

# Practically Efficient RFID Scheme with Constant-time Identification

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Abstract: Complex systems based on RFID technology, such as healthcare or people identification, raise various scalability problems, timely identification of tags, security, privacy, and efficient, practical implementation. This is because such systems contain many tags, operate with private personal data, and respond promptly in concrete, practical situations to avoid malfunctions (errors in the decision process, traffic congestion, and so on). This paper proposes an RFID protocol that achieves the properties mentioned above, namely mutual authentication, destructive privacy, and constant-time identification in Vaudenay's model with temporary state disclosure. The protocol employs just an IND-CPA secure symmetric-key encryption scheme, which makes it very efficient in implementation. To protect the secret key against adversaries with corruption capabilities, physically unclonable functions (PUFs) are used to mask it. As far as we know, this is the most practically efficient RFID protocol that achieves mutual authentication, destructive privacy, and constant-time identification. All these key features make it suitable for applications as those above.

## 1 INTRODUCTION

Radio Frequency Identification (RFID) is a technology that allows over the air identification of objects, animals or persons. The central figure of an RFID system is a small resource constrained device called *tag*. It communicates through radio waves with an unconstrained device capable of much more computation, called *reader*. The reader is connected through a secure channel with a back-end database that contains information about all tags. The result of communication between reader and tag is the identification of the entity the tag is attached to.

In recent years, the applicability of RFID systems has expanded to increasingly diverse and complex domains and systems. It is worth mentioning here animal monitoring systems, medical healthcare systems, pharmaceutical systems, people identification systems, and so on. Each of these areas raises specific issues of identification and authentication, security, privacy, and implementation efficiency.

Healthcare for example offers a rich palette of potential applications of the RFID technology. Besides traditional uses such as tracking of medical equipment and devices or access control, RFID technol-

ogy can provide even greater benefits to the domain. The misidentification of patients, drugs, blood bags and so on, which frequently occurs in hospitals, constitutes a real threat to patients' safety (Haddara and Staaby, 2018). The use of an RFID-based infrastructure would allow medical staff to largely alleviate this issue and to significantly reduce the medical errors. Likewise, tracking the movement of patients and visitors throughout the hospital by means of RFID bracelets has also been proven to help prevent infectious diseases (Lahtela, 2009; Haddara and Staaby, 2018). It would be desirable for the next generation of RFID healthcare services to ensure continuous monitoring of patients, whether they are in the hospital or are discharged (but not in full health).

Despite all these advantages, the adoption process of RFID technology in healthcare systems has stagnated over the past decade. There are several reasons for this but probably the most important reason is that the scientific and technological research was not yet sufficiently mature to offer truly secure and private RFID systems at affordable implementation costs (Lahtela, 2009; Yao et al., 2010; Yao et al., 2012; Haddara and Staaby, 2018).

The main key properties that healthcare RFID schemes must satisfy are:

- Efficient, or even constant, identification time:

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healthcare systems can be very large (millions of tags) and thus, linear identification time proportional to the size of the database can lead to frequent system crashes and disfunctionalities;

- Good security properties to avoid identity or personal data theft, even if the tag is corrupted;
- Good privacy level to avoid illegal tracking or monitoring of patients, drugs, and so on, even if the associated tag is corrupted;
- Efficient software and hardware implementation: the operations performed by tags, including the communication protocol, must be performed in timely manner to avoid system congestion.

The properties mentioned above are specific to other RFID-based systems too and not only to the healthcare ones.

If we translate these properties into Vaudenay's security and privacy RFID model (Vaudenay, 2007; Païse and Vaudenay, 2008), we see that we are interested in building RFID schemes to ensure efficient or even constant-time tag identification, security against strong adversaries, at least a destructive level of privacy, and efficient (software and hardware) implementation. By efficient implementation we understand, among others, that the RFID schemes we are interested in should avoid public-key cryptography, which is still very expensive (Preneel, 2018) especially if implemented on low power devices.

Thus, we have reached the main goal of our paper, namely to design an RFID scheme that achieves mutual authentication, destructive privacy, constant-time tag identification, and efficient implementation in practice. In addition, the model in which we want these properties to be satisfied is the one proposed by Vaudenay and subsequently extended with the possibility for the corruption oracle to disclose the temporary state of the tag and not only its permanent state (Armknrecht et al., 2010).

**Related Work:** The first attempts to propose RFID schemes that satisfy all four properties mentioned above at the same time are those in (Kardaş et al., 2012; Akgün and Çağlayan, 2015). We say that these were only attempts because the analysis from (İplea and Hristea, 2021) showed that these schemes do not satisfy the authors' privacy requirements. Also, in (İplea and Hristea, 2021), a general method was presented by which the RFID schemes from (Kardaş et al., 2012; Akgün and Çağlayan, 2015) can be fixed in terms of privacy in Vaudenay's model with temporary state disclosure. Even with this fix, the resulting schemes are not very efficient. Besides, they use random number generators, and the scheme in (Kardaş et al., 2012) has linear identification time and not

constant. But let us review the effort to build RFID schemes with the four properties mentioned above.

**Efficient Identification but Loss of Privacy:** In any RFID protocol, the tag transmits certain information from which the reader extracts a specification by which it initiates the tag identification process in its back-end database. This specification is named *tag identifier* (Hristea and Țiplea, 2019b). Several authors have developed RFID schemes in which the tag identifier is updated only at the end of the communication protocol (tag identifiers with this property are called *constant tag identifiers* (Dimitriou, 2005; Tsudik, 2006; Alomair et al., 2010; Lu et al., 2010; Alomair et al., 2012; Hristea and Țiplea, 2019)). The great advantage of using constant tag identifiers is that the identification is done in time proportional to  $\log n$ , where  $n$  is the size of the database (Hristea and Țiplea, 2019b). Some authors have proposed special techniques of organizing databases to get better identification time than  $\log n$  (Alomair et al., 2010). But what is very clear about such RFID schemes is that they lose privacy in a dramatic way: as it was recently shown (Hristea and Țiplea, 2019b), no stateful RFID scheme with constant tag identifiers achieves any form of privacy in Vaudenay's model (with or without temporary state disclosure).

More recently, the authors of (Akgün and Çağlayan, 2015) have proposed a PUF-based RFID scheme that achieves constant time tag identification. They also claimed that the scheme achieves destructive privacy in Vaudenay's model with temporary state disclosure. Unfortunately, this is not true as it was shown in (Hristea and Țiplea, 2019a; İplea and Hristea, 2021). Although the technique in (İplea and Hristea, 2021) fixes this issue, the resulting scheme is still not very efficient. Moreover, it uses a random number generator (RNG) and the XOR operation to build messages that are transmitted between reader and tag. However, sending secrets XOR-ed with "random" strings raises the issue of the generator's security (Arslan et al., 2018). This is because lightweight tags may only implement short length RNGs and thus are susceptible to prediction. For instance, the EPC compliant Class-1 Generation-2 standard (EPCglobal, 2016) states that RFID tags should accommodate RNGs capable of providing 16-bit long random numbers. However, this might not be quite secure. More secure RNGs require more than 1000 gate equivalents (GEs), which is actually more than the number of GEs needed to implement lightweight symmetric-key ciphers (Armknrecht et al., 2014).

**Efficient Identification but Inefficient Implementation:** An elegant RFID protocol that allows constant-time identification is the one proposed in

(Vaudenay, 2007; Paise and Vaudenay, 2008) and based on public-key cryptography (PKC). The main idea is quite simple. Each tag has the reader's public-key and, therefore, can send its identity encrypted by it. Only the reader can decrypt the message, extract the tag's identity, and convert it into a hash index. This makes the search in the database to be implemented in constant time (by hash indices). Vaudenay's PKC-based RFID scheme has two major disadvantages. First of all, it does not provide destructive privacy, but only forward privacy in Vaudenay's model without temporary state disclosure and only weak privacy in Vaudenay's model with temporary state disclosure (Armknrecht et al., 2010). Secondly, it uses PKC, which for the nowadays technology is still very expensive, especially if it is to be implemented on low-power devices like RFID tags (Preneel, 2018). Although the technique proposed in (Iplea and Hristea, 2021) makes this RFID scheme resistant to corruption with temporary state disclosure, the two issues still persist: the scheme does not achieve destructive privacy and the use of PKC makes it inefficient.

**Destructive Privacy but Inefficient Identification:** When Vaudenay's model was proposed, finding an RFID scheme to provide destructive privacy remained an open problem. This was solved in (Sadeghi et al., 2010) by using PUFs. However, the scheme provides only unilateral authentication and it is inefficient from the tag identification point of view (which is linear in time). As the scheme uses pseudo-random functions (PRFs), PUFs, and pseudo-random generators (PRGs), we may say that it achieves a certain degree of practical efficiency. The scheme was extended in (Hristea and Tiplea, 2019a) with mutual authentication, but tag identification inefficiency persists.

**Contribution:** In this paper we propose a PUF-based RFID scheme that achieves mutual authentication and destructive privacy in Vaudenay's model with temporary state disclosure. Moreover, it provides constant-time identification and is very efficient in practical implementation.

The scheme employs a symmetric-key encryption scheme that is IND-CPA secure. To protect the secret key on tags we mask it with PUF values. To avoid the use of temporary variables that might compromise privacy, we use the reader-first authentication approach, where the tag authenticates first the reader. Detailed security and privacy proofs of the scheme, are provided.

The use of PUFs should not be seen as an inconvenience to our scheme. This is because reader authentication and narrow forward privacy are not possible together by means of standard cryptography, when corruption with temporary state disclosure is allowed

(Armknrecht et al., 2010). The only technique known so far to bypass this limitation is by using PUFs (Sadeghi et al., 2010; Kardaş et al., 2012; Akgün and Çaglayan, 2015; Hristea and Tiplea, 2019a; Iplea and Hristea, 2021). On the other hand, the PUF technology is becoming more and more mature, with a wide variety of hardware implementations at the moment (Maes and Verbauwhede, 2010; Delvaux et al., 2015b) (please see Section 6 in the paper).

Due to the fact that the scheme employs just a symmetric-key encryption scheme and a PUF, it can be efficiently implemented in practice. We also emphasize that the scheme does not need RNGs on tags (please see our discussion above on RNGs). As far as we know, this is the most practically efficient RFID scheme that achieves mutual authentication, destructive privacy, and constant-time identification.

**Paper Structure:** The paper is divided into seven sections, the first being the introductory section. The basic concepts and notations used in this paper are presented in Sections 2 and 3 (the latter being especially dedicated to RFID systems). Section 4 discusses on general issues regarding tag identification complexity. In Section 5 we propose our main RFID scheme and prove its security and destructive privacy. The last two sections focus on implementation issues, comparison with other schemes, and conclude the paper. Due to space limitations, the proofs are presented in a separate appendix to allow reviewers to assess the correctness of the results presented in the paper.

## 2 BASIC DEFINITIONS AND NOTATION

We recall in this section a few concepts from cryptography. For details, the reader is referred to standard textbooks, such as (Katz and Lindell, 2014).

We use *probabilistic polynomial time* (PPT) algorithms  $\mathcal{A}$  as defined in (Sipser, 2012) that can consult *oracles*. An oracle is a black box that can perform a particular computation. When considering an oracle, we do not care about its implementation or how it works. Whenever a PPT algorithm  $\mathcal{A}$  sends a value  $x$  to some oracle  $O$ , the oracle returns to  $\mathcal{A}$  a given value in  $O(1)$  time, that can be used further by  $\mathcal{A}$ .

For a set  $A$ ,  $a \leftarrow A$  means that  $a$  is uniformly at random chosen from  $A$ . If  $\mathcal{A}$  is a probabilistic algorithm, then  $a \leftarrow \mathcal{A}$  means that  $a$  is an output of  $\mathcal{A}$  for some given input.

The asymptotic approach to security makes use of security parameters, denoted by  $\lambda$  in our paper. A positive function  $f(\lambda)$  is called *negligible* if for any

positive polynomial  $\text{poly}(\lambda)$  there exists  $n_0$  such that  $f(\lambda) < 1/\text{poly}(\lambda)$ , for any  $\lambda \geq n_0$ .  $f(\lambda)$  is called *overwhelming* if  $1 - f(\lambda)$  is negligible.

A *physically unclonable function* (PUF) (Maes, 2013) can be seen as a physical object that, when queried with a challenge  $x$  generates a response  $y$  that depends on both  $x$  and the specific physical properties of the object. PUFs are typically assumed to be *physically unclonable* (it is infeasible to produce two PUFs that cannot be distinguished based on their challenge/response behavior), *unpredictable* (it is infeasible to predict the response to an unknown challenge), and *tamper-evident* (any attempt to physically access the PUF irreversibly changes its challenge/response behavior). As PUFs are subject to noise induced by the operating conditions, they return slightly different responses when queried with the same challenge multiple times. As there are practical techniques to alleviate this, from a theoretical point of view it is assumed that PUFs return a similar response when queried with the same challenge multiple times (this is usually called robustness).

Based on these, we adopt here the concept of an *ideal PUF* slightly different than in (Sadeghi et al., 2010). Namely, an *ideal PUF* is a physical object with a challenge/response behavior that implements a function  $P : \{0, 1\}^p \rightarrow \{0, 1\}^k$ , where  $p$  and  $k$  are of polynomial size in  $\lambda$ , such that:

1.  $P$  is computationally indistinguishable from a random function (that is, no PPT algorithm can decide with more than a negligible probability whether a given value is an output of  $P$  or it was chosen uniformly at random);
2. Any attempt to physically tamper with the object implementing  $P$  results in destruction of  $P$  ( $P$  cannot be evaluated any more).

Why ideal PUFs? In cryptography and security we typically build a cryptographic system and prove its security under the assumption that we have used secure ingredients (building blocks) such as collision-resistant hash functions, PRFs, or ideal PUFs. These secure ingredients are a kind of “ground truth” of applied cryptography. “Provable security” typically starts only above the level of these secure ingredients. A proof based on experiments and simulations may only show that the scheme is secure with respect to those experiments and simulations. A proof based on ideal primitives has a major advantage: if a cryptographic primitive is assumed ideal and later is proved (by experiments) insecure, we may change it by another one of the same type that we believe is secure. The entire scheme remains unchanged and the security analyses is moved to the cryptographic primitives.

A *symmetric-key encryption* (SKE) scheme is a triple of PPT algorithms  $\mathcal{S} = (\mathcal{G}, \mathcal{E}, \mathcal{D})$ , where  $\mathcal{G}$  outputs a secret key  $K$  when takes as input a security parameter  $\lambda$ ,  $\mathcal{E}$  outputs a ciphertext  $y$  when takes as input a key  $K$  and a message  $x$ , and  $\mathcal{D}$  is deterministic and outputs a plaintext when takes as input a key  $K$  and a ciphertext, such that  $x = \mathcal{D}(K, y)$ , for any  $y \leftarrow \mathcal{E}(K, x)$ . Usually, SKE schemes are obtained by iterating *block ciphers*. For the sake of simplicity, we use  $\{x\}_K$  ( $\{y\}_{K^{-1}}$ ) to denote encryption (decryption) of  $x$  ( $y$ ) by  $K$ . When two or more messages are concatenated for encryption, we will use “||” to denote the concatenation operation.

$\mathcal{S}$  is called *IND-CPA secure* if no PPT algorithm  $\mathcal{A}$  that is allowed to query the encryption algorithm  $\mathcal{E}$  of  $\mathcal{S}$  has a non-negligible advantage to distinguish between two equally length plaintexts, given a ciphertext of one of them.

### 3 (PUF BASED) RFID SCHEMES

We recall in this section basic notions regarding RFID schemes and Vaudenay’s security and privacy model. For details, the reader is referred to (Vaudenay, 2007; Païse and Vaudenay, 2008).

An RFID scheme is typically composed of three main entities: a *reader*, a set of *tags*, and a radio frequency *communication protocol* between reader and tags. The reader is a powerful device not computationally restricted so it can perform any cryptographic operation. It stores tag related information in a database to which it has secure access. On the other side, tags are small devices that are considered to be resource constrained.

The memory of a tag is typically split into *permanent* (or *internal*), used to store the state values of the tag, and *temporary* (or *volatile*), used to carry out the calculations required by the communication protocol.

**RFID Schemes:** Let  $\mathcal{R}$  be a *reader identifier* and  $\mathcal{T}$  be a set of *tag identifiers* whose cardinal is polynomial in some security parameter  $\lambda$ . An *RFID scheme over*  $(\mathcal{R}, \mathcal{T})$  (Vaudenay, 2007; Païse and Vaudenay, 2008) is a triple  $\mathcal{S} = (\text{SetupR}, \text{SetupT}, \text{Ident})$  of PPT algorithms, where:

1.  $\text{SetupR}(\lambda)$  inputs a security parameter  $\lambda$  and outputs a triple  $(pk, sk, DB)$  consisting of a key pair  $(pk, sk)$  and an empty database  $DB$ .  $pk$  is public, while  $sk$  is kept secret by reader;
2.  $\text{SetupT}(pk, ID)$  initializes the tag identified by  $ID$ . It outputs an initial tag state  $S$  and a tag-specific secret  $K$ . The identity  $ID$  together with  $K$  is stored as a pair  $(ID, K)$  in the reader’s database;

3.  $Ident(pk; \mathcal{R}(sk, DB); ID(S))$  is an interactive protocol between the reader identified by  $\mathcal{R}$  (with its private key  $sk$  and database  $DB$ ) and a tag identified by  $ID$  (with its state  $S$ ) in which the reader ends with an output consisting of  $ID$  or  $\perp$ . The tag may end with no output (*unilateral authentication*), or it may end with an output consisting of  $OK$  or  $\perp$  (*mutual authentication*).

The *correctness* of an RFID scheme means that, regardless of how the system is set up, after each complete execution of the interactive protocol between the reader and a legitimate tag, the reader outputs tag's identity with overwhelming probability. For mutual authentication RFID schemes, *correctness* means that the reader outputs tag's identity and the tag outputs  $OK$  with overwhelming probability.

**Adversaries:** In order to formalize the security and privacy requirements for RFID schemes, the concept of an *adversary model* is needed. Such a model defines the capabilities of an adversary by means of a set of oracles that simulate the interaction with the RFID system. There have been several proposals for this, such as (Vaudenay, 2007; Paise and Vaudenay, 2008; Juels and Weis, 2009; Canard et al., 2010; Deng et al., 2010; Bohli and Pashalidis, 2011; Hermans et al., 2011; Hermans et al., 2014). One of the most influential, which we follow in this paper, is *Vaudenay's model* (Vaudenay, 2007; Paise and Vaudenay, 2008). Within this model, the adversary is given access to several oracles to create tags, initiate protocol sessions, and communicate with the tags and the reader. There are two special oracles, *Corrupt* and *Result* that play an important role in classifying the adversaries. The *Corrupt* oracle allows an adversary to get the internal state of the tag, while the *Result* oracle allows the adversary to know the authentication result of a protocol session.

It is customary to assume that the RFID tags can be corrupted to reveal not only their permanent memory (internal state) but also the temporary variables that get values in a protocol step and then are used in another protocol step (and not the temporary variables that are used locally in doing computations in a given protocol step). When the *Corrupt* oracle is considered in such a way, we will refer to Vaudenay's model as being *with temporary state disclosure*.

The adversaries are classified into classes according to the access they get to these oracles:

- *Weak adversaries:* they do not have access to the *Corrupt* oracle;
- *Forward adversaries:* if they access the *Corrupt* oracle, then they can only access this oracle;
- *Destructive adversaries:* after the adversary has

queried *Corrupt*( $vtag$ ) and obtained the corresponding information, the tag identified by  $vtag$  is destroyed and the temporary identifier  $vtag$  will no longer be available. The database  $DB$  will still keep the record associated to this tag (the reader does not know the tag was destroyed). As a consequence, a new tag with the same identifier cannot be created (in this approach, the database cannot store multiple records for the same tag identifier);

- *Strong adversaries:* there are no restrictions on the use of oracles.

An adversary that does not have access to the oracle *Result* is called *narrow*. The narrow property can be combined with any of the previous properties in order to get another four classes of adversaries, *narrow weak*, *narrow forward*, *narrow destructive*, and *narrow strong*.

**Security:** *Security* of RFID schemes in Vaudenay's model (Vaudenay, 2007; Paise and Vaudenay, 2008) means *tag* and *reader authentication*.

An RFID scheme  $\mathcal{S}$  achieves *tag authentication* if no strong adversary has more than a negligible probability to authenticate itself to the reader as an uncorrupted legitimate tag.

An RFID scheme  $\mathcal{S}$  achieves *reader authentication* if no strong adversary has more than a negligible probability to authenticate itself to some uncorrupted legitimate tag as the reader.

**Privacy:** *Privacy* in Vaudenay's model generalizes anonymity (which means that the tag ID cannot be inferred) and untraceability (which means that the equality of two tags cannot be inferred). Thus, privacy requires that no adversary can infer non-trivial tag ID relations from the protocol messages. The information provided by a protocol is trivial when the adversary may learn it without making effective use of the protocol messages. To formalize this, Vaudenay's model introduces the concept of a *blinder* that simulates the protocol for adversary without knowing any secret information of the tags or the reader. If this simulation does not change the adversary's output compared to the case when the adversary plays with the real protocol, then the protocol achieves privacy.

Thus, according to the adversary's class, we thus obtain eight concepts of privacy: *strong privacy*, *narrow strong privacy*, *destructive privacy*, and so on.

**PUF Tags and PUF based RFID Schemes:** The newest technologies allow *PUF tags* that are tags with PUFs inside them. An RFID scheme with PUF tags will sometimes be called *PUF based RFID scheme*.

In order to adapt Vaudenay's model to PUF based RFID schemes, we have to clarify what corruption means in this case. Taking into account that PUFs

are tamper-evident, the approach we follow is that corruption on a PUF tag reveals the permanent (and temporary, if the model is with temporary state disclosure) memory of the tag, but the tag is considered destroyed. By corruption, the values computed by PUFs cannot be obtained (except when they were saved in the permanent memory or in global temporary variables). For more details the reader is referred to (Tiplea et al., 2021).

## 4 IDENTIFICATION TIME IN RFID SCHEMES

With the increase in the applicability of RFID systems, the number of tags to be managed by the back-end server has increased. This raises the problem of tag identification time by the reader. In fact this is an on-line search problem of a specific record in a large database. The tag has to provide the reader with some identification information, and the reader has to search the database for some related information. The information provided by tag for identification, generically called *tag identifier*, may facilitate more or less the identification process.

A tag identifier should not be confused with the tag's identity. It may be a tag identity, but it may also be a hash of a tag identity, or any other information that uniquely identifies the tag without losing security and privacy. A tag identifier may also be a constant value (as in the case of the tag's identity), it may be derived from the tag's state, or from the tag's state and some message received from reader. Therefore, a tag identifier may change dynamically and this is why the tag identification in the back-end database might not always be very efficient.

The tag identification time in the back-end database depends on how the tag identifiers are viewed as search indices (Silberschatz et al., 2010). There are two main approaches along this line: *ordered indices* and *hash indices*.

An ordered index is a pair that consists of a search key value and a pointer to the corresponding record or to a disk block containing it in the back-end database. Ordered indices are sorted by the search key value. Therefore, the identification time of a tag is proportional to  $\log n$  ( $n$  being the database size). When the tag is identified and the tag's state is updated, as it is for instance in (Dimitriou, 2005; Tsudik, 2006; Vaudenay, 2007; Paise and Vaudenay, 2008; Hristea and Tiplea, 2019), the tag identifier changes. Therefore, the index structure has to be updated as well. This can simply be done by deleting the old index entry and inserting the new one in the right position, which takes

time proportional to  $\log n$ . Therefore, the entire process is proportional to  $\log n$ . Remark also that the new index entry is obtained from the old one by replacing the search key value (the pointer remains unaltered).

The sequential organization of indices has the main disadvantage that performance degrades as the index file grows. In such a case, one may think to organize indices on multiple levels or even as a  $B^+$ -tree. Lookup on  $B^+$ -trees is efficient; deletion and insertion are somewhat more complicated but still efficient. Thus, if the number of pointers in a non-leaf node is  $k$ , the height of the  $B^+$ -tree is proportional to  $\log_k n$ , and the identification and updating time is proportional to  $\log_{k/2} n$ . The value of  $k$  is often around 50 or 100 (Silberschatz et al., 2010).

The hash organization of a database uses a hash function that maps the search key value to the address of the desired record or to a *bucket* containing it (a bucket is a unit of storage containing one or more records; typically, a bucket is a disk block). In such a case, the lookup time is usually a constant, independent of the database size. This approach can be used with all RFID schemes for which the tag identifier is constant, such as the PKC-based RFID scheme in (Vaudenay, 2007).

There is also another approach based on hash indices. Namely, we compute hash indices for all possible search keys of each tag, we associate the corresponding pointers to the database records, and view the hash index (the hash file structure) such obtained as secondary (hash) indices. For this hash index we may use the first hashing approach to search within it. However, the search time might not be constant.

In this paper we will look for constant-time identification by using constant tag identifiers. This will also allow for scalability. However, to avoid loss of privacy, the constant tag identifiers need to be encapsulated. In (Vaudenay, 2007; Paise and Vaudenay, 2008), the PKC-based RFID scheme does this by means of PKC. To get more efficiency, we would like to do this by means of SKC. The details follow in the next section.

## 5 DESTRUCTIVE PRIVACY WITH CONSTANT-TIME IDENTIFICATION

The PKC-based RFID scheme proposed in (Paise and Vaudenay, 2008) achieves forward privacy and mutual authentication. Moreover, it allows constant-time identification of tags in the reader's database. This is because the reader has a public key that is distributed

to all tags, while it keeps the corresponding private key. Therefore, each tag can safely send its identity encrypted by the reader's public key. The search procedure in the database may then be organized by means of hash indices computed on tag identities (as we have discussed in Section 4).

This idea cannot be put into practice only by SKC because the secret key is used for both encryption and decryption. Sharing the secret key to all tags and the reader raises serious security and privacy problems: corruption of a tag reveals the secret key and the entire system is compromised. However, if the secret key is protected by PUFs, then it may act as a master key known only to tags and reader. Trying to extract the key from tags by corruption destroys the tags without disclosing the key.

The first attempt to design a destructive private and mutual authentication RFID scheme by using PUF protected secret keys was proposed in (Akgün and Çaglayan, 2015). Unfortunately, the scheme in (Akgün and Çaglayan, 2015) does not achieve destructive privacy in Vaudenay's model with temporary state disclosure, as it was claimed by its authors. This is because the scheme uses temporary variables to carry crucial information from one tag step to another one, and this information can be obtained by corruption. For a detailed attack on the scheme the reader is referred to (Hristea and Tiplea, 2019a).

However, if we combine the idea in (Akgün and Çaglayan, 2015) of using PUF protected secret keys with the reader-first authentication approach to avoid the use of temporary variables, we arrive to an RFID scheme that achieves destructive privacy and mutual authentication in Vaudenay's model with temporary state disclosure, together with constant-time identification of tags in the back-end database.

We thus propose an RFID scheme based on an SKE scheme  $\{\cdot\}_{K_m}$  whose block-length and key-length are  $\ell$ , where  $\ell$  is polynomial in the security parameter  $\lambda$ . The secret key  $K_m$ , also called the *master key* of the scheme, is stored on reader and all tags. However, to avoid key disclosure by tag corruption,  $K_m$  is stored on tags in a masked form  $K'_m = K_m \oplus P(s)$ , where  $P$  is a PUF and  $s$  is a seed, both of them specific to the tag.

Each tag is identified by its identity  $ID$  and is initially endowed with a random value  $x$  needed to randomize the encryption. The value  $x$  is incremented each time a tag is queried. For the simplicity of the exposition we assume that  $ID$ ,  $x$ ,  $s$ , and  $P(s)$  are all of the same length  $\ell$ .

The mutual authentication protocol is represented in Figure 1. The tag evaluates its PUF  $P$  on  $s$ , extracts  $K_m$  from  $K'_m$  with the help of  $P(s)$ , and sends its en-

rypted credentials  $(x, ID)$  to the reader (in Figure 1,  $\parallel$  stands for string concatenation). Remark that  $x$  is the first block to be encrypted (using some operation mode) because it will get a new value next time when the protocol is initiated. In this why, the encryption gets randomized<sup>1</sup>.

When the reader receives the message from tag, decrypts it and looks for a corresponding record in its database. If this is found, which means that the reader identified the tag, an "authentication code"  $w$  obtained from a random  $v$  and  $x$  is returned (remark that  $v$  is the first block to be encrypted). The tag decrypts  $w$  and checks the  $x$ -values. If they match, authenticates the reader and prepares an "authentication code"  $w'$  for reader. Note again that  $w'$  is built by placing  $x$  on the first position after it has been incremented. When receiving  $w'$ , the reader checks it against the value  $\{(y+2) \parallel (v+1)\}_{K_m}$  computed by itself. If they match, the tag is authenticated and  $x$  is synchronized (by incrementing it) with the corresponding value on tag.

It is straightforward to check the correctness of this scheme. We list below a few properties of it:

1. A tag may be queried multiple times without completing the protocol. In this case, the  $x$ -value on tag gets greater than (but never less than) the corresponding value stored in the database. When the tag is identified, the reader synchronizes its  $x$ -value with the one used by tag to compose the message  $w$ ;
2. The scheme does not use temporary variables to carry information between protocol steps. Therefore, the scheme is secure and private in Vaudenay's model with temporary state disclosure if and only if it is secure and private in Vaudenay's model without temporary state disclosure;
3. The tag identification process takes constant time if the database is organized by means of hash indices computed on tag identities;
4. The scheme does not use RNGs on tags, which might be a source of insecurity if they are not sufficiently long. Secure RNGs require more than 1000 GEs (Armknrecht et al., 2014);
5. There are lightweight block ciphers that are considered to be sufficiently secure at the moment and which can efficiently be implemented on RFID tags (please see the last section of the paper for more details on this).

**Remark 5.1.** One can see from Figure 1 that messages to be encrypted have length  $2\ell$ . According to

<sup>1</sup>Formally, the encryption will be required to be IND-CPA secure.

	Reader ( $DB, K_m$ )	Tag ( $ID, x, K'_m, P, s$ )
1		$K = P(s), K_m = K'_m \oplus K,$ $x = x + 1, z = \{x \parallel ID\}_{K_m}$ erase $K, K_m$
2	$\{z\}_{K_m^{-1}} = x \parallel ID$ If $\exists (ID, y) \in DB$ and $y < x$ then $y = x - 1, v \leftarrow \{0, 1\}^\ell$ $w = \{v \parallel (y + 1)\}_{K_m}$ else $w \leftarrow \{0, 1\}^{2\ell}$	$\xrightarrow{z}$  $\xrightarrow{w}$
3		$K = P(s), K_m = K'_m \oplus K,$ $\{w\}_{K_m^{-1}} = v' \parallel x'$ If $x = x'$ then output $OK, x = x + 1$ $w' = \{x \parallel (v' + 1)\}_{K_m}$ else output $\perp, x = x + 1$ $w' = \{x \parallel (v' + 2)\}_{K_m}$ erase $K, K_m$
4	If $w' = \{(y + 2) \parallel (v + 1)\}_{K_m}$ then output $ID, y = y + 2$ else output $\perp$	$\xleftarrow{w'}$

Figure 1: Destructive private and mutual authentication scheme in Vaudenay’s model with temporary state disclosure.

our assumption, each message to be encrypted consists of two blocks. Therefore, it suffices for the SKE scheme to be IND-CPA secure for such messages. However, although the messages consist of only two blocks, some operation mode has to be used. When we proposed the scheme we have taken into consideration the CBC operation mode. Under this operation mode, the incrementation of  $x$  and the random choice of  $v$  randomizes the first block of the ciphertext. As this block is then used to encrypt the next message block, the entire encryption gets randomized.

What we have said above is just an explanation that underpins the construction of our scheme. In general it is sufficient to ask the SKE scheme to be IND-CPA secure in order to get security and privacy of the RFID scheme (without any other constraints on the operation mode).

The following theorems establish the security and privacy properties of our scheme. Their proofs are omitted due to space limitations, but the reader can find them in the appendix to assess their correctness.

The first result is on tag authentication.

**Theorem 1.** *The RFID scheme in Figure 1 achieves tag authentication in Vaudenay’s model with temporary state disclosure, provided that the SKE scheme is IND-CPA and the tags are endowed with ideal PUFs.*

As with respect to the reader authentication property, we have the following result.

**Theorem 2.** *The RFID scheme in Figure 1 achieves reader authentication in Vaudenay’s model with temporary state disclosure, provided that the SKE scheme is IND-CPA and the tags are endowed with ideal PUFs.*

Finally, we have the following privacy result.

**Theorem 3.** *The RFID scheme in Figure 1 achieves destructive privacy in Vaudenay’s model with temporary state disclosure, provided that the SKE scheme is IND-CPA and the tags are endowed with ideal PUFs.*

**Remark 5.2.** *The RFID scheme in Figure 1 can be easily transformed into a weak private scheme. What we have to do is to remove the PUF from each tag and to keep the master key  $K_m$  in the tag’s permanent memory. Although this might seem a good idea from the constant-time identification point of view, this solution should be taken with great care. This is because disclosure of  $K_m$  compromises the entire scheme.*

## 6 IMPLEMENTATION ISSUES

Practical implementation of the RFID scheme in the previous section is conditioned by the existence of lightweight symmetric-key encryption schemes. An RFID tag has very few gates, and many of these are taken up by logic required for basic operation. In

RFID Scheme	Efficiency	Ident. time	Auth.	Privacy
(Paise and Vaudenay, 2008) and (iplea and Hristea, 2021)	1 PKE + 2 PUF + 1 RNG	Constant	Mutual	Destructive private in V_TSD (iplea and Hristea, 2021)
(Sadeghi et al., 2010)	1 PRF + 1 PUF + 1 RNG	Linear	Unilateral	Destructive private in V
(Hristea and Țiplea, 2019a)	2 PRF + 1 PUF + 1 RNG	Linear	Mutual	Destructive private in V
(Kardaş et al., 2012) and (iplea and Hristea, 2021)	4 Hash + 4 PUF + 2 RNG	Linear	Mutual	Destructive private in V_TSD (iplea and Hristea, 2021)
(Akgün and Çağlayan, 2015) and (iplea and Hristea, 2021)	4 Hash + 4 PUF + 1 RNG	Constant	Mutual	Destructive private in V_TSD (iplea and Hristea, 2021)
This paper	3 SKE + 2 PUF	Constant	Mutual	Destructive private in V_TSD

Figure 2: Comparisons between RFID scheme trying to achieve destructive privacy in Vaudenay’s model: V stands for Vaudenay’s model, V\_TSD stands for Vaudenay’s model with temporary state disclosure.

(Weis et al., 2004) it was estimated that about 5,000 gate equivalents (GEs) are left over in a typical RFID tag for cryptographic functions. This somehow allows compact implementations of the Advanced Encryption Standard (AES) cryptosystem on RFID tags, that use around 2,400 GEs (Moradi et al., 2011; Banik et al., 2016). However, with processors getting smaller and faster, and with more devices becoming mobile, the AES cryptosystem has become clunky, while RFID technology developers are seeking something that consumes smaller area of about 2,000 GEs.

During the last fifteen years a lot of effort has been dedicated to propose lightweight block ciphers. Among them, it is worth to mention PRESENT (Bogdanov et al., 2007), Piccolo (Shibutani et al., 2011), SIMON and SPECK (Beaulieu et al., 2015), and Simeck (Yang et al., 2015). There are some similarities between Simon/Speck and Simeck. For 32/64- (48/96-, 64/128-) bit size, they require less than 580 (800, 1030) GEs. They also have comparable security properties. As a conclusion, all of them can meet the area, power consumption, and throughput requirements in the passive RFID tags, and they are promising candidates for resource-constrained devices, such as passive RFID tags and wireless sensor networks.

Since their introduction, PUFs have been integrated in various cryptographic protocols. Usually, PUFs serve two main purposes: identification and cryptographic key generation. A primary example of the former situation is (Devadas et al., 2008), where a

PUF has actually been integrated in an RFID tag. Key generation by PUFs is a bit more delicate because we need to overcome the PUF’s noisy nature and its lack of entropy. Therefore, additional mechanisms such as error correction codes, hash functions and helper data algorithms are needed (Delvaux et al., 2015a).

Fortunately, this situation has changed recently when new PUF constructions with very low bit error rates were proposed (Yoshimoto et al., 2016; Liu et al., 2015; Chuang et al., 2017; Chuang et al., 2018). The PUF design in (Chuang et al., 2017; Chuang et al., 2018), based on the randomness of the soft breakdown position of CMOS transistors, is such an example. Denoted as BD-PUF, it represents a prominent candidate for constructing PUF-based key generation mechanisms with good entropy.

## 7 CONCLUSIONS

We have proposed in this paper an RFID scheme that achieves mutual authentication, destructive privacy, constant-time identification, and is efficient in practical implementation. The scheme is based on symmetric-key encryption. To avoid key disclosure on tags, we have masked the key by PUF values. To reach destructive privacy in Vaudenay’s model with temporary state disclosure we avoided the use of temporary variables by following the reader-first authentication approach. Constant-time identification fol-

lows from the fact that each tag sends its encrypted identity to the reader.

As far as we know, this is the most practically efficient RFID scheme that achieves mutual authentication, destructive privacy, and constant-time identification in Vaudenay's model with temporary state disclosure. The table in Figure 2 provides comparisons between our scheme and the closest schemes to ours.

We would like to emphasize that reader authentication and destructive privacy are not possible together only by means of standard cryptography, when corruption with temporary state disclosure is allowed (Armknrecht et al., 2010). The only technique known so far to bypass this limitation is by using PUFs.

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