Data Driven Hybrid Approach for Health Monitoring and Fault Detection in Military Ground Vehicles

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Abstract: This paper presents a data driven hybrid approach for Prognostics and Health Management (PHM) of military ground vehicles to mitigate a number of the unexpected failures, enabling intelligent decision-making for improved performance, safety, reliability, and maintainability. For military ground vehicles, the Controller Area Network (CAN) bus provides sensor data for collection and analysis. In this study we used collected operational time-series data for generating future operational time series data for military ground vehicles. Our sensor data share stochastic trends with more than one-time dependent variable to develop Vector Auto-Regression (VAR) models suitable to forecast operational data. We have developed Long Short-Term Memory (LSTM) fault detection models which ingest VAR forecasted data to identify fault detection. Our experimental results show our hybrid approach provides promising fault diagnosis performance. Root mean squared error, mean absolute percentage error and mean absolute error have been used as the evaluation criteria.

1 INTRODUCTION

Excessive wear conditions occur when Army ground vehicles are operated under extreme stress, with heavy loads in a harsh environment. These conditions reduce the usable lifetime of mechanical components. This may cause unpredictable situations when a component is nearing the end of service life. It can be replaced instead of risking potential failure during the mission. Rather than relying on traditional preventive and reactive maintenance, data collected from the Collective Area Network (CAN) bus on-board sensors can be used for Prognostics and Health Management (PHM) to assess diagnostic and prognostics health state of the vehicle, moving maintenance into the predictive and preemptive roles. The main benefits of predictive health maintenance are increased safety due to the reduction of unexpected failures, reduced operation and sustainment costs, and increased reliability, availability and maintainability of army vehicles.

U.S. Army ground vehicle operational sensor data has been collected for several years from some platforms. Monitoring the vehicle data trends and deviations with statistical and machine learning models can lead to insight that will allow the maintainer in the field to schedule maintenance before failure occurs. As a result, maintenance plans can be optimized, avoiding many potential breakdowns. Another main advantage of data-driven approaches is that machine learning models are scalable to entire fleets or families of vehicles. Generic models have the potential to be used for other vehicle models.

To evaluate the health of the system, various techniques are used in data driven models (Lee et al., 2014). For our study, we focus on data collected from the vehicle at one second intervals (1Hz data) coupled with system fault codes to design a supervised learning fault detection model for enhanced fault prediction. A Vector Autoregression (VAR) model using historical 1Hz data and a multivariate Long Short-Term Memory (LSTM) neural network for operational data forecasting was implemented. Comparing the forecast to real sensor output provides diagnosis and fault detection capability. The output from the LSTM model identifies whether the system is operating under normal operating conditions, represented with a value of zero. A nonzero value LSTM model can be further evaluated to determine the system fault code that the vehicle encounters. A fault code is a pre-defined list of numerical
identifiers, which correlate to a particular failure or error message for a component within the vehicle system.

The applications of this study are twofold: (1) the forecasted data can be used to predict whether a vehicle is projected to continue operating within normal bounds using the LSTM fault detection model, and (2) the forecasted data can be used to predict the remaining useful life (RUL) of the vehicle. In this work, we focused on the first application by introducing an approach to predict the future operational health of a ground vehicle (section 2), demonstrated the preliminary results from this methodology (section 3), and discussed the future direction of this work (section 4). By predicting abnormal behavior potential field failure of a vehicle can be prevented.

2 MATERIALS AND METHODOLOGY

Hybrid autoregressive and LSTM models have been used to forecast anomalous events, such as stock market volatility (Ha and Chang, 2018). This paper particularly focuses on implementing a hybrid VAR-LSTM forecast model as a novel approach to fault detection in military ground vehicles.

This research effort explores the VAR model for forecasting. The VAR model uses historical 1 Hz operational data to forecast vehicle operational data. Then, LSTM model learns from the vehicle’s historical fault and operational data to develop a custom fault detection model. Once the model is built, it ingests the forecasted data and provides a fault detection and diagnosis based on what has been predicted.

Figure 1: VAR-LSTM Hybrid model workflow.

Figure 1 shows the hybrid workflow to provide an enhanced model for future fault detection. The motivation behind the hybrid model is to speed up the fault prediction modeling and forecasting process.

For building the VAR model Statmodels python API is used, which provide classes and functions to explore data, estimate statistical models, and perform statistical tests (Seabold and Perktold, 2010).

2.1 Ground Vehicle Data Description

The ground vehicle data are collected from the vehicle’s CAN Bus, during all operational intervals, by a Dynamic Stability Controller box. This time series data consists of sensor readings, such as oil pressure, RPM, accelerator position, vehicle speed, position, and other data points. Analysis of this data details the performance of vehicles and different components with respect to the driving status of those vehicles. For the demonstrated predictive model, we are using 1 Hz data from 25 vehicles. The entire 1 Hz operational dataset was collected from roughly 4500 vehicles over seven years. Each 1 Hz vehicle history dataset contains approximately 20+ million rows and 70+ columns. Figure 2 shows our data collection workflow. For training purposes, only clean data was selected and made stationary but outlier adjustments were not considered.

Figure 2: Data Collection from CAN bus.

2.2 Time Series Visualization

Plotting the time series data will help visualize patterns, unusual observations, changes over time and relationships between the variables. Line plot, box plot and autocorrelation plot are used to learn about the statistical properties and the features present in the time series.

2.3 Data Preparation

Preparing and pre-processing the data is a multistep process involving missing value replacement and filtering columns and entries by specific criteria. This enhances the utility of the data in training and testing models and ensures that confounding attributes of the data don’t negatively impact the model’s performance. Specific data cleaning and imputation methods are discussed in Section 3.
2.4 Operational Data Forecasting

Forecasting often presents a complex problem for data scientists and statisticians. This is due to many factors, including:
- Disappearance of correlation between variables in future states
- Unexpected events can shift the data in ways the model cannot predict
- The complexity of most multivariate time series datasets

Selecting a suitable forecasting method for a dataset is also often a large task on its own. Choosing a method depends on the:
- Availability of historical data
- Time interval that needs to be forecasted
- Desired degree of accuracy
- Computational power available for the model to run and train.

Here we will discuss the Vector Auto Regression model for operation data forecasting.

We chose the VAR model to forecast this 1 Hz operational data because it is one of the most successful, flexible, and easy to use models for the analysis of multivariate time series data (Zivot and Wang 2006). These models are used to estimate future values of time series variables that influence each other. Besides forecasting economics and financial time series data, VAR models are also used for other disciplines such as medical research (Seth et al., 2015) and signal processing (Basu et al., 2019).

VAR models are stochastic processes which are natural extensions of univariate autoregressive models as applied to dynamic multivariate time series. Univariate time series models, such as ARIMA, contain only one time-dependent variable while multivariate time series models consist of multiple time-dependent variables. Multivariate models leverage complex dependencies between variables to provide more reliable and accurate forecasts for specific data. All variables in the VAR model are treated as endogenous. There is one equation for each endogenous variable in its reduced form, and the right-hand side of each equation includes lagged values of all dependent variables in the system (Zivot and Wang, 2006).

For the VAR model, we can write a $p$th order model as the linear combination of previous vector values,

$$v_t = \alpha + \beta_1 v_{t-1} + \beta_2 v_{t-2} + \cdots + \beta_p v_{t-p} + \epsilon_t$$

where $v_t$ represents the predicted future values of each component in the past value vectors $v_{t-i}$ where $i \in [1, p]$ and $i$ represents the lag of the vector. The variable $\alpha$ is the intercept of the model and $\epsilon_t$ is an error or noise term. The procedure to build VAR models involves several steps. Figure 3 demonstrates the procedure of building VAR model.

![Figure 3: VAR model flowchart.](image)

2.4.1 Testing for Stationarity

Time series data exhibits trend and seasonal residuals. Checking for stationarity was therefore important in our analysis because statistical forecasting models cannot forecast on non-stationary data. For a time-series dataset to be stationary, its mean, variance, and autocovariance (at various lags) remain constant over time; that is, they are time invariant. The study used the two methods for testing stationarity of the variables, because these tests can provide contradictory results due to differences in type of stationarity.

The Augmented Dickey–Fuller (ADF) test (Dickey and Fuller, 1979) is a statistical significance test to identify the presence of unit root in the time series. There are several possible unit root tests but the ADF test is a reliable option for time series with a large number of observations. The presence of a unit root in the ADF test means the time series difference is stationary. If the ADF test statistic is greater than a critical value based on alpha levels of 1%, 5% and 10% then the null hypotheses is rejected and the series is non-stationary. The ADF significance level assesses the statistical significance of data for a null hypothesis.

The Kwiatkowski–Phillips–Schmidt–Shin (KPSS) approach is another test for checking the stationarity nature of time series data (Kwiatkowski et al., 1992). It differs from the ADF tests in the sense that the series is assumed to be stationary under null hypothesis. According to the KPSS test, the null hypothesis tells us the process trends stationary and the alternate hypothesis identifies the unit root, which...
denotes the presence of stationarity. Both approaches were applied to ensure that the series used in the present investigation is stationary.

### 2.4.2 Testing for Causality

Once stationarity is established, we applied Granger’s causality test on our time series data. Granger’s causality test (Granger, 1969) is a statistical hypothesis test to investigate whether one time series is useful for forecasting another, which is the basis of the VAR model. It determines if systems influence each other. If the p-value obtained from the test is less than the significance level, the hypothesis is rejected and we can conclude that one time series is causing the other. Significance level assess the statistical significance of data for a null hypothesis. For our test we used popular 1%, 5% and 10% of significance level. We must make the time series stationary before running Granger’s Causality test to eliminate the possibility of auto correlation. To accomplish this, our study employs chi-square distribution because we are testing with a large number of lags and variables.

### 2.4.3 Selection of Model Order

Model order selection for reliable forecast is an important step in statistical analysis when using the VAR model. The most common approach for model order selection involves choosing a model order that minimizes one or more information criteria evaluated over a range of model orders. Choosing optimal lag reduces residual correlation. To select the right order of the VAR model we iteratively fit the model which requires the maximum number of lags. The command returns a statistical information criterion to use for order selection which are Akaike Information Criterion (AIC) (Akaike, 1985), Schwarz-Bayes Criterion (SBC) (Schwarz, 1978) – also known as the Bayesian Information Criterion (BIC) – Akaike’s Final Prediction Error Criterion (FPE), and Hannan-Quinn Criterion (HQ) (Hannan and Quinn, 1979). We have selected the order that produces the lowest AIC, BIC, FPE and HQIC scores.

### 2.4.4 Residual Checking

After choosing the lag order, the selected model is trained and check for serial correlation of residuals. For our study, we are using Durbin Watson Statistics (Durbin and Watson, 1951), which is a standard tool for checking residual autocorrelation in VAR models. The null hypothesis is that there is no residual autocorrelation; the alternative is that residual autocorrelation exists. The Durbin Watson test reports a test statistic with a value from 0 to 4 where a value close to 2 has no autocorrelation, closer to 0 indicates positive autocorrelation, and closer to 4 implies negative autocorrelation.

### 2.4.5 Forecasting and Model Diagnostic

Based on the best fit of the VAR(p) model we obtain the forecast for each variable where p indicates the model order. After training the model on training data, we use the model to make predictions on test data. Based on test data predictions, we can determine how the model performed. If we are detrending or differencing our time series, the differenced or detrend forecasts must be reversed into the original forecast values. We use descriptive statistics mean, minimum, maximum, standard deviation to observe how the statistical distribution of test values differ from forecasted values. Root Mean Square Error (RMSE) is used to evaluate model accuracy.

### 2.5 Supervised Fault Detection Model

This section discusses a supervised learning model that provides a novel approach to fault detection (Dai and Zhao, 2013). Preliminary results indicate that artificial neural network models, namely LSTM models, provide promising fault detection capabilities for highly dimensional data observed over millions of samples.

The vehicle’s operational behaviors, as presented in the 1Hz dataset, coupled with the observed fault data for that particular vehicle over the same timeframe, provided the framework to design a supervised learning model for enhanced fault prediction. We hope to identify a significantly greater number of fault conditions and component failures than are identifiable using physics-based models before they occur, increasing mission capability and cost savings. Logistics, sustainment, and operational decisions and policies will also benefit from insights provided by these models.

#### 2.5.1 Long-Short Term Memory Model

Artificial neural networks (ANNs) lie within the realm of supervised machine learning and can process operational data and produce fault detection and prediction values (Helbing and Ritter, 2018). Univariate regression models use input data and, under an assumed linear relationship, generate coefficients representing their functional values (Park et al., 1991). However, ANNs utilize both linear and
Our method deploys a LSTM model as a data-driven approach for fault detection. LSTMs are an ANN that utilize deep-learning, artificial recurrent neural network methods (Fu, Huang, Qin, Liang, & Yang, 2018). Deployed on the historical 1 Hz vehicle data, the LSTM model observed multiple columns of sensor data and provided fault detection and diagnosis. The detection levels identify operating conditions that could be classified as normal, representing them with a value of 0. The LSTM model further identified the type of numerical fault code encountered by the system. A fault code is an integer value that correlates to a unique failure indicator or error status for a component within the vehicle system.

The LSTM model, with network layers shown in Figure 4, provided the capability to distinguish normal operational behavior from a fault interval. Though, the original dataset contained over 28 columns of data, the input layer only received 5 columns of data needed to detect a fault. The LSTM model received the 5 input neurons and through a hidden layer, transcribed it to 1 output neuron which corresponds to a fault code. The LSTM model was trained over 15 epochs.

Figure 4: LSTM network layer based on operational data.

The two available datasets used to train the LSTM model were the multivariate 1Hz operational data, spanning over one year, and the vehicle’s fault data for the same timeframe. The raw historical data contained mostly normal operational data. The sample size of the historical data was filtered, reducing the occurrence of normal event data, to prevent overfitting of the LSTM model. To filter the historical data, an anomaly detection model was built using Independent Component Analysis (ICA) to identify a low-dimensional subspace of the dataset in which normal and abnormal operation could be identified using statistical methods along with K-means clustering. Abnormal operational data was determined to be data that resided in clusters that related time-wise with known fault indicators.

Analysis from the unsupervised anomaly detection model identified areas within the data where a fault was likely to occur. The training batch size and epochs were reset, reflecting a more constricted time frame. The separate multivariate fault dataset correlated time-wise to the operational dataset columns. The fault data’s sample size pre-processing techniques included applying a fault code toward the operational data. The independent datasets, coupled as a supervised learning problem, enhance fault detection. Input data for LSTM is filtered 1Hz operational data with reduced columns that mirror the layout VAR dataset. Column reduction decreases dimensionality and mitigates model overfitting. The columns that were used to train the model were filtered according to the columns identified by the VAR methodology. As a stand-alone model, the LSTM detects faults based on the historical data upon which it was trained. Including the VAR model in the workflow increases model effectiveness while lowering computational complexity by reducing input dimensionality. Column alignment allows the independently developed LSTM and VAR models to seamlessly transition the VAR output data as input data for the LSTM model. VAR-LSTM can function as one hybrid model. Once the projected data is ingested into the LSTM model, the LSTM model analyzes and classifies which data represent specific fault conditions. The LSTM model evaluation used a Binary cross-entropy loss function.

3 RESULTS AND DISCUSSION

This study evaluated an expansive 1Hz operational time series dataset and corresponding fault data. We estimated and validated our model on the data from several vehicles. We have used one-year operational data generated from 25 vehicles, we found that results from our best performing models are identical. Therefore, results and analysis presented here focused on a one-year operational interval for one vehicle.

3.1 VAR Results

For data preparation, we removed columns with outlier percentages greater than 80% and with variance less than 0.05. These columns don’t meaningfully contribute to the model’s predictive capability because the data they contain is either unreliable or approximately constant. We used scatter plot and IQR (Interquartile range) for multivariate outlier analysis.
Analyzing the line plot of time series in Figure 5, we see that all of the time series data follow a stochastic trend, showing stronger intertemporal variations with larger drops. All of the series seem to be related in some way and none look stationary in their levels. They all appear to have common trends, an indication that they may be co-integrated.

The series was differenced to make it stationary. Results from KPSS and ADF tests verified that the series was difference stationary. The differenced series was then checked for stationarity. Granger’s causality test was performed on the first order of the differenced time series, then tested with 20, 30 and 40 lags applied at 1% and 5% significance levels. P-values less than the significance levels were found consistently, confirming the relationships of multiple variables in the time series and justifying the VAR modelling approach to forecast the 1Hz operational dataset.

To determine optimal lag order we have used the python library model.select_order(maxlags) method with different values for our max lag. BIC statistics from running the command suggest the optimal lag order is 44 and FPE statistics suggest 45. We ran this command for other VIN numbers and other years, and the resultant lag order suggestion stayed approximately the same for all of the data we considered. Durbin-Watson residual tests indicated no autocorrelation between the residuals.

We experimented with model VAR model orders between 42 and 49 for different VIN numbers. In this paper, we are presenting results from best performing model. Most models performed best between the lag length 44-48 and had the lowest number of outliers as suggested during multivariate outlier analysis. The worst performing models had RMSE in the range of 40-50% and were discarded.

Before forecasting we de-differenced the forecasted data once to bring it back to original scale. Figure 6 shows the plot of forecasted data against the actual test data for Transmission Oil Temperature, Engine Coolant Temperature and Engine Oil Pressure. Forecasting for 8 days was generated using fitted VAR (44) model in blue. Red represents the actual value. Forecasted and actual data demonstrate similar pattern throughout the forecasted days. For Engine Oil Pressure, forecasted data is close to actual data but except near transition points. The Transmission Oil Temperature data pattern is also very close but predicted values are overestimated. The Forecast plot for Engine Coolant Temperature shows a similar pattern, but predicted values were underestimated for the last two days. In general, we observed phases of high forecasting accuracy alternating with phases of low forecasting accuracy. Identifying structural breaks (Allaro, 2018) in the data and training the model with segments of time series is expected to improve forecast accuracy. Table 1 shows the evaluation of VAR model to measure the average forecast accuracy, RMSE value indicate that VAR model was not able to successfully forecast large variation in data therefore it suffered from accuracy issue.

<table>
<thead>
<tr>
<th>Variable</th>
<th>RMSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>EngCoolantTemp</td>
<td>20.2</td>
</tr>
<tr>
<td>EngineOilPressure</td>
<td>17.3</td>
</tr>
<tr>
<td>TransOilTemp</td>
<td>32.3</td>
</tr>
</tbody>
</table>

Figure 6: VAR model forecast data vs. test data.

Table 1: VAR model forecast accuracy.
3.2 LSTM Results

Fault detection accuracy varied between the vehicle models. The entire research effort implemented the model across several vehicles, but this paper presents results from building a hybrid VAR-LSTM model for a single vehicle. The LSTM model that was built using only historical data provided 65% - 90% accuracy across multiple vehicles. Once the LSTM model was built, LSTM model was given the forecasted VAR data to see if it could detect any fault. The combined LSTM-VAR model, as a preliminary finding for a single vehicle, provided at most a 64% detection accuracy as shown in Figure 7. Therefore, the LSTM model lost accuracy when detecting faults as a hybrid model than it did as a stand-alone model. The loss function in Figure 7 showed a loss of 57% for this particular vehicle. The LSTM model proved successful in learning the correlation between normal operational data and fault data. The LSTM model can be further expanded to observe data across the entire vehicle fleet and for an increased period of time. An increase of time-series data observations and may contribute to increased model accuracy.

The hybrid VAR-LSTM model output, produced scaled fault detection values, labeled as FailureModeIdentifier, as shown in Figure 8. The corresponding plot corresponds to the fault values are indicated as a failure mode value as a function of time, as shown along the x-axis. These values can be descaled and traced directly back to the fault code status log to determine the projected fault status of the vehicle.

Figure 7: LSTM model training and validation accuracy/loss.

Figure 8: Hybrid VAR-LSTM model output for fault detection.

4 CONCLUSIONS AND FUTURE WORK

The VAR model captured the temporal dynamics of our time series data but was not able to improve the accuracy. Experimentation with different VIN numbers, selection of variable and lag order shows no signs of improving the VAR model forecast. The VAR model is a popular tool in time series forecasting but its parameters are estimated by the least square technique which is very sensitive to the presence of outliers. We did not smooth or filter the outliers from our data because removing the outliers may disturb the information hence the series was only differenced to make it stationary which is a prerequisite for inferring Granger’s Causality test. We couldn’t scale the VAR model across the fleet because this model is computationally expensive to run and adding more data complicates the learning ability of the model. We only experimented with a few variables at a time because adding more variables to a VAR model creates complications. Predictions become more unreliable. Another challenge with the VAR model is that predictions quickly deteriorate. Very short-term forecasting was found to be slightly more accurate than long term forecasting, suggesting some gain is possible by iteratively running the model over short intervals. In terms of forecast stability, the model does not constantly yield accurate results mainly due to structural breaks in the data which can occur due to periods of inactivity or major maintenance related change in the vehicle.

Though the LSTM model provided low accuracy levels using forecasted data, we have succeeded in building a hybrid workflow for a fault detection model. However, it can be improved upon with expanding the model to learn across additional vehicles and increase the size of the dataset. The VAR-LSTM hybrid model is very promising for fault prediction. However, further comparisons of LSTM
hybrid models should also be evaluated to potentially increase model accuracy. We will explore other deep learning models such as CNN-LSTM model (Livieris et al., 2020), which has been proven successful in forecasting time series data.

In next phase of the project, in addition to increasing model sample size, we will be using corresponding maintenance data for more accurate forecasting. We will expand our model further using the fault detection codes to identify data-driven Remaining Useful Life (RUL) estimation for systems with abrupt failures. The patterns and trends of forecasted data our analysis reveals will be used for condition monitoring and identifying abnormal operating conditions.

REFERENCES


