark-field microscope has the advantage of being a background-free which provides high sensitivity and a large signal-to-noise ratio. The un-scattered

light path and its reflection from the surface is excluded from the angular range of signal detection, which causes flat surfaces to appear dark. This technology is usually utilized in imaging of live and unstained biological samples. Although producing the high quality images, dark-field microscope provides low light levels seen in the final image. Therefore, the sample must be very strongly illuminated which can damage the specimen (Harutyunyan et al., 2010).

### 3 HMI APPLICATIONS

Combining the advantages of hyperspectral technology with microscopic imaging, the two past decades have witnessed a growth of research interest in HMI technology in numerous areas. Unlike conventional microscope images, HMIs have a high spectral resolution which enables them to provide rapid, non-destructive, and chemical-free evaluation methods. This section briefly highlights applications of HMI in medicine and biology.

#### 3.1 Medicine

The HMI has been the fastest-growing and of a high-demand in the medicine field where it has emerged as a potential tool for non-invasive and accurate disease diagnosis as well as treatment monitoring. It is often utilized for various tasks, including object identification or detection, visualization, classification, and feature extraction or measurement.

To increase the chances of survival of patients, early diagnosis of a fatal disease is an essential key to treat it. For example, many cancer types can be treated with a high chance of cure at early stages, but late diagnosis makes the treatment difficult or impossible. To overcome the difficulties of traditional inspection, automated visual inspection systems can assist in identifying suspicious region in real-time that can significantly increase the precision as well as the treatment's accuracy. HMI systems have shown their potential as an alternative imaging technology in identification or detection cells or tissues with high sensitivity and specificity.

Table 1 summarizes various implemented application examples, in terms of image data (organs/specimens), spectral range measured in nanometer (nm), spatial resolution (RES) in micrometer ( $\mu\text{m}$ ), microscope type, and research achievement.

Although pathology diagnosis is important, conventional methods of pathology analysis important for diagnosis, usually require numerous laborious, time consuming procedures such as freezing, slicing,

Table 1: Summary of key variables for object detection or identification in hyperspectral microscopy images.

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Achievement
(Ben Ami et al., 2016)	RPE	420-720	-	fluorescence	retinal health & disease investigation
(Leavesley et al., 2016)	colorectal tissue	390-450	-	fluorescence	early cancer detection
(Seo et al., 2016)	five Staphylococcus species	450 - 800	-	dark field	Staphylococcus species identification
(Wang et al., 2016)	cervical tissue	500 - 900	6.43	fluorescence	early cancer detection (cellular& tissue)
(Graus et al., 2017)	peripheral blood	500 - 850	-	fluorescence	Candida species early& accurate identification
(Michael et al., 2017)	mouse brain tissue	-	0.47	fluorescence	early Alzheimer detection
(Nyström et al., 2017)	mouse brain tissue	490 - 586	-	confocal	Amyloid deposits detection in tissue
(Palombo et al., 2018)	transgenic mouse brain tissue	-	2 - 8	confocal	early Alzheimer detection
(Wang et al., 2018)	rat bile duct carcinoma	550 - 1000	-	-	liver tumor analysis
(Yuan et al., 2018)	colon tissue	400 - 1000	6450	-	early cancer detection
(Mahbub et al., 2019)	articular cartilage tissue	400 - 900	-	fluorescence	treatment effects detection
(Paugh et al., 2019)	eyelid tissue expressed human meibum	2800-3050 (cm <sup>-1</sup> )	0.46	fluorescence	protein lipid compositional detection
(Song et al., 2019)	ALK P/N lung cancer tissue	550 - 1000	3	-	early lung cancer detection
(Wei et al., 2019)	renal biopsy tissue	400 - 1000	-	fluorescence	membranous nephropathy detection

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Achievement
(Liu et al., 2020)	mouse's ear skin	400 - 720	6	photo-acoustic	early stage cutaneous cancers detection
(Laimer et al., 2021)	FFPE tissue	450-900	0.116	fluorescence	amalgam tattoos&other pigmented intraoral lesions identification
(Liu et al., 2021)	normal hepatic & hepatic carcinomas tissue	450-720	-	fluorescence	hepatic carcinoma cells identification

hematoxylin and eosin staining, and manual analysis which makes the diagnostic procedure much harder. While healthy and normal cells or tissues are generally easier to distinguish, differentiating benign and malignant ones is challenging. The accurate differentiation depends on the experience of the histological specialist. For example, to avoid unnecessary tissue resection during surgery, tumor margins need to be determined precisely. To reduce this burden, non-invasive, rapid, and image-based classification system are highly demanded. A microscope paired with hyperspectral imaging (HSI), has been shown to provide significant performance and promising results for classification task.

Table 2 summarizes examples of classification models, with key information on features.

Table 2: Summary of key variables of hyperspectral microscopy images classification.

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Classification Achievement
(Deal et al., 2016)	Sprague Dawley rat	360-600	-	fluorescence	hepatic carcinoma cells
(Thatcher et al., 2016)	skin tissue	400-1000	1-10	fluorescence	burn injuries skin
(Alfonso-García et al., 2017)	pooled meibum	2800 -3050 (cm <sup>-1</sup> )	0.46	fluorescence	human expressed meibum spectral reference
(Bertani et al., 2017)	PBMC	500-1000	1.95	epifluorescence	M1/M2 polarized human macrophages
(Chen et al., 2019)	human ovarian cells	470-900	-	fluorescence	live& dead human ovarian cancer cells

Table 2: Summary of key variables of hyperspectral microscopy images classification (cont.).

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Classification Achievement
(Duan et al., 2019)	blood cells	-	-	-	Leukocyte
(Ogi et al., 2019)	human neural stem cells	470-900	3.65	-	neuronal cells
(Septiana et al., 2019)	human pancreas tissue	350-1100	-	optical	elastic & collagen fibers
(Bengs et al., 2020)	suspicious & healthy area multi-spectral endoscopic videos	430-680	-	optical	in-vivo head & neck tumor type
(de Lucena et al., 2020)	epithelial tissue	900-2500	1900	-	skin tumor
(Huang et al., 2020)	blood cells	400-720	-	-	blood cells
(Wang et al., 2020a)	HCC biopsy	400-720	-	multi-photon	HCC
(Lv et al., 2021)	renal biopsy tissue	400-1000	-	optical	Membranous Nephropathy
(Sun et al., 2021)	bile duct tissue	550-1000	-	-	CC

The HSI has the advantage of acquiring spectrally encoded information that can be utilized for disease diagnosis purposes and surgery guidance in different ways, such as contrast enhancement for visualization or segmentation tasks, and virtually staining a tissue or an organ without any chemical involvement.

The summary of the related studies is presented in Table 3.

Extracting valuable information in medical images to identify the hidden pattern or subtle relationship is a valuable task that leads to special medical knowledge discovery that is critical to the accuracy of diagnosis and treatment. Hyperspectral imaging technology is a promising technology to assist in feature extraction and measurement tasks.

Relevant studies are reviewed in Table 4.

Table 3: Summary of key variables in hyperspectral microscopic data visualization.

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Achievement
(Lin et al., 2016)	Phantom & ex-vivo tissue	-	-	-	tissue surface imaging
(Pichette et al., 2016)	in-vivo brain tissue	480 - 650	5.5	neuro-surgical	brain hemodynamic behavior visualaization
(Sen et al., 2016)	in-vivo blood cells & vessels (mouse's retina)	800 - 1000	2	dark field	increasing leukocytes OCT contrast
(Zhang et al., 2016)	H&E stained breast cancer tissue	400 - 700	1.12	lens-free	high resolution ,accurate color reproduction
(Bayramoglu et al., 2017)	mouse lung tissue	500 - 1000	-	-	virtual staining
(Li et al., 2017)	in-vivo retinal tissue (long-Evans)	460 - 630	5.5	commercial	rodent retina color recovery & vessel contrast enhancement

Table 4: Summary of key variables of feature extraction and measurement tasks in hyperspectral microscope images.

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Achievement
(Li et al., 2017)	in-vivo retinal tissue (long-Evans)	460 - 630	5.5	commercial	retinal oxygen saturation measurement
(Dey et al., 2019)	ex_vivo retina tissue	400 - 750	-	fluorescence	autofluorescent substances feature extraction
(Brouwer de Koning et al., 2021)	OSCC	-	-	-	deep_resection oral cancer margin assessment

### 3.2 Biology

One of the major applications of hyperspectral techniques is within biology that has been found to be effective in matching between spectral signatures and the nature or evolution on many different types of cells. It is also a powerful tool in identification of chemical compositions of complex samples such as cell lysates or bio-fluids. In addition, microscopes are

essential for the analysis of small living organisms, mapping of proteins and genes, or cellular interactions and pathways. Therefore, using hyperspectral imaging methods in combination with microscopy, presents a great potential for biological samples analysis.

Table 5 summarizes recent research achievements in this area.

Table 5: Summary of some biological applications of hyperspectral microscope imaging techniques.

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Achievement
(Annamdevula et al., 2016)	-	420 - 724	-	confocal	3D FRET measurement
(Bradley et al., 2016)	mouse oocytes & pre-implantation embryos	> 900	0.1	confocal	quantitative images of lipids in live mouse oocytes & early embryos
(Cui et al., 2016)	HeLa, MCF7, SKBR3 cells	300 - 700	5 - 10	dark field	SE-cell optical clearing methodology
(Holzinger et al., 2016)	Chlorophyta & Charophyta	400 - 900	-	epifluorescence	different genera determination
(Misra et al., 2016)	-	400 - 1000	-	dark field	prodrug-passivated carbon nanoparticle synthesis
(Rebner et al., 2016)	peripheral lymphocyte cultures	400 - 1000	-	dark field	characterising unstained human metaphase chromosomes
(Bae et al., 2019)	Staphylococcus aureus	680 - 1300	0.3125	SRS	interplay between vancomycin & biofilm components dynamic visualization
(Barnhart-Dailey et al., 2019)	cyano-bacterial	500 - 800	-	confocal	tolyporphins & unusual tetrapyrroles cellular localization
(Fu et al., 2019)	living HeLa cells	200 - 1100	-	selfreflectance	living cellular nano-architecture label-free CT
(Wang et al., 2020b)	E.coli in LB	400 - 1000	2 - 6	confocal	monitoring Escherichia coli biofilms formation

Author, Year	Data	Spectral Range	Spatial RES	Microscope	Achievement
(Nahmad-Rohen et al., 2020)	DOPC, SPH & CHOL Ternary mixture	2700-3100 (cm <sup>-1</sup> )	0.1	epifluorescence	lipid partitioning in SE planar membrane bilayers visualization
(Farr et al., 2021)	human dermal fibroblasts	-	-	SEM	sterilization effect analysis on bio-material surfaces

## 4 CONCLUSIONS

HMI systems integrate the advantage of conventional spectroscopy imaging and microscopy techniques to provide relevant information of samples at the molecular or cellular level by providing spatial and spectral information simultaneously. Therefore, HMI tools show great potential in nondestructive evaluation as well as object identification or classification. However, microscopic image analysis is a laborious and error-prone task that is too complex to be performed manually.

Despite the above achievements, there are still many challenges to be overcome in order to utilize the full potential of HMI in biomedical applications. Data collection is one of the major challenges. In addition, models established based on a certain HMI system cannot be easily adopted by another one. Finally, HMI technology is more expensive than other conventional equipments due to its high spatial and spectral resolutions

The presented survey summarizes the key features of HMIs systems and their applications in medical and biology fields. The analysis of the research work demonstrates that HMI has broad applications ranging from laboratory tasks to clinical studies, yet the future research is still needed to make this technology more efficient and accessible.

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## APPENDIX

### Acronyms

- AMD** age-related macular degeneration
- CC** cholangiocarcinoma
- CT** computed tomography
- CHOL** cholesterol
- E.coli** escherichia coli
- DOPC** dioleoylphosphatidylcholine
- FFPE** formalin-fixed paraffin-embedded
- FRET** förster resonance energy transfer
- H&E** hematoxylin and eosin stain
- HCC** hepatocellular carcinoma
- HeLa** henrietta lacks
- HMI** hyperspectral microscope imaging
- HSI** hyperspectral imaging
- LB** luria-bertani
- MCF7** Michigan cancer foundation-7
- OCT** optical coherence tomography
- OSCC** oral squamous cell carcinoma
- PBMC** peripheral blood mononuclear cells
- P/N** positive and negative
- RES** resolution
- RPE** retinal pigment epithelium
- SEM** scanning electron microscope
- SE** single
- SRS** stimulated raman scattering
- SPH** sphingomyelin
- TP** tolyporphins