

User Experience-based Information Retrieval from Semistar Data Ontologies

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Abstract: The time necessary for the doubling of medical knowledge is rapidly decreasing. In such circumstances, it is of utmost importance for the information retrieval process to be rapid, convenient and straightforward. However, it often lacks at least one of these properties. Several obstacles prohibit domain experts extracting knowledge from their databases without involving the third party in the form of IT professionals. The main limitation is usually the complexity of querying languages and tools. This paper proposes the approach of using a keywords-containing natural language for querying the database and exploiting the system that could automatically translate such queries to already existing target language that has an efficient implementation upon the database. The querying process is based on data conforming to a Semistar data ontology that has proven to be a very easily perceptible data structure for domain experts. Over time, the system can learn from the user actions, thus making the translation more accurate and the querying – more straightforward.

1 INTRODUCTION

The time necessary for the doubling of medical knowledge has been rapidly decreasing in the last decades. While in the 1950s the doubling time of medical knowledge was about 50 years, it has shrunk to 3.5 years in 2010, and it is estimated to be only about 73 days in 2020 (Densen, 2011).

The amount of gathered information rapidly increases. Nowadays, even the smallest organizations acquire vast amounts of data. Nobody complains about the lack of information. However, a question arises – is there a benefit from all this information? Can we extract some knowledge from it?

What is knowledge, after all? It is the information that one can apply to make decisions. There is no use of the information in the database if nobody knows that it is there and thus cannot extract any valuable knowledge from it. To extract knowledge from the information, we usually hire system analytics to do the job. They acquire the IT skills necessary for inspecting the database, understanding its structure, retrieving the information and discovering the needed knowledge by using the SQL or another querying language.

However, it is not always the best option to hire a system analyst. The real users in need of the knowledge are those who make the decisions in the organization. If we take a hospital as an example organization, those end-users would be physicians, ward managers, hospital managers. To make a decision, such an end-user would need to receive answers to his/her questions. To get those answers, they need to consult the system analyst and ask him/her to find the necessary information in the database for them.

Several problems may arise here. Firstly, the domain experts often lack any idea about what kind of data are gathered and are available in the database to start with, what kind of information can they ask from the database and what kind of knowledge can be extracted. To clarify these questions, they have to interview the system analyst. It can be a one-time engagement, but it is nevertheless time-consuming, and it does not necessarily cover every aspect of what domain experts want to know, because the system analytic will only provide answers to the questions asked, and the domain expert can miss some vital question that could be beneficial for the next time. Besides, the process would have to be repeated for

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every new domain expert who starts to work in the organisation.

Secondly, even if one has understood the structure of the database and the types of questions he/she may ask, it takes some time for receiving the answers to particular questions. The system analytic can be overloaded with work, and answering all the questions from the domain experts can take hours or even days in some cases.

Thirdly, not every domain expert even has access to such a resource as the system analytic. The hospital manager more likely has an authority to give commands to the system analytic, but not every physician has such a privilege. Therefore, this process may be even more time-consuming if at all possible for them.

Finally, hiring a system analytic also costs a lot, and exploiting this resource just for answering those types of questions might not be the best way of spending the money.

Taking into account all of the abovementioned observations it is clear that it would be much better if the domain expert could access the database and query it him/herself – to understand its structure, to form questions and to understand the answers to those questions. This ability could undeniably accelerate the information retrieval process, which in turn would speed up the decision-making process that is one of the most critical aspects of the operation of any organisation.

There have been many attempts to solve the abovementioned problem. The most popular of them has been the introduction of the SQL in 1974 (Chamberlin, 1974). The original goal of this language has been precisely the same – to make querying possible for non-programmers. There was the slogan that every housewife will now be able to work with databases. However, the experience shows that this goal has not been fulfilled – hardly any domain expert that is not an IT specialist is able to use the SQL nowadays because it is too technical and complicated. It is so partly because it is based on the relational database that is not a very easily-perceptible data structure for end-users. Other related work is inspected in Section 2.

In this paper, it is proposed to retreat from the traditional approach of storing the data in the form of the relations database and instead to use another data format for storing the data – the Semistar data ontology. This data structure is described in Section 3. Upon the Semistar data ontology, it is possible to develop a simple querying language using the main principle of the SQL – to create sentence templates where the end-user would insert specific concepts of

the underlying data structure. The query language has already been implemented, and Section 4 provides an insight into it. It is also explained here what are the most significant flaws of the language in terms of its practical usage. To avoid these flaws, it is proposed to write queries in the natural language. These queries would then be translated automatically to the abovementioned language. Section 5 describes the main idea of the translation process. It is also explained here how the user would be able to ascertain that his/her query has been interpreted correctly by the system and how the system could learn from the user experience over time.

2 RELATED WORK

The first related work in the field is dated by the year 1974 when the SQL was created. It was the first attempt to allow non-professionals to query their databases. As already stated in Section 1, this attempt has not been as successful as it could have been.

Much effort has been devoted to making the querying easier so that it would become available to end-users. There are several ways how this can be done. One way is to use graphical query languages that allow users to create queries by clicking the mouse and entering only some specific concepts by the keyboard. Such languages can be based either on SQL or on its analogue for RDF data – the SPARQL. Some examples of the graphical query languages based on SQL or SPARQL are ViziQuer (Zviedris, 2011), Graphical Query Designer (Smart, 2008), SAP Quick Viewer SQVI (Kaleske, 2011) and others.

Another way how to make querying easier is to approach the natural language. There are many solutions that are based on the idea that one can use more or less strongly controlled natural language for formulating queries. There have been attempts to develop a Natural Language Interface to Databases (NLIDB) which is to be used to querying relational databases (Androutsopoulos, 1995; Li, 2014; Llopis, 2013; Papadakis, 2011; Popescu, 2004).

It is also possible to combine the two abovementioned approaches allowing one to build a part of the query graphically and a part of it textually. It allows avoiding to some extent the biggest drawback of fully graphical languages – their lack of expressiveness – while at the same time the user can create the largest and the most complicated part of the query graphically. A couple of examples of this approach are the NaLIR (Fei, 2014) and the DataTone (Gao, 2015) tools.

It must be noticed that most of the abovementioned solutions are built exclusively for the English language or in the best case – for some other prominent languages. In this paper, the focus is put on developing the tool for the needs of the hospitals of Latvia, where the domain experts mostly use the Latvian language, which is very different from English. The English language belongs to the analytic language family, while the Latvian language is highly synthetic. It means that in English one primarily conveys relationships between words in sentences by way of helper words (such as particles or prepositions) and word order. However, in Latvian, this is done by changing the form of a word to convey its role in the sentence. Therefore, it is not always possible to exploit the principles that are valid for analytic languages to synthetic languages.

3 STAR AND SEMISTAR DATA ONTOLOGIES

As was already mentioned in Section 1 there is not much hope to find a solution to the problem of natural language-based querying for relational databases if this has not been done for the last 40 years since the emergence of the SQL. Therefore, it is worth considering other data formats in the search for one that would satisfy the following two conditions simultaneously:

- 1) It is not so trivial as to be of no use in practical, real-world applications;
- 2) It is simple enough so that there could be a hope of solving the abovementioned problem of natural language-based querying.

3.1 Star Data Ontology

One example of such data structure that satisfies the two abovementioned conditions is the Star data ontology. It has been introduced in (Barzdins, 2014-1; Barzdins, 2014-2) and later refined in (Barzdins, 2016-3). The main idea of the Star ontology is that it always contains one so-called central class which serves as the starting point for “reading” the ontology. From the central class, there are outgoing associations to other classes, but only one type of associations is allowed – the “consists of” association. The same type of associations can be formed also further on from those classes to other classes and so on, as long as the associations do not form loops. All the classes of a Star ontology together form a tree- or a star-like structure with one class being the centre of the star.

Finally, there is also a specific condition put on the cardinalities of the associations – the cardinality of the proximal end of the association (the end that is nearer to the centre of the star) is exactly one while the cardinality of its distal end is *.

It can seem at first that such a data structure is very limiting and that it does not have much practical use. However, the reality is just the opposite. This phenomenon has been observed in (Barzdins, 2016-1; Barzdins, 2016-2) where the authors admit that “even in more general cases when some ontology is not a semistar ontology, one can usually find an important subset of it to comply to principles of semistar ontology. We can always think of a semistar ontology as a subject-oriented ontology where the role of the subject can be performed by a patient (in case of the medical management domain), a customer (in case of some service domain), etc.” It is admitted that the practice shows that the Star data ontology (or more precisely – the Semistar data ontology which is explained in Section 3.2) is very suitable for such subject-oriented domains, i.e. the domains where there is always one central subject around which everything else is sorted out. The hospital management domain is an excellent example of a subject-oriented domain because everything is sorted around the patient being its central subject. Therefore, the hospital management domain serves very well as the base for developing the natural language-based query language.

3.2 Semistar Data Ontology

The Semistar data ontology is a data structure that allows a small derogation from the standard definition of the Star ontology (Barzdins, 2016-1). In the Semistar data ontology, some additional classes (called classifications and registers) are allowed besides the basic classes that form the basic star-like structure. Those classes are, in fact, nothing more than enumerations that can serve as the data types for attributes of the basic classes. An example of a Semistar data ontology of the medical management domain is seen in Figure 1.

Practice shows that the Semistar data ontology is a data structure that is very easily-perceptible by end-users (Rencis, 2018-3). It contains concepts that are well known to the domain expert, and it allows avoiding the usage of technical details such as the names of associations because there can only be one association between any two classes of the ontology. Therefore, the Semistar data ontology serves as an appropriate basic data structure upon which one can build a more straightforward query language.

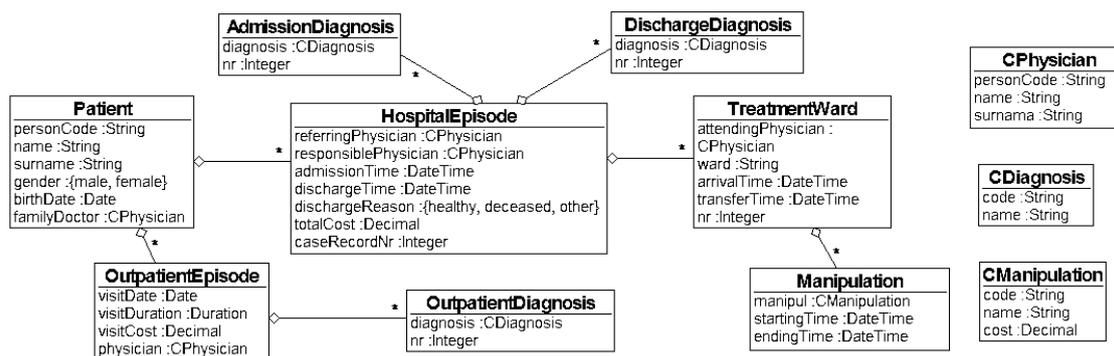


Figure 1: Semistar data ontology for the hospital management domain.

4 QUERY LANGUAGE FOR SEMISTAR ONTOLOGIES

The first step in the way towards allowing the domain experts to understand the data located in the database of the organisation is to develop a data structure that is easily perceptible to him/her. As was concluded in Section 3, the Semistar data ontology can be exploited for this purpose. If the domain expert now understands what data are located in the database, he/she is prepared for the next step – querying those data.

To be able to query the database, one must use a query language that is understandable by that database. To fulfil the goal of simple querying, a natural language-based query language (further in the text – the Base Language) was implemented because the natural language acquires all the required features simultaneously – it is easily perceptible by the end-user, it is convenient to use, and it is sufficiently expressive. The approach of the SQL was used in developing the query language, i.e. several sentence templates were developed that define the level of control of the natural language. The user would then be able to fill in the missing parts in those templates with particular concepts of the underlying Semistar data ontology, thus formulating queries as sentences in the controlled natural language. This approach, although being very similar to SQL, is, however, much easier for the end-user because the underlying data structure (being the Semistar data ontology instead of the relational database) is much more intuitive and easily perceptible.

We will not go into more detail in this paper about how these sentence templates look like. A full description of the Base Language and the templates can be found in our previous work (Rencis, 2018-3). To gain some insight and to better understand the

query translation process described in Section 5, below are some examples of valid queries in the Base Language that are based on the Semistar data ontology seen in Figure 1.

```
COUNT Patients WHERE EXISTS
HospitalEpisode WHERE
referringPhysician=familyDoctor.
SUM totalCost FROM
HospitalEpisodes WHERE
dischargeReason=healthy AND
birthDate.year()=2012.
SELECT FROM HospitalEpisodes
WHERE dischargeReason=deceased
ATTRIBUTE
responsiblePhysician.surname ALL
DISTINCT VALUES.
```

It can be noticed that these queries are indeed quite readable by a domain expert, mainly thanks to the fact that it lacks technical details like the association and role names and the cardinalities.

However, to justify our belief about the usability of the language by the domain experts, we conducted an experiment involving students of the Faculty of Medicine, University of Latvia. Those students are the future target group of our language and tool. Firstly, we delivered a two-hour-long lecture to the students about the general concepts like the concept of the ontology, the Semistar data ontology and the particular ontology of the hospital management domain. Also, the Base Query Language was explained, and the tool implementing the language was demonstrated.

Afterwards, the students were asked to perform two tasks – the reading task and the writing task. In the reading task, they were given sentences in the Base Language, and they had to answer in their own words what is asked to the database in those queries. In the writing task, they were given informal descriptions about what information should they get

from the database, and they had to write queries in the Base Language within the provided tool to get answers to those questions.

The results were ambiguous. On the one hand, we got affirmation for the fact that the Base Language is indeed very readable because almost all of the students did excellently in the reading task. On the other hand, we understood that the language is not yet handy for creating queries because only three of 15 students had been able to do the writing task more or less well. The results of the experiment are described in more detail in our previous work (Rencis, 2018-1).

This experiment proved our hypothesis that the Base Language is very well readable, but not so well writable. That meant we had to go one step further in the process of formulating queries, i.e. to approach the natural language more closely. In other words, we had to lessen the level of control of our query language.

5 QUERY GENERATION FROM KEYWORDS-CONTAINING TEXT

The main idea how to lessen the level of control of the query language thus making the language easier to use by the domain experts is to allow end-users writing queries in the natural language that contains only specific keywords. A translator could then be built that translates this keywords-containing text into a valid query in the Base Language. For example, the end-user could write such a query:

Can you, please, find for me those patients who have been hospitalised at least 2 times?

This sentence is completely normal in the English language. Of course, it does not comply with any of the sentences templates of the Base Language. However, it contains keywords from which we can guess what the user had meant by this query. For example, the word “find” is probably a synonym to the word “show” that is one of the keywords of the Base Language. The word “patients” most certainly means the class “Patient” of the underlying ontology (by the way, as can be seen in the query examples above, also in the Base Language it is allowed to exploit different forms of keywords and concepts, as well as it is allowed to have some typos which can be corrected automatically). Next, the word “who” has the same meaning as our keyword “where”. The concept “hospitalised” is a bit more complicated, and it could mean the phrase “exists HospitalEpisode”.

Finally, “at least” denotes the logical operator “>=”, and the keyword “times” is perhaps a synonym of our keyword “count”. Everything else can be regarded as a noise which can thus be omitted.

As a result, the abovementioned query could be translated automatically to the following query in the Base Language:

```
SHOW Patients WHERE (COUNT
HospitalEpisodes) >= 2.
```

The translation process is described in more detail in (Rencis, 2019).

5.1 The Concept of Entropy

The translation example described at the beginning of Section 5 is, of course, very simplified. In the real world, it is not always guaranteed that the system will understand the query of the user unequivocally. For example, the user might not have used the keywords that the system understands. Moreover, he/she might have used too many keywords so that the system can understand the query in several different ways. Finally, some keywords are even ambiguous themselves – they can mean different things in different contexts.

For example, one can perceive the keyword “is” as a synonym of the keyword “exists” which might not be so natural to the end-user. Thus, the user could write a phrase “there is HospitalEpisode” instead of the phrase “exists HospitalEpisode”. However, the same keyword “is” can also be found in other contexts, e.g. in the phrase “is greater than 2”. The translation of the keyword “is” would be different in those two cases. The conclusion here is that in most cases, the query written in the natural language can be translated to the Base Language in more than one way.

To cope with the several possible translation results, we have introduced the concept of the *entropy* being the opposite of the notion of the plausibility of the query (or more precisely – of its translation result). The entropy characterises the level of disorder of the original query, i.e. the distance of the original query to its translation into the Base Language. In other words, the entropy indicates how many corrections have to be made in the original query in order to turn it into a valid query of the Base Language. Let us call those corrections the primitive operations. Some examples of such primitive operations can be the correction of a typo in a keyword, swapping words in the part of the sentence, swapping parts of the sentence or adding missing keywords. If the query written by the user is already executable in the Base Language, its entropy is zero.

The greater the distance from the original query to the executable query in the Base Language, the higher its entropy.

The entropy is calculated for objects of various granularities. Firstly, it is calculated for the keywords found in the original query taking into account the typos in them. Secondly, the entropy is calculated for the parts of the sentence taking into account the entropies of individual words of that part and the mutual sequence of the words. Thirdly, the entropy is calculated for the sentence taking into account the entropy of its parts and the mutual sequence of those parts, as well as the entropy of the sub-sentences of the sentence if the sentence contains any sub-sentences (e.g. the sentence example at the beginning of Section 5 contained the sub-sentence “count HospitalEpisodes”).

Finally, there is a list of primitive operations that turns the original query into an executable query in the Base Language. Every primitive operation has a penalty that is added to the query translation result when the operation is applied in the translation process. If there is an ambiguity so that the original query can be understood in several different ways (which is the case in most situations), the translation process branches, and the translation tree is made. The leaves of the translation tree represent all possible translation results for that particular original query. Each of those results contains a valid query that is executable in the Base Language and a list of primitive operations (and their total entropy) that has been applied to obtain the result.

5.2 Learning from User Experience

Every user is unique in his/her way of formulating queries in the natural language. Every user exploits different concepts, different forms of concepts and different phrases, and every user builds sentences differently. Some of those phrases can be closer to the requirements of our existing strongly-controlled Base Language, and others are not so close. The closer to the Base Language is the particular sentence the user has formulated, the lesser amount of corrections needs to be performed in order to translate the sentence into a valid query in the Base Language, and the lesser is the entropy of the translation result (thus the result is shown higher in the list of all the possible translation results for that query). However, if a user, when offered the list of the translation results, regularly picks a result that is not the highest in the list (i.e. that does not have the smallest entropy), it would be beneficial to adapt to the specifics of the user and to learn from his/her habits so that next time

the correct translation result is ranked higher in the list of all the results.

The experiment described in Section 4 proved that the Base Language is very well readable by the domain experts. This knowledge has allowed us to rank the translation results in cases of ambiguity when there is more than one result and to offer the list of those results back to the user so that he/she can choose the one that represents the query he/she has really intended to ask. The user is able to read the provided translation results in the Base Language and to pick the correct one from them. To implement the facility of teaching to the system the specifics of the user, the penalties of the primitive operations that have been applied to obtain the correct translation result (i.e. the one that the user has picked) are slightly decreased. That way, the resulting entropy for such type of queries will be slightly smaller next time when the same user will formulate the sentence in the natural language using the same specific habits.

A special case is the correction of typos. The penalty of correcting a typo in a keyword is not decreased in the abovementioned situation, but instead, the word that is supposedly written with a typo is added to the list of synonyms for the particular keyword. The justification for this approach is the specificity of the Latvian language. As was described in Section 2, Latvian is a synthetic language, which means there can be different endings of words depending on the role the word takes in the sentence. Therefore, it is not right to consider only one of those different forms of the word the correct one and to assume that all other forms are typos. Since all the forms of the keyword that the user exploits are being stored as the synonyms of that keyword, the system learns those forms as valid keywords over time, and not considering those forms as typos will again decrease the entropy of the whole query.

6 CONCLUSIONS

Knowledge discovery and information retrieval are becoming progressively more topical since the time necessary for the doubling of medical knowledge is rapidly decreasing and will presumably reach only 73 days in 2020 (Densen, 2011). In such conditions, it is of utmost importance to ensure that the information does not flow in only one direction – to the database –, but that the information located in the database is also accessible by domain experts who could exploit it in their decision-making process. The information retrieval process must be fast, convenient and

straightforward, but it often lacks at least one of these properties.

This paper proposes an approach where the domain experts are able to formulate their queries in the natural language sentences that contain particular keywords. The system would then translate the query into one or more valid queries in the Base Language that is also based on the natural language and that already has an efficient implementation. The Base Language has proven to be very easily readable by non-IT specialists (i.e. the domain experts of the medical management domain). Thus the domain expert would be able to understand the translation results and to select the correct one, that is, the query he/she had intended to formulate.

For it to be possible to implement such natural language-based querying, this paper proposes a data schema called the Semistar data ontology that alleviates the process of formulating queries. The practice has shown that such a data structure is prevalent in subject-oriented domains such as hospital management.

To test the base query language, a tool was developed that allows users to create queries and to receive answers to them. An experiment was conducted where the tool was taught to a group of students. After having worked with the tool and the Base Language for some time, they acknowledged the language as very well readable. Therefore, it justifies the approach of showing the list of the query translation results in the Base Language back to the user so that he/she can point out to the correct one. As a result, the system learns from the user experience so that the correct query will have higher credibility (i.e., smaller entropy) next time.

This paper describes the work in progress that continues the work described in (Rencis, 2018-2). A prototype implementing the natural language-based querying has been developed, as well as the calculation of the entropy for the query translation results has been implemented. The user experience-based learning is a part of the future work that has yet to be implemented.

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