Analyzing Traffic Signal Performance Measures to Automatically Classify Signalized Intersections

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Abstract: Traffic signals are installed at road intersections to control the flow of traffic. An optimally operating traffic signal improves the efficiency of traffic flow while maintaining safety. The effectiveness of traffic signals has a significant impact on travel time for vehicular traffic. There are several measures of effectiveness (MOE) for traffic signals. In this paper, we develop a workflow to automatically score and rank the intersections in a region based on their performance, and group the intersections that show similar behavior, thereby highlighting patterns of similarity. In the process, we also detect potential bottlenecks in the region of interest.

1 INTRODUCTION

Traffic signals are ubiquitous in managing vehicular and pedestrian traffic at an intersection where two or more road segments meet. The signals are controlled by sophisticated controller devices that are mounted inside a cabinet that is co-located at every intersection. Traffic signal controllers eliminate conflicts (protected phase) or reduce conflicts between movements by displaying signal indications to assign appropriate right of way. These displays can be based on fixed signal timing parameters to maintain consistent intervals or it can be based on actuated signal timing parameters to account for varying demand. In addition, some traffic signal controllers have the capability to log every signal phase change and every vehicle detector actuation at a high resolution.

Automated Traffic Signal Performance Measures (ATSPM) (UDOT, 2017) is a tool being deployed in a slew of traffic controllers that enhances traffic signal management by using the high-resolution (10Hz) controller logs to generate operational performance measures. The system—due to its capability of monitoring traffic events at a high resolution—opens a broader range of possibilities that were not available in previous systems which dealt with aggregated data at a coarser level of granularity. In this paper we show how the high resolution data may be used in ways that can vastly reduce manual intervention typically needed for traffic and intersection monitoring.

There are several measures of effectiveness (MOE) for traffic signals that are studied in the literature and used in the field; these MOE’s rely on ATSPM or otherwise to assess the efficacy of the signal timing parameters of a controller. The measures are computed using data collected at the intersection (by signal controllers and vehicle detectors) and help highlight specific characteristics such as green phase utilization. In our paper, we use split failures as the primary MOE to develop our workflow and then accentuate the data further using measures such as arrivals on red and arrivals on green. Split failures occur when there is a vehicle queue at the intersection at the end of the maximum allotted green time for one
direction.

The contributions in this paper are summarized as follows.

1. We develop a novel workflow based on data analytics techniques that allow us to process raw ATSPM data from a region and automatically quantify and visualize the performance of the signals that they represent. This is achieved by using de-
mand based split failures as an MOE and develop algorithms to characterize the performance of an intersection on this basis.

2. We deploy clustering techniques to group signals with the same performance or behavior together. Clustering is carried out along both space and time. Thus, the work-flow, while automatically finding spatial and temporal patterns in the data, also highlights signals that need attention in terms of coordination adjustment or fixing of detection errors.

3. We use a classifier to further classify the signals based on whether they cater to high or low traffic demand (based on split failures) and exhibit high or low utilization of green time (based on the ratio arrivals on red/arrivals on green). This allows us to categorize the intersections into one of four categories, briefly described below: (i) signals that perform well, (ii) signals that serve high demand with no simple remedy, (iii) signals that serve high demand but show a potential coordination issue, and lastly (iv) signalized intersections with low demand but a potential coordination issue.

This work provides an analytics and visualization model that creates a bird’s eye view of the performance of arterial street networks for traffic engineers. This is useful since the problematic intersections can be easily spotted. At this point, the performance charts generated automatically by the current ATSPM system can be analyzed to further study the problem. Thus, our work enables a traffic engineer or manager to be more proactive with respect to the problems experienced in the network. It eliminates the need to rely on complaint calls and the need to sift through all the ATSPM generated charts and measures for all the intersections on a regular basis to actively identify issues.

The rest of the paper is organized as follows. Section 2 presents the related work in traffic engineering. Section 3 details the algorithmic framework for the study, while Section 4 presents the case studies with conclusions presented in Section 5.

2 RELATED WORK

Evaluating the performance of traffic signal systems is important for identifying any problems and addressing them, as well as for assessing and planning enhancements to these systems. Radiwojevic et al. in (Radiwojevic and Stevanovic, 2017), presents an evaluation framework for a comprehensive quantita-tive evaluation that may be used to examine the performance of the agencies’ that are responsible for the functioning of these signals. Our work in this paper is different as it presents an automatic evaluation, analysis and notification system for signal performance using high resolution data from signal controllers and detectors. This will allow traffic engineers to be proactive in addressing issues, instead of addressing these passively as a result of user feedback.

2.1 Arterial and Network Evaluation

Purdue Coordination Diagrams (PCDs), Arrivals on Green vs Red and other such measures (US Department of Transportation, 2013; Day et al., 2014) give us a precise idea of the arrivals of vehicles and the corresponding signal phase. However a practitioner has to generate and analyze the diagram for each direction of movement at every intersection to analyze signal performance. Our method presented in this paper would automatically detect the problem areas, which allows the practitioner to review only the diagrams for specific intersections and movements. Howell Li et al. (Li et al., 2017) present a heuristic based on system wide split failure identification and evaluation. By using this heuristic, they demonstrated performance improvements for specific corridors. This paper builds upon this approach and enhances it by proposing an automated way to categorize all intersections in a network based on split failures and hence preemptively identify any corridors that may be under-performing.

2.2 Measures of Effectiveness (MOE)

Several MOE are used in the field and a detailed description of these are available at (US Department of Transportation, 2013; Day et al., 2014). In this paper, we focus on the split status (specifically, split failure) because it is a good yardstick of how well an intersection services the vehicles. It is also very simple to monitor, and this data is generally available for most of the intersections. In addition, our methodology is general enough and may be easily extended to another MOE or a combination of MOE’s.

2.3 ATSPM and other Data Analytics Efforts

Data analytics techniques have been previously applied to traffic flows and here we present the relevant application areas. Wemegah et al. (Wemegah and Zhu, 2017) present techniques for management of big data for analyzing traffic volumes and congestion, addressing all the steps in the analytics pipeline.
namely, data acquisition, data storage, data cleaning, data analysis and visualization. Amini et al. (Amini et al., 2017) describe an architecture for real-time traffic control. Machine learning techniques have been applied for predicting traffic flows and thereby traffic congestion. Horvitz et al. (Horvitz et al., 2005) presents a probabilistic traffic forecasting system using Bayesian structure search. Huang et al. (Huang et al., 2017) propose a set of new, derived MOE’s that are designed to measure health, demand and control problems in signalized intersections. The newly proposed MOE’s are based on approach volume and platooning data derived from ATSPMs (UDOT, 2017).

Our approach, in sharp contrast, is based on existing MOE’s for split status and targets the differences between arrivals on red vs arrivals on green. Our approach automatically highlights potential demand and coordination problems in the network.

3 ALGORITHMIC FRAMEWORK

We describe the data analytics techniques and infrastructure in this section. This workflow is used to automatically determine how well the signal is functioning and also flag the potentially problematic intersections.

3.1 Processing Data from Intersections

Pre-processing. We first describe the method to analyze performance for an individual signalized intersection. We use multiple MOE’s to model and quantify the performance of signals. In particular, we use split failures (Max-outs/Force-offs), in combination with arrivals on red and arrivals on green (AoR/AoG). In actuated operation, a Max-out is said to have occurred when a phase (directional flow) terminates because the phase reaches the maximum green time due to continued demand. A Max-out event almost always indicates a high demand. It can also be an indication of a situation where demand is at capacity or over the capacity of the phase (assuming no major timing problems). However, a set of intersections on a corridor may be coordinated to allow maximal flow of traffic on the major street. In such cases, the phases 2 and 6 (Figure 1) corresponding to major street flow will always use the max green time. Force-offs occur when a phase terminates after reaching the maximum green time allocated to it yet the demand is not fulfilled. Arrivals on red or green give us a count of how many cars arrived at a phase of an intersection when that phase was green versus how many arrived when the phase was red. An intersection with fewer split failures and higher arrivals on green is, in general, a highly utilized intersection. On the other hand, a large number of Max-outs or Force-offs along a phase or a high ratio of arrivals on red versus arrivals on green indicates a congestion situation, which may or may not be remediable. In addition to split failures and AoR/AoG, we also record the pedestrian-begin-walk events because these events may help explain reduced throughput for some intersections at certain times of the day (when coordination is lost due to pedestrian calls).

Our analysis is based on split failures for the phases (Figure 1) 2, 4, 6, and 8 and AoRs and AoGs on the major phases 2 and 6. AoRs and AoGs were considered for phases 2 and 6 because these are typically mapped to the primary street and the vehicle detection data available included only phases 2 and 6.

Aggregation. In our methodology, we first aggregate the high resolution (10Hz) data from ATSPM into minute by minute buckets. For reported split failures in a phase (Max-outs/Force-offs), we record a value of 1 if that phase fails during the minute under consideration. More than one split failure in a minute is also recorded as a 1. If there are no split failures reported for the phase, we record a value of 0. We ignore the split failures reported in some coordinated corridors when there is no demand (max recall). This is done by recording any detector — on events in the seconds preceding the reported split failure. This is similar to the Red Occupancy Ratio (RoR) which is widely used in the literature and in practice (Smaglik et al., 2011). In the rest of the paper, the word split failure refers only to these demand based split failures. The number of vehicles that arrived at the minute being considered on a red signal is reported as AoR. Similarly, the number of vehicles that arrived at that minute on green is recorded as AoG. For pedestrian events, we score the pedestrian begin walk event with
a value of 1 if the event occurred in the minute under consideration. This gives us a measure of pedestrian demand during the minute under consideration. Here, programmed pedestrian calls (ped recall) events were not eliminated and it is assumed that all events are an indication of pedestrian demand. The next step in our methodology is to create a 1440 bit long binary feature vector for each phase, intersection for the whole day. The dimensionality is \(24 \times 60 = 1440\). Hence, we will have such a vector for each day that we study the intersection. Furthermore, to eliminate isolated split failure events (outliers) and highlight windows of poor performance, we process this vector through a sliding window algorithm that extracts contiguous chunks of 0s and 1s from the vector. 

**Smoothing.** The sliding window algorithm is presented as Algorithm 1. There are three inputs to this algorithm. These are: (i) the binary feature vector \(v\) representing split failure events for a phase during the day, (ii) \(w\text{size}\), the size of the window, and (iii) \(th\), a threshold parameter representing the minimum number of Split Failures in the window for all bits in that window to be considered as 1. The algorithm will output an ordered list of indices such that each index gives a position in \(v\) where a contiguous section of 1’s either begins or ends in the corresponding smoothed vector. The first index in the output list corresponds to the position of the first 1 in the smoothed output vector. Using the ordered list of indices that is output by this algorithm, one can easily construct the smoothed vector corresponding to a feature vector. Figure 2 presents a simple example demonstrating the functioning of the algorithm. For an input vector \(11110000110\), window size 6 and threshold 5, the algorithm outputs the list \(\{0, 5\}\) from which the corresponding smoothed output vector is constructed as \(111111000000\). On the other hand, if the window size is 8 and the threshold is 3, then the output list is \(\{0, 10\}\) and the smoothed vector is \(111111111111\). Figure 3 presents the functioning of the algorithm on Multiple MOE’s.

### 3.2 Clustering

After obtaining the vectors for each direction in an intersection, our next step is to process the vectors from multiple intersections to determine intersections with similar behavior.

Given a collection of ATSPM data from various intersections in geographical proximity, we first create a vector \(v\), of length 1440 as described in the previous section for each phase of an intersection and for each day. Thus, \(v\) captures minute by minute split failures for a signal. The input \(x\) to our ProcessSignals algorithm specifies the time period for which we want to aggregate the data in \(v\). For example, we could aggregate \(x = 60\) bits and create an hour by hour aggregation, thereby generating a vector \(av\) of dimension 24. The data is aggregated by summing up the bits/observations in \(v\) in chunks of \(x\) bits. For \(v = 00011111000111\), if \(x = 5\), then \(av = \{2, 4, 3\}\). The intuition for bucketing the vectors is to quantify how well the intersection performs during the time period represented by these buckets. After the aggregation, we concatenate the vectors representing the various phases in an intersection. For the concatenated vectors to be comparable across the data set, the con-
Figure 2: An example of applying the sliding window algorithm. \( v \) is the input vector, and the output smoothed vector is constructed from the outputList on the last line. The Green (Red) rectangle shows a window for which the count of 1s in the window exceeds (does not exceed) the threshold.

In our analysis, we have considered only the primary directions (phases 2 and 6) while creating the concatenated vector \( fav \), with phase 2 followed by phase 6. This step concludes the first stage of processing the ATSPM data. Thus far, we have summarized the split failures and laid the foundation for further processing.

In the second stage, each pair of \( fav \) vectors is compared and a distance matrix computed. The idea is to quantify the similarity or dissimilarity of all pairs of vectors that are being compared. The distance between two \( fav \) vectors is defined as the 1-norm of the difference vector. A vector \( p \)-norm is defined as

\[
\|x\|_p = \left( \sum_i |x_i|^p \right)^{1/p}
\]

and the 1-norm is defined as

\[
\|x\|_1 = \left( \sum_i |x_i| \right)
\]

For example, \( fav1 = \{12,1,0,10\} \) and \( fav2 = \{10,5,0,5\} \) the difference vector can be \( fav1 - fav2 = \{-2,-4,0,5\} \) or \( fav2 - fav1 = \{-2,4,0,-5\} \). In either case the 1-norm is 11. This quantity is then normalized; by dividing it with the 1-norm of the larger vector. In the example, 11/23 is the normalized distance between the two \( fav \) vectors.

The distances between all pairs of \( fav \) vectors are stored in a distance matrix. Thus, if there are 300 intersections which need to be studied for 7 days, then there are \( 7 \times 300 = 2100 \) \( fav \) vectors and the distance matrix is of size \( 2100 \times 2100 \). Note that the distances in the matrix for any pair of intersections represents the behavioral distance between the intersections and not just the Euclidean distance between them.

Based on the distance matrix, a number of clustering algorithms can be applied to cluster the similarly performing intersections. These algorithms are explained in detail as follows.

Spectral Clustering. The use of spectral clustering is quite appropriate here, since the data points are generally not compact and are not naturally clustered within convex boundaries. Using the distance matrix computed in the previous section, a graph Laplacian is constructed as the first step. A Laplacian matrix, \( L \), for an undirected simple graph \( G \) with \( n \) vertices, is an \( n \times n \) matrix such that

\[
L = D - A
\]

where \( D \) is the degree matrix and \( A \) is the adjacency matrix. An eigenvalue problem is solved as the next step and \( k \) eigenvectors are chosen that correspond to the \( k \) lowest eigenvalues, to give the \( k \) cluster centers. This algorithm requires the users to input the number of clusters \( k \) and may perform sub optimally if the correct number of clusters is unknown. We used a spectral implementation available in the Python toolkit Scikit-Learn.

Affinity Propagation. Affinity propagation is a clustering algorithm that does not require the user to input the number of clusters. The algorithm takes a distance matrix as input and all data points are considered simultaneously as potential exemplars. Affinity propagation works by the exchange of real-valued messages between data points until a high-quality set of exemplars emerges and corresponding clusters are derived. For our model, we used the affinity propagation implementation available in the Scikit-Learn library in Python.

Spatial Information. Sometimes the intersections belonging to a cluster are spread over geographic regions over 10 miles apart. While these intersections may be behaving similarly, there is no real value in having such distant intersections in the same cluster if we wanted to modify signal plans, for example. So, in our work, we often do a second round of processing where we split a cluster of intersections into multiple disjoint clusters based on a geographical indicator like primary road names, distance or the hop distance between the intersections.

3.3 Categorization of Intersections

We use split failures in conjunction with the ratio of arrivals on red to arrivals on green (AoR/AoG), to categorize the signals into four broad categories.

1. Low split failures, Low AoR/AoG: Low Demand but potential for timing improvement.
2. Low split failures, High AoR/AoG: Well timed and utilized intersection.
3. Medium split failures, Low AoR/AoG: Well timed but with potential for timing improvement.


The thresholds that are used to separate low vs high split failures and AoR/G, are intended to be flexible based on feedback from local traffic engineers. The same intersection will likely exhibit multiple behavioral modes depending on the time of a day or the day of a week. For example, at night and early morning some of the intersections will have no demand, whereas during peak hours these same intersections may see capacity issues.

4 EXPERIMENTS AND RESULTS

In this section, we first detail the software platform used for performing data analytics on ATSPM data. The data we used in this paper was provided by Florida Department of Transportation (FDOT), District 5. Our implementation is based in Python, and we used libraries such as NumPy, Scikit-Learn and Pandas and Tableau (R) for visualization. Details are provided in Figure 4.

We used the ATSPM data received from more than 300 controllers in Seminole County, Orlando, Florida. Figures 5 and 6 show split failures reported at one intersection on a weekday vs the weekend respectively. The background color represents no split failures, while the darker foreground colors represent
Figure 7: Dashboard showing the clustering & classification results for a single day. The results show that intersections on the same corridor demonstrate similar behavior throughout the day. These correspond to a spatio-temporal cluster derived using the approach described in the paper.

Figure 8: Multi-day Tableau dashboard allows for comparison of clustering results across days and highlights temporal patterns in intersection behaviour. The behaviour on weekdays is contrasted with the weekend behaviour.
Figure 9: Hourly dashboard highlighting spatially confined clusters for a single hour. The spatio-temporal cluster membership is highlighted by the color.

Figure 10: The multi-hourly dashboard highlighting the temporal recurrence of clusters during the day. Specifically, the early morning/late night behaviour can be compared and contrasted with the daytime behaviour.

split failures, with each color representing a phase. As expected, we observe a high volume of failures on the weekdays when compared with weekends.

Figures 7, 8, 9 and 10 show a snapshot of a visu-
alization built in Tableau to present our results. The intersections with similar performance are clustered together using the same color. Recall that we use demand based split failures along the major phases (2 and 6) for clustering the intersections. Further, each intersection is categorized into one of four categories as described in Section 3.3. These categories are represented by different shapes in the category legend, which is shown separately in Figure 11.

For each cluster, the behavior legend presents the corresponding color, the number of members, the name of the road where the members may be found, the days of the week that the cluster was observed and finally a unique cluster identifier that was generated by our algorithm. By hovering over each intersection (Figure 13) we get more information, such as the signal ID, the number of split failures that happened on an hourly basis for the major approaches, the number of arrivals on red and green and the number of pedestrian actuation that happened along the minor phases (4 and 8) which in turn affected the traffic flow on the major phases. Figure 7 shows a dashboard of the results on a single day, Figure 8 shows a dashboard of the clustering results for each of the different days studied.

The key findings from Figures 7 and 8 are summarized as follows. We observed that behavioral clustering of signalized intersections resulted in spatial and temporal patterns in the results. In particular, many signals on the same corridor get grouped together showing they behaved similarly during the day. The clustering results in Figure 8 show that for many intersections, the performance is similar during weekdays and different for the weekend. According to our model most of the intersections perform well on Sunday, while these very same intersections have potential capacity issues on weekdays.

Further, we found that our clustering is in agreement with categorization done in post processing. For example, clusters predominantly contain intersections which have either high or low split failures (high or low demand categories, respectively) but rarely both. Moreover, our clustering technique is sensitive enough to capture granular differences between the observed behavior of intersections. Some (spatially confined) clusters of good intersections occur only on weekends whereas some exist throughout the period that we analyzed. The latter are comprised of intersections that are in the regions with low demand and hence demonstrate similar behavior throughout the week.

Figure 10 shows our bi-hourly dashboard. Here we observe the similar behavioral patterns at late night/early morning versus another set of patterns during the daytime. Figure 11 shows a magnified version of the categories legend of ease of reference. Apart from highlighting potential behavioral patterns of the intersections, our clustering mechanism is also useful in highlighting problems at certain intersections in a corridor. These problematic controllers/detectors will usually present themselves as a different cluster (hence are easily identifiable by the color differential) in a corridor. Figure 12 shows such an example where the gold intersection reports Split Failures throughout the day on weekdays including in the early hours between 12AM - 6AM. The intersections in the green cluster Max-out between 6AM and 9PM, on weekdays. Thus, the gold intersection behaves differently from the rest of the intersections and consequently is clustered separately.

Figure 13 shows an example where a starred intersection appears in an artery. The pop up in this figure has data about the starred intersection. Here, we find that split failures for the phase 2 are all zeros which is odd because the intersections to the left and right of this intersection has capacity issues on both the directions 2 and 6.

Figure 14 shows another example where two adjacent signals belong to different clusters because of
slight differences in behavior, namely that while one registers high demands till 8pm, the other registers demands till 9pm on weekdays. This example demonstrates that our clustering technique is sensitive to this level of granularity. Thus our clustering approach can be used to understand key behaviors in a grid or network of signalized intersections and hence to improve the deployed policy. It can also be used to understand the hours or days for which the traffic patterns are similar and the time periods for which their might be some problems.

5 CONCLUSIONS

We developed a data driven approach to process high resolution (ATSPM) data obtained from traffic controllers. As part of the process, we use split failures as an MOE and developed algorithms to characterize the performance of an intersection. We used clustering as the method of choice for primary data processing. This enabled us to group together signals exhibiting similar behavior. As a result, we highlight signals that do not belong to the group, but are part of the same arterial network. Thus, the approach automatically draws attention to signals that need attention in terms of adjusting the timing plan or fixing of detector errors. We use a simple classifier to further classify the signals based on whether they cater to high or low demand (recorded split failures) and high or low utilization of green time (based on Arrivals on Red/Green Ratios).

We visualized the results obtained by analyzing real data from Florida. Thus, our approach acts as a decision support system for traffic engineers and traffic managers and informs them about the current performance of the signalized intersection in a region. The results can be used to easily identify problematic signalized intersections in a proactive manner. Our overall approach can be further enhanced by efficiently compacting key signal measures in a network (or region) along both spatial and temporal dimensions.

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