Approaching the Semantic Segmentation in Medical Problems: A Solution for Pneumothorax Detection

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Abstract: We present a method for detecting and delineating pneumothorax from X-Ray medical images by using a threestep processing pipeline: a deep learning classification module, responsible for detecting the possible existence of a collapsed lung within an image, followed by a segmentation model applied on the positive samples (as detected by the classification module). The last module attempts to eliminate possible artefacts based on their size. We demonstrate how the pipeline employed significantly improves the results, by increasing the mean-Dice coefficient metric by 0.13, in comparison with the performance of a single segmentation module. In addition to this, we demonstrate that using together specific state-of-the-art techniques leads to improved results, without employing techniques such as dataset enrichment from external sources, semi-supervised learning or pretraining on much larger medical datasets.

1 INTRODUCTION

Convolutional neural networks have recently become ubiquitous in large-scale image recognition tasks, owing to the exponential advancement in computing power. In addition to the considerable gain in hardware performance, widely available comprehensive datasets have contributed towards state-of-the-art improvements (Timbus et al., 2018). Having pushed the boundaries in several computer vision tasks, such as object classification and detection, they have likewise been proven to excel at semantic segmentation.

The medical field has also benefited to a great extent from the aforementioned technical advancements: while the medical staff will probably never be replaced by automated deep learning solutions, the robustness of many such solutions is evident and it has become apparent that they could be employed to provide support to the medical industry.

In this paper we propose a pipeline for detecting and segmenting pneumothorax from medical images. In lieu of using exclusively a segmentation module, we employ a deep-learning pipeline, composed of two convolutional neural networks, one responsible for detecting the pneumothorax while the second one has the purpose of delineating the zones with collapsed lung. We demonstrate the effectiveness of our approach by contrasting the results obtained by the pipeline versus the simple segmentation module.

At the same time, we prove that a combination of several state-of-the-art techniques, such as SWA (Stochastic weighted averaging) and cosine-annealing learning rate schedules, can lead to a considerable improvement of the final score, in absence of dataset expansion or heavy ensemble modeling, the latter being widely used recently for achieving state-of-the-art results. Our solution achieves top 8%, more precisely the 130th position out of 1475 teams in the SIIM-ACR Pneumothorax Segmentation (Society for Imaging Informatics in Medicine (SIIM), 2019) hosted by the Kaggle platform.

2 RELATED WORK

2.1 Semantic Segmentation

As far as the traffic scenario is concerned, comprehensive datasets such as Cityscapes (Cordts et al., 2016), Kitti (Geiger et al., 2013) or Mapillary (Neuhold et al., 2018) have been developed. However, these benchmarks are pertained solely to the automotive industry. To the best of our knowledge, apart from the CheXpert (Irvin et al., 2019) dataset from the Stanford University, which is related to X-ray image classification problems, there is no well-established and
ubiquitous benchmark for image segmentation in the medical field.

On the solution side, the majority of the architectures employ an encoder-decoder strategy. As new classification architectures emerge, the encoder can be replaced with a more powerful architecture, thereby improving the feature extraction process.

### 2.2 Medical Image Segmentation

Medical image segmentation poses several additional challenges in contrast to the traffic scene segmentation. While the traffic scenes are comprised of numerous object categories which may constitute a large part of an image (pedestrians, cars, buildings, etc.), the imbalance problem is more salient in the medical image processing. This phenomenon happens on account of two different factors. The first one is the sample imbalance factor, which refers to the number of positive samples against the number of negative samples. This type of imbalance is also widely encountered in more typical classification problems. In medical problems, the underrepresented class is often the positive one. Nevertheless, the second imbalance factor, which poses a greater challenge than the previous, is pertained to the area of the region of interest; in essence, under most circumstances, the zone of interest one attempts to detect is of negligible dimension, be it polyp, skin lesion or pneumothorax. Therefore, one can refer to such phenomena as an exponential imbalance situation.

U-Net (Ronneberger et al., 2015) is a well-established image segmentation architecture that has proven to perform remarkably in the medical segmentation. U-Net++ adds dense skip connections and redesigned skip pathways, thus ensuring that all the previously accumulated information is gathered in the feature map concatenation step, at the same time improving the gradient flow. Moreover, the deep supervision model implementation enabled the selection of selection of segmentation maps from a specific branch (fast) or the average of the full output of the branches (accurate), thus enabling switching between two different approaches according to the needs.

Last but not least, DeepLabV3+ (Chen et al., 2018) which outperformed the previous state-of-the-art PSPNet (Zhao et al., 2016) on Cityscapes (Cordts et al., 2016) used the concept of atrous convolution in conjunction with atrous spatial pyramid pooling.

### 3 PROPOSED APPROACH

Figure 1 describes the pipeline operations, together with the intermediary output results. We initially feed the pipeline with a 3-channel 1024x1024 input image. Should the X-Ray image be deemed as containing pneumothorax (according to a specific threshold), it is passed along the pipeline for further processing; otherwise it is disregarded and marked accordingly. In case of the forward pass (image is considered as encompassing collapsed lung regions), the segmentation module receives the input image and delineates the zones with pneumothorax. At this stage the image is downsampled with a factor of two. The motivation for this is that we noticed comparable results when training on 1024x1024 and 512x512. The semantically segmented result is sent to the Small ROIs eliminator module, which is responsible for excluding the regions which are below the elimination threshold. This process takes place at an upscaled resolution with a factor of two, thus at the initial image resolution. This represents the final step in our pipeline. The following paragraphs detail the particularities of the entire flow.

#### 3.1 Image Classification Model

##### 3.1.1 Description of the Pneumothorax Dataset

The dataset used to train both the classification and segmentation models is provided by SIIM-ACR, and exposed by Kaggle (Society for Imaging Informatics in Medicine (SIIM), 2019) to the competitors. The dataset is comprised of approximately 12,000 X-Ray images belonging to both healthy (non-pneumothorax) and ill patients (at least a zone with pneumothorax). The input resolution for all the images (and masks included) is 1024x1024.

The dataset for the second stage of the competition contains 9378 X-Ray for the non-pneumothorax category, whilst the number for patients suffering from pneumothorax is 2883, yielding a class-imbalance factor of 3.25. During the dataset analysis phase, we observed that several patients exhibit pneumothorax which accounts for less than 1% of the overall image. Such a percentage is to be expected considering the medical nature of the pneumothorax. In addition to this, the dataset provided consists of only 12,000 samples. Therefore, the two-level imbalance increases the complexity of the problem to a great extent, in particular due to the second type of imbalance, which is the pixel per class one.

In light of the former observations, we argue that an initial classification step is needed in order to re-
duce the number of false positives, improving the overall performance – as shown in the results section. We use the initial dataset both for classification and segmentation purposes. The motivation for our decision is the reliability of the ground truth labels, as well as the option to being able to preserve the same distribution for the test set.

### 3.1.2 Image Classification Architecture

The architecture used for image classification is Xception (Chollet, 2016), with the weights pre-trained on ImageNet (Deng et al., 2009). In spite of the different nature of the dataset, we have observed both faster convergence and better final results by using pre-trained networks on the medical pneumothorax dataset. Our observation upholds the already established good practice of using pre-trained weights from a comprehensive dataset such as ImageNet.

We experimented both with NASNet(Large) (Zoph et al., 2017) and InceptionResNetV2 (Szegedy et al., 2016) prior to opting for Xception (Chollet, 2016). Lowering the batch size with a factor of 2 did not result only in twice training time, but also in a decrease of the overall performance. We suspect that this phenomenon is generated by the batch normalization layers (present in all the above-mentioned architectures), as the batch normalization tends to yield an unrepresentative mean and variance when used with very small batch sizes (Wu and He, 2018). The depth-wise separable convolution operation, which greatly reduces the number of parameters to a ninth in contrast to a standard $K \times K$ convolution and present in Xception (Chollet, 2016) allowed us to use a greater batch size. Therefore, the Xception network was the final choice for our classification module.

### 3.2 Image Segmentation Model

#### 3.2.1 Description of the Segmentation Dataset

The dataset for segmentation was created exclusively from the dataset available within the competition. While initially we trained our model exclusively on the pneumothorax images, given the rationale of the classification module, we observed an increase in the final score when we trained the segmentation module on both pneumothorax and non-pneumothorax images.

An explanation for this phenomenon is that, false negatives from the classification module may pass through the pipeline and on account of the biased nature of the segmentation module, which was trained only on pneumothorax images, several zones for a healthy patient are marked as containing pneumothorax. Moreover, it is reasonable to assume that the test set contains more healthy samples than pneumothorax samples. While the former assumption could be easily tested, the latter cannot be verified due to the undisclosed ground truth on the test set.

Therefore, we randomly chose non-pneumothorax images from the training set and created the segmentation dataset, using the fine pneumothorax annotations provided in the dataset.

We split the dataset into a 80%-20% manner for the training and validation set respectively, similar to the classification one.

#### 3.2.2 Image Segmentation Architecture

For the segmentation network, we have experimented with several, well-established architectures, such as U-Net (Ronneberger et al., 2015) or PSPNet (Zhao et al., 2016).

We have preliminarily investigated both UNet (Ronneberger et al., 2015) and PSPNet (Zhao et al., 2016) with several backbones, such as the state-of-the-art EfficientNet (Tan and Le, 2019). The best results on the validation set, which also later translated to better results on the private set, was a combination of Feature Pyramid Networks (FPN) (Lin et al., 2016) and InceptionResNetV2 (Szegedy et al., 2016). This reinforces the idea that the training and test set belong to the same distribution. At the same time, InceptionResNetV2 yielded superior results to SEResNet (34,50,101,152) (Hu et al., 2017) or any variant of ResNetXt (Xie et al., 2016) that we have tried in our experiments (34,50,101,152). Therefore, the final feature extractor for the segmentation architecture is InceptionResNetV2.
The loss function that we used during both phases of the training is essentially the sum of focal binary cross-entropy and Dice-coefficient loss.

Although the focal-loss has been initially used in the context of background-foreground imbalance (Lin et al., 2017), the loss can be adapted to other imbalance problems, such as both classification and segmentation.

The optimizing metric in this case was the intersection over union (IoU), at the same time observing the behaviour of F1 and F2 metrics on both training and validation sets. Both F1 and F2 are particular cases of the more general F-Beta metric: for the F1 score, the beta parameter is set to 1, yielding the harmonic mean of precision and recall (i.e. the same weight for precision and recall). The F2 metric emphasizes the recall metric, assigning twice the importance to the recall as compared to the precision. Therefore, the F2 metric was used in order to also verify the capacity of the model to detect pneumothorax regions.

3.3 Small ROIs Eliminator

Throughout the competition, a proven heuristic to perform well in practice is to eliminate the small regions of interest. In this particular case of image segmentation, a small region of interest is defined as a connected component whose surface in pixels is less than a specific threshold.

De facto, for each and every medical segmentation problem, when the region of segmentation is insignificant, the same heuristic can be put into practice. Admittedly, the exact nature of the problem needs to be taken into consideration: it may be the case that very small areas represent important regions of interest.

Nevertheless, the pneumothorax detection problem can be construed as belonging to the former category. A very small delineated pneumothorax region may very well constitute a false positive, hence the suitability of its elimination.

4 EMPIRICAL EVALUATIONS

This section is split into three subsections, providing details with regard to each module: the classification module (1), the segmentation module (2) and the small regions of interest removal (3) one, as seen in Figure 1. For each module we present the motivation behind each choice, describing the experiments and the results obtained.

4.1 Training Considerations and Hyper-parameter Tuning

4.1.1 Classification Model

As far as the classification module is concerned, we ran an experiment to check whether the augmentation or enrichment yield final better results. In other words, given exactly the same training hyperparameters, we contrast the models and verify their performance on the local validation set.

For the augmentation (1) scenario, we chose the following augmentations:

- CLAHE (Contrast Limited Adaptive Histogram Equalization)
- Optical distortion
- Zoom-In (0.05-0.10) random factor with uniform distribution
- Zoom-Out (0.05-0.10) random factor with uniform distribution

Each augmentation is applied with a probability of 50%. Prior to implementing our pipeline, we experimented with CenterCrop augmentation. However, due to the fact that several X-Rays are shifted, the CenterCrop augmentation could result in a loss of information thereby introducing false ground truth elements. The reason for such loss of information resides in the medical nature of pneumothorax, the latter manifesting in many situations at the extremities of the lungs.

For the enrichment/oversampling (2) scenario, we chose the same image preprocessing techniques. We perfectly balanced the dataset, by oversampling the positive, minority class. We applied the enrichment in the following manner:

\[
s_{dif} = n_p \text{images} - n_n \text{images} \quad (1)
\]

\[
\text{enrich}_\text{type} \text{support} = s_{dif} / n_{of} \text{aug} \quad (2)
\]

In the first equation (1), \(s_{dif}\) stands for the difference in support, which in this specific case is obtained by subtracting the number of pneumothorax images from the number of non-pneumothorax images, since the latter has higher support. The \(\text{enrich}_\text{type} \text{support}\) in (2), which in essence translates to the number of images of that specific image preprocessing with whom the dataset is enriched, is therefore the division between the \(s_{dif}\) and the number of augmentations, namely \(n_{of} \text{aug}\). For example, if the dataset consisted of 1000 pneumothorax images and 5000 non-pneumothorax ones, we could use 4 image processing techniques\((n_{of} \text{augments})\).
Figure 2: Example predictions on the validation set. The first column is the input image and the second represents the ground truth. The third and fourth columns represent results – the third without the small component removal, and the fourth (final) with that component.

for balancing, and the number of samples per enrichment is \((5000 - 1000)/4 = 1000\), where \(\text{sdif}\) is \(5000 - 1000 = 4000\). Therefore, a balanced dataset is obtained in such a manner.

In this particular scenario (enrichment), the training proceeds normally without employing any types of augmentation due to the prior oversampling process. At the same time the enrichment-model converges faster than the augmentation-model, attaining the peak value on the validation set on the 10\(^{th}\) epoch as compared to 17\(^{th}\) epoch in case of the augmentation model.

However, the augmentation model achieved an MCC of 0.774 on the ground truth validation set. The former value represents an increase of 0.04 on the validation set and 0.02 on the private score (mean-Dice coefficient) as compared to the enrichment model. The increase on both validation and test sets confirm that the training-validation-test sets belong to the same distribution. In the paragraphs below, we present two possible reasons for this phenomenon.

As the numbers of epochs increases towards a large number (i.e. tends towards infinity from a limit viewpoint), the probability of a model for having seen a particular image with a particular augmentation increases. Mathematically, this is incontrovertible, as the number of epochs increases, should an augmentation be applied with a likelihood of 30%, the probability of the model to have seen a specific image from the dataset later in the training phase rather than earlier.

This phenomenon is also similar to an extent with the exploration-exploitation reinforcement epsilon-greedy balancing strategy: while epsilon is very small, as the time passes, the agent performs actions it could have taken from the beginning phase if epsilon was given a high value. Exactly like in the augmentation training versus enrichment training, the augmentation training sees particular examples later in the training phase rather than early.

Therefore, given the test dataset distribution in this particular case, we noticed that aggressive augmentation (setting a 50% probability for each possible augmentation) as presented above even slightly outperformed the balanced dataset enrichment model on the test set. We therefore decided to opt for the augmentation-model.

In the paragraphs below we present the hyperparameter configurations that were employed for both training sessions: augmentation and enrichment.

We trained both models for 25 epochs. We noticed an overfitting phenomenon after training for more than 25, hence the justification for the number of epochs hyperparameter. The duration of each epoch is approximately 50 minutes on a GTX 1080Ti. We split the initial dataset into an 80%-20% ratio, 80% being reserved for training and the remaining 20% for validation. We use a fixed batch size of 4. As the dataset is inherently imbalanced, we applied a stratified split in order to ensure the support ratios on the training and validation set are the same.

We started by freezing the base convolutional model and pre-training only on last newly-added layer of the network. We employ this strategy for 2 epochs with Adam (Kingma and Ba, 2014) as an optimizer with a learning rate of \(2 \times 10^{-2}\). In conjunction with the Adam (Kingma and Ba, 2014) optimizer, we use binary cross-entropy as a loss function. We also use a learning rate on plateau reducer, with a patience of 3 and a reduction factor of \(2 \times 10^{-1}\). This means a reduction of the learning rate by the factor above if,
for three consecutive epochs, the optimizing metric (MCC) does not improve on the validation set.

For the next 23 epochs we unfreeze the entire model and train it with the same optimizer, but with a learning rate of $2 \times 10^{-4}$. We reduce the learning rate in order to avoid information loss for the pretrained weights. The best results are obtained on the 17th epoch. We choose Matthews Correlation Coefficient (MCC) as our optimizing metric, taking into consideration that accuracy does not reflect the robustness of a classification model in an imbalanced scenario. Although the dataset is balanced in case of the enrichment model, for consistency reasons we maintain the MCC as the optimizing metric. The metrics set on validation reported at the 17th epoch with the aggressive augmentation model is:

- MCC: 0.7702
- Precision: 0.8010
- Recall: 0.8674
- Accuracy: 93.60%

### 4.1.2 Segmentation Model

As far as the training is concerned, we employed Cosine Annealing Learning Rate Schedule (Loshchilov and Hutter, 2016) in conjunction with Stochastic Weight Averaging (SWA) (Izmailov et al., 2018). We employ a different approach for each procedure, as explained in the paragraphs below.

We initially use the optimizer Adam with a learning rate of $1 \times 10^{-3}$. For the first two epochs, we freeze the first half of the trainable layers. We employ this freezing strategy as the training set is different from ImageNet (Deng et al., 2009) and also significantly smaller in size. Starting from the 3rd epoch, we decrease the learning rate to $1 \times 10^{-4}$.

Table 1: Classic SWA versus Our Approach (SWA between epochs).

<table>
<thead>
<tr>
<th>Final results</th>
<th>Typical SWA</th>
<th>Our Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>Private Score</td>
<td>0.8322</td>
<td>0.8347</td>
</tr>
</tbody>
</table>

We employed the classical idea of weight-averaging from SWA, but we experimented with a different approach: rather than updating the weights at the end of each cycle, we performed SWA between several epochs within a cycle where we empirically observed that the IoU metric reaches its highest peaks (on validation data).

As such, we trained for 37 epochs and carefully supervised the evolution of the IoU metric. We observed that between the 13th and the 16th epochs the IoU metric reached the maximum values during the training phase, attaining values between 0.638 and 0.647. Thus, the weights of the final model are obtained by applying SWA between epochs 13 and 16.

Much to our surprise, the results in Table 1 suggest that by carefully choosing epochs between which SWA is employed, one can achieve good results and even surpass the results obtained with the typical SWA. Another benefit for this situation would be that a single learning cycle could be used (regardless of the scheduler), thereby leading to considerably less training time.

We report the following average values of the metrics considered, between epochs 13th and 16th, inclusive:

- IoU: 0.6553
- F1-Score: 0.6773
- F2-Score: 0.6811
4.1.3 Small ROI Elimination

As the segmentation module receives input images with 512x512 dimension and the final results are encoded on 1024x1024 resolution, the natural question of resolution choice for small positive remains. In Table 2 we provide the results of the experimentation that we performed with this hyper-parameter. The component size represents the elimination threshold for a connected component. The results demonstrate that only tuning the connected component hyper-parameter can greatly influence the final score.

<table>
<thead>
<tr>
<th>Component Size</th>
<th>Image Resolution</th>
<th>Private Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>3500</td>
<td>1024x1024</td>
<td>0.8347</td>
</tr>
<tr>
<td>4500</td>
<td>1024x1024</td>
<td>0.8329</td>
</tr>
<tr>
<td>750</td>
<td>512x512</td>
<td>0.8328</td>
</tr>
<tr>
<td>1750</td>
<td>1024x1024</td>
<td>0.8318</td>
</tr>
<tr>
<td>250</td>
<td>512x512</td>
<td>0.8307</td>
</tr>
</tbody>
</table>

4.2 Evaluation of the Entire System

The solution that we provided obtains the 130th position in the private score of the competition. We achieved 0.8347 mean-Dice coefficient on the private score by combining the classification module in conjunction with the segmentation and the small regions of interest eliminator modules. At the time of writing this paper, the previously mentioned score would achieve a bronze-medal position in the on-going competition.

It is important to outline the results presented in 2, as opting for a different combination of resolution and connected component size can drastically reduce the final private score.

Last but not least, a crucial aspect to emphasize that, given the exact same configuration and in absence of the classification module, the final mean-Dice coefficient score would be 0.7012. This is more than 0.13 lower than the best configuration obtained with the employment of the classification module, which is 0.8347 (first line in 2).

It is also worth mentioning that the 1st place in the Kaggle competition adopted the same approach of constructing a strong pipeline alongside several modifications: first, the classification module is replaced with a triplet scheme of inference and validation, in which possible pneumothorax or non-pneumothorax images are eliminated considering the area and prediction confidence. In addition to this, a weighted combination of Dice, focal and binary cross-entropy is used. Another novel idea that is employed in the first place solution is the sliding sample rate: the author notes that a better convergence of network weights can be obtained if the sample rate is adapted as the training progresses (0.8 at the beginning and 0.4 towards the end), where the sample rate is the portion of pneumothorax images. At the same time, several other augmentations are used such as elastic transform and grid distortion. The solution achieves 0.8679 mean-Dice coefficient on the private score.

5 CONCLUSIONS

In this paper we presented a pipeline for detecting the pneumothorax from X-Rays. It consists of two deep learning modules: a classifier, whose purpose is to eliminate images which do not contain pneumothorax, and a segmentation module which segments only images classified as containing pneumothorax. In addition to the deep learning modules, the results are refined by a classical computer vision component, which eliminates areas which are insignificant in size.

Experimentally, we observed that a deep learning model, when subjected to aggressive augmentation, can obtain similar results to the same model on an en-

Table 2: Influence of the connected components hyperparameter upon the final result.

- **Component Size**: Image Resolution Private Score
- 3500 1024x1024 0.8347
- 4500 1024x1024 0.8329
- 750 512x512 0.8328
- 1750 1024x1024 0.8318
- 250 512x512 0.8307

Figure 4: The validation IoU score. We apply the SWA approach between epochs 13th and 16th, when the validation IoU score reaches the highest values between epoch 13th and 16th. The red vertical lines represent the starting and the ending epochs for the SWA.
riched dataset, otherwise given the same exact training configurations. Also, we argue that aggressive augmentation, given a consistent number of epochs, achieves similar or better results to enrichment, in spite of the balance achieved by the latter. Moreover, a combination of several state-of-the-art techniques, such as a modified Stochastic Weight-Averaging with Cosine Annealing scheduler used for training the segmentation module further improves the performance.

A powerful characteristic of our pipeline resides in its replaceable modules: as the state-of-the-art advances, both the classification and the segmentation modules can be replaced with improved versions, thereby potentially leading to better results. We recommend that such a pipeline be used in all medical segmentation problems; while we consider that the deep learning modules should be indispensable (given a complex dataset), the existence of the small regions of interest component is debatable: depending on the exact nature of the medical problem, the threshold for elimination can vary to a great extent, if the module is to be implemented.

As possible improvements, we consider that the Tversky Loss (Salehi et al., 2017) could be used to improve the final results, as it shows promising results on both 2D and 3D image segmentation. In addition, it could be relevant to investigate whether the usage of class weights to penalize harder false negative errors could also contribute to an increased recall. Last, but not least, test-time augmentation is a technique that has been widely used recently and could contribute to increasing the performance.

REFERENCES


