A Hybrid IoT Analytics Platform: Architectural Model and Evaluation

Theo Zschörnig¹, Jonah Windolph¹, Robert Wehlitz¹ and Bogdan Franczyk^{2,3}

¹Institute for Applied Informatics (InfAI), Goerdelerring 9, 04109 Leipzig, Germany

²Information Systems Institute, Leipzig University, Grimmaische Str. 12, 04109 Leipzig, Germany ³Business Informatics Institute, Wrocław University of Economics, ul. Komandorska 118-120, 53-345 Wrocław, Poland

Keywords: Fog Computing, Internet of Things, Smart Home, Analytics Architecture.

Abstract: Data analytics are an integral part of the utility and growth of the Internet of Things (IoT). The data, which is generated from a wide variety of heterogenous smart devices, presents an opportunity to gain meaningful insights into different aspects of everyday lives of end-consumers, but also into value-adding processes of businesses and industry. The advancements in streaming and machine learning technologies in the past years may further increase the potential benefits that arise from data analytics. However, these developments need to be enabled by the underlying analytics architectures, which have to address a multitude of different challenges. Especially in consumer-centric application domains, such as smart home, there are different requirements, which are influenced by technical, but also legal or personal constraints. As a result, analytics architectures in this domain should support the hybrid deployment of analytics pipelines at different network layers. Currently available approaches lack the needed capabilities. Consequently, in this paper, we propose an architectural solution, which enables hybrid analytics pipeline deployments, thus addressing several challenges described in previous scientific literature.

1 INTRODUCTION

The impact of data analytics on the ongoing growth of the Internet of Things (IoT) has been substantial in the past years. Data analytics already play a pivotal role in a multitude of application domains and are expected to become more important in the future (Siow et al., 2018). Based on a recent survey, 42% of respondents are driven to spend more money on associated IoT technologies for better data analytics capabilities (451 Research, 2019). In order to gain insights into the data of the ever-increasing number of smart devices, different technologies have been adopted for the IoT, such as Big Data processing or machine learning (ML) algorithms.

Together with the possibilities, however, the challenges arising from this development must also be considered. A fundamental, yet ongoing concern, is the need to provide consumers and businesses with appropriate platforms to design and execute *analytics pipelines*. These platforms provide the tools to gain meaningful insights from the data of smart devices. Although, there are already numerous architectural approaches for IoT data analytics, these are most

commonly based on the concepts of processing Big Data from other domains of information systems research and practice. Looking at the peculiarities of the IoT, these approaches are only of limited use, especially in domains, which are user-centric, such as smart home. Moreover, the smart home domain exposes requirements for data processing architectures, which are driven by personal preferences and issues of end-consumers, e.g., data privacy and security, but also technical constraints, such as limited resource availability.

Against this background, we propose an IoT analytics platform, which is designed to enable hybrid *analytics pipeline* deployments. This platform utilizes the concept of fog computing in order to provide real-time stream data processing for different application scenarios in smart home environments.

The remainder of this paper is structured as follows: In section 2, we describe the background of our research. Furthermore, we name the challenges to be addressed by the architecture we propose. Afterwards, we present an overview of related works of this field. In addition, we describe how these are not fully suitable regarding the problem space

Zschörnig, T., Windolph, J., Wehlitz, R. and Franczyk, B.

A Hybrid IoT Analytics Platform: Architectural Model and Evaluation.

DOI: 10.5220/0010405808230833 In Proceedings of the 23rd International Conference on Enterprise Information Systems (ICEIS 2021) - Volume 1, pages 823-833 ISBN: 978-989-758-509-8

Copyright © 2021 by SCITEPRESS - Science and Technology Publications, Lda. All rights reserved

(section 3). The main contribution of this paper, a proposal for an analytics architecture to be used in user-centric environments, is described in section 4. In section 5, we present a prototypical implementation of the architecture and evaluate it in section 6. Finally, we summarize our findings and provide ideas for further research in this field as well as our own (section 7).

2 BACKGROUND

The number of smart homes is expected to rise to 483 million in the year 2025 (Statista, 2020). This development is accompanied by an increase in the amount of data generated. The creation of meaningful insights from these data is a cornerstone of generating added value from smart devices. For this reason, it is necessary to develop suitable analytics architectures that, for example as part of an IoT platform, are able to cope with the various challenges involved. These architectures and the resulting implementations are the foundation for the creation and operation of *analytics pipelines*. Previous research on this topic has already identified a number of challenges that should be addressed by such architectures (Zschörnig, Wehlitz, & Franczyk, 2020).

Based on the overview of Zschörnig, Wehlitz, and Franczyk (2020), we mapped the found challenges to the smart home domain and found that they are primarily influenced by the residents. Specifically, privacy and security regarding IoT data is a major concern for them. In contrast, smart homes only provide limited computing resources, thus requiring the ability to offload analytics tasks to cloud data centers. Furthermore, fault-tolerant data input is important, since analytics pipelines need to keep running, even if connectivity issues, etc. occur. Since available smart devices in smart homes are usually different in terms of vendors, but also numbers or types, personalization of analytics, meaning the individual composition and configuration of analytics pipelines, is another important issue to be addressed. Since most analytics services in smart home environments are provided as part of an IoT platform to many end-consumers, the architectural challenges are also driven by platform vendors. From their perspective, Big Data capabilities, scalable data processing as well as data storage are needed to handle the large number of data sources of their customers. Moreover, the high network usage of these smart devices needs to be addressed. From an analytical standpoint, the flexible extension of data processing as well as the integration of data from

different sources and *of historic and real-time data* have to be possible. Additionally, *data visualization* is important. The character of IoT data also demands *real-time processing* and consequently *stream handling capabilities* of smart home analytics architectures.

The combination of these challenges creates a number of limitations in the domain of smart home, which must be mapped by analytics architectures. Currently available approaches in this field are either cloud-based Big Data or application-specific solutions that lack the required flexibility with regard to different consumer, legal or technical requirements and constraints. Additionally, analytics scenario requirements are not known beforehand by platform providers or may change rapidly, thus creating the need to allow flexible pipeline composition and deployment. In this context, we also define our motivational scenario, which revolves around the forecasting of the total energy consumption of a household and is the basis for the conducted experiments in section 6. The total energy consumption is based on the individual consumptions of various electrical consumers and is measured accordingly via smart plugs or similar. From an analytical point of view, the composition of the associated analytics pipeline is based on the number of available devices and their device types. Furthermore, it should be possible to use different calculation types, which, however, also have different requirements concerning available computing resources. In this regard, especially ML algorithms have presented promising results looking at energy consumption forecasting accuracy (Amasyali & El-Gohary, 2018). Finally, there are the requirements of the residents who, for example, might want data processing to take place on their local devices so that their data cannot be used by third parties.

Based on the lack of suitable analytics architectures to address the aforementioned challenges and cover the motivational scenario (see section 3), we have proposed a fog-based architecture in Zschörnig et al. (2019). In this context, fog computing describes a paradigm promoting the computation, storage, etc. of data anywhere from the cloud to the edge of the network (Mouradian et al., 2018; OpenFog, 2017). It is therefore different from the sometimes interchangeably used term "edge computing" (Yousefpour et al., 2019), which specifically excludes the cloud for computational as well as related tasks and is limited to only a small number of network layers (OpenFog, 2017). Consequently, the fog computing paradigm aims at combining cloud computing, edge computing and the IoT in a new architectural paradigm (Donno et al., 2019). In IoT use cases, the edge of the network is synonymous with local networks, which include IoT devices (Yousefpour et al., 2019). Therefore, fog computing nodes may comprise edge devices, such as gateways, access points, etc., but not IoT devices, such as sensors and actuators (Mouradian et al., 2018; Yousefpour et al., 2019).

Past scientific literature has interpreted fog computing in the sense that data processing is primarily performed on fog nodes and the cloud performs information aggregation tasks for decision support. In contrast, the main goal of our solution proposal is to enable *analytics pipeline* deployments along the diverging constraints of different stakeholders. This includes hybrid *analytics pipelines*, in which some processing tasks run on fog nodes, while others are computed at the cloud layer.

The cloud-based parts of this architecture are already discussed and evaluated in (Zschörnig, Windolph et al., 2020a) and (Zschörnig, Windolph et al., 2020b) and address multiple of the aforementioned challenges. In this paper, the components required to enable data processing at fog nodes are further specified and the components for message exchange between them and the cloud are added.

3 RELATED WORK

In the last years, several architectural approaches for data analytics in smart home environments have been developed to facilitate different application scenarios. In order to provide an overview of this literature, we conducted a review following *vom Brocke et al.* (2009). The results were analyzed regarding utilized computing paradigm and application scenario.

Bhole et al. (2015) present an architecture to be deployed on edge devices in smart homes. Their field of application is home automation and they utilize several different ML-based algorithms. Constant et al. (2017) propose a fog-based architecture, which centers around a fog gateway device. They evaluate their approach by using smart wearable data without limiting their approach to a specific field of application. Popa et al. (2019) also present a fogbased architecture for home automation, in which deep neural networks are trained and stored in the cloud and the resulting models are pulled and applied by an IoT agent on an edge device. Furthermore, they present applications for non-intrusive load monitoring and energy load forecasting. Singh and Yassine (2019) describe a fog analytics architecture

for energy management in households. Their fog computing nodes main functionality involves the preprocessing of data. *Hasan et al. (2015), Al-Ali et al. (2017)* and *Paredes - Valverde et al. (2020)* propose cloud-based analytics architectures for energy management. Data analytics are supported by Big Data technologies in all approaches. Another cloudbased analytics architecture is presented by *Fortino et al. (2015)*. Their main focus lies on activity recognition in smart homes.

To sum it up, we found that none of the smart home analytics architectures analyzed support hybrid *analytics pipeline* deployments with regard to the challenges described in section 2. Furthermore, most of the solutions are based on rather static *analytics pipelines*, which are defined a priori, thus missing flexibility in terms of changing requirements.

4 SOLUTION PROPOSAL

In the following, we present our architectural proposal which addresses the challenges described in section 2. The proposal is based on previous research of ours, which is described in Zschörnig et al. (2019). The overall architectural model is shown in Figure 1 and was designed following the method of conceptual modeling (Thalheim, 2012). The microservice-based architecture contains the orchestration platform, streaming and serving platform as well as the cloud connector and a message broker at the cloud layer. Furthermore, the fog platform contains several components to be deployed at network layers, which are located closer to the edge of the network. These layers comprise different computational devices, such as single-board computers, depending on the application domain. The main goal of the approach is to enable analytics pipelines deployments at all levels of fog environments, from cloud to edge, but also to allow hybrid deployments, in which data processing tasks of a single analytics pipeline are executed at different levels.

The central component to achieve these hybrid deployments is the *orchestration platform*, which comprises five microservices. In this regard, *analytics pipelines* are instantiated from *analytics flows*, which are managed via the *flow* repository. They are created and updated (1) using the *flow designer*. *Analytics flows* contain *analytics operators*, which are singlepurpose microservices and offer different data transformation and processing capabilities. In the proposed solution, *analytics operators* are encapsulated using container technology, such as



Figure 1: Proposed architectural concept, showing components and interfaces, adapted from Zschörnig et al. (2019).

Docker¹, and their container images are saved in either public or private repositories. Available analytics operators are registered in the operator repository (2) and composed into analytics flows utilizing the flow designer (3). The data saved in the operator repository has to include metadata about inputs, outputs and configuration values of an analytics operator as well as its container image name. The deployment of an analytics pipeline is triggered at the *flow engine* (4). As a first step, the list of all *analytics operators* to be deployed as well as their composition in the analytics flow is pulled from the analytics parser (5). The analytics parser transforms the flow data from the *flow repository* (6) into a unified format usable by the flow engine. Decoupling the flow data structure from the *flow* engine extends the range of application of the overall architecture, as it is not bound to a single modeling notation. Successfully deployed analytics pipelines are registered in the *pipeline registry* by the *flow* engine and are deregistered once they are decommissioned (7).

The presented solution proposal assumes that the *orchestration platform* runs in the cloud stratum together with the *streaming platform*. Against this background, all cloud-based *analytics operators* are started by the *flow engine* by interfacing with the underlying container orchestration management platform (8), e.g., Kubernetes².

The central component of the *streaming platform* is the *log data store*, which is managed by a message broker and ingests data from different sources such as IoT device data, but also from environmental or weather APIs (9). The cloud-based *analytics operators* of an *analytics pipeline* consume these data or the output of preceding *analytics operators* from the *log data store* according to the *analytics flow* the *analytics pipeline* is based on. Furthermore, they produce their results back to it, both actions in streaming fashion (10). As a result, the data streams of all raw and processed data may be accessed at all times and all stages of the processing scenario. Since the approach includes only a speed layer for processing streaming data, it promotes the advantages

¹ https://www.docker.com

² https://kubernetes.io

of the Kappa architecture approach, which were first described in *Kreps (2014)*. In order to enable access to all data for their utilization by third-party applications or visualization, the *serving platform* may consume data streams from the *log data store* (11).

In case of a fog or hybrid deployment, a major issue is the transfer of data between different network levels. Additionally, the communication between all management and orchestration components of the overall architecture is a challenge. Consequently, we introduce two message brokers as well as two connector services with one of each deployed at the cloud and the fog stratum. These components handle the data transfer between the layers.

In case of a fog or hybrid analytics pipeline deployment request, the flow engine sends the resulting *analytics operator* requests to the *message* broker in the cloud (12). These requests are then pulled by the fog connector (13) and published on the message broker in the fog platform (14) to be consumed and processed by the fog master. The fog master checks available fog agents and publishes analytics operator deployment requests at a specific topic of a single fog agent (15). The fog agent receives (16) the request and starts deploying the analytics operator (17). In order for this to work, the fog agent must be deployed at a hardware platform, which supports container execution. There may not be more than one fog agent per fog device. Fog Agents monitor the resource usage of the analytics operators and report this to the fog master, which manages analytics operator deployment requests with respect to the available resource of a fog agent. Similar to their cloud-based counterparts, the fog deployed analytics operators consume IoT data streams from the message broker as well as the results of other deployed analytics operators according to the *analytics flow*. Moreover, they publish the results of their task at the fog message broker (18). In case of a hybrid analytics pipeline, the results of fog analytics operators are sent to the cloud by the fog connector, which pushes their messages to the *cloud message* broker. The cloud connector handles the bidirectional data transfer between the cloud message broker and the log data store. Therefore, data processed in the cloud may be sent to the fog message broker via the fog connector to be processed by fog analytics operators.

5 PROTOTYPE

The architectural concept of section 4 is the foundation for an integrated software prototype, which we implemented as a proof of concept and as the basis for experimental evaluation. All components of the architectural implementation are open-source software. In this regard, we have also published all the self-written software artifacts³. Following the microservice paradigm, all components of the orchestration platform are fine-grained and loosely coupled. Moreover, they are encapsulated using container technology. This enhances their reusability and mobility, which is especially important for *analytics operators*, since they are usually deployed in many different *analytics pipelines*.

The operator and flow repository are written in Python and provide CRUD interfaces to interact with. The flow engine, flow parser and pipeline registry are written in Golang and expose CRUD interfaces as well. The *flow designer* is part of a frontend application which is implemented using the Angular⁴ framework. The components of the streaming platform are based upon the Apache Kafka ecosystem⁵. In this context, we provide a Java library, which is based on Kafka Streams⁶, to reduce the complexity of implementing analytics operators. The serving platform comprises an influxDB⁷ as well as Python-based Kafka consumer services, which relay raw and analytics data to the serving database. The cloud connector is written in Golang and interfaces both, the Kafka cluster and the cloud message broker, which is a VerneMQ⁸ instance. Consequently, the communication between all network layers relies on MQTT⁹, which is lightweight communication protocol and widely adopted in IoT use cases (Yassein et al., 2017).

The orchestration components of the fog platform (*fog connector, fog agent, fog master*) are implemented in Golang. We support the development of fog *analytics operators* with a Python library, which handles their configuration and integration with the overall analytics architecture. Concerning the *fog platform*, the implementation of the components was driven by lower resource availability as compared to cloud environments. Therefore, we use MQTT as the communication protocol between all fog components and Eclipse Mosquitto¹⁰ as the *fog message broker*. Additionally, we minimized Docker

³ https://github.com/SENERGY-Platform

⁴ https://angular.io

⁵ https://kafka.apache.org

⁶ https://kafka.apache.org/documentation/streams/

⁷ https://www.influxdata.com

⁸ https://vernemq.com

⁹ https://mqtt.org

¹⁰ https://mosquitto.org/

image sizes by utilizing Alpine Linux¹¹ as their foundation.

6 EXPERIMENTAL EVALUATION

In this section, we describe the quantitative evaluation of the proposed architectural solution based on the prototype introduced in section 5. In this regard, we have deployed the *fog platform* in a real apartment, whose residents have agreed to participate in an ongoing IoT research project of ours. The apartment was equipped with 97 different IoT sensors and actuators. As a result we utilized the environment, which generated real-world energy consumption data, to conduct four field experiments with regard to *Boudreau et al. (2001)*.

Beside the proof of concept, that the proposed architecture can be used to process and analyze data in a real-world scenario, the purpose of the experiments is to show that it can also deploy *analytics pipelines* at fog nodes as well as in a hybrid manner. Moreover, we evaluate how different application scenarios influence the viability of the two deployment strategies by investigating the latency of data processing as well as the overall resource usage. In this regard, previous research of ours has already shown, that the cloud components of the proposed architecture are able to handle Big Data problems in Smart Home environments, which might also include ML technologies (Zschörnig, Windolph et al., 2020a).

6.1 Experiments

Overall, we conducted four experiments, which revolved around the energy consumption scenario we described in section 2. Available energy consumption data sources in the household were:

- 35 Gosund SP111 smart plugs which were flashed to use the Tasmota firmware¹² version 8.3.1
- 1 Fibaro wall plug Type F¹³
- 16 Fibaro Walli switches¹⁴

Each of the Gosund smart plugs sent its current energy consumption data every 10 seconds, the Fibaro devices every 30 seconds. The number of smart plugs and switches was needed to cover all electrical consumers and circuits of the apartment.

For each experiment, an *analytics pipeline* was deployed, which forecasts the energy consumption of the household for the end of the day, the end of the month and the end of the year. The *analytics pipelines* comprised three *analytics operators*:

- Adder-Operator (AO): Calculates the total energy consumption by adding the energy consumption of individual sources. Additionally, it writes the current timestamp and an ID in the result message.
- Forecast-Operator (FO): Processes the messages from the AO and forecasts the total energy consumption value for different dates.
- Latency-Operator (LO): Calculates the latency between the start and the end of the processing of a message by consuming the messages of the FO.

In order to compare different deployment strategies as well as application scenarios, we implemented four versions of the FO. Two as fog (deployed at a fog node) and two as cloud *analytics operators*. For every deployment layer, one of the FOs was implemented using a *simple, updating linear regression* based on *Klotz (1995)*. The other one was implemented utilizing the *adaptive random forest regressor*, which is an online-ML algorithm based on the work of *Gomes et al. (2017)*. Consequently, the result was four different experiments, which corresponded to the four *analytics pipelines* compositions:

Experiment 1 (E1): A fog-only *analytics pipeline* with *updating linear regression* forecasting,

Experiment 2 (E2): a fog-only *analytics pipeline* with *adaptive* random forest regressor forecasting,

Experiment 3 (E3): a hybrid *analytics pipeline* with *updating linear regression* forecasting,

Experiment 4 (E4): a hybrid *analytics pipeline* with *adaptive random forest regressor* forecasting.

During E1 and E2, all data was processed at the fog layer. In contrast, during E3 and E4, the data was sent from the AO at the fog layer to the cloud layer, processed by the FO and relayed back to LO at the fog layer. The design of the experiments with the LO at the end of the *analytics pipeline* simulates a possible actuator, which is triggered based on the results of the FO.

¹¹ https://alpinelinux.org/

¹² https://tasmota.github.io

¹³ https://www.fibaro.com/de/products/wall-plug/

¹⁴ https://www.fibaro.com/de/products/smart-switchesand-outlets/



Figure 2: 5-minute CPU load average (left) and overall processing latency (right) of the Raspberry Pi during experiment 2. The datapoint when a 5-minute CPU load average of 1 is reached is marked with a vertical line in both graphs.

6.2 System Setup & Deployment

All components of the cloud layer, including cloud *analytics operators*, were deployed at a private cloud datacenter. The cluster comprises 18 virtual machines with 8 CPU kernels, 64 GB RAM and 256 GB of solid-state storage, each. The underlying hypervisors use XEON E5 CPU cores. The cloud components ran as Docker containers on Kubernetes version 1.16.8 as the container orchestration platform with Rancher version 2.4.8 as the management frontend.

The components of the *fog platform* were deployed on two Raspberry Pi 3, Model B Plus (Rev 1.3)¹⁵. The Docker version on both computers was 19.03.13. The *fog agent* was deployed solely on one of the computers so that the measurements were not distorted by further services. As a result, all fog *analytics operators* ran on this computer as well.

6.3 Metrics & Methodology

We measured the overall processing latency of an *analytics pipeline* as well as CPU load and memory usage of the hardware, on which the *analytics operators* were deployed. The processing latency was measured by adding the current timestamp to each message at the beginning of the processing by the AO. At the end of an analytics pipeline the LO took this timestamp and the now-current one and calculated the time difference. CPU load and memory usage of the fog analytics operators were gathered by using the system performance analysis toolkit Performance Co-Pilot¹⁶. Additionally, the cloud CPU and memory metrics were collected using the cluster monitoring tools of Rancher.

Each experiment was performed for one hour. Due to the constant number of messages emitted by the devices, the behavior of the observed metrics did not change by prolonging the investigation period. This was confirmed by preliminary experiments. Due to the consistent character of the data, the first 15 minutes of the total data set were selected and examined. This time frame included around 3500 messages emitted by the smart devices for each experiment.

6.4 Experimental Results

The summary of the results of all experiments regarding the overall processing latency are presented in Table 1. The lowest mean (0.015 seconds) and median (0.015 seconds) latency were observed during E1. Experiment 3, in which the same processing algorithm was used, but executed in the cloud, shows an average latency of 0.603 seconds with a median of 0.618 seconds.

Table 1: Summary of the measured processing latency for the first 15 minutes of all experiments in seconds.

Metric	E1	E2	E3	E4
Min.	0.013	0.163	0.114	0.122
Q _{0.05}	0.013	0.283	0.264	0.270
Median	0.015	3.709	0.603	0.604
Mean	0.015	20.810	0.618	0.626
Q _{0.95}	0.018	86.863	0.959	0.990
$Q_{0.95}$ - $Q_{0.05}$	0.005	86.580	0.695	0.720
Max.	0.037	103.600	3.359	3.323

Experiment 2, using a more complex online ML algorithm, shows the highest latency of all

¹⁵ https://www.raspberrypi.org/products/raspberry-pi-3model-b-plus/

¹⁶ https://pcp.io

experiments with an average of 20.81 seconds and a median of 3.709 seconds. The results of E4 correspond approximately to those of E3 with an average of 0.626 seconds and a median of 0.604 seconds.

The summary of the results of all experiments with respect to 5-minute CPU load average and memory usage of the Raspberry Pi are shown in Table 2 and Table 3. The highest resource usage was observed during E2 with an average CPU load of 0.976 (median=1.03) and an average memory usage of 228 megabytes (MB) (median=228 MB). The second highest resource usage was recorded during E1 with an average CPU load of 0.12 (median=0.124) and an average memory usage of 179 MB (median=179 MB).

Table 2: Summary of the measured 5-minute CPU load average of the Raspberry Pi for the first 15 minutes of all experiments.

E1	E2	E3	E4
0.060	0.710	0.050	0.040
0.070	0.750	0.060	0.040
0.120	1.030	0.100	0.060
0.124	0.976	0.107	0.065
0.200	1.100	0.180	0.110
0.130	0.350	0.120	0.070
0.220	1.120	0.200	0.120
	0.060 0.070 0.120 0.124 0.200 0.130	0.060 0.710 0.070 0.750 0.120 1.030 0.124 0.976 0.200 1.100 0.130 0.350	0.060 0.710 0.050 0.070 0.750 0.060 0.120 1.030 0.100 0.124 0.976 0.107 0.200 1.100 0.180 0.130 0.350 0.120

Table 3: Summary of the measured memory usage of the Raspberry Pi for the first 15 minutes of all experiments in megabytes.

Metric	E1	E2	E3	E4
Min.	177	227	161	162
Q _{0.05}	179	228	161	162
Median	179	228	161	162
Mean	179	228	162	162
Q0.95	180	230	162	162
$Q_{0.95}$ - $Q_{0.05}$	1	2	1	0
Max.	180	230	162	162

The 5-minute CPU load of the Raspberry Pi was at an average 0.107 (median=0.1) for E3 and 0.065 (median=0.06) for E4. The average memory usage was at 162 MB (median=161 MB) for E3 and at 162 MB (median=162 MB) for E4. Additionally, we gathered the 1-minute CPU load and memory usage of the cloud operator, which was at an average 0.014 (median=0.014) and 156 MB (median=155 MB) for E3. For E4, the average cloud operator one-minute CPU load was at 0.034 (median=0.0314) and the memory usage at 185 MB (median=185 MB). Regarding the overall processing latency, the quantile distance between $Q_{0.05}$ (5% quantile) and $Q_{0.95}$ (95% quantile) is 100 times larger for E2 than for the other experiments and 3 to 6 times higher regarding the 5-minute CPU load. This shows, that the latency and resource usage remained at a steady level throughout experiments 1, 3 and 4. During E2, the memory usage also stayed constant throughout the experiment, but CPU load and overall processing latency increased (Figure 2). While the 5-minute CPU load average increased from the beginning and then remained between 1.0 and 1.1, the processing latency only increased once the 5-minute CPU load average was above 1.

6.5 Discussion

Regarding the results of the experiments, we conclude. that fog-only analytics pipelines deployments result in a lower processing latency at the cost of higher resource usage of the utilized edge devices. In contrast, more complex computations, for example ML-based algorithms, may require too much resources from edge devices. This is supported by the results of E2, which show an increase of the processing latency, once the CPU load crosses a certain threshold. Experiments 3 and 4 provide insights into the nature of hybrid deployments. Since the measured latencies are almost the same in both experiments, we conclude that the complexities of the overall analytics pipelines are minor factors to consider, because resource-intensive task may be offloaded to the cloud. This further highlights the relevance of hybrid deployments and addresses the challenge of *limited computing resources* in smart home environments.

While the results of the experiments seem trivial at first glance, they confirm the relevance of the proposed architectural solution, which before only theoretically existed. In this context, the experiments carried out exemplify that the architecture is technically capable of executing *analytics pipelines* that result from different requirements. This can be transferred to other types of requirements, which may be driven by data privacy concerns of residents or the legislation in the operational area as laid out in section 2. Therefore, future research in this area should investigate to what extent these requirements can be mapped and provided so that deployment decisions can be made automatically.

The implementation of the proposed architecture and the conducted experiments serve as a proof of concept and highlight its ability to deploy hybrid *analytics pipelines* in smart home environments. Furthermore, the relevance of the approach is shown by its successful implementation in real-world environment. This in itself addresses the *privacy and security* challenge, as it provides the opportunity to deploy an *analytics operator* as a first control point for privacy-sensitive data (Chiang & Zhang, 2016). The presented fog-only experiments indicate the resilience of the architecture against network connection losses. Looking at the non-existing network traffic for fogonly analytics pipelines, the *network usage* is reduced from a platform providers viewpoint.

Concerning the internal validity of our results, we recognize, that the results of our experiments may differ based on several factors. These are general network latency between cloud and fog nodes, local network usage, but also the utilization of cloud and fog resources by other processes during the execution of the experiments. On the other hand, the reproducibility was increased through the usage of open-source software and a widely available and utilized computing platform as the fog node. Finally, the field experiments carry a high external validity, since they were conducted in a real-world setting and the included IoT devices were consumer hardware.

7 CONCLUSION & OUTLOOK

In this paper, we propose a fog-based analytics architecture, which enables hybrid analytics pipeline deployments in smart home environments. In this regard, we provide background information about the research area, a motivational scenario and lay out the reasons behind this research. Furthermore, an overview about similar works in existing scientific literature is given. The architectural model is based on previous works of ours and utilizes the microservice paradigm to structure architectural components. The presented architecture is the technical basis for the implementation of individual analytics pipelines in smart home environments, which are based on the requirements of different stakeholders. The components of the proposed architecture as well as their interactions with each other are presented in a conceptual model, which is the basis for a prototypical implementation. This prototype serves as a proof of concept and was further utilized to perform four field experiments in a realworld smart home environment. The results of the experiments show that the architectural approach is capable of mapping different deployment scenarios and thus makes it possible to engage the requirements of different stakeholders.

Future research regarding this field needs to investigate, how the requirements of different interest groups in smart home environments, but also technical constraints, can be modeled, mapped and structured. Furthermore, deployment preferences may be derived from legal or social sources. The resulting data could be used as the foundation for self-learning *analytics pipeline* deployment systems. Finally, these concepts should yield software components to be integrated in the presented architecture in order to enable deployment decision support.

ACKNOWLEDGEMENTS

The work presented in this paper is partly funded by the European Regional Development Fund (ERDF) and the Free State of Saxony (SAB 100338771 and 100400223) as well as by the German Federal Ministry of Education and Research (BMBF 01IS20033B).

REFERENCES

- 451 Research. (2019). Drivers of Internet of Things (IoT) spending worldwide as of 2019. https://www.statista.com/statistics/1079622/iotspending-drivers-worldwide/
- Al-Ali, A. R., Zualkernan, I. A., Rashid, M., Gupta, R., & Alikarar, M. (2017). A smart home energy management system using IoT and big data analytics approach. *IEEE Transactions on Consumer Electronics*, 63(4), 426– 434. https://doi.org/10.1109/TCE.2017.015014
- Amasyali, K., & El-Gohary, N. M. (2018). A review of data-driven building energy consumption prediction studies. *Renewable and Sustainable Energy Reviews*, 81, 1192–1205. https://doi.org/10.1016/j.rser.2017.04. 095
- Bhole, M., Phull, K., Jose, A., & Lakkundi, V. (2015). Delivering analytics services for smart homes. In 2015 IEEE Conference on Wireless Sensors (ICWiSe) (pp. 28–33). IEEE. https://doi.org/10.1109/ICWISE. 2015.7380349
- Boudreau, M. C., Gefen, D., & Straub, D. W. (2001). Validation in Information Systems Research: A Stateof-the-Art Assessment. *MIS Quarterly*, 25(1), 1. https://doi.org/10.2307/3250956
- Chiang, M., & Zhang, T. (2016). Fog and IoT: An Overview of Research Opportunities. *IEEE Internet of Things Journal*, 3(6), 854–864. https://doi.org/10.1109/ JIOT.2016.2584538
- Constant, N., Borthakur, D., Abtahi, M., Dubey, H., & Mankodiya, K. (2017). Fog-Assisted wIoT: A Smart Fog Gateway for End-to-End Analytics in Wearable Internet of Things. http://arxiv.org/pdf/1701.08680v1

- Donno, M. de, Tange, K., & Dragoni, N. (2019). Foundations and Evolution of Modern Computing Paradigms: Cloud, IoT, Edge, and Fog. *IEEE Access*, 7, 150936–150948. https://doi.org/10.1109/ACCESS. 2019.2947652 (IEEE Access, 7, 150936-150948).
- Fortino, G., Giordano, A., Guerrieri, A., Spezzano, G., & Vinci, A. (2015). A Data Analytics Schema for Activity Recognition in Smart Home Environments. In J. M. García-Chamizo, G. Fortino, & S. F. Ochoa (Eds.), Lecture Notes in Computer Science. Ubiquitous Computing and Ambient Intelligence. Sensing, Processing, and Using Environmental Information (Vol. 9454, pp. 91–102). Springer International Publishing. https://doi.org/10.1007/978-3-319-26401-1 9
- Gomes, H. M., Bifet, A., Read, J., Barddal, J. P., Enembreck, F., Pfharinger, B., Holmes, G., & Abdessalem, T. (2017). Adaptive random forests for evolving data stream classification. *Machine Learning*, *106*(9-10), 1469–1495. https://doi.org/10.1007/s10994-017-5642-8
- Hasan, T., Kikiras, P., Leonardi, A., Ziekow, H., & Daubert, J. (2015). Cloud-based IoT Analytics for the Smart Grid: Experiences from a 3-year Pilot. In D. G. Michelson, A. L. Garcia, W.-B. Zhang, J. Cappos, & M. E. Darieby (Chairs), Proceedings of the 10th International Conference on Testbeds and Research Infrastructures for the Development of Networks & Communities (TRIDENTCOM), Vancouver, Canada.
- Klotz, J. H. (1995). Updating simple Linear Regression. *Statistica Sinica*, 5(1), 399–403. http://www.jstor.org/stable/24305577
- Kreps, J. (2014). Questioning the Lambda Architecture: The Lambda Architecture has its merits, but alternatives are worth exploring. https://www.oreilly.com/ideas/questioning-thelambda-architecture
- Mouradian, C., Naboulsi, D., Yangui, S., Glitho, R. H., Morrow, M. J., & Polakos, P. A. (2018). A Comprehensive Survey on Fog Computing: State-ofthe-Art and Research Challenges. *IEEE Communications Surveys & Tutorials*, 20(1), 416–464. https://doi.org/10.1109/COMST.2017.2771153
- OpenFog. (2017). OpenFog Reference Architecture for Fog Computing.
- Paredes Valverde, M. A., Alor Hernández, G., García - Alcaráz, J. L., Del Salas - Zárate, M. P., Colombo - Mendoza, L. O., & Sánchez -Cervantes, J. L. (2020). IntelliHome: An internet of things - based system for electrical energy saving in smart home environment. *Computational Intelligence*, 36(1), 203–224. https://doi.org/10.1111/coin.12252
- Popa, D., Pop, F., Serbanescu, C., & Castiglione, A. (2019). Deep learning model for home automation and energy reduction in a smart home environment platform. *Neural Computing and Applications*, 31(5), 1317–1337. https://doi.org/10.1007/s00521-018-3724-6
- Singh, S., & Yassine, A. (2019). Iot Big Data Analytics with Fog Computing for Household Energy Management in Smart Grids. In A.-S. K. Pathan, Z. M. Fadlullah, & M. Guerroumi (Eds.), *Lecture Notes of the*

Institute for Computer Sciences, Social Informatics and Telecommunications Engineering: Vol. 256. Smart Grid and Internet of Things: Second EAI International Conference, SGIoT 2018, Niagara Falls, ON, Canada, July 11, 2018, Proceedings (Vol. 256, pp. 13–22). Springer International Publishing. https://doi.org/10.1007/978-3-030-05928-6 2

- Siow, E., Tiropanis, T., & Hall, W. (2018). Analytics for the Internet of Things. ACM Computing Surveys, 51(4), 1–36. https://doi.org/10.1145/3204947
- Statista. (2020, November 9). Number of Smart Homes forecast worldwide from 2017 to 2025 (in millions). https://www.statista.com/forecasts/887613/number-ofsmart-homes-in-the-smart-home-market-worldwide
- Thalheim, B. (2012). The Science and Art of Conceptual Modelling. In A. Hameurlain (Ed.), *Lecture notes in computer science Journal subline: Vol. 7600. Special issue on database and expert systems applications* (Vol. 7600, pp. 76–105). Springer. https://doi.org/10.1007/ 978-3-642-34179-3 3
- vom Brocke, J., Simons, A., Niehaves, B., Riemer, K., Plattfaut, R., & Cleven, A. (2009). Reconstructing the Giant: On the Importance of Rigour in Documenting the Literature Search Process. 17th European Conference on Information Systems, Verona, Italy. http://aisel.aisnet.org/ecis2009/161/
- Yassein, M. B., Shatnawi, M. Q., Aljwarneh, S., & Al-Hatmi, R. (2017). Internet of Things: Survey and open issues of MQTT protocol. In 2017 International Conference on Engineering & MIS (ICEMIS'2017): University of Monastir, Monastir, Tunisia, 08-10 May, 2017: Proceedings (pp. 1–6). IEEE. https://doi.org/10.1109/ICEMIS.2017.8273112
- Yousefpour, A., Fung, C., Nguyen, T., Kadiyala, K., Jalali, F., Niakanlahiji, A., Kong, J., & Jue, J. P. (2019). All one needs to know about fog computing and related edge computing paradigms: A complete survey. *Journal of Systems Architecture*, 98, 289–330. https://doi.org/10.1016/j.sysarc.2019.02.009
- Zschörnig, T., Wehlitz, R., & Franczyk, B. (2019). A Fogenabled Smart Home Analytics Platform. In Proceedings of the 21st International Conference on Enterprise Information Systems (pp. 616–622).
 SCITEPRESS - Science and Technology Publications. https://doi.org/10.5220/0007750006160622
- Zschörnig, T., Wehlitz, R., & Franczyk, B. (2020). IoT Analytics Architectures: Challenges, Solution Proposals and Future Research Directions. In F. Dalpiaz, J. Zdravkovic, & P. Loucopoulos (Eds.), Lecture Notes in Business Information Processing. Research Challenges in Information Science (Vol. 385, pp. 76–92). Springer International Publishing. https://doi.org/10.1007/978-3-030-50316-1_5
- Zschörnig, T., Windolph, J., Wehlitz, R., & Franczyk, B. (2020a). A Cloud-based Analytics Architecture for the Application of Online Machine Learning Algorithms on Data Streams in Consumer-centric Internet of Things Domains. In *Proceedings of the 5th International Conference on Internet of Things, Big Data and Security* (pp. 189–196). SCITEPRESS -

Science and Technology Publications. https://doi.org/10.5220/0009339501890196

Zschörnig, T., Windolph, J., Wehlitz, R., & Franczyk, B. (2020b). A Cloud-based Analytics-Platform for Usercentric Internet of Things Domains – Prototype and Performance Evaluation. In T. Bui (Ed.), Proceedings of the Annual Hawaii International Conference on System Sciences, Proceedings of the 53rd Hawaii International Conference on System Sciences. Hawaii International Conference on System Sciences. Hawaii International Conference on System Sciences. https://doi.org/10.24251/HICSS.2020.808

