Keywords: Tree Modelling, Point-based Processing, Skin Surfaces.

Abstract: This work focuses on the extraction and reconstruction of the tree branching models from large scale 3D LiDAR point clouds, utilizing the concept of skin models for modelling tree joints. Tree joints are one of the most challenging components to model due to its potential for highly intricate morphology and complex branching topology. During the reconstruction process, point clouds first undergo a classification process to remove leaves and then skeletonization to derive the branching morphology and estimate individual branch thicknesses. The tree branching model can then be modelled as a collection of cylindrical volumes connected by fused tree joints. The novelty of this work lies in the usage of skin surfaces as a proxy for modelling the tree joints. The generated tree triangular mesh surface is smooth and continuous, and we further propose a method to convert it into quadrilateral patches. The benefits of having piecewise components of branches and joints are such that it facilitates the subsequent generation of 3D finite elements, as they can be handled and meshed independently.

1 INTRODUCTION

With the advent of laser scanning technologies and larger storage capabilities, there is a growing interest in digitization of entire cities, including its distinctive flora landscape. This not only serves the primary purpose of visualization but also affords multiple possibilities for urban climatology-related simulations. The range of computation and simulation that municipal arborists are interested in includes measuring the leaf area index, studying the morphology of various tree species (Gobeawan et al., 2019), determining the effect of pruning on structural integrity, and the effect of torrential winds on trees planted near coastal regions.

The motivation for this work arises from the need for a simulation-ready 3D finite element mesh, often required for computer simulations such as wind-loading (Poh et al., 2019). A tree can be modelled as a collection of connected cylinders, similar to a skeletal model with thickness. However, at the point of joint connection, different trees can exhibit very diverse morphology, with some species having compressed inter-node distance resulting in multiple child branches sprouting from a single point. From a meshing viewpoint, this can be challenging to model while maintaining a smooth manifold surface, which is critical for 3D meshing. In this work, our contribution is a computational geometry approach that models each branch joint as a skin surface model (Edelsbrunner, 1999), separately from the other connecting branches. Each branch joint is then handled and meshed independently, with a localized half-sphere region cutout for a seamless connection with its connected branches in order to create a full tree mesh surface.

1.1 Objective

To address the growing need for fast processing of large laser scanned data comprising of hundreds of trees in a single LiDAR scan and generate simulation-ready individual tree models, our work focuses on two main components:

- An automated classification and segmentation of
woody structures from LiDAR point clouds of trees.

- Usage of skin models as a proxy for modelling tree joints in order to produce a smooth continuous mesh surface of an entire tree.

2 RELATED WORK

Modelling of tree branching structures that are based on point clouds acquired from laser scanners is typically dependent on a few stages. The first stage separates the point clouds into leaf and branch regions. During the laser acquisition process, the intensity value of the surface which the laser comes into contact with can indicate whether it is a leaf or branch surface (Li et al., 2017). Other approaches for classification include using filters such as Gaussian mixture model (Belton et al., 2013) based on parameters extracted by using principal component analysis, clustering based on small surface patches (Räumonen et al., 2013) formed using local neighbourhood, supervised classification based on photographs (Xie et al., 2018), or voxelization-based branch structure detection (Hu et al., 2017).

After the removal of the leaves, the remaining point cloud goes through a skeletonization process to construct a connectivity graph. This facilitates not only the final model generation but provides a secondary structural map of the branching morphological structure. A popular approach is to make use of Dijkstra’s shortest path algorithm to create a branch-structure graph (BSG), which is a spatially embedded and connected acyclic graph (Xu et al., 2007; Livny et al., 2010). This approach is particularly robust even on sparse point clouds. Other graph construction approaches include using laplacian-based contraction (Cao et al., 2010; Su et al., 2011) and voxel/octree thinning (Hu et al., 2017; Bucksch and Lindenbergh, 2008). For point cloud with normals, the work on curve skeleton extraction (Tagliasacchi et al., 2009) using the concept of rotational symmetry axis is specially catered for cylindrical-like objects. A more generalized skeleton extraction (Huang et al., 2013) using $L_1$-medial is subsequently proposed without prior assumption regarding topology or shape geometry, but parameter adjustments might be required for point clouds under different scanning conditions.

Once a skeletal graph is obtained, the point cloud can be segmented into smaller groups and replaced with a cylindrical shape form. Chains of cylinders are often used as an approximation for tree branches by fitting cylinders onto the point clouds itself (Pfeifer et al., 2004; Hackenberg et al., 2014). Few works, however, go into detail of how to merge the resulting set of cylinders into a single polygonal mesh model with smooth surfaces, especially at the tree joint regions. Bloomenthal (Bloomenthal, 1985) was one of the first to model tree joint surfaces using a ramiform shape, but with only two outgoing branching outlets.

The work of (Yan et al., 2009) uses a B-splines lofting method to approximate the cylinders and can be converted into a piecewise linear mesh representation that is suitable for simulation. Another work by Zhu et al. (Zhu et al., 2015) uses a local convolution surface approximation method based on B-Meshes (Ji et al., 2010) to create a combined high-quality quad-only mesh.

Our work differs by modeling tree joints individually using skin surfaces as an intermediary model, before joining them to the cylindrical branch models. This provides two significant benefits. The first is that each joint can be modeled to fully accommodate any number of branches that are joined to it while maintaining a smooth surface overall. Secondly, breaking down a tree model into branches (sequence of cylinders) and joints (skin models) facilitates the generation of 3D finite elements, as compared to a combined tree model which might have multiple thin branches that are complicated to mesh as a single tree. In terms of classification between leafy and woody regions of the points cloud, an automatic approach is proposed based on each point’s intensity value and the sparsity of their local neighbourhood. Lastly, our work proposes an approach to convert the existing triangular tree joint mesh into quadrilateral patches that further improves its viability for downstream simulation applications.

3 PRE-PROCESSING OF POINT CLOUDS

LiDAR scans, both in ALS (airborne) and MLS (mobile) format, were acquired as referenced in the work by Soon & Khoo (Soon and Khoo, 2017). The point clouds used in this work are mostly MLS data, collected using a Riegl VMX-450 at around 40 points/m$^2$, typically around 70m range at a speed of 60 km/hr. The point clouds are contained in the LAS format, which is a public file format for the interchange of 3-dimensional point cloud data between data users. Typically for LiDAR MLS data, point clouds covering entire streets with multiple trees are captured in a single LAS file. The individual tree isolation procedure is performed by generating best-fitting ellipses around each identified tree trunks enveloping their respective canopy, see (Gobeawan
et al., 2018) for a more detailed description of the procedure. Once the point cloud of a single tree is isolated, the goal is to decimate it further such that it only contains points that are lying on the woody surface. A skeletal graph model of the tree branching can then be generated. This whole process is fully automated.

3.1 Trunk Data Sampling

In order to remove the leafy regions from each isolated tree point cloud, the 3-dimensional position and intensity parameter of each data point are used. There is a characteristic difference between points generated by the laser scanner as it strikes a woody surface or a leafy surface. First, points lying on woody surfaces have a slightly higher intensities. Second, the sparsity, as measured by the distance to the nearest neighbour, is also different, with points on woody structures having a denser group of nearest neighbours. Although both factors can be used as a means to classify woody structures, the separation limit differs from tree to tree. Hence, to automate the classification process for large datasets, there is a need to acquire some initial sampling results.

Based on the tree isolation procedure in (Gobewan et al., 2018), the location of the trunk base can be found. This information can be used to separate the tree from the ground surface reliably. Once the base/root of the tree is identified, points that are within a distance above it (based on 20% of the tree height) can be used to sample for their intensity ranges and neighbourhood sparsity. Since this region can be generally assumed to be the trunk region, the sampling result is indicative for the rest of the woody structures throughout the point cloud. Fig. 1 shows the distribution of the two parameters between points taken from the trunk region and the full point cloud data of the tree. It can be clearly seen that points from the trunk region have a higher intensities and denser neighbourhood distance. The double peak phenomenon is attributed to the fact that multiple scans taken at different instances, which can be affected by other factors such as weather conditions, are merged together to form the full point cloud. Taking the combined distribution of all points, the separation limit for intensity is set at mean value plus two times the standard deviation, while the neighbourhood sparsity is set at mean value minus two times the standard deviation. Additionally, the sampling can be further improved by gradually increasing the contribution the closer the points are to the trunk base, lessening the arbitrary selection based on 20% of tree height.

3.2 Classification of Woody Structures

The classification procedure operates in a few stages. The first stage extracts all the points that are within the limits of both the pre-sampled intensity range and neighbourhood sparsity. Selected points that are within a $k$-nearest neighbourhood of each other are clustered together, where $k$ is typically a small number between 6 to 12. Small clusters under the size of 3 are discarded to remove the outliers. The second stage expands each cluster by creeping outwards, achieved through incorporating points into the clusters that are within the neighbourhood distance limit, regardless of the intensity. At this juncture, the points in all the clusters are deemed to be lying on woody structure, see Fig. 2(b). The next stage attempts to trace the path from each point down to the root of the tree using the connected acyclic graph formed using Dijkstra’s shortest path algorithm. All the points traversed by each path are absorbed into the clusters, forming the full set of points classified as woody structure, see Fig. 2(c).

3.3 Forming Branch Connectivity

The distance to the root of the tree for each woody point can be calculated and used as a means to re-cluster the points. A binning distance of around 1-2%, depending on the required resolution, of the height of the tree is employed. Each cluster of points have the same binning distance and are grouped together based on neighbourhood connectivity. In Fig. 2(c), the clusters are colour-coded in an alternate fashion to illustrate the clustering approach using distance binning.

As each point has a connected path downwards to the base of the tree, it can be used to establish cluster-to-cluster connectivity. Let $C = \{C_1, \cdots, C_n\}$ be the set of clusters. Each cluster has one single downward
4 SKIN SURFACES

Skin surfaces were introduced as a new paradigm for defining smooth surfaces through the simple combinatorial of spheres. A skin surface $S_B$ is defined by a set of spheres $B$ in $\mathbb{R}^3$ and a shrink factor $s$, where $0 \leq s \leq 1$. It is the closed group of the infinite set of spheres derived from $B$ formed through convex combination and shrinking. For further reading on the mathematical formulation, we refer to (Edelsbrunner, 1999). There have been efficient algorithms, such as (Cheng and Shi, 2009), which allow mesh surfaces with guaranteed quality to be generated. In our implementation, we make use of an available package from CGAL (CGAL, 2019) (Computational Geometry Algorithm Library) which provides a closed manifold surface mesh over the skin surface as defined through an input array consisting of a group of spheres and a shrink factor. The shrink factor allows for the control and tuning of the contouring surface shrinkage between spheres. Other works such as (Li et al., 2015) uses direct interpolation instead to form surfaces, which would require more sphere placements to achieve the same contouring effect.

4.1 Modelling Tree Joints

In a skeletal tree model, any node that is connected to three or more distinct nodes is defined as a tree joint. Each node has an associated thickness and a centroid location. Using the thickness and position of its connected nodes, a skin surface is constructed around the tree joint node. Once the skin surface for the tree joint is generated, a half-sphere region corresponding to each connecting branch is identified and removed from the skin surface, creating a circular opening. This circular opening would be used as a mesh-connecting conduit with the cylindrical surface mesh used for the mesh model of the branches, i.e., nodes with only two or fewer connections.
4.1.1 Ideal Balls Placement

To generate the skin surface, a set of spheres $\mathbf{B} = \{B_1 \cdots B_n\}$ must be specified, along with a shrink factor $s$. Each $B_i$ contains two parameters, its position $P_i$ and radius $r_i$. For $s$, a constant of 0.25 is employed throughout our implementation for a look similar to actual trees. Let $P_{TJ}$ be the centroid of a tree joint $TJ$ with $n$ number of connecting branches, with their vector directions termed as $\hat{D}_1$ to $\hat{D}_n$ and radii as $r_1$ to $r_n$ (see Fig. 3A). Let $\hat{D}_i$ be the main branch while $\hat{D}_2$ to $\hat{D}_n$ are the child branches. The resulting skin surface mesh should be such that when the set of cylindrical rays, as defined by $\hat{D}_1$ to $\hat{D}_n$ and $r_1$ to $r_n$, are projected onto it, it will result in distinct and non-intersecting patches. To achieve this goal, the set of spheres placement to construct the skin surface should be such that a half-sphere region for each sphere, corresponding to each branch, should be unobstructed by other spheres.

The test can be conducted to check for intersection based on the 2D illustration shown in Fig. 4. In (A), a rectangular projection based on $\hat{D}_1$ is intersected with the perpendicular line defined by the orthogonal line to $\hat{D}_1$ and the point $P_i$. The result of that intersection is the red dotted line. If the red dotted line comes within a distance of $r_i$ to $P_i$ as shown in (B), then an intersection is deemed to have occurred. In the 3D case, the intersection test is between an ellipse (resulting from an angled planar cut of the cylinder) and a sphere.

4.1.2 Ball Generation

In Figure 3B, the ideal position of $B_i$ and $B_j$ can be solved geometrically. However, the restriction lies in the length of the branch, which might not be able to accommodate in cases of tight angle difference. As $P_i$ can only lie along the vector $P_{TJ} + k_i \hat{D}_i$, where $k_i$ is a scalar, there is a limit to the value of $k$. This is constrained by the length of the immediate distance to the next branch node. Hence, the path which $B_i$ can lie upon follows the upward connectivity from branch node to branch node. Therefore, an iterative approach is required to generate the spheres.

The sequence of images, as depicted in Fig. 5, shows the sequence of ball placements used to form the skin surface for a tree joint. At the start of the process, $n$ number of spheres (equal to the number of connected nodes) are generated and placed in set $\mathbf{B}_{\text{active}}$ (pink spheres). Each $P_i$ is initialized to the position $P_{TJ} + r_i \hat{D}_i$. The sphere’s radius parameters follow directly from its corresponding branch’s radius. This ensures that each half-sphere region is distinct on the eventual skin surface mesh. We then iteratively compare each possible pair of spheres in $\mathbf{B}_{\text{active}}$, using the cylindrical projection approach shown in Fig. 4. Once the projection from $B_i$ is determined to intersect with another sphere $B_j$, $B_i$ is duplicated and added in another set $\mathbf{B}_{\text{dormant}}$ (green spheres). The $B_i$ in $\mathbf{B}_{\text{active}}$ is then updated with its new position with $k_i = k_i + r_i$.

4.1.3 Merging of Tree Joints

In the event where $k_i > |P_{TJ} - P_{BN}|$, where $BN$ is the next upward connected branch node, $B_i$ is similarly duplicated and added to $\mathbf{B}_{\text{dormant}}$ while updated with its new position with $P_{BN}$. We then merge the tree joint with the newly intersected tree joint, spawning $m$ number of new child branches and the associated new set of spheres $\mathbf{B}_{\text{new}} = \{B_1 \cdots B_m\}$. The intersected sphere $B_i$ is added to $\mathbf{B}_{\text{active}}$, while $\mathbf{B}_{\text{new}}$ is discarded from $\mathbf{B}_{\text{active}}$. The process of testing for cylindrical intersection repeats. The fi-
Figure 6: Half-Sphere Region Removal. In (A), a cylindrical projection based on the connecting branch direction and radius is projected onto the skin model. Based on an intersection test made at every 45° interval as illustrated in (B), a new point is added to the skin mesh surface. A planar walk connecting all the points is executed and the half-surface region is removed in (C).

4.2 Half Sphere Removal

To create a half-sphere opening for attachment with the cylindrical mesh surfaces of the branch nodes, the half-sphere region corresponding to each ball in \( B_{active} \) is required to be removed for connecting to the branches. To identify the set of surface triangle elements corresponding to that half-sphere region, a connected edge path delineating the border of the half-sphere region has to be formed on the skin mesh surface. Due to the nature of how the skin surface is formed, using a planar cut would not likely provide a satisfactory result due to the smoothed curved surface resulting from multiple nearby spheres. Instead, we first project points and add points onto the skin surface at every 45° interval based on a cylindrical ray projection with the corresponding \( D \) and \( r \) parameters of the child branch (see Fig. 6A & 6B). Next, a planar walk on the surface creates the edge path connectivity between each pair of adjacent projected points. Each planar path lies on the intersection between the skin model and the plane formed by the pair of adjacent projected points and the center of the incident sphere. The resulting mesh with the half-sphere region removed is shown in Figure 6C.

5 QUADRILATERAL PATCH GENERATION

Structured quadrilateral meshes offer many numerical advantages over unstructured meshes due to their tensor product structures. However, the generation of quadrilateral meshes is not trivial. In this section, we explore a potentially viable approach to generate 6-sided patches on the tree joint triangular-based meshes that are constructed based on an intrinsic Delaunay triangulation of the points at the tip of the spheres in \( B_{active} \). Our approach takes reference from works (Boier-Martin et al., 2004; Daniels II et al., 2011), which use the concept of Voronoi regions to decompose the underlying triangular base mesh into separate domains, where further parametrization into quadrilaterals can take place.

The quadrilateral patch decomposition is applied to a tree joint node taken from the *Khaya senegalensis* example, see Figure 8. Prior to the removal of the half-sphere region (Figure 6B), tip-points are placed for each \( B_i \) at the position \( P_{TJ} + (k_i + r_i D) \). Using these tip-points, a Voronoi decomposition of the tree joint model is applied (Figure 8A). The dual Delaunay graph constitutes triangular connectivity between the tip points. Geodesic paths are then plotted between points with such connectivity (Figure 8B). Once the half-sphere regions are removed from the base-mesh (Figure 6C), the intersected portions of the geodesic paths are removed and merged with the boundary, effectively forming 6-sided patches (Figure 8C).

A particular case can occur when one of the geodesic path intersects with the half-sphere from the opposite vertex in the triangulation, resulting in the formation of two distinct quadrilateral patches. In most cases, the 6-sided patches can be split relatively easily into quadrilaterals using a 6-valence singularity at the barycentric point. However, other configurations such as using two 5-valence singularities or methods such as multi-block decomposition (Fogg et al., 2013) can be adopted depending on the skewness of the 6-sided patch. Each quadric patch can then be quad-meshed with added singularity points, depending on its aspect ratio.

6 RESULTS

We implemented our algorithm on a LiDAR scan dataset of a group of trees along a roadside in Singapore. Each individual tree underwent the branch-leaf classification process and the result is shown in Fig 7. Based on the estimated parameters from the lower trunk regions of each tree, most of the first few branching orders can be seen to be classified (red coloured) with good accuracy. For trees with sparser leaf densities, especially for larger trees, the classification can even reach the higher-order (and thinner) branches.
Using the classified branch points, the skeletal tree model and the tree surface model are reconstructed. The tree joint generation algorithm is implemented using C++, utilizing packages from CGAL. The two main packages used are skin surface mesh for skin surface generation, fast intersection and distance computation (AABB Tree) for point projection on the surface mesh.

To test the validity of our tree joint construction approach, we generate tree joints using multiple randomly generated child branch directions and radii. The results are shown in Fig. 9 for three, four, and five child branches. The meshes on the left are directly generated using the CGAL skin surface package. CGAL offers further functionalities for a progressive surface subdivision that reduces the error with respect to the mathematical formulation of the skin surface. However, the resulting mesh quality can be quite poor (see Figure 6 for an example). Hence, a CGAL remeshing operation is applied to achieve a smooth mesh surface with good qualities, as shown on the right of Fig. 9.

6.1 Classification and Tree Skeletal Model

We tested our algorithm on five commonly found tree species in Singapore from the LiDAR dataset. These are Tabebuia rosea, Swietenia macrophylla, Samanea saman, Peltophorum pterocarpum and Khaya senegalensis. The result of the classification process and the subsequent skeletal tree extraction is shown in
The original points are shown and coloured based on their intensity values. From lowest to highest intensities, the corresponding color gradient goes from red to green and then finally blue. As highlighted based on the color distribution, it can be seen that the range of intensities differs from tree to tree, depending on conditions such as the amount of sunlight, temperature, or weather conditions.

Typically for point clouds acquired through laser scanner, multiple passes are often merged together to cover all sides of a tree. Slight variation during registration can result in overlapping surfaces. Depending on other factors such as occlusion or weather conditions, it can cause unevenness in the distribution of points throughout the tree. Very often, manual adjustment of parameters of intensity and sparsity can result in superior classification results. In our case, we are able to recover the first few tree branching order quite accurately just by using the automated trunk-based parameter estimation approach. Our current tree classification approach is a “quick-and-dirty” that works relatively well, and noted other more involved algorithms such as (Vicari et al., 2019) and usage of machine learning approaches for point cloud classification (Wang et al., 2017).

### 6.2 Surface Mesh Generation

Each of the five skeletal models generated from the point clouds is used to generate a surface mesh of the tree branching structure. A skin model is created for each joint node in the skeletal model. The five surface mesh tree models are shown in Fig 11. A few examples of the tree joints created using skin surface model are shown on the right. A real tree can have very intricate branching morphology, and some tree joints can have up to 6 to 8 child branches. The generated tree joints shown have smooth surfaces that mimic the actual tree surfaces. Furthermore, its simple construction approach based on a collection of spheres allows it to be employed as a suitable intermediary model for generating the complex branching structures of trees, no matter the morphology.

Like any real-world data acquisition, it can be subjected to noise and other external environmental variables such as occlusion, leading to partial coverage of branches. As such, radius estimate might vary widely even along the same branch. Hence, all radii along a branch (i.e., branch nodes that lies between two joints) are averaged out. This is why cylinders are used as an approximation shape model rather than cones. Additionally between the child and parent branches, the general rule to follow is by using Leonardo da Vinci’s rule (i.e., the sum of the cross-sectional area of all tree branches above a branching point at any height is equal to the cross-sectional area of the trunk or the branch immediately below the branching point). An initial check of all node radii is conducted, and in cases where significant discrepancies occur, usually due to inadequate sampling, a filtering operation is applied to ensure that it adheres to this rule.
7 DISCUSSION AND CONCLUSION

The intent of this work is to address the issue of constructing a simulation-ready 3D finite element mesh model from point clouds of trees. A surface mesh model of a tree typically has multiple long slender branches that can be quite challenging to generate a volumetric mesh. Hence, a piecewise model approach such as ours would facilitate the volumetric mesh generation process as the cylindrical branches and the tree joint models can be handled separately. By using a template surface on each of the half-sphere openings, and also on the cylindrical branch models, both can be meshed separately and then merged. Furthermore, a piecewise model facilitates the assignment of different material properties on various parts of the tree, such as between trunk and branches.

We have also applied the tree surface mesh generation for various tree species models which were procedurally created based on L-system growth rules (Gobeawan et al., 2019). The artificially created tree is often written into an MTG file format (Godin et al., 1999), which contains branching connectivities and radii data that are sufficient for our method.

In conclusion, this paper describes an approach to make use of skin surfaces as a representation of tree joints. It facilitates the construction of a full tree surface mesh from a skeletal model extracted from a laser-scanned point cloud. Among the many works, we find that the approach of (Ji et al., 2010) has many similarity with our skin surface approach for modelling complex joints, as used in (Zhu et al., 2015). A proposed extension is to make comparison studies between their approach and ours. Our future work includes both improving our quadrilateral patch formulation and exploring the formation of 3D finite elements, both tetrahedral and hexahedral, using our proposed piecewise tree joint construction method.

REFERENCES


