

# Classification of Respiratory Sounds with Convolutional Neural Network

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Abstract: Noting recent advances in the field of image classification, where convolutional neural networks (CNNs) are used to classify images with high precision. This paper proposes a method of classifying breathing sounds using CNN, where it is trained and tested. To do this, a visual representation of each audio sample was made that allows identifying resources for classification, using the same techniques used to classify images with high precision. For this we used the technique known as Mel Frequency Cepstral Coefficients (MFCCs). For each audio file in the dataset, we extracted resources with MFCC which means we have an image representation for each audio sample. The method proposed in this article obtained results above 74%, in the classification of respiratory sounds used in the four classes available in the database used (Normal, crackles, wheezes, Both).

## 1 INTRODUCTION

Automatic analysis of respiratory sounds has been a field of great research interest in recent decades. Automated classification of respiratory sounds has the potential to detect abnormalities in the early stages of respiratory dysfunction and thus increase the effectiveness of decision making Pasterkamp et al. (1997); Morillo et al. (2013).


Respiratory sounds are important indicators of respiratory health and respiratory disorders. The sound emitted when a person breathes is directly related to air movement, changes in lung tissue and position of lung secretions. A wheezing, for exam-


ple, is a common sign that a patient has an obstructive airway disease such as asthma or chronic obstructive pulmonary disease Moussavi (2006). These sounds can be recorded using digital stethoscopes and other recording techniques. This digital data opens the possibility of using machine learning to automatically diagnose respiratory disorders such as asthma, pneumonia and bronchiolitis, among others Naydenova (2018).


When performed by advanced computational methods, in-depth analysis of these sounds may be of great support to the physician, which may result in improved detection of respiratory diseases. In this context, machine learning techniques have been shown to provide an invaluable computational tool for detecting disease-related anomalies in the early stages of respiratory dysfunction Perna and Tagarelli (2019).


Based on this information, this article describes a method capable of classifying four types of breathing sounds (Normal, crackles, wheezes, both), the ICBHI 2017 Challenge dataset was used Rocha et al. (2018a). The method chosen and implemented con-


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sists of the construction of a CNN, but a feature extraction method of breath sounds that was used for the classification task is also implemented. The article is divided into 6 sections, where 2 is related work, 3 is the dataset description, while section 4 is the methodology used, section 5 presents the results and discussions, while section 6 consists of in conclusion.

## 2 RELATED WORKS

Respiratory diseases are currently among the most common causes of serious illness and death worldwide. Prevention and early diagnosis are essential in all diseases to limit or even reverse the tendency that characterizes the spread of such diseases. The development of advanced computational tools for the analysis of respiratory auscultation sounds can become a watershed in detecting disease or disease-related anomalies Perna and Tagarelli (2019).

For the diagnosis of respiratory diseases, it is extremely important to hear the sounds generated during the patient's breathing, which is usually heard by a specialist with the help of a stethoscope Kandaswamy et al. (2004). These include Asthma, Pneumonia, COPD, among others, which are anomalies and may cause unusual sounds. From this, several researches are done in order to automate the detection and classification of respiratory sounds for the diagnosis of diseases according to Pramono et al. (2017).

Automated classification of respiratory sounds has been studied by several researchers in recent years, automated respiratory analysis has the potential to detect patient breathing anomalies and thereby significantly increases the effectiveness of decision making Rocha et al. (2018b, 2019).

Convolutional neural networks (CNNs) show that in addition to being very effective in image classification, it can also be used to classify soundtracks using various CNN architectures as used by Hershey et al. (2017).

## 3 DATASET DESCRIPTION

In this paper, we used the data set of Challenge ICBHI 2017 Rocha et al. (2018a), This database of breathing sounds was originally compiled to support the scientific challenge organized by, in Informatics in Biomedical Health ICBHI 2017. The database was created by two research teams in Portugal and Greece and includes 920 recordings acquired from 126 individuals with a total duration of 5.5 hours of recordings. A total of 6898 respiratory cycles were

recorded, of which 3642 do not have an anomaly, 1864 contain crackles, 886 contain wheezing and 506 contain both crackles and wheezing.

Recordings were collected on heterogeneous equipment and their duration ranged from 10 to 90 seconds. Also provided were the locations from which the recordings were purchased. Data include clean breathing sounds and noisy recordings that simulate real-life conditions, collecting sounds from seven chest locations. Patients with lower respiratory tract infections, respiratory tract infections, COPD, asthma and bronchiectasis were included. Sounds were collected in clinical and non-clinical environments (patients' residence). Patients cover all age groups, children, adults and the elderly.

The respiratory sound characteristics of the database were recorded by three experienced physicians, two specialized pulmonologists and one cardiologist Rocha et al. (2018b, 2019).

## 4 MATERIALS AND METHODS

In this section we present the methods used in this article, we also describe the metrics used to evaluate the performance of the implemented neural network. The diagram illustrated in Figure 1 shows the main constituent parts of the method. It is composed of three main modules: data pre-processing, training and testing of CNN, and lastly it is analyzed its performance with the metrics chosen by the present work.



Figure 1: Structure of the system.

### 4.1 Data Pre-processing

During processing, the 5 second size audio clips are windowed, ie the audios are cut into segments Tzanetakis and Cook (2002), if necessary, segments are filled with zero so that all segments are the same size. With this method you can increase the amount of samples from each class to do CNN training. Thus the quantity of each class was as follows: crackles with 6415 samples, wheezing contains 7488 samples, while both (crackles, wheezing) class has 732, and finally the class that has no respiratory abnormalities has 6850 samples.

### 4.1.1 Extract Features

The next step is to extract the resources to train our model. To do this, a visual representation of each audio sample has been made to identify classification features using the same techniques used to classify images with high precision. Perna (2018). For this we used the technique known as Mel Frequency Cepstral Coefficients (MFCCs) SHIRALI-SHAHREZA (2010). For each audio file in the dataset, we extracted resources with the MFCC which means we have an image representation for each audio sample. This way you can train the classifier with these images.

Spectrograms are a useful technique for visualizing the frequency spectrum of a sound and how they vary over a very short period of time Jeffery et al. (2018). The main difference is that a spectrogram uses a spaced linear frequency scale (so that each frequency compartment is spaced with an equal number of Hertz), while an MFCC uses a quasi-logarithmic spaced frequency scale, which is more similar to the way how the human auditory system processes sounds SHIRALI-SHAHREZA (2010).

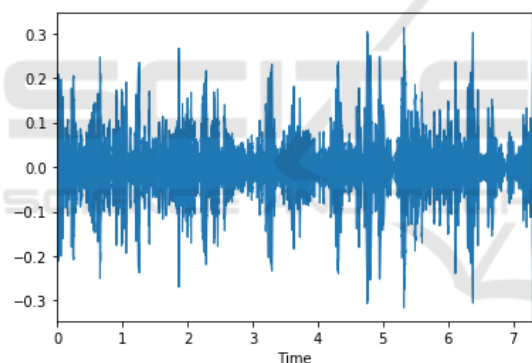


Figure 2: Representation of a frequency domain sound sample (Class Wheezes).

The figure 2, It is possible to visualize one of the dataset sound samples in its raw state, with its representation in the time domain, comparing the amplitude over time. Already the figures 3, 4 e 5, 6, these are the audio samples with the technique used for feature extraction, which consists of the MFCC, similar to a spectrogram, but with more distinct details, according to the classification of the images, the size used was 224x140, after the MFCC.

### 4.2 Metrics of the Evaluation

The final precision of the model is estimated by the equation, where  $Ac_f$  is the sum of the differences between the actual value  $y_i$  and the expected value  $\hat{y}_i$

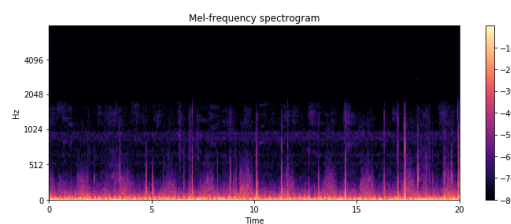


Figure 3: MFCC None Class.

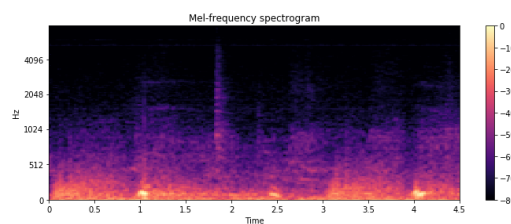


Figure 4: MFCC Crackles Class.

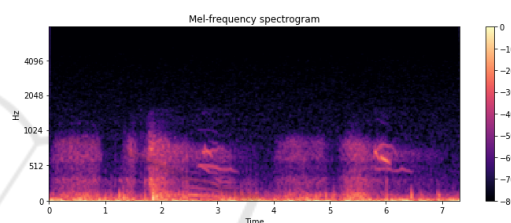


Figure 5: MFCC Wheezes Class.

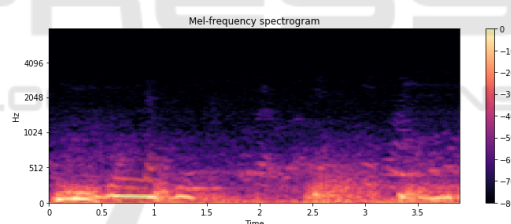


Figure 6: MFCC Both Class.

with this it is possible to infer the generalization of the network.

$$Ac_f = \sum_{i=1}^k (y_i - \hat{y}_i) \tag{1}$$

As a statistical tool, we have the confusion matrix that provides the basis for describing classification accuracy and characterizing errors, helping to refine accuracy. The confusion matrix is formed by a matrix of squares of numbers arranged in rows and columns that express the number of sample units of a given category, inferred by a decision rule, compared to the current category Saraiva et al. (2018).

The measurements derived from the confusion matrix are: total accuracy, which was chosen by the present work, individual class precision, producer precision, user precision, Kappa index, among oth-

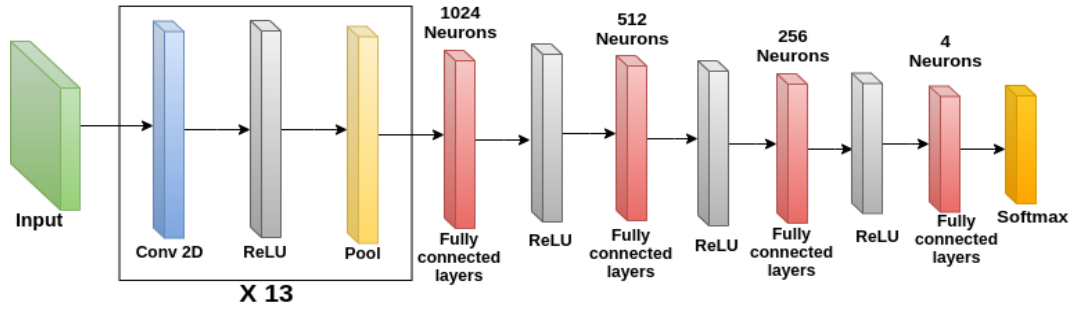


Figure 7: Neural Network architecture.

ers.s.

Total accuracy is calculated by dividing the sum of the main diagonal of the error matrix  $x_{ii}$ , by the total number of samples collected  $n$ , according to the equation 3.

$$T = \frac{\sum_{i=1}^a x_{ii}}{n} \quad (2)$$

As a statistical tool to evaluate model performance is also used precision and recall, which are represented by the following equations 3, 4.

$$Precision = \frac{TP}{TP + FP} \quad (3)$$

$$Recall = \frac{TP}{TP + FN} \quad (4)$$

F1 Score is a simple metric that takes Precision and Recall into account. This is simply the harmonic medium of precision and recall Suominen et al. (2008).

$$F1Score = 2 * \frac{Precision * Recall}{Precision + Recall} \quad (5)$$

### 4.3 Neural Network Training and Architecture

According to Shahin et al. (2004), to train a machine learning model, it is necessary to divide the data into two sets (training and testing). The training dataset is the data sample used to fit the model where the model sees and learns from this data Krawczyk (2016). The test data set, however, is the data sample used to provide an unbiased evaluation of the model in the training data set after adjusting the model hyperparameters Krawczyk (2016).

To perform neural network training, the data set was divided into training and testing, with 70% of each class of data used for training and 30% used for testing. Thus, the amount of training samples was 15.039 while for testing is 6.445 samples.

Figure 7 illustrates the proposed network architecture for the sound classification task. All convolution layers are applying 2D convolution and each has 32 kernels of size 5. Max pooling with size 5 and strides 2 are also used on all pooling layers. The predictor network consists of 13 residual blocks followed by four fully connected layers with 1024, 512, 256 and 4 neurons respectively and a softmax layer to predict the output class Saraiva et al. (2019a), Saraiva et al. (2019b).

For comparison purposes the neural network was implemented in two ways, ie two tests with different hyperparameters were performed Table 1. As a loss function, cross entropy loss on the softmax output is used. To train the model, the Adam Kingma and Ba (2014) optimization method is used, with a learning rate of 0.0001 for test 1 and 0.001 for test 2.

For the implementation of the neural network, the computer library TensorFlow Abadi et al. (2016) is used. Processing was performed using a Geforce GTX 1060 graphics card with 1280 CUDA cores (processors), 6 GB of dedicated memory, 12 GB of RAM and a fourth generation Core i5 processor.

## 5 RESULTS AND DISCUSSIONS

This section discusses and presents the results obtained at each stage of the development of this article. A comparison between neural network implementation tests is provided in Table 1. A comparison is also made with the works of SHIRALI-SHAHREZA (2010), Ntalampiras (May). It is noteworthy that the performance of the approached method using the metrics of section 4.2 is demonstrated

In SHIRALI-SHAHREZA (2010), a sound classification method is presented that uses the same database used in this article, where a CNN architecture is implemented, but only binary classification is made, which facilitates the performance of the method, obtaining a average accuracy of 79%. Only

Table 1: Training hyperparameters Neural Network and Accuracy.

Test	Learning Rate	Optimiser	Batch Size	Epochs	Training time	Accuracy
1	0.0001	Adam	128	100	160 min	74.3%
2	0.001	Adam	200	200	330 min	72.0%

with binary sorting it is not possible to exploit all database features. Already in Ntalampiras (May) is developed a method of classification of sounds, this method based on Hidden Markov models, was used the same database, where was made classification of the four types of sounds (Normal, crackles, wheezing). , both crackles and wheezing) present in the database, but the results were not satisfactory obtaining on average only 64%.

Table 2: Results of the metrics used to evaluate the performance of neural network test 1.

Class	Recall	Precision	F1 Score	Samples
None	90.0%	74.3%	81.1%	757
Crackles	61.2%	76.5%	67.6%	375
Wheezes	55.6%	71.7%	62.4%	184
Both	39.4%	72.2%	50.5%	109

Table 3: Results of the metrics used to evaluate the performance of neural network test 2

Class	Recall	Precision	F1 Score	Samples
None	81.0%	78.1%	80.3%	757
Crackles	57.4%	64.3%	60.5%	375
Wheezes	60.3%	56.2%	58.7%	184
Both	53.7%	51.4%	52.8%	109

As mentioned in section 5.1, two implementation tests were performed for neural network. The changes of each test can be analyzed in Table 1. Already the figures 8, 9, is demonstrate the confusion matrices of each test. With this it is possible to identify which implementation had the best performance for sound classification, as well as analyze the training history for tests 1 in the figure 10.

In Tables 2, 3, one can analyze the metric results for each class, as one can see that the model performed well, even with the unbalanced dataset. In Table 1, one can analyze the accuracy values for testing, with test 1 performing better with respect to this metric used. As can be analyzed the results were satisfactory compared to SHIRALI-SHAHREZA (2010) and (Ntalampiras, May). The method proposed in this article obtained results above 74%, in the classification of respiratory sounds used the four classes available in the database used.

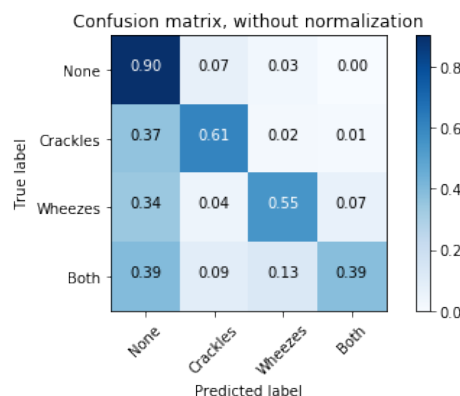


Figure 8: Confusion matrix test 1.

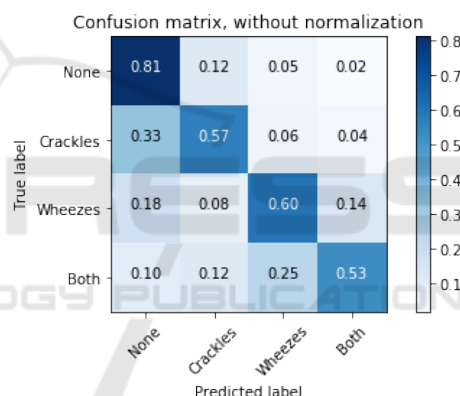


Figure 9: Confusion matrix test 2.

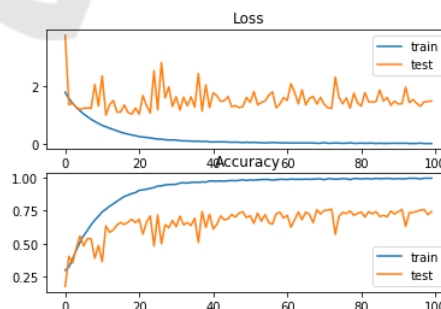


Figure 10: Training progression test 1.

## 6 CONCLUSION

Based on the methodology of this paper, a convolutional neural network with a deep learning framework was developed that originally integrates pre-



processing based on 5-second windowed audio clips for better classification of breathing sounds: normal, wheezing, crackling and both (wheezing and crackling)

The article was divided into three parts, we describe the network architecture as well as the crucial phase of pre-processing and classification. The performance results obtained suggest that CNNs are a viable tool for detecting specific characteristics in respiratory data and are capable of accurately classifying respiratory sounds inside and outside of laboratory environments using CNN. This article is expected to inspire and enable further research in the analysis of respiratory sounds.

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