Computer-aided Abnormality Detection in Chest Radiographs in a Clinical Setting via Domain-adaptation∗,†

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Abstract: Deep learning (DL) models are being deployed at medical centers to aid radiologists for diagnosis of lung conditions from chest radiographs. Such models are often trained on a large volume of publicly available labeled radiographs. These pre-trained DL models’ ability to generalize in clinical settings is poor because of the changes in data distributions between publicly available and privately held radiographs. In chest radiographs, the heterogeneity in distributions arises from the diverse conditions in X-ray equipment and their configurations used for generating the images. In the machine learning community, the challenges posed by the heterogeneity in the data generation source is known as domain shift, which is a mode shift in the generative model. In this work, we introduce a domain-shift detection and removal method to overcome this problem. Our experimental results show the proposed method’s effectiveness in deploying a pre-trained DL model for abnormality detection in chest radiographs in a clinical setting.

1 INTRODUCTION

Chest radiography is one of the most ubiquitous diagnostic modalities for cardiothoracic and pulmonary abnormalities in the clinical setting. A timely diagnostic based on the radiographs is a critical step in the clinical workflow. However, many healthcare centers often suffer either from a heavy workload or shortage of experienced radiologists. Deployment of a reliable abnormality detection system would be advantageous in both scenarios. Deep learning (DL) based abnormality detection systems are an emerging technology, which is yet to be successfully deployed in clinical settings. The domain shift encountered in privately held datasets due to heterogeneity in data generation sources continue to be a prime impediment when deploying pre-trained DL models. In this work, we introduce a domain-shift detection and removal method to deploy pre-trained DL models in clinical settings.

Domain-shift in this context is formally defined as the changes in the marginal probability density $p(x)$ between privately held chest radiographs and publicly available radiographs. The goal of domain-shift detection is to quantify the changes in the marginal $p(x)$. While training a model on a public labeled data source $\{(x_i, y_i) \}^{n}_{i=0}$, the best hope is to learn the conditional probability $p(y|x)$ that is stable or varies smoothly with the marginal $p(x)$. Even if the conditional is stable, learned models may suffer from model misspecification, i.e., the learned model may not perfectly capture the functional relationship between $x$ and $y$ and the approximate solution may become sensitive to changes in $p(x)$. The goal of domain-shift removal is to find a transformation $B$ of the data that minimizes the difference between marginal distributions of the transformed samples $B(x)$ of privately-held data and public data to reduce the effect of sensitivity on prediction.

Domain separation (Bousmalis et al., 2016) provides a competing pioneering technique to handle domain-shift. Domain separation aims to separate the feature representation between publicly available...
and privately held radiographs into domain-invariant and domain-specific features. Domain-shift removal and predictive modeling are tightly coupled in the domain separation technique, which requires non-trivial changes in predictive models to overcome domain-shift. This paper introduces a novel workflow for deploying the state-of-the-art pre-trained DL model for abnormality detection in clinical settings without requiring any changes in network architecture to overcome domain-shift. Figure 1 shows the proposed workflow. In this workflow, we first characterize domain-shift between samples of privately held and public chest radiographs. In particular, we show that the two sources differ in the distribution of high-frequency components such as noise and texture, which we characterize by the density of wavelet scattering transform of radiographs. Then we learn a generative adversarial network to map samples of a privately held dataset to match their style distribution to that of public chest radiographs. To evaluate the workflow, we assess the pre-trained DL model’s performance on privately held radiographs for abnormality detection with and without the domain-shift removal step.

2 RELATED WORK

In this context, one approach is to learn a transformation that embeds data into domain-invariant feature space, which has domain generalization ability to previously unseen domains. Domain invariant component analysis (DICA) (Muandet et al., 2013) is among such methods in the literature. DICA assumes that data samples come from various unknown distributions and it estimates the distributional variance from the data sources. DICA then finds the orthogonal transform \( B \) onto a low-dimensional subspace that minimizes the distributional variance while preserving the functional relationship between samples and class-labels. However, such methods require data samples coming from various unknown distributions to estimate the distributional variance.

Another method in this category includes domain invariant variational autoencoder (DIVA) (Ilse et al., 2019). DIVA extends the variational autoencoder framework by disentangling latent representations for a domain label \( (z_d) \), a class label \( (z_c) \) and any residual variations in the inputs \( (z_r) \). This work claimed to learn a domain-invariant representation using semi-supervised training utilizing the labeled and unlabeled data from both domains. They used three separate encoders \( q_{\theta_d}(z_d|x) \), \( q_{\theta_c}(z_c|x) \) and \( q_{\theta_r}(z_r|x) \) and an additional parameterized neural network \( p_{\theta}(z_c|z_c,z_d) \) as a decoder. Their work looks promising for the domain-adaptation task in general. However, their network architecture has non-trivial differences from the existing state-of-the-art architecture developed for the abnormality detection in chest radiographs.

In this work, we propose a workflow to facilitate the use of state-of-the-art DL architecture via domain-shift removal from the privately held radiographs. The domain-shift removal problem broadly falls in the computer vision community under the unpaired image-to-image translation category. We identify the changes in noise and texture characteristics of radiographs as the main difference between the data sources. We use CycleGAN (Zhu et al., 2017) for removing these differences through image-to-image translation. CycleGAN improves upon generative adversarial networks by exploiting cycle consistency property (Dubey et al., 2018; Iliopoulos et al., 2019; Dubey, 2018) in the forward and backward translation maps to avoid mode collapse in the process of image-to-image translation.
3 METHOD

3.1 Domain-shift Detection

The goal of domain-shift detection is to identify the shift in the marginal probability density $p(x)$ between two domains $X$ and $Y$ given training samples $\{x_i\}_{i=1}^N$ and $\{y_i\}_{i=1}^N$, where $x_i \in X$ and $y_i \in Y$. We denote the true marginal probability density of two datasets as $x \sim p_x(x)$ and $y \sim p_y(y)$. We formulate the domain-shift detection as a hypothesis testing problem, whether to accept the null hypothesis that there is no domain-shift $H_0 : p_x = p_y$ or to accept the alternative hypothesis that there is a domain-shift $H_1 : p_x \neq p_y$. The hypothesis testing often suffers from the curse of dimensionality in high-dimensional data settings in estimating test statistic. In this work, we use a kernel two-sample test initially proposed by (Gretton et al., 2012), which addressed the problem posed by high-dimensional data settings by introducing the maximum mean discrepancy (MMD) as test statistic. The MMD is a distance-measure between probability densities and is defined as the largest difference in expectations between the two probability distributions over functions in the unit ball of a suitable reproducing kernel Hilbert space (RKHS). The MMD can be empirically estimated between the probability density $p_x$ and $p_y$ by the squared distance between their mean embeddings in the RKHS as

$$
\hat{\eta}_k(p_x, p_y) = \left\| \mu_k(p_x) - \mu_k(p_y) \right\|_H^2,
$$

where $\mu_k(p_x)$ and $\mu_k(p_y)$ are mean embedding of $p_x$ and $p_y$, and $H_k$ is an RKHS with reproducing kernel $k$. In this work, we use the B-test statistic as an MMD estimate proposed by (Zaremba et al., 2013). The B-test statistics is an MMD estimate obtained by averaging the $\hat{\eta}_k(i)$, where each $\hat{\eta}_k(i)$ is the empirical MMD based on a subsample of size $B$. The asymptotic distribution for $\hat{\eta}_k$ under $H_0$ and $H_1$ are shown to be Gaussian in (Zaremba et al., 2013). Following (Zaremba et al., 2013), we set the subsample size $B$ to $\sqrt{n}$ to obtain a consistent estimator. A user-defined threshold $\alpha$, which denotes the test level, is used to determine whether the test statistic is sufficiently large as to accept the alternative hypothesis $H_1$, that is a shift in the marginal distributions $p_x$ and $p_y$.

In this work, we use a fixed convolutional neural network called Wavelet scattering transform in composition with the radial basis function as the kernel function. The Wavelet scattering transform is used to extract the features that are invariant to translation and Lipschitz stable to deformation. The higher-order wavelet scattering transform is shown to characterize the noise and texture in the signal by (Bruna and Mallat, 2013). We use the scattering transform to capture this high-frequency component of the radiographs essentially, which is the characteristic difference between the domains. Then we use the radial basis kernel to map the scattering coefficient to the kernel space to find the B-test statistics.

Next we identify the out-of-distribution (OOD) samples that require domain-shift removal. For this purpose, we empirically estimate the density of the samples from the source and target domain in a low-dimensional subspace spanned by the principal components of the scattering coefficients. We identify the samples that are in the non-overlapping region between the source and target domains as potential candidates for the domain-shift removal.

3.2 Domain-shift Removal

The goal of the domain-shift removal is to learn a mapping $G : X \rightarrow Y$ from the privately held dataset domain $X$ to publicly available dataset domain $Y$. We use the state-of-the-art method, CycleGAN (Zhu et al., 2017), to perform this task. CycleGAN additionally learns the reverse mapping $F : Y \rightarrow X$ and two adversarial discriminators $D_X$ and $D_Y$ in conjunction with $F$ from the unpaired samples from $X$ and $Y$ as shown in Figure 2. CycleGAN enforces inverse consistency conditions, $F \circ G = G \circ F = \mathbb{I}$, between the two maps, where $\mathbb{I}$ is an identity map. Additionally, the discriminator $D_X$ is learned to distinguish between the real images $\{x \in X\}$ and translated images $\{F(y), y \in Y\}$ and similarly $D_Y$ is learned to discriminate between $\{y \in Y\}$ and $\{G(x), x \in X\}$.

4 EXPERIMENTAL SETUP

This section describes two chest radiograph datasets, presents their noise and texture characterization, and describes the experimental set-up for abnormality detection.

4.1 Dataset Description

We present abnormality detection results on MIMIC-CXR dataset. MIMIC-CXR is a publicly available chest radiograph in Digital Imaging and Communications in Medicine (DICOM) format. The diagnosis labels are derived from the radiology reports associated with these images. The dataset contains radiographs associated with 227,827 patients collected at the Beth Israel Deaconess Medical Center between 2011 and 2016. The dataset is de-identified to satisfy the Health
Insurance Portability and Accountability Act requirements, and protected health information are removed. We converted the DICOM file format (16-bit depth raw format) to JPEG file format (8-bit depth raw format) using the pydicom library and downsample the radiographs to $256 \times 256$ pixels for further analysis. We normalized the dynamic range of the images to $[0, 255]$ by the following steps: (i) subtracting the image pixel values with the lowest pixel value in the image, (ii) dividing the image pixel values by the highest pixel value and multiplying pixel values by 255 in the image, (iii) truncating and converting the result to an unsigned integer. Finally, we stored the radiographs in the compressed JPEG format with a quality value of 95. We did not perform any filtering or pre-processing of the images before storing them in JPEG format.

We used a pre-trained DenseNet121 (Tang et al., 2020) for the abnormality detection, which was trained on another publicly available dataset, ChestXray14 (Wang et al., 2017), released by the National Institute of Health. This dataset provides 112,120 radiographs from 30,805 patients in PNG format at $1024 \times 1024$ resolution. The dataset was rigorously screened to remove all personally identifiable information. The ChestXray14 radiographs have the same dynamic range of $[0, 255]$. We downsample the radiographs to $256 \times 256$ pixels to make it consistent with MIMIC-CXR. We use ChestXray14 to learn an image-to-image translation model between the samples of MIMIC-CXR and ChestXray14, which we use for removing the domain-shift from out-of-the-distribution samples of MIMIC-CXR. We did not perform any filtering or pre-processing to ChestXray14 radiographs before using it for MIMIC-CXR to ChestXray14 translation.

4.2 Domain-shift Characterization

We characterized the noise and texture of MIMIC-CXR and ChestXray14 by computing the distribution of wavelet scattering transforms of the datasets. We used Scattering2D method from Kymatio package (Andreu et al., 2020) for computing the scattering transform. We computed up to the second-order of the scattering coefficients by setting $\text{max\_order}=2$. We set the filter parameters $J=4$ and $L=8$ while maintaining other parameters to default values. We summed the scattering coefficients over the image domain to obtain a translational invariant feature. This way, we extracted 417 coefficients for every image in the two datasets. We whitened the coefficients and reduced their dimensionality using the principal component analysis and estimated data distribution in reduced space. We estimated the distribution by binning the coefficient space $[-4, 4] \times [-4, 4]$ into $50 \times 50$ bins and counting the samples in every bin for both datasets. Figure 4 shows the count of samples of MIMIC-CXR and ChestXray14 in the binned area. A domain-shift between MIMIC-CXR and ChestXray14 is evident from the figure.

We performed a two-sample test (B-test) (Zaremba et al., 2013) on the extracted wavelet scattering coefficients with radial basis function kernel. With the kernel scale parameter $\gamma=1$, we get a $p\text{-value}=0$ for the two-sample kernel test, indicating an overwhelming support for the hypothesis that the two datasets come from different distributions. Figure 3 shows the supporting statistics in the B-test for the null and alternative hypotheses.
4.3 Evaluation Measures

We assess the pre-trained DenseNet121’s performance on the abnormality detection in chest radiographs on MIMIC-CXR. We compare the area under the receiver operating characteristic curve (AUC), accuracy, precision, sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) scores of the pre-trained model with and without domain-shift removal. We show the class activation maps for some selected examples to aid in the interpretation of DenseNet121 results. We compare the class activation maps of the selected radiographs with and without domain-shift removal to study the model’s sensitivity to noise and texture characteristics in the radiographs.

5 RESULTS

5.1 Domain Adaptation by CycleGAN

We present the distributions of wavelet scattering coefficients of ChestXray14 and MIMIC-CXR dataset before and after the domain-adaptation by CycleGAN in Figure 4. We used the PyTorch implementation\(^1\) of CycleGAN. We used default network architecture and default training and testing parameters. Table 1 includes some notable parameters. We trained CycleGAN for 14 epochs with all 112,120 ChestXray14 and selected 243,332 MIMIC-CXR radiographs. The MIMIC-CXR radiographs with Posterior-Anterior (PA) and Anterior-Posterior (AP) views were selected to keep them consistent with ChestXray14 source. We used the image-to-image translation maps learned by CycleGAN to adapt the samples of ChestXray14 and MIMIC-CXR to the other domain. Figure 5 reports the training loss of CycleGAN averaged over 100 mini-batches with \(\text{batch size}=1\) during entire training process. Density plots in the figure 4 shows that CycleGAN performs well in domain adaptation and successfully eliminates most of the non-overlapping areas between the domains.

5.2 Abnormality Detection

We present the AUC, accuracy, precision, sensitivity, PPV, and NPV score of the pre-trained DenseNet121 (Tang et al., 2020) on the abnormality detection task on MIMIC-CXR with and without the domain-shift removal. DenseNet121 was trained by the Authors of (Tang et al., 2020) on ChestXray14 dataset using images with a \(256 \times 256\) resolution and was shown to achieve a new state-of-the-art performance on the abnormality detection binary task. We downloaded their pre-trained model and tested their model’s accuracy on MIMIC-CXR with and without the domain-shift removal.

We derived the labels for the abnormality task de-
Table 1: CycleGAN architecture and training parameters.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
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<tr>
<td>netG</td>
<td>resnet-9blocks</td>
</tr>
<tr>
<td>netD</td>
<td>basic</td>
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<td>lambda_B</td>
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<tr>
<td>no_dropout</td>
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</table>

5.3 Grad-CAM to Study Sensitivity to Domain-shift

We show the class activation maps computed by the Grad-CAM (Selvaraju et al., 2017) for some selected examples to aid interpretation of DenseNet121 results. For each example, we show the original radiographs of ChestXray14 and MIMIC-CXR, adapted radiographs by the CycleGAN to the other domain, a heatmap overlaid on the images indicating the prediction of abnormal regions by the Grad-CAM. Examples suggest that the DenseNet121 model is potentially focusing on clinically meaningful abnormal regions of the chest radiographs for the classification task, however it is sensitive to the noise and texture of the input radiographs, as seen in Figure 7.

6 DISCUSSION

We hypothesized a distributional difference in noise and texture characteristics between the data sources due to diverse conditions in X-ray equipment and their configurations for generating the images. We based our hypothesis on the recent findings (Pooch et al., 2019; Yao et al., 2019), which implicitly showed the existence of some characteristic differences between these data sources. This work has developed an explicit method to show the characteristic differences between the data sources. The density-plot of the high-order wavelet scattering transform of these radiographs confirms our hypothesis. We exploited the unpaired image-to-image translation method, CycleGAN, to remove this shift and experimentally validated its effectiveness in domain-shift removal. Our findings also should be applicable to discerning unique, private features from common, public ones, which could facilitate more targeted privacy-aware DL approaches to best balance privacy-utility. We also showed that the state-of-the-art model for abnormality detection is susceptible to abstention, we calculate the model’s confidence in abnormality detection by calculating \(|p - 0.5|\), where p is the abnormality detection score returned by the pre-trained model. We ranked the predictions based on the model’s confidence, and we kept the top 50% predictions. Next, we report the pre-trained model’s performance on the out-of-distribution (OOD) test sets. We used the original MIMIC-CXR samples that lie in the region \([-4, -1] \times [-4, -2]\) in Figure 4 as the OOD test set. We report an improvement of 3% accuracy on the OOD test set with domain-shift removal.
Table 2: Classification evaluation scores of pre-trained DenseNet121 on the out-of-distribution (OOD) MIMIC-CXR radiographs are compared to the CycleGAN’s mapped OOD radiographs’ scores in the last two columns. The second column includes DenseNet121’s performance on a small ChestXray14 test cohort reported by a previous study (Tang et al., 2020). The third and fourth column contains DenseNet121’s evaluation scores on full and 50% abstained MIMIC-CXR datasets. The evaluation scores with abstention are computed on the top 50% of predictions, ranked based on the model’s confidence.

<table>
<thead>
<tr>
<th>Metric</th>
<th>ChestXray14 Hold out (1344)</th>
<th>MIMIC-CXR Full (193974)</th>
<th>Abstention (96987)</th>
<th>OOD (5800)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>No adaptation</td>
<td>Cycle-GAN</td>
<td></td>
</tr>
<tr>
<td>AUC</td>
<td>0.98</td>
<td>0.79</td>
<td>0.87</td>
<td>0.73</td>
</tr>
<tr>
<td>Accuracy</td>
<td>0.95</td>
<td>0.79</td>
<td>0.90</td>
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<tr>
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<tr>
<td>Sensitivity</td>
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<td>Specificity</td>
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<td>0.76</td>
<td>0.79</td>
<td>0.85</td>
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<tr>
<td>PPV</td>
<td>0.90</td>
<td>0.83</td>
<td>0.89</td>
<td>0.83</td>
</tr>
<tr>
<td>NPV</td>
<td>0.95</td>
<td>0.75</td>
<td>0.91</td>
<td>0.64</td>
</tr>
</tbody>
</table>

(a) Original MIMIC-CXR
(b) Style-adjusted MIMIC-CXR

Figure 6: Left group is the original MIMIC-CXR radiographs and the class activation maps of abnormal regions in the radiograph found by Grad-CAM. Right group is the translated MIMIC-CXR radiographs obtained by applying CycleGAN’s translation map to the MIMIC-CXR radiographs and the class activation maps of abnormal regions in the radiograph found by Grad-CAM.

model misspecification and is sensitive to input distribution changes when trained on a single data source. This finding is consistent with the literature work for other diagnostic tasks (Pooch et al., 2019; Yao et al., 2019). We have decoupled the domain-shift removal and model construction due to the applicability of such a decoupled method to various downstream tasks. However, a problem-specific coupled solution
Figure 7: Differences in the abnormality prediction scores obtained by DenseNet121 on MIMIC-CXR and style-adjusted MIMIC-CXR by CycleGAN is binned into non-overlapping intervals, and the counts in every interval are displayed.

to abnormality detection with adversarial training is also possible, which is out-of-scope of this paper. Our main contribution in this work is the introduction of distribution of high-frequency components to characterize the data sources and relating it to the difficulty of pre-trained models to generalize on unseen domains. In this work, we have introduced a framework for domain-shift detection and removal to overcome this problem.

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