Estimating TCP Congestion Control Algorithms from Passively Collected Packet Traces using Recurrent Neural Network

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Abstract: Recently, as various types of networks are introduced, a number of TCP congestion control algorithms have been adopted. Since the TCP congestion control algorithms affect traffic characteristics in the Internet, it is important for network operators to analyse which algorithms are used widely in their backbone networks. In such an analysis, a lot of TCP flows need to be handled and so the automatically processing is indispensable. Thin paper proposes a machine learning based method for estimating TCP congestion control algorithms. The proposed method uses a passively collected packet traces including both data and ACK segments, and calculates a time sequence of congestion window size for individual TCP flows contained in the traces. We use a recurrent neural network based classifier in the congestion control algorithm estimation. As the results of applying the proposed classifier to ten congestion control algorithms, the major three algorithms were clearly classified from the packet traces, and ten algorithms could be categorized into several groups which have similar characteristics.

1 INTRODUCTION

Recently, along with the introduction of various types of networks, such as a long-haul high speed network and a wireless mobile network, a number of TCP congestion control algorithms are designed, implemented, and widely spread (Afanasyev et al., 2010). Since the congestion control was introduced (Jacobson, 1988), a few algorithms, such as TCP Tahoe (Stevens, 1997), TCP Reno (Stevens, 1997), and NewReno (Floyd et al., 2004), have been used commonly for some decades. Recently, new algorithms have been introduced and deployed. For example, HighSpeed TCP (Floyd, 2003), Scalable TCP (Kelly, 2003), BIC TCP (Xu et al., 2004), CUBIC TCP (Ha et al., 2008), and Hamilton TCP (Leith and Shorten, 2004) are designed for high speed and long delay networks. TCP Westwood+ (Grieco and Mascolo, 2004) is designed for lossy wireless links. While those algorithms are based on packet losses, TCP Vegas (Brakmo and Perterson, 2004) triggers congestion control against an increase of round-trip time (RTT). TCP Veno (Fu and Liew, 2003) combines loss based and delay based approaches in such a way that congestion control is triggered by packet losses but the delay determines how to grow congestion window (cwnd). In 2016, Google proposed a new algorithm called TCP BBR (Cardwell et al., 2016) to solve problems mentioned by conventional algorithms.

Since TCP traffic is a majority in the Internet traffic and the TCP congestion control algorithms characterize the behaviors of individual flows, the estimation of congestion control algorithms for TCP traffic is important for network operators. It can be used in various purposes such as the traffic trend estimation, the planning of Internet backbone links, and the detection of malicious flows violating congestion control algorithms.

The approaches for congestion control algorithm estimation are categorized into the passive approach and the active approach. The former estimates algorithms from packet traces passively collected in the middle of network by network operators. In the latter approach, a test system communicates with a target system with a specially designed test sequence in order to identify the algorithm used in the target system. Although the active approach is capable to identify various congestion control algorithms proposed so far, this approach does not fit the algorithm estimation of real TCP flows by network operators. On the other hand, the passive approaches...
other than ours cannot estimate recently introduced congestion control algorithms.

Previously, we proposed a passive method for estimating congestion control algorithms (Kato et al., 2014), (Kato et al., 2015). In this proposal, we focused on the relationship between the estimated congestion window sizes and their increments. The relationship is indicated as a graph and the congestion control algorithm is estimated based on the shape of the graph. Our proposal succeeded to identify eight congestion control algorithms implemented in the Linux operating system, including recently introduced ones.

However, the identification is performed manually by human inspectors, and so it is difficult to deal with a large number of TCP flows. This paper proposes a new method for estimating congestion control algorithms automatically based on the machine learning. We estimate cwnd from packet traces including both data and ACK segments, adopt the recurrent neural network (RNN) as a machine learning technique classifier, and show the results of applying normalized cwnd time sequence to an RNN classifier. We pick up ten congestion control algorithms mentioned above and show how those algorithms can be estimated automatically.

The rest of this paper is organized as follows. Section 2 gives some background information including the conventional studies on the congestion control estimation and the machine learning applied for the network areas. Section 3 describes the proposed method and Section 4 gives the performance evaluation results. In the end, Section 5 concludes this paper.

2 BACKGROUNDS

2.1 Studies on TCP Congestion Control Algorithm Estimation

The proposals on the passive approach in the early stage (Paxson, 1997), (Kato et al., 1997), (Jaiswel et al., 2004) estimate the internal state and variables, such as cwnd and ssthresh (slow start threshold), in a TCP sender from bidirectional packet traces. They emulate the TCP sender’s behavior from the estimated state/variables according to the predefined TCP state machine. But, they considered only TCP Tahoe, Reno and New Reno and did not handle any of recently introduced algorithms. (Oshio et al., 2009) proposed a method to discriminate one out of two different TCP congestion control algorithms randomly selected from fourteen algorithms implemented in the Linux operating system. This method keeps track of changes of cwnd from a packet trace and to extract several characteristics, such as the ratio of cwnd being incremented by one packet. Although this method targets all of the modern congestion control algorithms, they assumed that the discriminator knows two algorithms contained in the packet trace.

Prior to our previous proposal, the only study which can identify the TCP congestion control algorithms including those introduced recently was a work by (Yang et al., 2011). It is an active approach. It makes a web server send 512 data segments under the controlled network environment, and observes the number of data segments contiguously transmitted. From those results, it estimates the window growth function and the decrease parameter to determine the congestion control algorithm.

Our previous proposals (Kato et al., 2014), (Kato et al., 2015) estimated cwnd in RTT intervals from bidirectional packet traces, in the similar way with the other methods. Different from other methods, we focused on the incrementing situation of estimated cwnd values. From the definition of individual congestion control algorithms, the graph of cwnd increments vs. cwnd has their characteristic forms. For example, in the case of TCP Reno, the cwnd increment is always one segment. In the case of CUBIC TCP, the graph of cwnd increment follows a \( \sqrt{cwnd^2} \) curve. In this way, we proposed a way to discriminate eight congestion control algorithms in the Linux operating system.

2.2 Studies on Application of Machine Learning to TCP

Recently, the machine learning is applied to various fields in network technology. Examples are the management of self-organizing network (Klaine, 2017), the intrusion detection (Buczak and Guven, 2016), and the identification of mobile applications from network logs (Nakao and Du, 2018).

In the field of TCP, there are some studies on applying machine learning published. (Edalat et al., 2016) proposes a method to estimate RTT using the fixed-share approach from measured RTT samples. (Mirza et al., 2010) estimates the future throughput of TCP flow using the support vector regression from measured available bandwidth, queueing delay, and packet loss rate. (Chung et al., 2017) proposes a machine learning based multipath TCP scheduler based on the radio strength in wireless LAN level, wireless LAN data rate, TCP throughput, and RTT with access point, by the random decision forests.
These proposals focused on the control aspects of TCP. As far as we know, no attempts for the congestion control algorithm estimation based on the machine learning are not reported.

3 PROPOSED METHOD

3.1 Estimation of cwnd Values at RTT Intervals

In the passive approach, packet traces are collected at some monitoring point inside a network. So, the time associated with a packet is not the exact time when the node focused sends/receives the packet. Our scheme adopts the following approach to estimate cwnd values at RTT intervals using the TCP time stamp option.

- Pick up an ACK segment in a packet trace. Denote this ACK segment by $ACK_1$.
- Search for the data segment whose TSect (time stamp echo reply) is equal to TSval (time stamp value) of $ACK_1$. Denote this data segment by $Data_1$.
- Search for the ACK segment which acknowledges $Data_1$ for the first time. Denote this ACK segment by $ACK_2$. Denote the ACK segment prior to $ACK_2$ by $ACK_1'$.
- Search for the data segment whose TSect is equal to TSval of $ACK_2$. Denote this data segment by $Data_2$.

From this result, we estimate a cwnd value at the timing of receiving $ACK_1$ as in (1).

$$cwnd = \left\lfloor \frac{\text{seq in } Data_2 - \text{ack in } ACK_1}{\text{MSS}} \right\rfloor$$ (1)

Here, seq means the sequence number, ack means the acknowledgment number of TCP header, and MSS is the maximum segment size. $\lfloor a \rfloor$ is the truncation of a.

Figure 1 shows an example of cwnd estimation. In this figure, the maximum segment size (MSS) is 1024 byte. Data segments are indicated by solid lines with sequence number: sequence number + MSS. ACK segments are indicated by dash lines with acknowledgment number. When “ack 1” is picked up, data segment “1:1024” is focused on as $Data_1$ above. ACK segment “ack 2049” responding the data segment corresponds to $ACK_2$. The ACK segment before this ACK segment ($ACK_1'$ above) is “ack 1” again. Data2 in this case is “2049:3073.” So, the estimated cwnd is $(2049 – 1)/1024 = 2$. Similarly, for the following two RTT intervals, the estimated RTT values are $(5121 – 2049)/1024 = 3$ and $(10241 – 5121)/1024 = 5$.

3.2 Selection and Normalisation of Input Data to Classifier

When a packet is lost and retransmitted, cwnd is decreased. In order to focus on the cwnd handling in the congestion avoidance phase, we select a time sequence of cwnd between packet losses. We look for a part of packet trace where the sequence number in the TCP header keeps increasing. We call this duration without any packet losses non-loss duration. We use the time variation of estimated cwnd values during one non-loss duration as an input to the classifier.

However, the length of non-loss duration differs for each duration, and the range of cwnd values in a non-loss duration also differs from one to another. So, we select and normalize the time scale and the cwnd value scale for one non-loss duration.

The algorithm for selecting and normalizing input to classifier is given in Figure 2. In this algorithm, the input $E$ is as time sequence of cwnd values estimated from one packet trace. The input $InputLength$ is a number of samples in one input to the classifier. In this paper, we used 128 as $InputLength$.

In the beginning, the time sequence of cwnd is divided at packet losses, and the divided sequences are stored in a two dimensional array $S$. Next, the first
sequence $S[0]$ is removed, because we focus only on the congestion avoidance phase. Then $S$ is reordered according to the length of cwnd sequence. Then the cwnd values for one sequence $S[t]$ are normalized between 0 and 1. The normalization is performed in the following way.

Let $w_{\text{max}}[t] = \max(S[t][u])$ for $u = 0 \cdots \text{Len}(S[t]) - 1$, and $w_{\text{min}}[t] = \min(S[t][u])$ for $u = 0 \cdots \text{Len}(S[t]) - 1$. Each cwnd value in $S[t]$ is normalized by $S[t][u] = \frac{S[t][u] - w_{\text{min}}[t]}{w_{\text{max}}[t] - w_{\text{min}}[t]}$.

After that, the cwnd values are resampled into the number of InputLength (128 in this paper). This is done by the loop between step 11 and step 15. As a result, a cwnd sequence in $S[t]$ is converted to an array $I[t]$ with 128 elements. By this algorithm, all of the time sequences of cwnd values are the arrays with 128 elements whose value is between 0 and 1.

![Algorithm 1](image)

**3.3 RNN based Classifier for Congestion Control Algorithm Estimation**

We used RNN for constructing the classifier, whose output layer defines the TCP congestion control algorithms. The neural network is widely used for the classification, regression, and estimation for various data. Especially, RNN is designed for handling temporal ordered behaviors, including video/speech recognition and handwriting recognition. Since we deal with the time sequence of cwnd values, RNN is considered to be appropriate for our classifier. Among the RNN technologies, we pick up the long short-term memory mechanism (Hochreiter and Schmidhuber, 1997), which was proposed to handle a relatively long time sequence of data.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Input Length</td>
<td>128</td>
</tr>
<tr>
<td>Hidden Layers</td>
<td>1</td>
</tr>
<tr>
<td>Hidden Neurons</td>
<td>512</td>
</tr>
<tr>
<td>Optimizer</td>
<td>Adam</td>
</tr>
<tr>
<td>Learning Rate</td>
<td>$2 \times 10^{-1}$</td>
</tr>
</tbody>
</table>

The input is a normalized time sequence of cwnd as described above, with using labels of congestion control algorithms represented by one-hot vector. The hyper parameters of RNN is defined as shown in Table 1. Here, we used relatively large number of hidden neurons in order to install strong representation capability in the hidden layer. Specifically, the number of hidden neuron is 512 while the input length is 128.

In the training of the classifier, we use the mini-batch method, which selects a specified number of inputs randomly from the prepared training data. The mini-batch size will be determined for individual training. The training will be continued until the result of the loss function becomes smaller than the learning rate.

**4 EXPERIMENT RESULTS**

**4.1 Experimental Setup**

Figure 3 shows the experimental configuration for collecting time sequence of cwnd values. A data sender, a data receiver, and a bridge are connected via 100 Mbps Ethernet links. In the bridge, 50 msec delay for each direction is inserted. As a result, the RTT value between the sender and the receiver is 100 msec. In order to generate packet losses that will invoke the congestion control algorithm, packet losses are inserted randomly at the bridge. The average packet loss ratio is 0.01%. The data transfer is performed by use of iperf3 (iPerf3, 2019), executed in both the sender and the receiver. The packet traces are collected by use of tcpdump at the sender’s Ethernet interface. We use the Python 3 dpkt module (dpkt, 2019) for the packet trace analysis. We changed the congestion control algorithm at the receiver by use of the sysctl command provided by the Linux operating system.
4.2 Results of cwnd Estimation from Packet Traces and Normalization

We used TCP Reno, HighSpeed TCP, Scalable TCP, BIC TCP, CUBIC TCP, Hamilton TCP, TCP Westwood+, TCP Vegas, TCP Veno, and TCP BBR, at the sender. Figures 4 through 13 are examples of time sequence of estimated cwnd values. In those example, the data transfer by iperf3 is performed for 60 sec. The cwnd values are estimated in terms of segment according to the method described in Subsection 3.1. It can be recognized that the time variation of cwnd values present characteristic shapes representing individual algorithms, but it may be difficult to estimate algorithms manually. So, we apply the RNN machine learning method to those results.

As described in Subsection 3.2, a part of cwnd sequences in duration when there are no retransmissions is handled as a separate input sequence. Figures 4 and 8 gives examples of such sequences, indicated as Reno 1, Reno 2, CUBIC 1, and CUBIC 2. As shown by these examples, the size of these sequences differ from each other, both for the time scale and the scale of cwnd. Therefore, it is necessary for normalize these sequence. Figure 14 illustrate how these sequences are normalized. Different scale of cwnd time sequences are transformed into a canonical form with 128 samples in the range of 0 through 1.
Figure 8: Estimated cwnd for CUBIC TCP.

Figure 9: Estimated cwnd for Hamilton TCP.

Figure 10: Estimated cwnd for TCP Westwood+.

Figure 11: Estimated cwnd for TCP Vegas.

Figure 12: Estimated cwnd for TCP Veno.

Figure 13: Estimated cwnd for TCP BBR.

Figure 14: Example of normalization.
4.3 Results of Congestion Control Algorithm Estimation

4.3.1 Overview of Classifier

We implemented the classifier for the TCP congestion control algorithms by the TensorFlow library (TensorFlow, 2019) supported by Google. Table 2 shows the environment for machine learning. The program language we used for TensorFlow is Python 3, and the execution environment is the Google Colaboratory tool (Google Colab, 2019). It allows to use GPU over the Google cloud platform. We prepared 400 test inputs for individual congestion control algorithms both as training data and test data.

Table 2: Environment for machine learning.

<table>
<thead>
<tr>
<th>Item</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neural Network Library</td>
<td>TensorFlow</td>
</tr>
<tr>
<td>Execution Environment</td>
<td>Google Colaboratory</td>
</tr>
<tr>
<td>Runtime Type</td>
<td>Python 3</td>
</tr>
<tr>
<td>Hardware Accelerator</td>
<td>GPU</td>
</tr>
<tr>
<td>Number of Training Data</td>
<td>400</td>
</tr>
<tr>
<td>Number of Test Data</td>
<td>400</td>
</tr>
</tbody>
</table>

![Figure 15: Overview of experiment.](image)

Figure 15: Overview of experiment.

First, we performed ten minute data transfer using `iperf3` ten times for producing training data and test data, respectively. From those packet traces, we collected cwnd time sequences for non-loss durations, excluding the first ones in individual `iperf3` runs. After that, we sorted the obtained cwnd time sequences according to the number of samples. Those steps were done for individual congestion algorithms. From those sets of sorted cwnd time sequences, we selected top 400 samples for training data and test data, for individual algorithms, and performed the normalization. These results are shown as two round corner rectangles in the top of Figure 15.

![Figure 16: Learning curve for three algorithms.](image)

Figure 16: Learning curve for three algorithms.

Then, we executed training and test alternatively in each trial. As for the training, we select the mini-batch size of inputs for individual congestion control algorithm. We apply the selected training inputs to the classifier and check whether the output of the classifier matches the label of input. We collect all the results for all training inputs and calculate the accuracy for individual congestion control algorithms.

Then we move to the testing. In the testing, we use all of 400 inputs for individual congestion control algorithms. We apply all test inputs to the classifier and compare the classifier output and the label of inputs. From those results, we calculate the accuracy for test data.

We go to next epoch, and perform the training phase and the test phase. In the training phase, we select another set of training data, and we use all inputs in the test data again. We continue this experiment until the return value of the loss function becomes the learning rate.

4.3.2 Results for Major Three Congestion Control Algorithms

As the first experiment, we focused on TCP Reno, CUBIC TCP, and TCP BBR. The reason we select these three algorithms is as follows. TCP Reno has been used widely since the congestion control was introduced. CUBIC TCP is the default algorithm in major operating systems including Windows, mac OS,
and Linux as of writing this paper. TCP BBR is a new version proposed by Google, in order to resolve the problems the conventional congestion control algorithms suffer from.

In this experiment, we used the mini-batch size of 128. Figure 16 shows the learning curve for these three algorithms. The horizontal axis of this figure shows the epoch, the number of training and test trials. The vertical axis shows the accuracy for the training process and the test process. The blue line is the accuracy for the training process and the red line is for the test process. The graphs in Figure 16 show that both the training accuracy and the test accuracy are converging to 1.0 as the epoch is increasing. This means that there is no overtraining in the classifier.

![Figure 16: Learning curve for three algorithms.](image)

Figure 17 shows the confusion matrix for three algorithms. Each row corresponds to the label of true value (Reno, CUBIC, and BBR), and each column corresponds to the label of predicted value. The results of the prediction are indicated by looking at each column. TCP Reno is identified at the accuracy of 1.0. CUBIC TCP is identified at the accuracy of 0.98, and the ratio of 0.1 is mis-identified as TCP BBR. TCP BBR is identified at the accuracy of 0.98, and mis-identified as CUBIC TCP at the ratio of 1.0. These results say that the estimation of three congestion control methods is well performed by the RNN based classifier.

### 4.3.3 Results for Ten Congestion Control Algorithms

As the second experiment, we conducted the congestion control algorithm estimation for ten algorithms listed in Subsection 4.2. In this experiment, we used 256 as a mini-batch size. Figure 18 shows the learning curve for ten congestion control algorithms. Similarly with Figure 16, the horizontal axis is the epoch and the vertical axis is the accuracy. The blue line in the graph is for the training process and the red line is for the test process. Here, for the epoch which is 2,200 and later, the accuracy for the training process is stable around 0.7, and that for the test process is around 0.65.

![Figure 18: Learning curve for ten algorithms.](image)

Figure 19 shows the confusion matrix for this experiment. Among ten congestion control algorithms, BIC TCP, CUBIC TCP, Hamilton TCP, and TCP BBR are uniquely identified. HighSpeed TCP and Scalable TCP are predicted confusingly. The reason may be that the congestion avoidance behaviors of these two algorithms were similar with each other in our experiment condition. Considering that these two algorithms are early stage aggressive algorithms intended for high speed long haul networks, this result may be reasonable.
As for TCP Reno, Westwood+, TCP Vegas, and TCP Veno, those algorithms are based on the additive increase multiplicative decrease (AIMD) principle in the congestion avoidance phase. Westwood+ differs from the TCP Reno in the behavior of cwnd shrinking. But in our experiment, only the cwnd increasing behavior is focused on. TCP Vegas and TCP Veno differ from TCP Reno in the behavior when the RTT is increasing due to the congestion. But in our experiment, the congestion is invoked by the artificial impairment, i.e., inserted packet losses, and so the situation when RTT is increasing is not considered. Therefore, the result that these four algorithms are mis-identified is resulting from the characteristic of training data in our experiment.

Figure 20 shows the confusion matrix in which we grouped TCP Reno, Westwood+, TCP Vegas, and TCP Veno into one category named AIMD, and HighSpeed TCP and Scalable TCP into one category. Each category is identified correctly in this result.

5 CONCLUSIONS

In this paper, we showed a result of TCP congestion control algorithm estimation using a recurrent neural network. From packet traces including both data segments and ACK segments, we derived a time sequence of cwnd values at RTT intervals without any packet retransmissions. By ordering the time sequences and normalizing in the time dimension and the cwnd value dimension, we obtained the input for the RNN classifier. As the results of applying the proposed classifier for ten congestion control algorithms implemented in the Linux operating system, the major three algorithms, TCP Reno, CUBIC TCP, and BBR, were clearly classified from each other, and ten algorithms could be categorized into several groups which have similar characteristics.

REFERENCES


