Using Miniature Visualizations of Descriptive Statistics to Investigate the Quality of Electronic Health Records

Roy A. Ruddle¹ and Marlous S. Hall²

¹School of Computing, University of Leeds, Leeds, U.K.
²Leeds Institute of Cardiovascular & Metabolic Medicine, University of Leeds, Leeds, U.K.

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Abstract: Descriptive statistics are typically presented as text, but that quickly becomes overwhelming when datasets contain many variables or analysts need to compare multiple datasets. Visualization offers a solution, but is rarely used apart from to show cardinalities (e.g., the % missing values) or distributions of a small set of variables. This paper describes dataset- and variable-centric designs for visualizing three categories of descriptive statistic (cardinalities, distributions and patterns), which scale to more than 100 variables, and use multiple channels to encode important semantic differences (e.g., zero vs. 1+ missing values). We evaluated our approach using large (multi-million record) primary and secondary care datasets. The miniature visualizations provided our users with a variety of important insights, including differences in character patterns that indicate data validation issues, missing values for a variable that should always be complete, and inconsistent encryption of patient identifiers. Finally, we highlight the need for research into methods of identifying anomalies in the distributions of dates in health data.

1 INTRODUCTION

Descriptive statistics are used to describe the basic features of data, and help analysts understand the quality of that data. For example, counting the number of values may identify variables that are too incomplete to be used in analysis, numerical distributions may identify variables that need to be transformed, and patterns may identify variables that need to be reformatted to become consistent.

It is possible to present descriptive statistics either using statistical graphics or textually in tables, with the latter becoming very laborious to assimilate as the number of variables grows. It follows that it is difficult for analysts to comprehensively investigate the quality of electronic health records (EHRs), and the difficulties are compounded for research that is longitudinal and/or involves multiple cohorts.

The overall goal of our research is to develop visual analytic methods for investigating data quality. As steps toward this goal, the present paper makes three main contributions. First, we describe designs of miniature visualizations that help users to perform a suite of important data quality tasks. Those designs adapt visualization techniques by adding new methods for encoding important semantic differences (see §3). Second, in two case studies, we show how miniature visualizations reveal important insights about datasets that contain hundreds of variables and millions of records (see §4). One case study involved primary care (a dataset with 44 different variables and a total of 90 million records, in 14 database tables) and the other involved secondary care (a dataset with 116 variables and a total of 75 million records, from 5 years). Third, we identify research challenges for visualizing the quality of EHRs (see §5).

2 RELATED WORK

Data quality may be divided into three fundamental aspects: completeness, correctness and currency (Weiskopf and Weng, 2013). The present research focuses on the first two of those. The following sections describe common tasks that analysts perform, together with the types of descriptive statistic that need to be calculated, and then methods that may be used to visualize those statistics to inform analysts about the quality of their data.
2.1 Data Quality Tasks

For completeness, analysts’ main tasks are to investigate missing values and records. The former, involves calculating the number or percentage of values that are missing for each variable, which is a scalar quantity that is categorized as a cardinality in data profiling (Abedjan et al., 2015). Examples include the absence from GP records of information about a patient’s smoking habits, alcohol consumption or occupation (Pringle et al., 1995), and hospital episode statistics without an NHS Number for the patient (that affects 30% of accident & emergency admissions in England & Wales). The number of missing records is also a cardinality, and may be estimated by making a comparison with nationally recorded rates (Iyen-Omofoman et al., 2011) or previous data where it is submitted regularly (e.g., monthly episode statistics from an hospital) (NHS, 2017).

A variety of tasks are needed to investigate correctness (for a comprehensive review the reader is referred to (Weiskopf and Weng, 2013)). Four of those tasks are described here, spanning all three categories of single-variable data profiling: cardinalities, distributions and patterns (Abedjan et al., 2015).

The first task is calculating the number of distinct values for each variable, which is a scalar. This is useful for understanding variation in a dataset (Abedjan et al., 2015), or how records may be grouped.

The next two tasks involve calculating distributions. Value lengths are the number of characters that are in the values of a given variable. If all of the value lengths are identical then that indicates consistency. Consistent value lengths are generally an indicator of high-quality data, but there are exceptions where the value lengths are expected to vary (e.g., free text, or where values are chosen from a drop-down menu).

The other distribution concerns numerical values (integers, decimals, dates and times). Analysts need to identify values that are implausible (e.g., an exceptionally low or high weight (Noël et al., 2010), or values that are outside the expected range because the measurement units were wrong (Staes et al., 2006)), misleading (e.g., use of a system’s default value (Sparnon, 2013) or special values that have been used to indicate missing data (NHS, 2017)), or errors (e.g., a typo such as date digits entered in the wrong order).

The fourth task concerns patterns, and involves determining the types of character that are used in a variable’s values. That affects the design of algorithms for cleaning those variables (e.g., interpreting the variety of wild card characters that people use when entering ICD-10 codes). Other types of patterns include the data type of a variable, the number of decimal places, and the format of values such as telephone numbers (Abedjan et al., 2015).

2.2 Visualization

Data profiling tools typically display descriptive statistics both textually and graphically. Textual statistics are usually presented in a table, with variables and descriptive statistics in different rows and columns, respectively. A similar approach is often taken when descriptive statistics for a dataset are presented in reports.

Although a tabular approach allows users to read exact values for each descriptive statistic, there are two important disadvantages. First, it is harder to spot trends and anomalies from text than a visualization. Second, the sheer volume of numbers becomes overwhelming when datasets contain many variables, multiple datasets need to be compared, or a suite of descriptive statistics need to be investigated together.

Those disadvantages may, in principle, be addressed by providing visualizations. A variety of tools have been developed for visualizing EHRs (Rind et al., 2013), but their focus is on detailed analysis rather than data profiling or investigating data quality. However, there are some exceptions so the remainder of this section briefly reviews the types of visualization that have been used to investigate data quality in the domain of health and in the field of visualization as a whole.

First, bar charts may be used to visualize any scalar. Examples are the number of missing values (Kandel et al., 2012; Unwin et al., 1996; Gschwandtner et al., 2014; Arbesser et al., 2017; Xie et al., 2006; Noselli et al., 2017), and the number of distinct values (2017).

Distributions stand out as the type of descriptive statistic for which there is the widest variety of visualizations. Grouped bars are used to show value lengths (2017). Histograms (Kandel et al., 2012; Arbesser et al., 2017; Gratzl et al., 2013; Furmanova et al., 2017; Gotz and Stavropoulos, 2014; Tennekes et al., 2011; Zhang et al., 2014) and box plots are de-facto methods for showing value distributions of numerical data. Line and area charts are often used for temporal data (Kandel et al., 2012) and, even though they are not particularly useful for single variables, scatterplots are a de facto method of visualizing the distribution of pairs of numerical variables (Kandel et
al., 2012; Noselli et al., 2017). Other methods that are used to visualize the distribution of categorical values are pie charts (Zhang et al., 2014), tree maps (particularly useful for hierarchical data) (Zhang et al., 2014; 2018a) and choropleth maps (Kandel et al., 2012).

For patterns such as the number of decimal places in a variable’s values or the frequency of different first digits (2018b), users need to be shown a distribution and grouped bars are a suitable visualization technique. Other types of pattern are categorical (e.g., data types), and may be color-coded. However a notable omission from previous research into data quality is methods for visualizing the character patterns in a variable’s values.

3 DESIGN

The present research aims to design visualizations that make it easy for users to investigate data quality in large EHR datasets. For that we need to present a variety of descriptive statistics with visualizations that:

- Portray important semantic differences between certain values
- Scale to hundreds of variables
- Scale to millions of records

We divide the descriptive statistics that need to be visualized into three groups: scalars, distributions, and patterns. For scalars, a single number needs to be visualized for each variable, and a common example is the number of missing values. Given that datasets often contain millions of records, ordinary bar charts are not suitable because small values are indistinguishable from zero. That can be addressed by introducing perceptual discontinuities (e.g., giving bars a minimum height) (Kandel et al., 2012), but this does not capture the semantic importance of distinguishing between a variable that is complete vs. is missing a few values.

Our bars & dots solution uses two mark types to make explicit those semantic differences – dots for values that are equal to the minimum and maximum of the range (e.g., 0% and 100% missing) and bars for any intermediate value. The bars are rendered using perceptual discontinuity, so that the bars have a finite length (small values are visible) that is less than the maximum width (see Figure 1).

The quantity of missing values may be normalized by calculating it as a percentage, so that a variable’s completeness may be directly compared between datasets that have different numbers of records (e.g., the years of a longitudinal study). However, that is not the case for uniqueness (U) which is typically (Abedjan et al., 2015) calculated in terms of the number of distinct values (numDistinct) and number of rows (numRows) as:

\[ U = \frac{\text{numDistinct}}{\text{numRows}} \]

There are two problems with Equation 1. First, it is misleading if there are any missing values, and second the minimum value depends on the number of rows (e.g., if a variable only had one distinct value then \( U = 0.001 \) if there are 1000 records but 0.000001 if there are 1 million records). We address that by using the number of non-missing values (numValues) to calculate a normalized measure of uniqueness:

\[ U = \frac{\text{numDistinct} - 1}{\text{numValues} - 1} \]

For distributions, the information that analysts require depends on the data quality task that they are performing. When investigating the consistency of value lengths it is sufficient to know the minimum and maximum for each variable. We use a whiskers & dots visualization, to preserve the semantically important difference between value lengths that span a range vs. are identical (shown as whiskers and dots, respectively; see Figure 2).

For numerical distributions, we cater for tasks that involve the identification of anomalies, be they implausible values, misleading values or errors (see §2.1). All of these may be visualized using boxplots, showing anomalies as outlying points (see Figure 3). The challenge is not what type of visualization to use,

![Figure 1: Bars and dots visualization of a scalar. The values for variables A and E are drawn as dots because they are the minimum and maximum of the range (0% and 100% missing, respectively). The bars for variables B and D are drawn using perceptual discontinuity, because the values are very small (0.01%) and large (99.99%), respectively.](image1)

![Figure 2: Whiskers and dots visualization of a value length distribution. Four of the variables have a consistent value length, but Variable D’s values have 4 – 8 characters.](image2)
but what criteria to use to define the ends of the box plot whiskers. Three widely used criteria are the data minimum/maximum, 1.5 x the inter-quartile range (IQR), or one standard deviation from the mean. Although these can identify occasional implausible values or clear errors, none of those criteria are suitable for identifying special values because each such value is likely to occur many times in a given dataset, and as the number of occurrences increases then so does the effect on the statistics (mean, standard deviation, IQR) that are used to define the criteria in the first place.

Figure 3: Boxplots that use whiskers to show the minimum and maximum values (left), or show outliers as points (right).

For patterns we propose a design that shows a visual summary of the characters that are in a variable’s values, because that is important for understanding how data needs to be cleaned. We use regex expressions to set a Boolean flag for each character, merging all digits into one flag and all alphabetical characters into another flag (alternatives are possible, e.g., distinguishing upper vs. lower case characters). The visual summary represents each flag by a character (‘a’ for alphabetical, ‘0’ for digits, and the character itself (e.g., ‘&’) for punctuation), vertically aligning the characters so that it is easy to identify variables that share the same characters or have unique ones (see Figure 4).

Figure 4: Character patterns visualization, showing that Variable A’s values only contain alphabetical characters, Variable B’s values also contain digits, and Variable C’s values also contain two punctuation characters. Variables D and E are integers.

An important consideration that applies to all of the visualization designs is how should they be arranged to make patterns and anomalies stand out clearly. This is affected by the types of comparison that users want to make, the number of variables and datasets, and the display real estate. Therefore, we propose two layouts: dataset-centric and variable-centric.

A dataset-centric layout shows each datasets’ miniature visualizations in one column (see Figure 5). This makes is easy to see how descriptive statistics vary because the variables are vertically below each other in separate rows.

A variable-centric layout shows each variable in a different column (e.g., see Figure 5), making it easier to see how that variable’s statistics vary between datasets (or tables in a relational database). However, if there are many more variables than datasets then the dataset-centric layout is likely to better because its aspect ratio will be more similar to that of the display, so less scrolling will be needed.

Figure 5: Two layouts of the same descriptive statistics. The data-centric layout (top) shows that the trend from Variable X, Y and Z is the same in all three datasets, but the variable-centric layout (bottom) shows that Variable X has a different pattern to the other variables.

4 CASE STUDIES

This section describes two case studies that were used to evaluate miniature visualizations for five data quality tasks; missing values, uniqueness, value lengths, anomalous values and character patterns. For each case study, the data was processed off-line using custom-developed Pandas software to output descriptive statistics. A second piece of custom-developed Pandas software was used to render miniature visualizations of those statistics on a 25 megapixel display (six 2560x1600 monitors, arranged in a 3x2 grid). That display showed all of the visualizations that were needed for a given task in a single view (580 miniature visualizations at once for the missing values, uniqueness and value lengths tasks in Case Study 1; fewer for the other tasks and Case Study 2), helping the first author to make preliminary assessments of the data quality.

Screen-dumps were captured for evaluation with domain specialists, because that had to take place in their own departments. Unfortunately, they did not have Pandas installed and only had ordinary displays (twins 1920x1080 monitors in Case Study 1; a 1280x1024 projector in Case Study 2). This was far from ideal because it meant that the evaluations used
static visualizations, which had to be panned substantially to show all of their detail instead of being visible in a single view.

4.1 Case Study 1: Longitudinal Hospital Episode Statistics

This case study was performed with a senior epidemiologist who is studying long-term outcomes and hospitalization rates for acute myocardial infarction (heart attack) patients. The case study used five anonymized extracts of admitted patient care (APC) data from hospital episode statistics (HES). Each extract was from a different year, contained 116 variables that are potentially important for understanding acute myocardial infarction, and 13–17 million records. Details of the variables are documented in the APC data dictionary (NHS, 2017).

During the evaluation the epidemiologist looked at visualizations that used dataset-centric layouts. The remainder of this section illustrates visualizations and describes insights that the epidemiologist gained about missing data, uniqueness, value lengths, value distributions and character patterns in the data.

Figure 6 shows a visualization for the missingness task. It was important to use perceptual adaptation because, without it, 53 of the variables would have appeared to have no missing values when in fact they did. At the glance of an eye, the epidemiologist could see that the general pattern of missingness was correct across the 20 x DIAG, 24 x MYOPDATE, and 24 x OPERTN variables (the number of values reflects the complexity of a patient’s case). However, contrary to expectations, the primary diagnosis (DIAG_01) was sometimes missing in the first four extracts (see Figure 7), and the small % missingness was significant in absolute terms (it corresponded to 15,448 – 23,264 records in an extract).

Perceptual adaptation was also important in the uniqueness task because, without it, 88 of the variables would have appeared to have only one distinct value (uniqueness = 0.0). This task produced two useful insights. In 2016/17 the episode status (EPISTAT) stood out as having a uniqueness of 0.0. Further investigation showed that EPISTAT was always recorded as 'finished', whereas in the other years it had values of 'finished' and 'unfinished'. This indicates that the data was not recorded consistently in all of the years. The other insight was that in 2008/09 OPERTN_13 to OPERTN_24 were much more unique than in the other years (see Figure 8), and further investigation revealed two underlying trends. As the years progressed, more records had values for a larger number of OPERTN variables (i.e.,...
Figure 7: Close-up showing that DIAG_01 unexpectedly has missing values in 4 extracts of Case Study 1. 

Figure 8: Uniqueness miniature visualizations for the OPERTN variables in Case Study 1. Note that the uniqueness of OPERTN_13 to OPERTN_24 has reduced since 2008/09.

Figure 9: Value lengths for some of the Case Study 1 variables. Note the ENCRYPTED_HESID inconsistency in 2008/09.

Figure 10: Boxplots showing the distribution and outliers of MYDOB values in Case Study 1. The visualizations have been re-arranged into a variable-centric layout for presentation in this paper.

The value lengths task revealed that some of the 2008/09 pseudonymized patient identifiers (ENCRYPTED_HESID) were shorter than the other identifiers in that year and all of the identifiers for the other years (16 vs. 32 characters; see Figure 9). Further investigation showed that the NHS introduced a new method for generating those identifiers in 2009, and the old and new methods are not compatible. The consequence is profound – to be able to link the whole dataset the epidemiologist needs to request a new 2008/09 extract, which uses 32-character identifiers throughout.

The value distributions task, revealed that there were errors in the date of birth (MYDOB) field for four of the extracts, because the maximums were 2014, 2025, 2027 and 2031, respectively (see Figure 8: Value lengths).

Finally, in the character patterns task the epidemiologist noticed that the operative procedure (OPERTN) variables were much cleaner than the DIAG variables, which contained 14 punctuation characters (12 of those appeared in the 0809 extract, the 0910 extract included a comma, and the 1617 extract included the equals character; see Figure 11). None of that punctuation is specified in the data dictionary (2017), which greatly complicates the way that data cleaning needs to be performed.

4.2 Case Study 2: Primary Care Cohort Study

This case study was performed with five members of a team (two professors, two statisticians and a database manager) who are studying the survival from melanoma of patients with type 2 diabetes. The case study used a relational database that comprised 14 tables with a total of 90 million records and 41 different variables.

The evaluation took the form of a 1½ hour session with the team, during which variable-centric (and to a lesser extent, dataset-centric) visualizations triggered a series of discussions about aspects of the data quality and key issues to investigate next. The
underlying descriptive statistics had been provided in a spreadsheet to the team three months beforehand, but it was the visualizations that acted as a catalyst for the discussions. That is testament to the ease with which people can notice patterns in visualizations, compared with being overwhelmed by a spreadsheet of numbers.

The longest part of the discussion was about the PatientID, which was the only variable that appeared in all 14 database tables. The visualizations did reveal two positive aspects of data quality (see Figure 12), which were that PatientID was: (a) never missing, and (b) unique for every record in the Patient_Details and Patient_Link tables. However, the visualizations also flagged two issues. First, the team were concerned about the wide range of value lengths. Subsequent investigation showed that this was because PatientID is an integer whose 5–9-digit value is generated by some as yet unknown method, rather than an anonymization method that generates fixed-length identifiers. The second issue was how the PatientIDs distributed between tables (e.g., which tables contain a clean subset of the PatientIDs in other tables, and what proportion of PatientIDs are in each subset)? This requires further investigation.

Character pattern visualizations also revealed two issues. First, the YearOfBirth variable contained alphabetical characters and two punctuation characters (‘#’ and ‘!’), not just digits. Investigation showed that three of the values were #VALUE! – presumably caused by a validation error during data capture or processing at the data provider. Second, in two tables the clinical codes (CTV3Code) only contained alphanumeric characters, but in five other tables the code values also contained a ‘.’ (see Figure 13). Further investigation showed that in those five tables some codes were actually only 2–4 characters long, but padded out to 5 characters by a ‘.’ character. In other words, the coding precision was very inconsistent.

Figure 11: Character patterns for the DIAG and OPERTN variables in Case Study 1. Note the additional punctuation characters in the DIAG variables.

Figure 12: Variable-centric layout visualization showing the % missing values, uniqueness and value lengths for the PatientID variable in Case Study 2.

Value distributions showed that most of the date variables had extreme values, and this was most prominent for EventDate. Even a boxplot that defined the whisker ends as the minimum/maximum date (see Figure 14) led one of the team to comment “oh I really like this way of looking at data” but, of course, the visualizations would be more useful if anomalous values were flagged. However, standard criteria (± 1 standard deviation, and ± 1.5 × IQR) were not appropriate because they both classified hundreds of distinct values as outliers, so the development of a suitable criterion for health data remains an open research challenge.

Finally, even the normal-looking minimum EventDate in the Blood_Pressure_Codes table provoked comment (“not what I expected”), because the team had anticipated that there would be historical blood pressure data to provide background
information about the patients, but that historical data was clearly not present.

<table>
<thead>
<tr>
<th>Melanoma: EventDate</th>
<th>Data file</th>
<th>EventDate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pathology_Radiology</td>
<td>Blood_Pressure_Codes</td>
<td>BreastCancer_Codes</td>
</tr>
<tr>
<td>Additional_Codes</td>
<td>Cancer_Codes</td>
<td>Melanoma_Codes</td>
</tr>
<tr>
<td>1848 1980 2000 2121</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Figure 14: Variable-centric layout visualization showing the value distributions for the EventDate variable in Case Study 2.

5 RESEARCH CHALLENGES

This section describes three research challenges for visualizing the quality of EHRs. First, the number and variety of the insights show that the visualization designs are effective. However, controlled user studies are needed to compare the designs with alternatives, particularly for the encoding of semantic differences (e.g., the bars & dots visualization for scalars, and whiskers & dots for value lengths). User studies are also needed to test different types of distribution visualization for a comprehensive set of data quality tasks.

Second, the mini visualizations were laid out using two obvious methods (dataset- and variable-centric), but the situation is complicated when the mini visualizations occupy a greater area than the display’s real estate. This is most likely to occur when the dataset contains many database tables and few of the variables are shared (e.g., a fully normalized relational database). Research is needed to develop and evaluate algorithms that create compact layouts for such datasets, balancing the sparsity of the mini visualizations with the area and aspect ratio of the display.

Third, research is also needed to develop heuristics for identifying anomalies, which can then be flagged as outliers in value distributions. As the case studies show, conventional outlier criteria are simply not appropriate. Instead, we need criteria that are tuned to the signatures that anomalies have in health data, with examples being many occurrences of a special value (e.g., the default value for dates in a given system) or one-off values that are separated from other values but not necessarily in an extreme manner (e.g., due to a typo). The inclusion of such criteria into visual analytic tools for investigating data quality will help users to interactively harness their domain knowledge to make informed judgments about anomalous values and, in doing so, improve the quality of the data they use in analyses.

6 CONCLUSIONS

This paper describes compact designs for visualizing descriptive statistics. The designs scale to datasets with hundreds of variables and millions of records.

Primary and secondary care case studies revealed a variety of important insights about data quality. For scalar descriptive statistics, our visualizations combined perceptual adaptation with multiple mark types to preserve important semantic differences between the values of the scalars. One insight was that some hospital episode records were missing a patient’s primary diagnosis (DIAG_01), which is clearly an error. By contrast, the miniature visualizations also showed that the general pattern of missingness across the other 19 diagnosis variables (DIAG_02 to DIAG_20) was as expected.

Other insights were revealed by visualizing distributions with multiple mark types, to distinguish variables that had a constant value length from those that had a range of value lengths. That revealed that the patient identifiers (ENCRYPTED_HESID) in one data extract were not compatible with those in other extracts, meaning that the data could not be linked. Miniature visualizations of the distribution of date values revealed many clear errors in both case studies (including dates of birth and event dates that are in the future), and suspiciously low values that may stem from use of default values in the system that was used to record the data.

Finally, some character pattern visualizations revealed that some variables (e.g., DIAG_01 to DIAG_20) contained a plethora of superfluous characters, which complicates data cleaning. Another visualization revealed differences in the character patterns for a specific variable (CTVCode) across seven datasets, and the cause turned out to be that the coding precision varied from two to five characters.

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