### **Prediction of Subnuclear Location for Nuclear Protein**

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Abstract: To play a biomolecular function, a protein must be transported to a specific location of cell. Also in a nucleus, a nuclear protein has its own location to fulfil its role. In this study, subnuclear location of nuclear protein was predicted from protein sequence by using deep learning algorithm. As a dataset for experiments, 319 non-homologous protein sequences with class labels corresponding to 13 classes of subcellular localization (e.g. "Nuclear envelope") were selected from public databases. In order to achieve better performance, various combinations of feature generation methods, classification algorithms, parameter tuning, and feature selection were tested. Among 17 methods for generating features of protein sequences, Composition/Transition/Distribution (CTD) generated the most effective features. They were further selected by randomForest package for R. Using the selected features, quite high accuracy (99.91%) was achieved by a deep neural network with seven hidden layers, maxout activation function, and RMSprop optimization algorithm.

### **1** INTRODUCTION

Protein is one of the most important biomolecule. Transcribed from genes and translated from mRNAs, proteins play various and essential roles at everywhere in a living body of all organisms. To play a biomolecular function, a protein must have a specific sequence and structure. In addition, after the synthesis of it, it must be transported to a specific location of cell. For example, receptor proteins must be located at cell surface to capture small molecules for sensing the environment of the cell. It means that the location at which a protein works can be an important clue to guess the function of protein.

It is possible to experimentally identify the subcellular location of a protein. However, since it is time-consuming and requires high cost, prediction of protein's subcellular location by computer has been actively studied and various prediction systems have been developed (e.g. SignalP (Thomas et al., 2011), TargetP (Emanuelsson et al., 2000), CELLO (Yu et al., 2004), LOCTree (Goldberg et al., 2014), and WOLF PSORT (Horton et al., 2007)). On the other hand, subnuclear localization of protein is recently

studied as a harder problem of prediction.

In this study, we tried to solve this problem by using deep learning algorithm, which is recently attracting really high attention because of its prominently high performance in various prediction problems including image recognition, etc. For comparison, we also used Support Vector Machine (SVM) and a feature selection method based on the importance of feature calculated by random forest algorithm.

In Section 2, brief introduction about deep learning is shown. In addition, the databases, feature generation methods, implementation of classifier, feature selection, and performance evaluation methods are described. In Section 3, experiments and results are described. Finally, Section 4 concludes this paper.

#### 2 MATERIALS AND METHODS

#### 2.1 Deep Learning

Among various models of deep learning, we used

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Deep Neural Network (DNN) in this study. It is a multilayer neural network, which improves its representational power by combining features extracted in each layer. It can suffer from a local minimum and overfitting. However, the use of new activation functions like ReLU and adopting dropout to avoid overfitting, can provide high performance in classification problems by DNN.

#### 2.2 Dataset

In this study, we used annotated human nuclear protein databases described in Goldberg's thesis (Goldberg, 2016). Among them, we downloaded HPRD (Prasad et al., 2009), NMPdb (Mika and Rost, 2005), NPD (Dellaire et al., 2003), and UniProt (The UniProt Consortium, 2017). Since we could not access to NOPdb and NSort/D, we did not use them. These databases contain 4,111 sequences in FASTA format. To eliminate homologous sequences, we used UniqueProt software (Mika and Rost, 2003). Using the condition HVAL<0, 319 samples (protein sequences) were selected. The breakdown list of the sequences is shown in Table 1. Among the 13 classes of subcellular location, the largest class (Nucleolus) contains 117 samples. In contrast, only three samples belong to the smallest class (Nuclear pore complex). It means that the data used in this study are highly class-imbalanced. It is well known that for class-imbalanced data, a classifier tends to frequently predict the label of majority class, then the performance of classification is decreased by the class imbalance.

Table 1: The number of samples in each subcellular location.

Subcellular location	the number of samples
Cajal bodies	11
Chromatin	66
Nuclear envelope	45
Nuclear lamina	14
Nuclear matrix	47
Nuclear pore complex	3
Nuclear speckles	30
Nucleolus	117
Nucleoplasm	16
Perinucleolar compartment	4
PML bodies	7
Kinetochore	5
Spindle apparatus	26

In the field of machine learning, a sample is typically represented as a tuple of numerical values called a feature vector so that it can be accepted by the algorithms of regression, classification, and clustering. In the case of protein sequence classification, there exist some popular methods of calculating such feature values. Using protr package for R (Xiao et al., 2015) in addition to PROFEAT web service (Li et al., 2006), we executed the following 17 methods and generated the features that characterize the human nuclear protein sequences above.

- Amino Acid Composition Descriptor(AAC)
- Dipeptide Composition Descriptor(DC)
- Tripeptide Composition Descriptor(TC)
- AminoAcid/Dipeptide/Tripeptide(ADT)
- Normalized Moreau-Broto autocorrelation descriptors (MoreauBroto)
- Moran autocorrelation descriptors(Moran)
- Geary autocorrelation descriptors(Geary)
- Composition(CTDC)
- Transition(CTDT)
- Distribution(CTDD)
- Conjoint Triad Descriptors(CTriad)
- Sequence-order-coupling number(SOCN)
- Quasi-sequence-order descriptors(QSO)
- Pseudo-Amino Acid Composition(PAAC)
- Amphiphilic Pseudo-Amino Acid Composition (APAAC)
- Composition/Transition/Distribution(CTD)
- Total amino acid properties(TAAP)

#### 2.3 Prediction and Performance Evaluation

In the experiment, we used Chainer (Tokui et al., 2015), a deep learning framework based on Python, for the implementation of classifier. For the purpose of comparison, we also implemented SVM using scikit-learn, a popular library of machine learning functions on Python. To validate the performance of a model trained by a classifier, we used two methods of performance evaluation: leave-one-out cross-validation and nested cross-validation.

Leave-one-out cross-validation divides dataset into minimum parts (i.e. one part consists of one sample). All parts except one for test are merged and used for training, then the performance (accuracy, in this study) is evaluated by using the test set with only one sample. After repeating this process the same number of times as the number of all samples (i.e. 319 times), final performance is calculated.

In nested cross-validation (double crossvalidation or stratified cross-validation), each training set of cross-validation is further crossvalidated mainly for tuning some parameters of a classifier. In this experiment, we adopted 3-fold and 10-fold for outer and inner cross-validations (i.e. each training data of 3-fold cross-validation was further cross-validated with 10-fold). Through the inner 10-fold cross-validation, parameters were optimized for the best performance, then the model trained with the optimized parameters was tested in one of the three validations in the outer 3-fold cross-validation.

To conduct feature selection for better performance, we used randomForest package for R with default parameters (e.g. the number of trees was set to 500). Depending on the importance of features calculated by randomForest function, we conducted grid search with the intervals of 50, 10, and 1 features. After this process, probably the best set of features is selected for the highest accuracy in the classification of subcellular location.

#### **3 RESULTS**

# 3.1 Comparison of Feature Generation Methods

To compare 17 methods of feature generation listed in the previous section, we conducted performance evaluation of deep learning and SVM for each method. The parameters for deep learning were as follows:

- the number of hidden layers: 2
- the number of units in the hidden layers: (50,50)
- activation function: sigmoid
- optimization algorithm: Adam
- batch size: 75
- the number of training epochs: 100
- dropout: not used

Note that these parameters were not optimized in this experiment. For SVM, we used the default parameters.

The results of performance evaluation are shown in Figure 1. From this figure, we can clearly see that the performance of deep learning is better than SVM, and CTD is the best method of feature generation for deep learning. Based on this result, we mainly used the combination of deep learning and features generated by CTD in the experiments below.



Figure 1: Accuracy of classification using the features generated by each method.

## 3.2 Evaluation of Deep Learning by Leave-one-out Cross-validation

Using the features generated by CTD, we optimized the parameters of deep learning and achieved the accuracy of 99.14% with the following parameters.

- the number of hidden layers: 7
- the number of units in the hidden layers: (3200,1600,800,400,200,100,50)
- activation function: maxout
- optimization algorithm: RMSprop
- batch size: 385
- the number of training epochs: 100
- dropout: 0% for input layer, 80% for hidden layers

In addition, by selecting the most important 480 features, the accuracy was increased to 99.91%.

#### 3.3 Evaluation of Deep Learning by Nested Cross-validation

In case of the performance evaluation of deep learning by nested cross-validation, the accuracy before parameter tuning was 22.61%. The parameters were as follows:

- the number of hidden layers: 2
- the number of units in the hidden layers: (100,50)
- activation function: maxout
- optimization algorithm: Adam
- batch size: 250
- the number of training epochs: 100

• dropout: 0% for input layer, 80% for hidden layers

After the feature selection and the parameter tuning by nested cross-validation, the accuracy was increased to 34.41% with the following sets of parameters (different in three training set of outer 3fold cross-validation).

[training set 1]

- the number of hidden layers: 6
- the number of units in the hidden layers: (50,50,50,50,50,50)
- activation function: sigmoid
- optimization algorithm: NesterovAG
- batch size: 250
- the number of training epochs: 500
- dropout: 20% for input layer, 30% for hidden layers

[training set 2]

- the number of hidden layers: 2
- the number of units in the hidden layers: (350,50)
- activation function: maxout
- optimization algorithm: SMORMS3
- batch size: 250
- the number of training epochs: 500
- dropout: 0% for input layer, 10% for hidden layers

[training set 3]

- the number of hidden layers: 2
- the number of units in the hidden layers: (500,50)
- activation function: maxout
- optimization algorithm: SMORMS3
- batch size: 250
- the number of training epochs: 500
- dropout: 0% for input layer, 10% for hidden layers

In addition, by the application of feature selection, the accuracy was increased to 35.23% (Figure 2) with the number of features 52, 310, and 150 for training sets 1, 2, and 3, respectively.

In these results, the achieved best performance (35.23%) was not satisfactory, and the optimized parameters were widely distributed depending on the training set. The reason might be that for only 319 samples, 3-fold cross-validation was so hard (i.e. the number of training sample was too small in comparison with leave-one-out cross validation) to achieve a good accuracy.



Figure 2: Accuracy of classification evaluated by nested cross-validation.

#### **4** CONCLUSIONS

Similar to a related work by Goldberg (Goldberg, 2016), we downloaded databases (HPRD, NMPdb, NPD, Uniprot) to prepare pairs of protein sequence and subcellular location of it. In addition, we used a package (protr) of R and a web service (PROFEAT) to generate various features from protein sequences. For machine learning and prediction, we used two packages (chainer and scikit-learn) for deep learning and SVM. Feature selection is conducted by using randomForest package of R. Through the comprehensive experiments with combination of various parameters for deep learning including neural network structure, choice of activation function, batch size etc., quite high accuracy (99.91%) in a single leave-one-out cross-validation was achieved by deep learning with feature selection. It was clearly higher than the accuracy by SVM with feature selection. About the selected features, QSO were also effective for higher accuracy. As a future work, we are considering to incorporate more features to achieve a better performance.

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