

An Efficiency Frontier based Model for Cloud Computing Provider Selection and Ranking

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Abstract: Cloud computing become a successful service model that allows hosting and distribution of computational resources all around the world, via Internet and on demand. This success leveraged and popularized its adoption into all major IT companies. Based on this success, a large number of new companies were competitively created as providers of cloud computing services. This fact can difficult the customer ability to choose among those several cloud providers the most appropriate one to attend their requirements and computing needs. Therefore, this work aims to propose a model capable of selecting and ranking cloud providers according the analysis on the most efficient ones using a popular Multicriteria Decision Analysis (MCDA) method called Data Envelopment Analysis (DEA), that calculates efficiency using Linear Programming (LP) techniques. To accomplish that, the efficiency modeling is based on the analysis of each Performance Indicator (PI) values desired by the customer and the available ones in the cloud provider database. An example of the method's usage is given to illustrate the model operation, selection results and final provider ranking for five hypothetical customer requests and for ten providers.

1 INTRODUCTION

The modernization of society brought the need of efficient, affordable and on-demand computational resources. The evolution of telecommunications technology, especially computer networks, provided an environment that leveraged the rise of cloud computing paradigm. Cloud computing has shown a new vision of service delivery to its customers. It became a differentiated service model of facilitated hosting and distribution of computer services all over the world via Internet.

Cloud computing abstracts to the customer its complex internal infrastructure and architecture keep by the service provider (Hogan et al., 2013). Thus, to use the service, the customer don't need to perform installations, configurations, software updates or purchase specialized hardware (Zhang et al., 2010). That is, all computational resources that the customer needs, can be managed and made available by the cloud provider. On this way, the cloud computing paradigm has brought the benefit of better use of computational resources, saving hardware, energy

and time. In addition to being a convenient service, it is easily accessible via the network and it is only charged for the time that is used (Hogan et al., 2013).

The success of cloud computing paradigm is noticeable and it has been adopted in major IT companies like Google, Amazon, Microsoft, IBM, and Salesforce.com and has become a good source of development and investment for both academy and industry (Zhou et al., 2010). This success leveraged the rising of a large number of new companies as cloud computing infrastructure providers. But, the demand for quality also increased. With the increasing amount of new cloud providers the task of choosing and selecting which cloud providers are the most suitable for each customer's needs has become a complex process. The process of measuring the quality of each provider and compare them is not trivial, as there are usually many factors involved, many criteria to be studied and checked out throughout the process. Cloud providers should be able to measure their service provided (an essential characteristic called "Measured service") (Hogan et al., 2013) and publicly share such information, in

the form of criteria or PIs, for example. The quality measure of a cloud provider can be done by the numerical and systematic measure of quality of each provider's Performance Indicators (PIs), reaching a certain score. Thus, providers can be ranked and the provider that offer the higher score is theoretically the most appropriate provider to that customer.

PIs are tools that enable a systematic summarized information collection about a particular aspect of an organization. They are metrics responsible for quantifying (assigning a value) the objects of study to be measured, allowing organizations to monitor and control their own performance over time. PIs should be carefully measured in periods of regular time so that they are representative to the characteristic they represent. Example of some PIs found in the literature: Computer resources offered, cost of service, supported operating systems, security level, response time, availability, recoverability, accuracy, reliability, transparency, usability, customer support, etc. (Garg et al., 2013)(Baranwal and Vidyarthi, 2014). PIs are classified into quantitative discrete or quantitative continuous, i.e., they can be expressed numerically and worked algebraically; and qualitative ordered or qualitative unordered, i.e., they have distinct states, levels or categories defined by an exhaustive and mutually exclusive set of sub classes, which may be ordered (possesses a logical gradation among its sub classes, giving idea of a progression) or not (Jain, 1991).

It is also possible to classify qualitative PIs according to the behavior of their utility function, i.e., how useful the PI becomes when its numerical value varies (Jain, 1991):

- **HB:** Higher is Better. The highest possible values for this indicator are preferred, e.g., amount of memory, availability, etc.
- **LB:** Lower is Better. The smallest possible values for this indicator are preferred, e.g., cost, delay, latency, etc.
- **NB:** Nominal is Best. A particular value is considered to be the best, higher and lower values are undesirable, e.g., total system utilization.

Cloud computing has a noticeable set of PIs organized in a hierarchical framework divided into seven major categories (accountability, agility, service assurance, financial, performance, security and privacy, usability), called Service Measurement Index (SMI), developed by Cloud Service Measurement Index Consortium (CSMIC) (CSMIC, 2014). This framework provide a standardized model for measuring and comparing the quality of cloud computing services, identifying and explaining

metrics that can be used by cloud computing consumers. SMI has been used as the basis for several works as: (Garg et al., 2013; Baranwal and Vidyarthi, 2014; Achar and Thilagam, 2014; Wagle et al., 2015; Shirur and Swamy, 2015).

The Data Envelopment Analysis (DEA) is a well-known method for decision-making support, introduced first by Charnes et al. in 1978 (Charnes et al., 1978). DEA belongs to the called Multicriteria Decision Analysis (MCDA) (Ishizaka and Nemery, 2013) area. According to it, each solution alternative is called DMU (Decision Making Unit) and each criterion is an input or an output. DEA selects DMUs calculating the efficiency of each one. The efficiency is defined as the ratio of the sum of its weighted outputs to the sum of its weighted inputs (Ramanathan, 2003). So, outputs are criteria to be maximized and inputs as criteria to be minimized for better efficiency. DEA modeling transform this definition into a set of equations of Linear Programming (LP) to be solved for a LP algorithmic (e.g., Simplex). What distinguishes DEA is that the weights assigned to outputs and inputs are not allocated by its users, but automatically chosen by the method, so that do the most benefit to each DMU (Ramanathan, 2003). DMUs with max efficiency (value 1, which means 100%) form a set called efficiency frontier. DEA have two main models (CCR and BCC) that consider or not if any variation in the inputs produces a proportional variation in the outputs, and two orientations, i.e., inputs or outputs, that define if the method will try minimize inputs or maximize outputs, respectively.

Thus, the purpose of this work is to present a new model of cloud computing selection and ranking that uses the DEA method, where the cloud providers efficiency is analyzed using the consumer requested PIs values (that specifies their computational needs) and the cloud provider PIs values, to choose the best provider to the consumer request. The main contribution of this paper is the DEA input and output variables modeling and equations proposal based on the aggregation and transformation of PIs (PIs conversion to DEA inputs and outputs variables), applied to the problem of selecting cloud computing providers.

The remainder of this paper is organized as follows: Section 2 presents related works to the selection, scoring and ranking of cloud providers based on MCDA methods. Section 3 explains how the problem of selecting cloud providers is modelled, its scope and main elements (provider database and customer request). Section 4 presents the proposed model using DEA, that selects and ranks different

cloud providers based on their PIs and customer's interest PIs (requested PIs). Section 5 illustrates an example, with hypothetical data, that represents an application of the proposed model, in order to validate it and to demonstrate its operation the results. Finally, Section 6 presents the final considerations.

2 RELATED WORKS

Selecting the most appropriate cloud provider is a problem that has taken a lot of attention in scientific works in recent decade due the significant growth in the numbers of providers. The problem is cited several times and addressed in different ways. This section presents some papers related to the problem of selecting and ranking cloud services or providers, especially using multiple MCDA methods (AHP, ANP, TOPSIS, fuzzy TOPSIS, DEA, etc.) (Ishizaka and Nemery, 2013).

CloudCmp (Li et al., 2010) is the first systematic comparator found for performance and cost of public cloud providers. This tool is developed to guide customers in selecting the best cost-effective provider for their applications through the use of benchmarks. It can measure features such as elastic computing, persistent storage and the networking services offered of four cloud servers: Amazon AWS, Microsoft Azure, Google AppEngine and Rackspace. This study was a start about the concern of the problem of choosing the best cloud provider, although direct measurement of QoS metrics by benchmarks can be problematic, unstable and is currently underused.

A brokerage approach using an indexing technique is used to create and index distinct cloud services to assist the selection of cloud providers to users (Sundareswaran et al., 2012). The brokers are responsible for selecting the appropriate service for each client and have a contract with the providers, collecting their properties (PIs), and with the consumers, collecting their service requirements. Brokers analyze and index service providers according to the similarity of their properties. Each property (except service type) has a unique encoding, associated with each discrete ranges of possible values that it can assume. Upon receiving a selection request, the broker will search the index to identify an orderly list of candidate providers based on how well they match the needs of the users. The generated index key is formed by the concatenation of the encoding type of service offered by the provider with a "Xor" operator among all the other encoded properties offered by such provider. The providers are indexed in a tree structure called "B+-tree".

The approach is tested with a data set with six real providers (Amazon EC2, Windows Azure, Rackspace, Salesforce, Joyent, Google Clouds) and nine PIs (service type, security level, QoS level, measurement unit, pricing unit, instance sizes, operating system, pricing and location-based prices).

The framework called "SMICloud" (Garg et al., 2013) can rank cloud computing services using the indicators of the SMI (CSMIC, 2014). The framework measures the QoS of each cloud provider and ranks them based on this calculated quality. For this, it uses the Analytical Hierarchical Process (AHP) method (Saaty, 1990) for the calculation of the quality of the providers. Each indicator (AHP attribute/criteria) can be essential or non-essential and can be boolean, numeric, unordered set or range type. A small study case is provided at the end with three real provider: Amazon EC2, Windows Azure and Rackspace. The main SMI attributes used are accountability level, agility (capacity and elasticity time), assurance (availability, stability, serviceability), cost (VM, storage), performance (response time) and security level. The unavailable data such as the security level are randomly assigned to each provider. The method is appropriate and logically plausible, although it seems that the assembly of the hierarchy for a large number of providers and PIs seems to be complex and tiresome. This method may also not be appropriate to treat qualitative PIs such as accountability and security levels. It also has implicit the subjectivity problem of the arbitrary choice of AHP weights (Whaiduzzaman et al., 2014).

The ranked voting model proposed by Baranwal and Vidyarthi (Baranwal and Vidyarthi, 2014) can rank and select cloud services based on users QoS expectation metrics. The base QoS metrics are the SMI ones. The main actors of the model are the cloud exchange, cloud coordinator and cloud broker with a data directory that contains all information about providers which are required in selection of the best one. The metrics are divide in to two categories: application dependent and user dependent. Values of metrics can be of different types like numeric, boolean, range type, unordered set and data centre value. In ranked voting system, each metric will act as a voter, and cloud providers are candidates for them. The method proposed to analyze ranked voting data was DEA (Cook and Kress, 1990). A very similar proposal to this model is the measure index framework for cloud service (Shirur and Swamy, 2015), with the same QoS metrics (SMI) and same ranked voting method. The main actors of the framework are cloud

swapping, cloud coordinator, cloud user and cloud mediate. The cloud index contains all information about service providers which are required in selection of a best provider. The description of each module or phase needs a better explanation and practical examples for better understanding of the method are also needed.

A brokerage approach developed by Achar and Thilagam (Achar and Thilagam, 2014) can rank IaaS cloud providers using a broker measuring the QoS of each provider, prioritizing those most appropriate to the needs of each request to the broker. The key elements of the approach are: the broker, the requester of a cloud provider (consumer), and a list with "n" cloud providers. The selection involves three steps: Identify which criteria are appropriate to the request by identifying the necessary PIs present in the SMI; access the weight of each of these criteria using the AHP method; and rank each provider using TOPSIS (Technique for Order Preference by Similarity to Ideal Solution) (Hwang and Yoon, 1981). TOPSIS is used to select the alternative that is closest to the ideal solution and further away from the ideal negative solution. The final example have six hypothetical providers with four PIs (availability, accountability, cost and security). The use of TOPSIS appears to be promising, but more analysis and examples are need for further conclusions, with real data and users requests. Qualitative PIs need an appropriate modeling too.

The evaluation model proposed by Wagle et al. (Wagle et al., 2015) verifies quality and status of cloud services by an ordered heat map checking the commit of the Service Level Agreements (SLA). The data is obtained by cloud auditors and is viewed via a heat map ordered by the performance of each provider, showing them in descending order of overall QoS provided. This map represents a visual recommendation and aid system for cloud users and brokers. The main metrics are again based on the SMI and are: availability (divided in up-time, downtime and interruption frequency), reliability (load balancing, MTBF, recoverability), performance (latency, response time and throughput), cost (snapshot and storage cost) and security (authentication, encryption, and auditing). This selection approach is visual and apparently does not rely with an automated method for a final decision of which provider should be selected, making it complex to work if the number providers and QoS metrics grows.

Techniques of MCDA are continuously modeled to select cloud providers, e.g., TOPSIS and Fuzzy TOPSIS (Sodhi and T V, 2012), with the conjugated

use with AHP and ANP (Jaiswal and Mishra, 2017). The purpose is to use TOPSIS and fuzzy TOPSIS to identify the most effective cloud service according users' requirement. To evaluate criteria weights for each of these methods the AHP or ANP methods are used and the results are compared at the end. For performance evaluation is used an example with four hypothetical providers with data gathered from *cloud-harmony.com* and with eight arbitrary quantitative criteria. The presented examples are confusing, subjective and dependent on the weights assigned by the user, making the proposed method's efficiency questionable for large quantities of providers. The method does not seem to be able to handle subjective criteria.

The matching method proposed by Moraes et al. (Moraes et al., 2017) is a deterministic logical/mathematical algorithm that can score and rank an extensive list of cloud providers based on the value, type (quantitative or qualitative), nature (HB, LB, NB) and importance (essential or non-essential) of each PI requested by the customer. The method is agnostic and generic to what PIs are used (whether quantitative or qualitative) and can handle tolerances specified for each PI. The algorithm is able to rank providers that are the most suitable for each different request. The score is calculated for each provider individually and varies in the range of 0 to 1. The closer to 1 the more adequate is that provider to satisfy the request. The method is divided into three stages (Elimination of incompatible providers, scoring quantitative and/or qualitative PIs by level of importance and calculation of final score per provider). It returns a list with the highest-ranked providers, containing their name, the total score and their percentage of how many PIs of the request has been attended. A simple example is given with five hypothetical providers and seven different PIs. Although this method handles quantitative and qualitative PIs, it does not assess efficiency regarding the matched cloud providers.

3 MODELING THE PROBLEM OF SELECTING CLOUD PROVIDERS

Given a finite initial non-empty set P with n different cloud computing providers, each provider with M distinct associated (PIs), the problem is to choose the best subset of providers $P' \subset P$, in order to maximize the attendance of a specific request from cloud consumers with the least possible amount

of providers and resources and with the lowest cost involved. The consumer request represents its computing needs to achieve its goals and must inform all the m PIs of interest, which must be a subset of the provider’s associated PIs, with the respective desirable value (X_j). Other features can be informed in request, such as the importance weight of each PIs of interest (w_j), the tolerance value of the desirable one (t_j) and even force the type of behavior of the PI (Higher is Better or HB, Lower is Better, Nominal is Best or NB) (Jain, 1991) – Assuming the user knows what he’s doing. In practice, a third-party (e.g., the server where the selection method is hosted) must have an extensive database containing a list of cloud computing providers. Each provider has a respective set of PIs, fed directly or indirectly by organizations such as brokers and/or cloud auditors (Hogan et al., 2013) or maintained by the cloud providers themselves in order to create a conjugated database. Table 1 presents an example of a possible database, agnostic and generic for all kind of providers and quantitative PIs. The existence of this database is an essential requirement for the model, with the registered PIs and their types and values.

Table 1: Example of a generic cloud providers database.

Name	Type	P_1	P_2	P_3	...	P_n
PI_1	HB/LB/NB	x_{11}	x_{21}	x_{31}	...	x_{n1}
PI_2	HB/LB/NB	x_{12}	x_{22}	x_{32}	...	x_{n2}
PI_3	HB/LB/NB	x_{13}	x_{23}	x_{33}	...	x_{n3}
...
PI_M	HB/LB/NB	x_{1M}	x_{2M}	x_{3M}	...	x_{nM}
Cost	LB	y_1	y_2	y_3	...	y_n

A notably relevant PI used for selecting cloud providers is cost. Cost is a PI to be always considered, even if it is not informed by the customer in the request, since it is a value that is always desirable to minimize (LB). Table 2 shows a generic customer (cloud computing service consumer) request, with all fields that can be informed to the selection method. Important to mention that PI name (identifier, unique) and desired value are mandatory information, the other are optional.

Table 2: Generic customer request.

Name	Type	Value	Tolerance	Weight
PI_1	.	X_1	t_1	w_1
PI_2	NB	X_2	t_2	w_2
PI_3	.	X_3	t_3	w_3
...
PI_m	.	X_m	t_m	w_m

Request column Type forces the PI type overwriting the default type specified in the cloud providers PI’s database (e.g., PI_2 will be NB).

In practical terms, it is informed after PI name, between brackets (“[” and “]”). The default PI’s value tolerance is zero, but it can be changed if it is informed after the desired value with a positive real (or integer) number between brackets. The default weight of each PI is 1, but can be changed too, by the customer. The PI Cost shouldn’t be added in the request explicitly, since it will be used as an exclusive DEA input called “Costs” because of its importance. PI Cost is always LB, which means it can’t be forced to be another type.

4 THE PROPOSED MODEL

This section aims to present and discuss the proposed cloud service providers selection model. Figure 1 presents the proposed model for selecting and ranking cloud provider based on its PIs using DEA method. Each provider, can measure and store their set of PIs, or contract an external cloud agent such as Cloud Auditor or Cloud Broker (Hogan et al., 2013) and passing this data to a cooperative and publicly accessible database. A total of m PIs of interest should be chosen by the customer according to its goals towards cloud providers. The customer will have a support and informative interface, informing the available PIs and able to collect the selected one’s weight. The database of cloud provider candidates and their PIs can be fed indirectly through websites such as “Cloud Harmony” (<https://cloudharmony.com>) and “Clouorado” (<http://www.clouorado.com/>) or through cloud providers by their own (e.g: Amazon, Microsoft) or it can be consolidated by third parties.

The database and the request must be first properly converted to a format that DEA can work to calculate the efficiency of each provider, generating an efficiency frontier. This efficiency frontier frames the set of providers that compared with others are 100% efficient, so any provider outside this frontier will have a lower value of efficiency. Thus, in order to feed the DEA method with the appropriate input and output variables need to make a paired comparison comprising efficiency among the cloud providers candidates, a PIs converter is need in order to compose these variables. Therefore, in this case, each cloud provider is considered a Decision Making Unit (DMU) of DEA, each one with two input variables called “Resources” (“Res.”) and “Costs” (“Cost.”) and two output variables called “Suitability” (“Suit.”) and “Leftovers” (“Left.”). The set of providers selected by DEA can be ranked using a simple logical routine, using the converted/calculated input

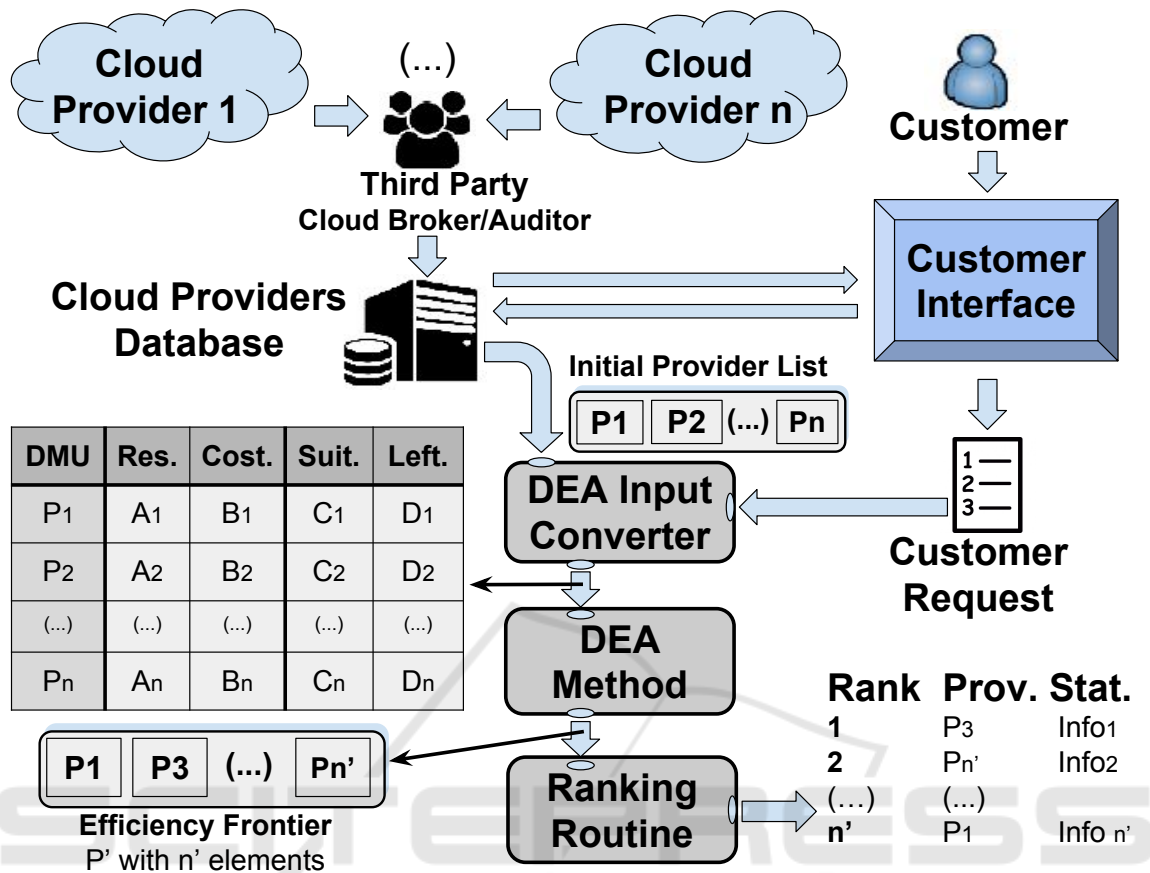


Figure 1: Proposed model to select and rank cloud providers using DEA.

and output variable by DEA input converter. The following subsections expose the model steps and mechanisms for calculating the score and ranking each cloud provider.

4.1 DEA Input and Output Converter

Identify which inputs and outputs DEA have to use for calculating efficiency is an essential step for the correct use of this MCDA method. Each DMU, i.e., each cloud provider has a constant pre-defined number of inputs and outputs. The efficiency in DEA, is defined as the ratio of the sum of its weighted outputs to the sum of its weighted inputs (Ramanathan, 2003; Ishizaka and Nemery, 2013), as shown in Equation 1, which is subject to the constraint modeled in Equation 2.

$$Eff_{DMU} = \frac{Output_{DMU}}{Input_{DMU}} = \frac{\sum_{k=1}^s u_k * O_{k(DMU)}}{\sum_{l=1}^r v_l * I_{l(DMU)}} \quad (1)$$

$$0 \leq \frac{\sum_{k=1}^s u_k * O_{ki}}{\sum_{l=1}^r v_l * I_{li}} \leq 1, \forall i \quad (2)$$

It is important to note that $u_k, v_l \geq 0, \forall k, l; i = DMU_1, DMU_2, \dots, DMU_n; k = 1, 2, \dots, s$ and $l = 1, 2, \dots, r$; where s is the total amount of outputs and r the total amount of inputs; u_k is the weight associated with each output and v_l the weight associated with each input. So, the DMU that produces more outputs with less inputs that the others will be evaluated with better efficiency values. The weights assigned to outputs (u_k) and inputs (v_l) are not allocated by users (Ramanathan, 2003). Moreover, they do not rely on a common set of weights for all providers. Instead, a different set of weights is calculated by a linear optimization procedure in order to allow providers to produce the best results possible. The set of DMUs with efficiency of 1 (means 100%) form DEA's efficiency frontier. Therefore, regarding the problem addressed using DEA, each cloud provider has two inputs and two outputs identified:

- **Inputs (criteria to be minimized):**

1. **Resources:** Corresponds to the weighted average of the normalized values present in the provider database. The used weights are the PI's weight present in the consumer

request. Resources are basically inversely proportional to the sum of all the gross resources (represented by each PI) of each provider. Its calculation is directly influenced by PI's type (HB, LB or NB).

2. **Costs:** Corresponds to the ratio of the provider cost divided by the cost of the most expensive provider in database.

• **Outputs (criteria to be maximized):**

1. **Suitability:** Is the weighted average (weighted by each PI weight in the request) of the attending (Equation 3) condition of each provider's PI, according to the customer request (except cost). Basically, it indicates how appropriate the provider is to the request.
2. **Leftovers:** Is the weighted average (weighted by each HB and LB PI weight in the request) of all the resources that had left in the provider, after attending the request (for HB and LB, only). That is, if the provider offers more resources than the request asks for.

All these inputs and outputs are normalized between 0 and 1. Equation 3 presents the PI attendance condition, i.e., whether the requested PI's values can be satisfied by the cloud provider. It takes into account the type of each PI (HB, LB or NB), its value (the cloud provider value x_{ij} present in the database and the consumer desired value X_j) and tolerance value that is optional and should be specified in the request, otherwise, it will be zero.

$$Attend(PI_j, x_{ij}, X_j, t_j) = \begin{cases} x_{ij} \geq (X_j - t_j), & \text{if } PI_j \in HB \\ x_{ij} \leq (X_j + t_j), & \text{if } PI_j \in LB \\ x_{ij} \geq (X_j - t_j) \text{ and} \\ x_{ij} \leq (X_j + t_j), & \text{if } PI_j \in NB \end{cases} \quad (3)$$

Where $i = 1, 2, 3, \dots, n$ (total number of providers); $j = 1, 2, 3, \dots, m$ (total number of PIs in customer request).

Moreover, in order to convert PI's values it is necessary to take into consideration the normalization aspect. To accomplish that, formulation used take into consideration maximum or minimum value of a particular PI comprising all the cloud providers being analyzed. Thus, let be $PI_j^n = \{x_{1j}, x_{2j}, \dots, x_{nj}\}$ the set of values corresponding to the PI_j of all the n cloud providers available. Equations 4 and 5 show how the inputs "Resources" and "Costs" are converted for the provider P_i , respectively, and Equations 6 and 7 calculate the outputs "Suitability" and "Leftovers",

respectively.

$$Dif = Max(PI_j^n, X_j) - Min(PI_j^n, X_j)$$

$$R = \sum_{j=1}^m w_j * \begin{cases} 1 - \frac{x_{ij}}{Max(PI_j^n)} & , \text{if } PI_j \in HB \\ \frac{x_{ij}}{Max(PI_j^n)} & , \text{if } PI_j \in LB \\ \frac{|x_{ij} - X_j|}{Dif} & , \text{if } PI_j \in NB \end{cases}$$

$$Res(P_i) = \left(\frac{R}{\sum_{j=1}^m w_j} \right)^\alpha \quad (4)$$

$$Costs(P_i) = \left(\frac{y_i}{Max(y_1, y_2, \dots, y_n)} \right)^\beta \quad (5)$$

$$Suit(P_i) = \left(\frac{\sum_{j=1}^m w_j * Attend(PI_j, x_{ij}, X_j, t_j)}{\sum_{j=1}^m w_j} \right)^\gamma \quad (6)$$

$$L = \sum_{j=1}^{m_{HB} + m_{LB}} w_j * \begin{cases} \frac{x_{ij} - X_j}{Max(PI_j^n) - X_j} & , \text{if } condition_1 \\ 1 - \frac{x_{ij} - X_j}{Max(PI_j^n) - X_j} & , \text{if } condition_2 \\ 0 & , \text{otherwise} \end{cases}$$

$$Left(P_i) = \left(\frac{L}{\sum_{j=1}^{m_{HB} + m_{LB}} w_j} \right)^\delta \quad (7)$$

It is important to note that $i = 1, 2, \dots, n$; $j = 1, 2, \dots, m$; $\alpha, \beta, \gamma, \delta = 1, 2, 3, \dots$; $m_{HB}, m_{LB}, m_{NB} = 0, 1, 2, \dots$, subject to $m_{HB} + m_{LB} + m_{NB} = m$; where Dif is the difference between the maximum value of a particular NB PI_j value and the user requested PI_j value (X_j) and the minimum value of the same. In other words, Dif is the maximum possible distance (difference) between all the values of that NB PI_j , and that desired one in the request (X_j). Also, it is important to note that $condition_1 = Attend(PI_j, x_{ij}, X_j, 0)$ and $PI_j \in HB$; $condition_2 = Attend(PI_j, x_{ij}, X_j, 0)$ and $PI_j \in LB$. In addition, in case $X_j \geq Max(PI_j^n)$ happens, then, the "Leftovers" value for that PI_j is always zero $\forall P_i, x_{ij}$. The variable factors $\alpha, \beta, \gamma, \delta$ are responsible for transforming all the inputs/outputs "Resources", "Costs", "Suitability" and "Leftovers", respectively, into functions with a variation (increase/decrease), e.g., linear (1) is default, quadratic (2), cubic (3), etc. That is, the higher the value of such factors, the more significantly numerically these inputs/outputs will be affected for each change made. The higher the factor, the greater the loss of punctuation for every provider that does

not reach 1 (efficient), since the numbers are always between 0 and 1. The farther from 1 and closer to 0, the more score the provider will lose. For more critical input/output, it is appropriate increase its associated factor. Bearing this in mind, the considered most critical feature is "Suitability". Therefore, its factor will be 2 ($\gamma = 2$), and for the others they will be 1 (linear variation).

4.2 An Efficient Way to Calculate PIs Weights

As can be noticed, the conversion of PI values into DEA input variable "Resources" and output variables "Suitability" and "Leftovers" depends on the w_j PI weights, according Equations 4; 6; 7, respectively. These weights are the user responsibility. However, assigning weights for each PI is not necessarily a trivial task, much more if there are so many involved.

An efficient technique for calculating, instead of arbitrarily assigning, each PI weight is using a matrix of judgments (Moraes et al., 2017). A judgment matrix aims to model relationships (e.g.: importance, necessity, discrepancy, value, etc.) between the judged elements (Saaty, 2004). In this case, the elements to be judged (regarding the determination of weights) are the PI importance weights. Therefore, in this case the customer provides how important a PI is regarding the others, instead of a weight value. Thus, the judgment matrix is a matrix with dimension n , wherein each row and each column represents a different PI. This technique is used several times in the decision-making method called Analytic Hierarchy Process (AHP) (Saaty, 2004).

Table 3 presents a possible example of judgment matrix with four different non-cost PIs (cost doesn't need to have its importance/weight calculated, according our proposed conversion model). The assigned values are based on the scale of Saaty (Saaty, 2004). In this case, the values in the judgment matrix indicate how important is the line element i with respect to the column element j . That is, PI_1 is two times more important that PI_2 ; four times more important that PI_3 and eight times more important that PI_4 . Moreover, PI_2 is two times more important that PI_3 and four times more important that PI_4 . On its turn, PI_3 is three times more important that PI_4 . Thus, following this methodology to build the judgment matrix, it is obtained all values in the diagonal equal to 1 and the observed inversions. On the last line, the elements of each column are summed up in order to advance the next step to find the weights, which is the normalization of this matrix. Following, the matrix normalization takes place. This process takes each

column element divided by its "Col.Sum" position, according Table 3. The results can be seen in Table 4, which represents Table 3 normalized as well as the final PI weights corresponding to each line average.

Table 3: Matrix of judgment: Importance relations between four different PIs.

PIs	PI_1	PI_2	PI_3	PI_4
PI_1	1	2	4	8
PI_2	1/2	1	2	4
PI_3	1/4	1/2	1	3
PI_4	1/9	1/6	1/3	1
Col.Sum	1,875	3,750	7,333	16,000

Table 4: Normalized judgment matrix for each PI and weight calculation.

PIs	PI_1	PI_2	PI_3	PI_4	Weights
PI_1	0,533	0,533	0,546	0,500	0,528
PI_2	0,267	0,267	0,273	0,250	0,246
PI_3	0,133	0,133	0,136	0,188	0,148
PI_4	0,067	0,067	0,046	0,062	0,060

4.3 Selecting Providers with DEA

After calculating the two inputs and two outputs for each available provider (DMU) it is possible to use this information as an input file of a program that implements the DEA method, such as ISYDS (Integrated System for Decision Support) (Meza et al., 2005). ISYDS implements two classic DEA models: Constant Return Scale (CRS), also known as CCR (Charnes, Cooper and Rhodes, 1978), and the Variable Return Scale (VRS), also known as BCC (Banker, Charnes and Cooper, 1984). CCR model considers constant returns to scale, that is, any variation in the inputs, produces a proportional variation in the outputs (Ramanathan, 2003). BCC model assumes variable returns to scale and no proportionality among inputs and outputs (Ishizaka and Nemery, 2013). In addition to the classical models implemented (BCC and CCR), user can choose between input orientation (tries to minimize the inputs, keeping the outputs constant (Ishizaka and Nemery, 2013)) or output orientation (tries to maximize the outputs, keeping the inputs constant (Ramanathan, 2003)). The user can choose only one model and one orientation at a time.

For the problem addressed in this paper, the DEA output orientation (tries to maximize outputs) seems to be more appropriate because the "Suitability" is an output and the most important characteristic to be observed to select cloud providers. Comprising the DEA model to be chosen, BCC seems more realistic

for the scope of the problem, since the variations of inputs and output are not proportional, especially taking into account that “Suitability” and “Leftovers” (outputs) are largely dependent of customer request and the provider database, while “Resources” and “Costs” (inputs) are practically only dependent on the database. If the request keeps unchanged and database changes, i.e., the cloud provider conditions improve, the inputs, most likely, will change as well, but “Suitability” can keep constant.

The BBC model, oriented to outputs, solve Equation 8 subject to the restrictions presents on Equations 9 and 10 (Ishizaka and Nemery, 2013).

$$\text{Max } Eff_{DMU} = \sum_{k=1}^s u_k * O_{k(DMU)} + c_k \quad (8)$$

$$\sum_{l=1}^r v_l * I_{l(DMU)} = 1 \quad (9)$$

$$\sum_{k=1}^s u_k * O_{ki} - \sum_{l=1}^r v_l * I_{li} + c_k \leq 0, \forall i \quad (10)$$

With $u_k, v_l \geq 0, \forall k, l; c_* \in \mathfrak{R}, i = DMU_1, DMU_2, \dots, DMU_n; k = 1, 2, \dots, s$ and $l = 1, 2, \dots, r$; where s is the total amount of outputs and r the total amount of inputs; u_k is the weight associated with each output and v_l the weight associated with each input. The variable c_* is a scale factor of a measure of returns to scale on the variables axis for the k th DMU.

The input file of ISYDS, have in the first line the total amount of DMUs, inputs and outputs, respectively, separated by a tab key. Second line has the word “DMU”, followed by the name of each input and followed by the name of each output, respectively. Each other lines specifies the DMU name (provider) and its values of inputs and outputs, respectively, all separated by tab key. ISYDS is capable of dealing with 150 DMUs, 20 variables (inputs and outputs), and it works with six decimals accuracy (Meza et al., 2005). It solves the DEA Linear Programming (LP) equations using Simplex algorithm using the multiplier model (Meza et al., 2005).

4.4 Ranking Efficient Providers

The DEA method frequently returns more than one provider as efficient (efficiency with value 1), composing an efficiency frontier. Thus, it would be more appropriate, for the customer decision making (who wants the best providers and not a large set of them), the return of a ranking of these chosen providers, whose efficiency is given by DEA. Thus, each provider that belong to the

efficiency frontier will be ranked first by their “Suitability” value, followed by the value of “Costs”, then “Leftovers” and finally by “Resources” value in a non-compensatory way ($Suitability > Costs > Leftovers > Resources$, always). The providers with the highest value of “Suitability” will be the top of ranking. In case of a tie, the providers with lowest “Costs” will be first in the ranking. If two or more providers have the same “Suitability” and “Costs”, then the highest value of “Leftovers” will be considered as a tiebreaker, and, for last case of tie, the lowest value of “Resources” will be used. If one or more providers tie in the four features, they will have the same rank number, sorted alphabetically. The final rank is immediately returned to the customer, with the providers name, suitability value (in percentage), estimated cost and other available informations as provider’s website, for example.

5 EXPERIMENTS AND RESULTS

After establishing the selection and ranking model with its three main modules (DEA Input Converter, DEA Method and Ranking Routine), it is fundamental to expose a practical and didactic example evolving a possible selection of cloud providers with real or hypothetical data in order to show the proposed model’s operation and results. Table 5 shows an example of provider database with a possible set involving five different customer requests. The database has ten fictitious providers, each one with three distinct PIs plus the cost of each provider. This simple database contains name, default type and the PIs numeric values collected for ten providers. The PIs are: “RAM” (Average amount of usable RAM, in GB), “Disc” (Maximum amount of Hard Disc memory available for storage purpose, also in GB), “Core” (Amount of free CPU cores available for use) and “Cost” (Average cost of the desired provider, in US\$ per day of use). The requests are simple ones, with no PI type forced, no tolerance values and PIs with equals importance between themselves (value 1, default). The example is easy to solve visually, so, the last line of the customers requests set presents the known optimal response for each request.

Comprising DEA, it is expected that the number of DMUs is greater than the product between the number of inputs and outputs (Ramanathan, 2003). It is advisable that the sample size should be at least two times larger than the sum of the number of inputs and outputs, for an appropriate efficiency calculation (Ramanathan, 2003), that is, 8 or more providers. These are conditions that are all satisfied.

Table 5: An hypothetical database with 10 cloud providers, 4 PIs and 5 possible customer requests.

PI/Prov.	Type	P_1	P_2	P_3	P_4	P_5	P_6	P_7	P_8	P_9	P_{10}
RAM	HB	4	2	8	4	2	8	16	4	2	8
Disc	NB	10	20	30	25	40	30	20	20	15	20
Core	HB	2	1	3	6	4	2	8	2	2	4
Cost	LB	1,50	1,25	3,00	2,50	2,75	2,80	4,50	2,50	1,75	3,20
Customers Requests Set											
PI/Request	Request 1		Request 2		Request 3		Request 4		Request 5		
PI Name	Value	Weight	Value	Weight	Value	Weight	Value	Weight	Value	Weight	
RAM	4	1	2	1	8	1	16	1	16	0,5	
Disc	20	1	10	1	30	1	20	1	40	0,25	
Core	2	1	2	1	-	-	3	1	3	0,25	
known Optimal	P8		P1		P6		P3		P7		

First step is the calculation of DEA inputs (“Resources” and “Costs”) and outputs (“Suitability” and “Leftovers”), which done by Equations 4, 5, 6 and 7, where x_{ij} is the PI value in database, X_j the PI value in request (customer desired one) and y_i is the cost of i th provider. To accomplish that, it is used data on the database and requests in Table 5. Moreover, it is also considered in the PIs conversion the following values: $\alpha, \beta, \delta = 1; \gamma = 2; Max(RAM) = 16; Max(Disc) = 40; Max(Core) = 8; Min(Core) = 1; Max(cost) = 4,50; n = 10; m = 3$ (except for request 3, which is 2) and each $w_j = 1$ (except for request 5, where PI “RAM” is two times more important that PI “Disc” and “Core”, “Disc” is equally important as “Core”, see Subsection 4.2 for more details).

Thus, Table 6 presents the final values for inputs/outputs variables of DEA, as such the final efficiency calculated by ISYDS using BCC model with outputs orientation (according to Subsection 4.3) for the requests 1, 2, 3, 4 and 5. In addition, Table 6 have also the final ranking for each set of efficient providers for each request, according the described in Subsection 4.4. Note that the “Costs” values remain constant for all requests, “Resources” values are equals for requests 1 and 2, “Leftovers” values for request 5 is all filled with zeros and will be ignored by DEA as a valid output, and finally, that all inputs/outputs are in the closed range between 0 and 1, including provider’s efficiency, as expected.

Analyzing Table 6 it is possible to identify the providers that form the efficiency frontier for each request. For request 1, providers P_1 & P_2 have lower “Costs”, P_3 & P_7 have higher “Leftovers” and P_6 & P_8 have higher “Suitability”. The efficient providers in request 2 are P_1 & P_6 & P_8 & P_9 (higher “Suitability”), P_2 (lower “Costs”) and P_7 (higher “Leftovers”). For request 3, P_3 & P_6 (higher “Suitability”) and P_5 & P_7 (higher “Leftovers”). For request 4, P_2 (lower “Costs”), P_3 & P_7 (higher “Suitability”) and P_5 (higher “Leftovers”). Finally, for request 5, P_7 (higher

“Suitability”) and P_3 (because it has low “Resources” and is average in “Suitability”) are the efficient ones. The final ranking can be obtained by analyzing only values of “Suitability” and “Costs”. Thus, according to the ranking, the best providers to attend each request are: P_8 (Request 1), P_1 (Request 2), P_6 (Request 3), P_3 (Request 4), P_7 (Request 5), exactly as expected in Table 5. For this small scenario, with only ten providers, DEA removed very few providers from ranking (except request 5), but for larger cases with 100 or more providers, this quantity will be more significant and DEA importance will be much more evident.

6 FINAL CONSIDERATIONS

This work specified a mathematical model for selecting and ranking cloud computing providers, assisting customer decision making, using a multi-criteria method called Data Envelopment Analysis (DEA). The model is divided in three main modules that are the DEA Input Converter, DEA Method and Ranking Routine. This proposed model is PI based, including PI types, values, desirable values, tolerance and weights. It uses a provider database and a customer request for calculating DEA providers’ inputs and outputs, and then efficiency. Finally, the proposed model performs the final ranking on the selected cloud providers, using the same DEA inputs and outputs.

The proposed model can use all kinds of quantitative PIs plus the cost as a PI to operates. DEA use inputs and outputs criteria to calculate efficiency. The input criteria identified in this paper are “Resources” and “Costs”, to be minimized. The outputs criteria are “Suitability” and “Leftovers”. All these criteria are normalized between 0 and 1. The most important criteria is “Suitability”, followed by “Costs”, “Leftovers” and “Resources”. An

Table 6: Calculation of DEA inputs/outputs, efficiency and final rank for each cloud provider for each request.

Request 1							
DMU	Resources	Costs	Suitability	Leftovers	Efficiency	Rank	Optimal
<i>P</i> ₁	0,5	0,333	0,444	0	1	3	–
<i>P</i> ₂	0,506	0,278	0,111	0	1	5	–
<i>P</i> ₃	0,298	0,667	0,444	0,417	0,935	–	–
<i>P</i> ₄	0,565	0,556	0,444	0,125	0,494	–	–
<i>P</i> ₅	0,387	0,611	0,111	0,5	1	6	–
<i>P</i> ₆	0,25	0,622	1	0,417	1	2	–
<i>P</i> ₇	0,452	1	0,444	0,5	1	4	–
<i>P</i> ₈	0,417	0,556	1	0	1	1	P8
<i>P</i> ₉	0,5	0,389	0,111	0	0,190	–	–
<i>P</i> ₁₀	0,429	0,711	0,444	0,167	0,444	–	–
Request 2							
<i>P</i> ₁	0,5	0,333	1	0,071	1	1	P1
<i>P</i> ₂	0,506	0,278	0,444	0,167	1	5	–
<i>P</i> ₃	0,298	0,667	0,444	0,548	0,975	–	–
<i>P</i> ₄	0,565	0,556	0,444	0,322	0,678	–	–
<i>P</i> ₅	0,387	0,611	0,444	0,5	0,934	–	–
<i>P</i> ₆	0,25	0,622	1	0,548	1	4	–
<i>P</i> ₇	0,452	1	0,444	0,667	1	6	–
<i>P</i> ₈	0,417	0,556	1	0,238	1	3	–
<i>P</i> ₉	0,5	0,389	1	0,083	1	2	–
<i>P</i> ₁₀	0,429	0,711	0,444	0,381	0,662	–	–
Request 3							
<i>P</i> ₁	0,75	0,333	0	0	0	–	–
<i>P</i> ₂	0,688	0,278	0	0	0	–	–
<i>P</i> ₃	0,375	0,667	1	0	1	2	–
<i>P</i> ₄	0,562	0,556	0	0	0	–	–
<i>P</i> ₅	0,438	0,611	0,25	0,5	1	3	–
<i>P</i> ₆	0,375	0,622	1	0	1	1	P6
<i>P</i> ₇	0,25	1	0,25	0,5	1	4	–
<i>P</i> ₈	0,625	0,556	0	0	0	–	–
<i>P</i> ₉	0,75	0,389	0	0	0	–	–
<i>P</i> ₁₀	0,5	0,711	0,25	0	0,25	–	–
Request 4							
<i>P</i> ₁	0,548	0,333	0	0	0	–	–
<i>P</i> ₂	0,554	0,278	0,111	0	1	3	–
<i>P</i> ₃	0,25	0,667	0,444	0,25	1	1	P3
<i>P</i> ₄	0,518	0,556	0,111	0,125	0,447	–	–
<i>P</i> ₅	0,339	0,611	0,111	0,5	1	4	–
<i>P</i> ₆	0,298	0,622	0,111	0,25	0,829	–	–
<i>P</i> ₇	0,405	1	0,444	0	1	2	–
<i>P</i> ₈	0,464	0,556	0,111	0	0,318	–	–
<i>P</i> ₉	0,548	0,389	0	0	0	–	–
<i>P</i> ₁₀	0,381	0,711	0,111	0	0,25	–	–
Request 5							
<i>P</i> ₁	0,598	0,333	0	0	0	–	–
<i>P</i> ₂	0,634	0,278	0	0	0	–	–
<i>P</i> ₃	0,312	0,667	0,0625	0	1	2	–
<i>P</i> ₄	0,576	0,556	0	0	0	–	–
<i>P</i> ₅	0,473	0,611	0,0625	0	0,562	–	–
<i>P</i> ₆	0,348	0,622	0	0	0	–	–
<i>P</i> ₇	0,304	1	0,25	0	1	1	P7
<i>P</i> ₈	0,536	0,556	0	0	0	–	–
<i>P</i> ₉	0,629	0,389	0	0	0	–	–
<i>P</i> ₁₀	0,411	0,711	0	0	0	–	–

example case was solved using the proposed model, demonstrating its use and results of its adoption.

Future work includes testing the proposed model for larger problems (more than 100 provider and more PIs) and with realistic settings (real providers and real data), as well as the creation of a cloud computing selection framework with multiple selection methods that incorporates this DEA method. Also, it is expected to improve the model in order to handle qualitative PIs.

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