A Computational Platform for Heart Failure Cases Research

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Keywords: Health Data, Clinical Studies, Cohorts, Heart Failure.

Abstract: Heart failure is a global health issue that affects millions of people worldwide, and is the main cause of disability and hospitalisation of elderly people. Approximately half of these have heart failure with preserved ejection fraction (HFpEF) and this proportion is increasing as the population ages. There is still no efficient treatment for HFpEF and today’s existing therapies only aim at relieving symptoms. With the aim to unravelling the pathophysiology of HFpEF and identify new therapeutic targets, ongoing long-time studies are collecting patient’s data, including the genomic information. This procedure is complex and requires electronically-stored health information to keep the patient’s information centralised to simplify the following up. In this paper, we present an computational system to support researchers in the different stages of a clinical study, and we describe its use in the management and analyse of HFpEF cohorts.

1 INTRODUCTION

Heart failure (HF) is a global scourge that affects over 26 million people worldwide (Savarese and Lund, 2017). This condition is associated with a high risk of morbidity and mortality, as well as a large health care resource consumption (Bui et al., 2011). HF is, also, the main cause of disability and hospitalisation of elderly people (Farmakis et al., 2015) and is expected to aggravate as the population ages (Gaggin and Januzzi Jr, 2013).

Approximately 50\% of all the cases are related to heart failure with preserved ejection fraction (HFpEF) (Dunlay et al., 2017), and these patients have typically higher rates of hospitalisations then the ones suffering from heart failure with reduced ejection fraction (HFrEF) (Steinberg et al., 2012).

Unlike HFrEF, whose prognosis has been improving, the prognosis of HFpEF has remained mostly unchanged over the last decades (Bonsu et al., 2018). No therapy for HFpEF has demonstrated improvement in prognosis and several pharmacological agents applied in clinical trials have shown no benefit (Ferrari et al., 2015). The existing therapies only aim at relieving symptoms. Even the diagnosis of HFpEF is not consensual. The American College of Cardiology Foundation/American Heart Association (ACCF/AHA) and the European Society of Cardiology (ESC) use different criteria to diagnose HFpEF. ACCF/AHA uses a diagnosis of exclusion in compliance with the Framingham HF criteria, while ESC considers that impairment of diastolic function is the key diagnosis criteria (Paulus et al., 2007; Yancy et al., 2013). The ACCF/AHA diagnosis criteria recognise close to 30\% more patients consider to have HFpEF than the ESC criteria and so the patients enrolled in clinical trials are different depending on the criteria used (Persson et al., 2007).

HFpEF patient population is mostly elderly, heterogeneous and with numerous comorbidities including systemic arterial hypertension (SAH), obesity, and diabetes mellitus (DM) (Shah, 2017). The variations of comorbidities have a significant impact on the symptoms and the therapy responses, as well as the mortality. The underlying pathophysiology are, therefore, variable and remain unclear (Sharma and Kass, 2014).

During the last decade, several projects emerged to study several aspects of HF, some of which targeted specifically HFpEF.

Heart OMics in AGEing (HOMAGE) (Jacobs et al., 2014) was a project launched with the purpose to identify and validate predictive ‘omics’-based
biomarker for HF. These biomarkers should help to identify patients at risk to develop HF in order to prevent the development of this disease which affects mostly the elderly population. During the project, a centralised database of existing cohorts, which include patients with heart failure, patients at risk to develop heart failure and healthy individuals, was created.

The INTERnational Congestive Heart Failure Study (INTER-CHF) (Dokainish et al., 2015), was a prospective study conducted from 2012 to 2014. The purpose of this project was to document sociodemographic, HF etiologies, treatment and mortality of patients, from low and middle-income countries in Africa, Asia, the Middle East, and South America, with HF.

The OPTIMEX project studied the impact of exercise training as primary and secondary prevention of HFpEF (Suchy et al., 2014). The objective of this project was to define the optimal dose of exercise training in patients with HFpEF in order to prevent the disease development and improve the pathophysiology.

NETDIAMOND is another project that joins a network of referenced centres with complementary expertise to unravel the pathophysiology of HFpEF and develop evidence-based therapeutics strategies directed at HFpEF (Lourenço et al., 2018). By correlating and integrating transcriptomics, proteomics and lipidomics studies with clinical data, this project aims to achieve a holistic view of HFpEF and the role of comorbidities. Furthermore, this project intends to determine gene variants that may predispose to HFpEF.

Due to the complexity of HFpEF and the multifarious pathophysiology, this disease is highly challenging and only a deep omics analysis integrated with clinical data and mixed with data mining will provide precise patient-directed treatments. To address this issues, we present a platform composed of several tools to support the research in HFpEF. This ecosystem provides the following features:

- A centralised system following standard practices of clinical, functional and follow-up procedures to gather clinical and omics data from HFpEF patients;
- A secure central large data repository for all patients and animal model data;
- Analytic features to design and explore cohorts over the collected data;
- And algorithms to automatically analyse the patients’ data, including the omics records.

## 2 GATHERING DATA IN HFpEF STUDIES

Clinical studies are typically performed using a computational system to support the research. Frequently the institutional Electronic Health Record (EHR) systems are used to gather the patient clinical data. However, there are studies with a very focused purpose in which the EHR is too generic for storing all the patient’s information. In these scenarios, the use of spreadsheets has been the most common solution, but this is not the best procedure for several reasons. This approach fails by not following a standard structure, leading to issues in future reuse of the collected data. Moreover, it also does not have any control over who can edit or see the data, complicating the study management.

Regarding these issues, we propose the NETDIAMOND Platform, which is a web-based system to record patient information in clinical studies focused on HFpEF patients. The system was designed on top of the MONTRA framework, which relies on an easy-to-use tabular skeleton, intended for data integration, with emphasis on biomedical data (Silva et al., 2018).

The developed platform was targeted to groups of researchers, mainly because the studies are conducted in different organisations, by distinct researchers, and with different roles. Therefore, for the same patient, various entities are involved in the data collection, for instance, one group could be responsible for inquiring the patient’s habits and another for recording the omics information extracted from samples of the heart tissue. This led to the creation of Role-Based Access Control (RBAC) policies at the user and group levels.

The patient’s clinical data is stored in a data structure created by consensus among all the entities involved in the study. This data structure keeps all the information centralised and in accordance with all of the study’s needs. This structure consists of different input components, such as numeric type, free-text, multi-choice, and others. This reduces insertion errors by reducing the flexibility in the inputs available for the specified data type. The data schema is also composed of rules, e.g., some fields are mandatory and others are only mandatory depending on the outcome of other variables. The system’s data structure has also flexible management features, so that it can be adapted to the future data collection needs of each study.

This platform was designed to gather phenotype-genotype data in HFpEF studies, but the omics data are stored in a different system. However, there is a...

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1. [https://bioinformatics.ua.pt/netdiamond](https://bioinformatics.ua.pt/netdiamond)
3 DATA REPOSITORY

This project sets forth to address the HFpEF issue through comprehensive multi-omics studies in plasma and tissues from HFpEF patients and animal models, which generates large and dissimilar volumes of data. The collected omics data are widely spread in a vast variety of unstructured formats, making it harder for researchers to manage and create cohorts. They hold valuable information on both metadata and content planes that could be used to enhance data discovery. Thus, a data repository with enhanced search features, able to execute full-text searches through metadata and raw data are desirable, in such a way that allows researchers to manage and create cohorts more effectively.

Several open-source projects for data management were considered for analysis: Girder \(^2\), CKAN \(^3\), DSpace \(^4\), Islandora \(^5\) and Alfresco \(^6\). After analysing each one, it was possible to conclude that Alfresco was far better than its competitors, due to its ability to extract and index metadata and to its flexibility to add custom support to other files types. Alfresco is an open-source Enterprise Content Management (ECM) system that provides several services and controls for data management, offering tools to index metadata content and execute full-text searches through files’ content (Sladić et al., 2011).

Alfresco does not support genomic files by default, which led to the adaptation of the software to the biomedical research scenario, by adding support for FASTA and Genbank files. The adaptation was achieved by developing a metadata extractor featured by BioJava library (Lafita et al., 2019) and a custom model to store the extracted data.

The custom model was designed taking in mind the properties that could be extracted from FASTA and Genbank files. After analysing both file formats, it was possible to conclude that the following properties should be considered: accession, description, keywords, gene, organisms, number of sequences and sequences. It’s important to notice that, in our solution, not every sequence is indexed. Indexing an entire genome is not reliable due to the huge size of its sequences. As a workaround to this problem, only sequences listed on a configuration file are indexed.

Furthermore, the metadata extractor extracts and saves target properties into the created custom model. In Addiction, BioJava library is used on the extractor to parse genomic files and extract target properties. The extraction is triggered right after a successful upload.

Researchers, by using Alfresco with the extension presented here, can store, manage and share genomic files in a more efficient way, having the possibility to search for certain genes or even sequences.

4 PATIENT COHORT DEFINITION

The previous two sections described the two pieces of the ecosystem responsible for gathering patients’ data in HFpEF studies. The next stage in the proposed ecosystem is patient cohort selection. This step is essential to filter patients of interest in specific scenarios. Therefore, aiming the cohort definition and data filtering, we used TranSMART, which is a platform with analytical web applications to aggregate different data sets. In this platform, the researchers can select the variables of interest through a friendly user interface, without interacting directly with the data. This tool enables patient and variable selection features over the data set, as well as the aggregation of several data sets, if necessary.

However, to employ this tool in the ecosystem, we needed to map the recorded data into the tool’s database. Therefore, we defined a semantic ontology and used a migration pipeline to extract, transform and load (ETL) the data from the NETDIAMOND platform to the TranSMART tool. This ontology was built on top of the initial data structure detailing the recorded concepts. This new extra information allows us to validate clinical data with ranges of values, variable types and options for questions. For instance, in this migration, all the data is processed, and when a variable is out-of-range, we will be notified and request for rectification in the record, thereby increasing the quality of the data.

Figure 1 shows the detailed migration workflow divided into the three ETL stages: Extracting, Transformation and Loading. The workflow starts by exporting the collected data from the NETDIAMOND Platform to CSV files, which are then read (Extracting stage). Afterwards, the collected data is transformed into a new structure, that simplifies the harmonisation procedure. The Cohort harmoniser builds a new cohort using that structure and validates the

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3https://ckan.org/
4https://duraspace.org/dspace/
5https://islandora.ca/
6https://www.alfresco.com
collected data applying the ontology rules. Additionally, those rules are also used to calculate new patient information (Transformation stage). Finally, the cohort is loaded into TranSMART, and the migration report is generated (Loading stage). This report has all the warning and errors produced during the migration, which helps to validate the data in both platforms.

Another positive aspect of performing this transition is the possibility to insert new knowledge about patients that are already present in the data but in a roundabout way. Rules and conditions, that are not typically recorded during the study, can be defined in the ontology. This occurs mainly because those data can be calculated during the analysis. Moreover, this kind of information could help to define a more focused cohort. For instance, since obese patients are considered patients with a cardiovascular risk factor, researchers may want to build a cohort composed of patients who are obese and if the concept obese was not recorded during the clinical visits, they need to analyse the raw data to understand which patients belong to this group. To know if the patient is obese, researchers only need the patients’ height and weight, and then during the migration, the body mass index can be calculated. If this value is higher than 30, then the patient is considered obese (James, 2004).

Although the TranSMART was designed to perform more complex tasks such as data aggregation of different data sources, we decided to use it only for cohort definition. Using TranSMART, the researchers can select the desired data sets to follow or process, by defining a set of conditions. These conditions are mainly inclusion and exclusion criteria, which are then applied to the study data set. Moreover, these criteria can also be applied to two subsets aiming the comparison between them.

5 DATA ANALYSIS

The analysis of the genomic data collected, by comparing the measurements in different conditions, is useful for diagnostic, prognostic, subdivision of patients in clinical studies and prediction of therapeutic response (Haury et al., 2011). Statistical methods are commonly used to do the analysis, however, these rely on the definition of an arbitrary threshold, whose value to use is not consensual (Cui and Churchill, 2003). The analysis is usually done by comparing the expressions of genes in order to identify differentially expressed genes (DEGs) in different conditions.

The main technologies used to measure gene expressions are microarray and the more recently developed RNA-Sequencing (RNA-seq) (Wang et al., 2009). RNA-seq is technologically more advanced, however, microarray technology continues to be widely used because it is cheaper and there are, freely available, robust, mature and reliable tools to process and analyse the data obtained (Thompson et al., 2016). Different platforms are used by researchers to measure the genes’ expression. These platforms are composed of diverse sets of genes and merging data sets from different platforms is challenging (Kumar Sarmah and Samarasinghe, 2010).

We developed a pipeline to analyse genomic data, namely gene expressions obtained using microarray technology. Figure 2 presents the pipeline.

The first step is to pre-process the data, which consists of performing background correction, normalisation and probe summarisation of the raw data. The raw data originated using the same platform are joint before the pre-processing.

Before merging the several data sets the common genes across the different platforms must be identified. To not reduce significantly the number of genes, instead of the gene identifier, the GenBank sequence
acquisition identifier, which uniquely identifies a biological sequence, should be used. After merging the several data sets using the common GenBank identifiers, a gene expressions data set is obtained.

Thereafter a statistical method is used to determine the adjusted p-value and fold change for the different features (the GenBank identifiers) by using the expressions in one condition and the expressions in another condition, e.g., diseased vs. control. By choosing different thresholds for the adjusted p-value and fold change, several sets of features are obtained. The next step is to select the one set with the best predictive accuracy and this can be done by using supervised learning algorithms, e.g., Random Forest (Breiman, 2001) or Support Vector Machines (Cortes and Vapnik, 1995). However, before using a supervised learning algorithm, the data must be batch-adjusted.

The batch effect is the non-biological variation that affects the gene expressions (Leek et al., 2010). To account for the batch effect, two approaches can be used: include the batch variable in the statistical analysis or adjust the data for batch effects before using it (Nygaard et al., 2016). In this pipeline, these two approaches are used. Thus, the batch variables are included in the statistical method used to determine the adjusted p-value and fold change and the gene expression data are batch-adjusted before using the supervised learning algorithm. Several methods exist to batch-adjust the data and a survey of these methods can be found in (Lazar et al., 2012).

The evaluation of the pipeline was done using nine publicly available microarray data sets from studies about heart diseases. The pre-processing of the raw data was done using the oligo package (Carvalho and Irizarry, 2010) of the R/Bioconductor software packager (Gentleman et al., 2004), which implements the robust multichip average (RMA) pre-processing method (Irizarry et al., 2003). The microarray data sets were generated using four different platforms that have 8354 GenBank sequence accession identifier in common and so after merging the nine data set, a data set with 689 samples and 8354 features was obtained. To determine the adjusted p-value and fold change the R/Bioconductor software package limma (Ritchie et al., 2015) was used. The thresholds used for the adjusted p-value are 0.01 and 0.05 and for the fold change the values from 1.5 to 3. Using the threshold 0.01 or 0.05 for the p-value in conjunction with the thresholds of the fold change resulted in the same sets of features. The fold change thresholds of 2.7 and 2.8 resulted in the same set of features and the same happened for the fold change thresholds 2.9 and 3. So fourteen different set of features were obtained. To select the set of features with the best predictive accuracy we used the R package caret (Kuhn et al., 2008) implementation of the Random Forest algorithm. The evaluation of each model was done using repeated 10-fold cross-validation with 3 repeats. We identified a set of 86 differentially expressed genes that correctly classifies samples with a heart disease and samples with no heart condition with an accuracy of approximately 96%.

6 RESULTS AND DISCUSSION

The proposed ecosystem provides support for all the main stages in HFpEF clinical studies. Figure 3 presents an overview of the workflow from data collection to the discovery of new biomarkers. This workflow is divided into three important stages, usually performed by different entities. Patients’ data collection is done at health care facilities by physicians during patients’ visits. In the NETDIAMOND project, different groups of physicians from several Portuguese health institutions are collecting those data.

Both tools used in the data collection where used and validated in different contexts. The MONTRA framework, which is the most important piece of the NETDIAMOND Platform, was already used to support the creation of catalogues of distributed health databases. The base features were the same but using a different entity to categorise, while in one it is the patients, in the other the data owners recorded the metadata from their databases. The Alfresco was used in countless projects for different purposes. However, for our scenario, we needed to add some features to increase the usability in the proposed context.

The second stage of this workflow can be executed almost in parallel with the data collection as long as
data is migrated in the TranSMART. In this stage, the medical research teams can define their cohorts by selecting variables of interest to explore specific biomarkers. These selections are essential to filter the data on the omics repository.

The TranSMART is a tool already validated in the clinical context. Our main contribution to this part of the workflow was primarily the data migration and aggregation in a centralised data warehouse. This migration required the creation of an ontology for HFpEF and harmonisation of concepts. At the end of this stage, we validated the data using the ontology rules introduced during the migration process.

In the final stage, the data can be analysed using the methodology presented in Section 5, or using others. At this stage, researchers can define cohorts by selecting the right inclusion and exclusion criteria in order to discover new biomarkers or detect the effects of therapies applied to patients.

This workflow allows the allocation of roles over different institutions and improves their cooperation. The ecosystem was designed to fulfil the technical needs of the NETDIAMOND project by supporting all the study pipeline, and to help unravelling pathophysiology and identifying new therapeutic targets in HFpEF. With this ecosystem and its tools we expect that the medical community will be able to:

- Implement a standard clinical practice distributed at a national level. This contemplates the collection of myocardial samples from HFpEF patients and an organised registry for this disease.
- Examine cohorts of HFpEF patients composed of their blood samples paired with samples of the myocardium and adipose tissues.
- Use a centralised data repository for all the patients’ clinical data related to this disease.
- Evaluate the genetic variants by developing cell and mouse models of this disease that rehash the human gene variants amenable to high throughput pharmacological screening.
- Increase public awareness of the HFpEF disease and develop preventive design strategies to reduce its impact on the elderly population.

7 CONCLUSION

HFpEF is becoming the predominant form of HF which leads to new research initiatives aiming to discover new treatments and therapies. Regarding this problem, we developed a computational ecosystem to help conducting and supporting clinical studies. The proposed solution was applied in the NETDIAMOND project and can be reused in other projects that study a disease-specific group. As a result, this multidisciplinary approach ensures the cross-checking of omics data with clinical information, which promotes the prototyping and application of new therapies with relevance in the clinical practices. Moreover, it may help gathering new knowledge about the genomic variants that may predispose to HFpEF patients, leading to the development of improved therapies.

ACKNOWLEDGEMENTS

This work was funded by the NETDIAMOND project, grant number POCI-01-0145-FEDER-016385. João Rafael Almeida is supported by FCT - Foundation for Science and Technology (national funds), grant SFRH/BD/147837/2019.
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