An Optimized E-nose for Efficient Volatile Sensing and Discrimination

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Abstract: Electronic noses (E-noses), are usually composed by an array of sensors with different selectivities towards classes of VOCs (Volatile Organic Compounds). These devices have been applied to a variety of fields, including environmental protection, public safety, food and beverage industries, cosmetics, and clinical diagnostics. This work demonstrates that it is possible to classify eleven VOCs from different chemical classes using a single gas sensing biomaterial that changes its optical properties in the presence of VOCs. To accomplish this, an in-house built E-nose, tailor-made for the novel class of gas sensing biomaterials, was improved and combined with powerful machine learning techniques. The device comprises a delivery system, a detection system and a data acquisition and control system. It was designed to be stable, miniaturized and easy-to-handle. The data collected was pre-processed and features and curve fitting parameters were extracted from the original response. A recursive feature selection method was applied to select the best features, and then a Support Vector Machine classifier was implemented to distinguish the eleven distinct VOCs. The results show that the followed methodology allowed the classification of all the VOCs tested with 94.6% (±0.9%) accuracy.

1 INTRODUCTION

The human olfactory system is sophisticated and is able to detect spoiled food, to identify dangerous environments or pleasant experiences. Highly trained humans can even develop this sense beyond the usual limits. Hippocrates (430 B.C.), considered the father of medicine, could diagnose diseases by smelling patient’s biological samples (Röck et al., 2008). Odours consist of sets of volatile organic compounds (VOCs). When VOCs flow through the human’s nasal cavity, they reach the olfactory epithelium, where olfactory sensory neurons define action potentials up their own axons, until the olfactory bulb, which then transmits the signal to the brain, where recognition occurs (Barbosa et al., 2018).

The intriguing olfactory system has inspired researchers to develop technologies mimicking natural olfaction (Wasilewski et al., 2017; He et al., 2012; Pádua et al., 2018b).

The best example of artificial olfaction is the concept of electronic nose (E-nose). E-noses comprise of an array of semi-selective sensors (e.g. metal oxide semiconductor or MOS-based sensors, conductive polymers, piezoelectric quartz crystals) which change properties in the presence of VOCs (Arshak et al., 2004). Physico-chemical changes that occur in the sensors during VOCs exposure are typically converted to electrical signals by the transduction system. The acquired data is further analysed and processed and VOC recognition is performed.

A set of features is firstly extracted and selected from the signals obtained. (Yan et al., 2015) reviewed many feature extraction methods, including features extraction from the original response curves, curve fitting parameters and transform domains. As conclusion, the authors stated that the best method depends on the situation. Nevertheless, some advice was given: for steady-state features, normalization models are the best option; transient features, such as derivatives and integrals of original response curves, have more information than steady-state features (Yan et al., 2015).

After features selection, advanced computational techniques can be applied. Examples of linear classification methods are principal component analysis (PCA), linear discriminant analysis (LDA), functional discriminant analysis (FDA), partial least square dis-
criminant analysis (PLS-DA) and cluster analysis (CA); and examples of non-linear models include fuzzy logic and artificial neural networks (ANN) (Scott et al., 2007). Some articles reported the use of Support Vector Machine (SVM) for data acquired by E-noses, namely in classification problems e.g. to evaluate the quality of olive oil (Ordukaya and Karlík, 2017), to recognize fruit odors (Uçar and Özalp, 2017), and to discriminate alcoholic and non-alcoholic beers (Ghasemi-Varnamkhasti et al., 2015). SVM was also applied to address regression problems, such as the prediction of the concentration of formaldehyde (Xu et al., 2016).

Our research group has developed a new class of biomaterials for gas sensing. The sensing gels are composed of liquid crystal (LC) droplets self-assembled in the presence of ionic liquid, dispersed inside a biopolymeric matrix. These materials possess enormous versatility and have unique stimuli-responsive properties, changing their optical and electrical properties, when interacting with VOCs (Hussain et al., 2017). In order to explore the application of these materials in gas sensing, different E-nose versions were designed and assembled by our research group (Pádua et al., 2018b). After processing and analysing signals obtained from the interaction of VOCs with the sensing materials, VOCs detection and identification can be made. The final goal is to achieve an accurate, miniaturized and user-friendly device that could run sample analysis in an automated way.

In the past, it was shown that 3 different compositions of biomaterials could accurately distinguish 11 VOCs, as seen by PCA (Hussain et al., 2017). In this work an optimized E-nose device combined with sophisticated signal processing methods, aimed to show that a single biomaterial sensor could automatically classify 11 different VOCs.

2 THE OPTIMIZED E-NOSE DEVICE

The presented E-nose explores the optical properties of the sensing films. Therefore, a light source and a light detector are required, separated by two crossed polarizers and a sensing film. Without sensing film, light does not reach the light detectors due the presence of crossed polarizers. When the sensing film is present, its optical properties rotate polarized light allowing it to reach the phototectors. This is the detection principle of the E-nose V3. Previous E-nose versions used handmade solder boards with through-hole components, which would usually led to alignment problems between the light emitters and the light detectors. Those versions used LDRs (Light Dependent Resistors) as light detectors. Present version uses PCBs (Printed Circuit Boards) with SMD (Surface Mount Devices) components combined with tailor-made 3D printed structures to guarantee proper alignment. Also, the LDRs were replaced by photodiodes which are reported to be more sensitive. These changes allowed to assemble an improved version with increased viability.

The E-nose and its operation method are shown in Figure 1(a) and 1(b), respectively. The apparatus is composed of a delivery system, a detection system, and an acquisition and control system. All presented CAD images were designed using Fusion 360 by Autodesk and printed with PLA (polylactid acid) using an Ultimaker 3.

2.1 Delivery System

The delivery system is composed by two air pumps, the sample chamber and the relays (see Figure 1(a)).

The sample chamber is inline with one of the pumps, being called the exposure pump, before connecting to the detection chamber. This allows the air containing VOCs in the sample chamber to be carried into the detection chamber. The other pump, the recovery pump, connects directly to the detection chamber as its purpose is to restore the initial conditions in this last. Both pumps use ambient air. In a hierarchical order, the control system informs the relays when and which pump should they power on, then the pump either carry the VOCs to the detection chamber or renew the air inside it, depending on which pump was powered. By switching the activation of the pumps, the VOC exposure/recovery cycles are generated.

2.2 Detection System

The detection chamber is composed of an external chamber with a lid, and an internal structure. Inside this last, an optical detection system serves as a transducer to the sensors that change their optical properties on Polarised light in contact with VOCs.

2.2.1 External Chamber

The 3D drawings of the external chamber with a lid are shown in Figure 2(a).

The main goal of the external detection chamber (see Figure 2(b)) is to apply pressure on the glass chamber (part of the internal chamber), compressing it between the EPDM (ethylene propylene diene
Figure 1: (a) Schematic of E-nose V3, composed by (i) Delivery system, (ii) Detection system, and (iii) Acquisition and control system. (b) Flow of instructions given by the embedded system.

Figure 2: (a) 3D drawing of the external detection chamber. (b) Printed external detection chamber, enclosing the printed internal structure and respective connections. Lid is open for illustration purpose.

monomer) rubber strip of the lid and the films support. This compression is enough to close the chamber hermetically, for the pressures being applied in the system. Besides, it also increases the sensor robustness and isolates the light sensors from ambient light.

2.2.2 Internal Structure

The internal structure (see 3D drawings in Figure 3) serve as support to the PCBs, the polarizing filters and the sensing films support. It has two slots to insert two PCBs: one that has an emission circuit with LEDs, and other that has a detection circuit based on photodiodes. These PCBs are symmetrically aligned in front of each other. As such, each LED is placed exactly in front of its corresponding photodiode. Crossed polarizers are placed in between the PCBs, filtrating the light that is not polarized by the sensing probes. Moreover, the light guiders prevent interference of the light from other neighbor LEDs on the results of each individual LED/photodiode pair. Figure 3(e) is a picture of the fully assembled internal structure.

Emission Circuit

The emission circuit is composed by six LEDs, wired in parallel with the voltage source. It is a basic LED circuit where the current is, theoretically, evenly distributed through all the LEDs (i.e. all LEDs emit light with equal intensity). Also, a transistor in the common ground line acts as a switch to control LEDs.

The LEDs circuit schematic can be found in Figure 4.

Detection Circuit

Photodiodes are one of the most popular sensor types for light-based measurements. In the present case, the analyte detection relies on photodiodes for precise light intensity measurement. The photodiodes circuit schematics can be found in Figure 5.

Photodiodes generate a current proportional to the photons that strike their active area. This current needs to be converted into voltage, since the microcontroller (Arduino Due) analog input pins read voltage variations, and not current variations. Therefore, a circuit using a current-to-voltage converter (in this case a transimpedance amplifier) was implemented. Here, there is a need to point out the importance of $V_{DIV}$ (Figure 5) voltage. The value of $V_{DIV}$ is established as a compromise between the output voltage window and the reverse polarization of the photodiodes. The maximum output voltage is defined directly by $V_{DIV}$ through the opamp (Operational Amplifier) positive input, and the minimum is 0V, being
the lowest value read by the arduino analog inputs (3.3 - 0V range). On the other hand, photodiode’s reverse polarization voltage is the result of $V_{DD}$ minus the opamp negative input voltage (or $V_{DIV}$, since both inputs share the same voltage). Increasing $V_{DIV}$ will increase the output window but negatively impact the performance of the photodiodes, as they benefit from higher polarization voltages (become more sensitive to light). Opposite behaviour occurs if $V_{DIV}$ is decreased.

A viable way to also control the system sensitivity to light is by resizing the resistor in the negative feedback loop, according to Equation 1 (Ohm Law). $V_{DROP}$ is the voltage drop at the output, $I_{PD}$ the current generated by the photodiode (PD) and $R$ the re-
istor value. The resizing of this resistor can be seen as an adjusting scale for the output signal, avoiding signal saturation.

\[ V_{DROP} = R \cdot I_{PD} \] (1)

To confirm that the detection circuit is properly working without signal loss, either through signal saturation or insufficient sensitivity of the photodiodes to the lowest amounts of photons, one more test was performed.

A new setup (Figure 6) was assembled, including polarizing filters, and where a single LED/photodiode pair had the ability to rotate over the other. The experiment aimed to confirm that the photodiodes were sensitive enough to detect small light variations, specially close to the darkest point (i.e. when polarizers are crossed at 90°) corresponding to the maximum output voltage.

### 2.3 Acquisition and Control System

The instructions to control the device are given by an embedded system (Raspberry Pi 3 Model B) and executed by a microcontroller (Arduino Due). When the experiment begins, the LEDs are turned on, and the pumps work alternately while the photodiodes receive the signal and the microcontroller acquires them at a 90Hz sampling rate. At the end of the experiment, the LEDs are turned off and data is stored in a text file for later analysis (see Figure 1(b)). In terms of software, the latest version of the open access language Python (Python 3.6) was used with Pymata library, that allows the communication between the embedded system and the microcontroller.

### 3 CLASSIFICATION TOOLS

Together with the optimization of the device, analysis tools based on Python libraries (SciPy, sklearn and novainstrumentation) were also developed. A machine learning algorithm was trained with a set of selected features in order to distinguish 11 VOCs. In these tests, a single sensing film composition was used.

Before extracting the features of the signal, the signal was filtered using the median filter from SciPy library, kernel size equal to 11, to remove inductive noise caused mainly by the activation of the pumps. Then, the signal was filtered with smooth function from the novainstrumentation library. The E-nose records information that indicates when the recovery or the exposure pumps are working. This information was used to split the signal and obtain the individual cycles (a cycle corresponds to exposure to gas sample followed by a recovery period, in which air restores the radial configuration of the LC-IL droplets, see Figure 7. Full phenomenon is described by (Husain et al., 2017).). Every time there is an indication that the exposure pump is on, a cycle begins and a cut in the signal is made (Figure 8(a)).

After splitting the signal in individual cycles, those were normalised by Equation 2.

\[ signal_{normalized} = \frac{\sum_{n} value_n - \text{min}(signal)}{\text{max}(signal) - \text{min}(signal)} \] (2)

A time domain analysis was performed, combining two types of features: morphological features and parameters of curve fitting models.

A typical output signal, regarding an individual cycle, starts to rise when the sensors are being exposed to VOCs, until a maximum is reached which corresponds to the darkest state. On the other hand, the output signal decreases as the VOCs leave the chamber, restoring the radial configuration of the droplets and corresponding to the brightest state. This leads to a typical shape in the derivatives. Figure 8(b) represents a single cycle and its respective derivatives.

The first derivative has two prominent peaks, with their position corresponding to the inflection points in the rise (positive peak) and the decrease (negative peak) of the signal. The second derivative has four prominent peaks; two positives when the signal is concave up and two negatives where it is concave down. The relative position of these peaks in relation to the beginning of the cycle, as well as its amplitudes, correspond to the first thirteen extracted features.

A suggestion made by R. Dutta and colleagues (Dutta and Dutta, 2006) was followed. Skewness and kurtosis which are measures of the asymmetry of the probability distribution of a random variable around its mean were extracted. Although the signal is not a probability density function, extracting these features helped increase the accuracy of their electronic nose classifier. Hence, they were included to see if could also be fitted for the current problem.

For the fitting model, the function considered was proposed by Holmberg, M. et al. (Equation 3) where \( \theta_1 \) corresponds to signal amplitude, \( \theta_2 \) to the rising slope, \( \theta_3 \) to the position where the signal reaches half the rise, \( \theta_4 \) to the descent slope and \( \theta_5 \) to position where the signal reaches half of the descend (Holmberg et al., 1998). This model is based on the logistic function (Equation 4). The logistic function describes something that initially grows and that eventually starts to saturate and converge to a maximum value (in this case, total darkness, that occur when the droplets’ configuration becomes isotropic). In Equa-
Figure 6: 3D printed structures designed to verify the detection circuit. (a) Separated pieces revealing the interior of the round chamber. (b) When together they create an isolated dark chamber, where the center point is the light-guider of the respective LED/photodiode pair. (c) Cross-section revealing the PCB slot. (d) Printed and assembled structure as used in the test.

Figure 7: (a) Polarized Optical Microscopy image of a droplet when it interacts with a VOC. (b) Illustration of configuration changes when the VOC interacts with a droplet. Adapted from Hussain et al. (2017).

Figure 8: The wrapper method Recursive Feature Elimination with Cross Validation (RFECV) was used for feature selection. This algorithm recursively eliminates features and in each iteration trains a classifier to ascertain the effect of the removed feature. It stops when a good classification score is obtained. It then ranks feature performance as 1 if the remaining subset of features gave a good classification result. A. Jovic et al. stated that linear Support Vector Machines were feasible to use with wrapper methods (Jovic et al., 2015). Therefore, it was the learning model used. Stratified 10-fold cross-validation was used to obtain a classification score. In which the dataset is randomly divided in ten subsets, avoiding unbalanced set by maintaining class percentage, and using one tenth of the set as validation set and the rest as training set. Then the process is repeated ten times and the result is the average of the accuracies obtained.

Accuracy, given by Equation 5, was chosen as the scoring method, since it provides a measure of global performance.

$$\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN}$$ (5)

It gives the number of correctly classified examples: the sum of True Positives (TP) and True Negatives (TN) divided by the total number of examples (TP, TN, False Positives (FP) and False Negatives (FN)).

Finally, a Support Vector Machine classifier was chosen as the classification model. The standard SVM, illustrated in Figure 9, is a binary classifier. It finds a hyperplane that best separates the two classes and creates a decision boundary. The examples of the classes that are closer to the hyperplane are called the support vectors. The hyperplane can be described by the set of points that satisfy $\mathbf{w}^T\mathbf{x} = 0$, $\mathbf{w}$ being the vector perpendicular to the hyperplane. The points

$$f(x) = \frac{\theta_1}{1 + e^{\theta_2(\theta_1-x)}} \left(1 - \frac{1}{1 + e^{\theta_4(\theta_3-x)}}\right)$$ (3)

$$f(x) = \frac{a}{1 + e^{a(c-x)}}$$ (4)

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that satisfy $w_o + w^T x_{pos} = 1$ form the positive hyperplane, and the ones that satisfy $w_o + w^T x_{neg} = -1$ form the negative hyperplane. By subtracting those expressions and normalizing by the length of the vector $w$, Equation 6 is obtained.

$$\frac{w^T (x_{pos} - x_{neg})}{||w||} = \frac{2}{||w||}$$  \hspace{1cm} (6)

The left term of Equation 6 is the distance between hyperplanes, the objective is to maximize it.

The performance of the SVM classifier depends on the kernel, the kernel parameter $\gamma$ (if kernel is non-linear) and the parameter $C$.

The variable $C$ influences the number of support vectors used by the model, a larger $C$ means a higher cost for misclassification (Sebastian, 2015).

The kernel is used to transform the original features and project them onto a higher dimensional space via a mapping function (Sebastian, 2015). The kernels available in the scikit-learn library were: polynomial kernel, radial basis function kernel, and sigmoid kernel.

The radial basis function kernel, the one used in this work, is defined by Equation 7. The parameter $\gamma$ influences the reach of the training examples, a higher $\gamma$ makes the boundaries closer to the examples. By default, its value is $1/nr_{samples}$.

$$K(x, x') = e^{-\gamma \|x-x'\|^2}$$  \hspace{1cm} (7)

Parameters $C$ and $\gamma$ should be tuned together. For that, is possible to use GridSearchCV from scikit-learn library that performs a grid search with cross validation to choose the best parameters. This function was used with: values 0.1, 1, 10 and 100 for $C$; values 0.01, 0.8, 1 and 10 for $\gamma$; and the kernels available, already mentioned. Other parameters from the SVC scikit-learn library used the default values given.

4 RESULTS

4.1 Features of E-nose V3

E-nose V3 is an optimized version of E-nose V0, the first prototype. The system was improved, having a faster and cheaper data acquisition system composed by an Arduino microcontroller and a Raspberry Pi (under 100 euros) as opposed to the previous system composed by a computer and a NI myDAQ (above 600 euros). Now it possess a single interface making
Table 1: Comparative table of different e-nose versions.

<table>
<thead>
<tr>
<th>E-nose Version</th>
<th>First version (Pádua et al., 2018b)</th>
<th>Latest version (present work)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transduction system</td>
<td>Sampling rate (Hz)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>10</td>
<td>90</td>
</tr>
<tr>
<td>Data acquisition elements</td>
<td>NI myDAQ + Computer</td>
<td>Arduino Due + Raspberry Pi3</td>
</tr>
<tr>
<td>Detection chamber</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Photoresistors</td>
<td>Photodiodes</td>
</tr>
<tr>
<td>Array capacity (nr sensors)</td>
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<td>6</td>
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<tr>
<td>Stability and scaling (scale 1-5)*</td>
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<td>4</td>
</tr>
<tr>
<td>Chamber volume</td>
<td>2L</td>
<td>28mL</td>
</tr>
<tr>
<td>Delivery system</td>
<td>Analysis frequency</td>
<td>0.37 cycles/min</td>
</tr>
<tr>
<td></td>
<td></td>
<td>3 cycles/min</td>
</tr>
</tbody>
</table>

* Stability and scaling scale:
1: Open and close the chamber was not practical. Chamber with flow losses.
2: Robust and more easy-to-use chamber. Difficult to change sensing films between experiments. Flow losses verified.
3: Easy to change sensing films. Hermetic. PCBs with through-hole technology components.
4: Easy to change sensing films. Hermetic. PCBs with surface-mount technology components. Has slots to insert the PCBs and support for sensing films. Has light guiders for LEDs.

it more user-friendly (Pádua et al., 2018a). Regarding the detection chamber, the number of sensors was increased and the chamber became more robust, stable and hermetic. Moreover, improvements in the delivery system allowed a 10 times faster analysis, comparing to the initial prototype.

Regarding the delivery system, pumps flow rate is 3 L/min, the flow rate at chamber entrance is 1.8 L/min for the exposure circuit, and 2.5 L/min for the recovery circuit. Table 1 summarizes the main differences between E-nose versions.

Considering the implementation of a new detector system, the results for the validation of the photodiodes are represented in Figure 10. It demonstrates that the photodiodes are suitable for the application and that no signal is lost at any given light intensity.

Signals were acquired (Figure 11) to test the new version of the E-nose. A preliminary experiment was made to measure signal to noise ratio. Five sensing films were sequentially exposed to two different VOCs (acetone and toluene), the remaining position of the array was used as a control measurement.

The signal to noise ratio (SNR) was calculated by Equation 8. The result was approximately 50 dB.

$$\text{SNR}_{dB} = 10 \log \left( \frac{P_{signal}}{P_{noise}} \right)$$

$$= 20 \log \left( \frac{A_{signal}}{A_{noise}} \right)^2 \quad (8)$$

4.2 Classification Results

Three sensing gels, with the exact same composition, were exposed to a sequence of 11 VOCs (hexane, heptane, toluene, chloroform, dichloromethane, acetonitrile, acetone, diethyl ether, ethyl acetate, ethanol and methanol) in cycles of 5 seconds of exposure and 15 seconds of recovery. 15 mL of VOC were placed in the sample chamber and heated at 37°C during 15 minutes before beginning the experiment to ensure headspace saturation. Each sample interacted with the sensors in a total of 15 minutes. The remaining positions of the electronic nose were used as control.
measurements. After the sequence was completed, sensing gels were stored. Two months later, the same procedure was repeated with the same sensing gels. The first cycles of each volatile (the first 5 for the first time the gels were exposed and the first 6 for the second time) were not considered due to the presence of noise, caused by the opening of the valve that allows VOC intake. This resulted in a total of approximately 2600 cycles for analysis (236 cycles per VOC).

The features were extracted, however, the curve fitting algorithm was not able to converge into a solution for the parameters of the approach of the article (Equation 3). In order to fit a model to the signal, it was necessary to simplify the approach. The solution was to divide the signal in two parts (from cycle beginning until its maximum and from the maximum until its end) and apply the logistic function (Equation 4) to each part of the signal (Figure 12).

After obtaining a set of features, RFECV algorithm used a quarter of the data and the rest remained untouched for validation purposes. The rank of the features is shown in Table 2. Features ranked as 1 are the ones with best performance, and therefore were the ones selected.

When tuning the classifier parameters, the best performance was obtained with the radial basis function kernel and parameters $C$: 100 and $\gamma$: 0.1.

Finally, to validate the classifier with unseen data, the validation set was used. The classifier managed to obtain a global accuracy of 94.6% with a standard deviation of 0.9%. Figure 13 shows the correspondent normalized confusion matrix.

5 CONCLUSION AND FUTURE WORK

E-nose V3 is a tailor-made device using as gas sensors an innovative class of biomaterials which optical properties vary in the presence of VOCs (Hussain et al., 2017). This new optimized device, together with the appropriate use of signal processing tools, allowed the accurate classification of 11 VOCs from different chemical classes using sensing films with the exact same composition. The results show that our sensor possess advantages over other types of sensors such as MOS-sensors or conducting polymer sensors, as these normally have limited selectivity towards VOC classes. In addition, our materials and sensing device operate at ambient conditions as opposed to MOS (300°C)(Wilson, 2012). In addition, used sensing materials are biodegradable and reusable with the potential to recycle its individual components, having a lower carbon footprint.

The detection chamber is hand-size and hermetic for the pressures being applied. Its low volume allows the use of short cycle periods, and consequently a fast analysis. The advantages of using photodiodes is that they are more sensible and have a quicker response time than photoresistors.

The transduction system based on Arduino and Raspberry Pi constitute a low-cost and independent
The assembled system was able to distinguish the 11 tested VOCs. Although the identification of the VOCs was not made in real time, a step towards making this E-nose a device that is able to make automatic classification was taken.

The E-nose V3 device is robust and user-friendly. We are aware that there is still room for improvement. For example, in the future the detection system could benefit from the use of variable resistors, or multiple resistors per photodiode, enabling auto scaling or simply by easing the actual scaling where multiple PCBs assembled with different resistor values, are chosen to best suit the sensing probes in use based on the output voltage. Also, a longer array of LED/photodiodes pairs would allow for a wider combination of different sensing probes, or a larger number of replicas, which in both cases have a positive impact in the VOCs prediction algorithm.

Using the optimized e-nose version, the necessary studies to evaluate more complex scenarios are possible, such as testing concentration limits, the effects of room conditions in the material and classification of complex samples where many different VOCs are mixed together.

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