Enhanced Deep Learning for Pathology Image Classification: A Knowledge Transfer based Stepwise Fine-tuning Scheme

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Abstract: Deep learning using Convolutional Neural Networks (CNN) has been demonstrated unprecedentedly powerful for image classification. Subsequently, computer-aided diagnosis (CAD) for pathology image has been largely facilitated due to the deep learning related approaches. However, because of extremely high cost of pathologist's professional work, the lack of well annotated pathological image data to train deep neural networks is currently a big problem. Aiming at further improving the performance of deep neural networks and alleviating the lack of annotated pathology data, we propose a full-automatic knowledge transferring based stepwise fine-tuning scheme to make deep neural networks follow pathologist's perception manner and understand pathology step by step. To realize this conception, we also introduce a new type of target correlation intermediate dataset which can be yielded by using fully automated processing. By extracting rough but stain-robust pathology-related information from unannotated pathology images with handcrafted features, and making use of these materials to intermediate train deep neural networks, deep neural networks are expected to acquire fundamental pathological knowledge in advance so that boosted in the final task. In experiments, we validate the new scheme on several well-known deep neural networks. Correspondingly, the results present solid evidence for the effectiveness and suggest feasibility for other tasks.

1 INTRODUCTION

Cancer is one of the most terrible threats to human health. According to the data (Ferlay J. et al., 2013), there were approximately 14.1 million new cancer cases and 8.2 million deaths worldwide in 2012. Moreover, same report estimates that the number of new cancer cases may increase to 24 million by 2035. Nowadays, we have many advanced cancer diagnosis modalities such as Computed Tomography (CT), Magnetic Resonance Imaging (MRI), and Positron Emission Tomography (PET). Meanwhile, pathology image diagnosis is still playing a key role to assess cancer’s presence or absence. However, the shortage of pathologists has become a conspicuous problem in many countries. In Japan, the number of pathologists per 100,000 people is 1.95, which is around only 1/3 of that in the United States (M. Fukayama et al. 2015). In China, this number is even as low as 1.35 (Cornish, 2014). The severe shortage directly results high workload of pathologists and increasing misjudgement in diagnosis. Although digital pathology has widely popularized since more than a decade ago, confirmation of a mass of large-scale images remains heavy load to pathologists. With respect to this issue, efforts on automatic diagnosis of pathology images based on pattern recognition technology are regarded as one the most promising solution.

2 RELATED WORKS

In early periods, researchers used to adopt conventional image classification approaches based on pathological morphology indexes (e.g. nuclei-cytoplasmic ratio and density) and generalized texture descriptors to map the images to feature spaces for further modelling. Compared to the former approaches, the latter ones have shown more
robustness to the ever-changing cancerous appearance. Esgiar et al. (Esgiar et al., 1998) employed GLCM to calculate the contrast, entropy, angular second moment, dissimilarity and correlation from colon’s pathology images, and used linear discriminate analysis (LDA) and k-nearest neighbour algorithm (KNN) to distinguish normal and cancerous images. J. Diamond et al. (J. Diamond et al., 2004) employed an evolved version of GLCM, called Haralick features to classify prostate pathology images. In Masood’s study (K. Masood and N. Rajpoot, 2009), local binary pattern (LBP) and support vector machines (SVM) are utilized and demonstrated effectiveness for colon pathology images. Besides, lower-order and higher-order histogram features, Gabor filters and Perception-like features are involved in pathology image classification as well. However, when using the generalized texture features, researches have faced a common problem: it is very hard to control the adaptability and select the serviceable one or part (Shen et al., 2017). Meanwhile, the non-uniform staining quality among data resources and other changing factors makes the classification more challenging (R. Marée, 2017, Chen et al., 2016, B. Bejnordi et al., 2016).

In recent years, deep learning using convolutional neural networks (CNN) (A. Krizhevsky et al., 2012) has shown its unprecedented capacity to defuse these problems. Due to more domain agnostic approach combining both feature discovery and implementation to maximally discriminate between the classes of interest (Janowczyk and Madabhushi, 2016), high hope has been placed on deep learning to accelerate classification of pathology image (Xu et al., 2017, Hou et al., 2016, Xu et al., 2016). When one adopts deep learning based approaches, large datasets are always indispensable to train more capable deep neural networks and raise the performance. However, unlike natural image datasets which can be acquired based on internet and automated categorizing techniques, building up high quality pathology image datasets, anyhow, requires professional observation and annotation by pathologists. Because of the necessity of this procedure, well-annotated data usually cost vast financial resources and manpower. In this situation, drawing out the maximum power of deep neural networks with limited datasets has become a very important practical issue.

3 STEPWISE FINE-TUNING FOR DEEP NEURAL NETWORKS

When holding a certain amount of data, fine-tuning the deep neural networks is one of the evidenced techniques able to boost the performance in some degree. Rather than training from scratch, fine-tuning a general neural network which has been pre-trained with large-scale image datasets (e.g. ImageNet) to obtain a more specialized network corresponding to target tasks can usually yield more advantageous results (Chen et al., 2015, Shin et al., 2016, Yosinski et al., 2014). Training a CNN strongly depend on its initial status, thus it is significant to obtain appropriate initialization as much as possible in order to avoid over-fitted learning or local minimum traps. Generally, the forepart layers of a CNN are considered analogous to the conventional texture features and applicable to many of related tasks, while the later layers capture more abstract image content by combining low-layer features involving more specific information corresponding to the target task (Brachmann et al., 2017). Based on this fact, if the tasks of pre-training and final classification are sufficiently correlated (for instance, both of them are for color image classification), one may only fine-tune part or all of the pre-trained model to reach more desired results.

Actually, it is quite hard for us to understand the correlation between these tasks. In some other situations if target tasks possess much different distribution compared with the pre-training datasets, effectiveness of initialization and fine-tuning may be largely restricted. This issue is exactly arising in pathology image classification domain. On one hand, in light of common human’s perception, pathology images usually have more complicated appearances than natural images because it is difficult to figure out the intuitionistic difference between benign and malignant images at a glance due to their color uniformity of H&E (Hematoxylin and eosin) stain and componential similarity of tissues. On the other hand, owing to professional knowledge, pathologists are able to distinguish various pathological components and structures within the image. Based on this knowledge, they can easily tell where abnormality has occurred. Nevertheless, natural image datasets for pre-training rarely contain relevant information. From this perspective, we believe that it is crucial to build a bridge which can reasonably transfer the neural networks from the task of pre-training classification to the final benign/malignant judgment of the well-annotated pathological images.
3.1 Making CNNs Learn Pathology Step by Step

In this paper, we propose a conception taking advantage of stepwise fine-tuning to make deep neural networks learn to understand pathology images gradually following pathologist’s cognitive way. Before learn to understand the differences between benign or malignant pathology images, one should first understand the fundamental pathological knowledges beforehand. Such knowledges may include but not be limited to distribution status and density of cells, degree of nucleus distortion, nucleus size and nuclear-cytoplasmic ratio. In the previous section, it has been declared that specific measures of these indexes for benign/malignant judgement may be not reliable due to various changing factors. Nevertheless, these morphological characteristics can still be exploited to provide rough but task-relative initialization to the deep neural networks like training an unskilled pathologist.

To make deep neural networks able to pathology in a rational way, we build up a stepwise scheme (Suzuki et al., 2017) in which fine-tuning is adopted to transfer several different levels of knowledge toward the final task step by step. The scheme consists of three main steps: pre-training, 1st fine-tuning and 2nd fine-tuning. As shown in Figure 1, at the beginning of the training progress, we have a pre-trained network as initialization. The following step of 1st fine-tuning involves a type of target-correlative “medium-level” dataset, which is regarded as the carrier of the fundamental pathological knowledges. According to our conception, rather than directly driving the deep neural networks to learn about benign and malignant, making it gain fundamental pathological knowledge from the “medium-level” datasets probably contribute to the task of higher difficulty (Qu et al., 2018). Therefore, 1st fine-tuning with the “medium-level” datasets is placed in the middle of the stepwise scheme. By this step, deep neural networks are considered more pathology-specialized. Finally, well-annotated benign/malignant images are used for the second time fine-tuning. In the lower part of the figure, corresponding to all training steps along the knowledge transferring direction, darker nodes in CNN models denote more specialized (deeper) representation which is expected for the pathology image classification task.
classes changes, the network architecture needs to be adjusted accordingly. As to the earlier layers, we recommend to set all of them learnable in both of the two fine-tuning steps in order to achieve practical improvement.

3.2 Build “Medium-level” Dataset using Color Index Local Auto-Correlation

In the light of out aforesaid conception that fundamental pathology knowledges are expected to be involved to bridge the pre-trained model and transfer these knowledge to the final-task-targeting model, we consider to adopt a reliable way to provide with rough and robust, but weakly pathology-related information to fulfil this demand. Meanwhile, in respect of the mission of our work, it is a prerequisite requirement that the “medium-level” dataset must be achievable at much lower cost than the well-annotated datasets made by pathologists. In order to satisfy this requirement, a full-automatic dataset generation approach is preferentially needed. According to our earlier study (Qu et al., 2014), color index local auto-correlation (CILAC, Kobayashi and Otsu, 2009) has been evidenced as an independently competent hand-crafted feature in pathology image classification. Notice that feature extraction with CILAC is right the choice to summarily evaluate the status and relation of background, nuclei and cytoplasm based on the three-level color indexed image. These three components are deemed to contain most of the crucial information for morphological analysis. Meanwhile, because the color indexing process is equivalent to normalizing the color space in an extremely rough way, the color indexed images are regarded more robust to uneven staining intensity. In this paper, we take advantage of CILAC based feature extraction on color-indexed images and expect to collect sufficient anatomical pathology information with less noise.

Specifically, CILAC feature was developed on the basis of Higher Local Auto-Correlation. As shown in Figure 2, CILAC consists of a set of local patterns which are able to calculate both the local auto-correlations of different color levels and their statistical distribution. CILAC in order $N$ ($N = 0, 1, 2$) is defined as below:

$$S_0(i) = \sum_r f_i(r)$$

$$S_1(i, j, a) = \sum_r f_i(r)f_j(r+a)$$

$$S_i(i, j, k, a, b) = \sum_r f_i(r)f_j(r+a)f_k(r+b)$$

Where $S_N$ denotes $N$-order correlation. $f = \{e_1, e_2, e_3, ..., e_D\}$ is a $D$-dimensional vector standing for $D$ color indexes of an color indexed image. $r$ indicates the reference (central) pixel. $a, b$ are different displacements of the surrounding inspected pixels, respectively. $f_i, f_j$ and $f_k$ denote the pixels taken into account corresponding to all displacements. In this paper, $D$ is set to 3 according to three color indexes of the 3-level image. In that case, the 0th order CILAC ($N = 0$) draw out different color indexes themselves, and the 1st and 2nd order CILAC ($N = 1$ and $N = 2$) represent the local co-
occurrences of different color indexes. Pathological components including nuclei, cytoplasm and background are expected synthetically vectorized by the CILAC patterns.

Practically, we implement a string of automatic image pre-processing techniques including 3-level quantization to obtain the background-nuclei-cytoplasm images. Afterwards, CILAC feature are extracted from these 3-level images and principal component analysis (PCA) is also used to reduce the dimensionality of feature vector space. Next, we employ unsupervised K-means clustering to separate images into several clusters within the feature vector space. Practically, in order to obtain clusters with large distance as possible, we set the number of cluster k=3, and select the farthest two clusters in line with the visualized status within the coordinate space of finite principal components. Finally, we pick up the most distant two clusters and assign +1 and -1 to them. Pass through the above series of operations, the two clusters are available to be automatically generated and employed as “medium-level” training data for the 1st step fine-tuning.

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Category</th>
<th>Training</th>
<th>Validation</th>
<th>Test</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medium-level Data</td>
<td>Cluster 1</td>
<td>5,016</td>
<td>558</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Cluster 2</td>
<td>3,949</td>
<td>439</td>
<td>-</td>
</tr>
<tr>
<td>High-level (Well-annotated) Data</td>
<td>Benign</td>
<td>5,400</td>
<td>1,620</td>
<td>2,700</td>
</tr>
<tr>
<td></td>
<td>Malignant</td>
<td>5,400</td>
<td>1,620</td>
<td>2,700</td>
</tr>
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</table>

### 4 EXPERIMENTS

#### 4.1 Experimental Procedures

In order to evaluate the effectiveness of our proposed transfer learning scheme using stepwise fine-tuning and the automatically produced low-cost “medium-level” datasets based on CILAC, we make use of several well-known deep neural networks including VGG-16 (Simonyan and Zisserman, 2015), AlexNet and GoogLeNet (hereafter InceptionV3, Szegedy et al., 2016). With each of the deep neural networks, we conduct two separate procedures: (1) adopting fine-tuning only once with high-level well-annotated pathology images directly upon the model which has been pre-trained by low-level large-scale datasets (ImageNet). (2) adopting the 1st fine-tuning and 2nd fine-tuning in sequence with the “medium-level” data and high-level well-annotated pathology image data, respectively. Competitions are carried out between the two procedures based on the three deep neural networks stated above.

#### 4.2 Datasets

This paper employs three types of data including “low-level”, “medium-level” and “high-level” data, respectively used for the initialization (pre-training), the 1st stage fine-tuning and the 2nd stage fine-tuning. In practice, ImageNet data containing approximately 1.2 million images in 1,000 separate categories are customary utilized to initialize the CNN models. As to the “medium-level” data and high-level well-annotated pathology image data, we make use of the gastric pathology datasets collected by two experienced pathologists. All of the data are illustrated in Table 1. By adopting unsupervised clustering upon more than 10,000 patches (256×256), we succeeded to obtain cluster 1 including 5,574 patches and 4,388 patches belong to cluster 2. In the 1st-step fine-tuning, 90% of patches in each cluster are used for training, remaining 10% are used for validation. Validation data are completely separated from training data so that well-generalized model can be selected accordingly. As to the well-annotated “high-level” datasets, in order to evaluate the efficacy of the proposed two-stage scheme, we have prepared well-annotated datasets including 5,400 benign and 5,400 malignant patches. All of these patches are cut off from whole pathology images without augmentation. Except from the former datasets, we additionally use a validation dataset including 1,620 benign and 1,620 malignant patches to select the best model configuration, and a test dataset of 2,700 benign and 2,700 malignant patches to finally evaluate the performance in each optional case. It is noteworthy
Table 2: Performances of the proposed two-stage fine-tuning using “Medium-level” data.

<table>
<thead>
<tr>
<th>Scheme</th>
<th>CNN Architecture</th>
<th>VGG-16</th>
<th>AlexNet</th>
<th>GoogLeNet (Inception V3)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>AUC</td>
<td>ACC</td>
<td>Precision</td>
<td>Recall</td>
</tr>
<tr>
<td>One-step</td>
<td>0.936</td>
<td>0.836</td>
<td>0.96</td>
<td>0.70</td>
</tr>
<tr>
<td>Two-step (Proposed)</td>
<td>0.957</td>
<td>0.873</td>
<td>0.87</td>
<td>0.87</td>
</tr>
</tbody>
</table>

Figure 3: Performances of the proposed two-stage fine-tuning presented by ROC.

that there is no overlap between the “medium-level” datasets and the “high-level” datasets, and meanwhile no overlap among the training, validation and test datasets.

4.3 Results and Discussion

Next, we will present results and discuss about the rival performances of the regular one-step fine-tuning and our proposed stepwise fine-tuning scheme. To be impersonal, we concurrently take AUC (Area under the curve, which is calculated on the class-probability output), ACC (accuracy), Precision and Recall as the evaluation criteria (Sokolova and Lapalme, 2009).

As denoted in Table 2, notably, in all of the couples of competitive schemes, our proposed two-step fine-tuning using “medium-level” dataset has yield reasonable improvement. Specifically, AUC value is raised by 0.021, 0.056 and 0.058, when we adopt CNN architectures VGG-16, AlexNet and Inception V3, respectively. Meanwhile, if we focus on ACC values, we are aware of the fact that the greatest improvement happens when our proposed scheme using Inception V3 is adopted. The accuracy has remarkably increased from 0.779 to 0.865. Besides, precision and recall, which are commonly used for medical image classification, are presenting similar trend to AUC and ACC. As more intuitively illustrated in Figure 3, three CNN architectures have produced three separate ROC Figures. The red curve denotes the two-stage scheme using “medium-level” dataset, while the green curve denotes the conventional one-stage scheme. It is clear at a glance, in each figure, our proposed scheme possesses overwhelming area all along both the false positive rate axis and true positive rate axis. These results have illustrated that our proposed scheme is capable and rarely dependent on the deep neural network’s architecture and the amount of well-annotated data. To sum up, the proposed stepwise fine-tuning scheme employing “medium-level” dataset automatically produced based on Color-Index Local Auto-Correlation (CILAC) has successfully boosted the performance of the pre-trained neural networks for gastric pathology image classification in various situations.

5 CONCLUSION

In this paper, aiming to maximize the classification capacity of deep neural networks and alleviate the lack of annotated pathology data, we proposed a stepwise fine-tuning scheme. By extracting pathology-correlative information from unannotated pathology images with handcrafted features, and
making use of these materials as “medium-level” data to intermediately fine-tune deep neural networks, we managed to make the deep neural networks acquire pathological knowledge step by step following the way of pathologist’s perception. By this mean, the initial task and the final target task are expected to be bridged in a reasonable way. In the experiments, our proposed scheme exerted adequate efficacy for boosting the classification performance and revealed high applicability for different CNN architectures. Taking the proposed scheme as seed, it is promising to promote such kind of stepwise training scheme to more medical image recognition tasks.

REFERENCES


