Ontology for SEAM Service Models

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Abstract: A service system is a popular concept in academia and industry. At the same time, it is a challenging concept to represent, due to its recursive nature and difficulty to relate it to entities in reality. In this paper we present an ontology for modeling service systems with the SEAM systemic method. As part of the ontology, we provide a meta-model, well-formedness rules and formalization in the Alloy language. The ontology we propose represents an updated and minimalistic version of the existing SEAM modeling language ontology that puts an emphasis on the behavior. We validated the ontology by modeling around 20 case studies. The running example we use throughout this paper is one of these case studies.

1 INTRODUCTION

Services are a powerful abstraction of the value exchange and value creation within and across systems. The first foundational premise of S-D logic states that a “service is the fundamental basis of exchange” (Vargo and Lusch, 2008). Hence, the interaction between a company (service provider) and a customer (service consumer) is conceptualized as a service exchange. But also within a company there exist exchanges of services between an internal service provider and an internal consumer.

The entities that exchange services are known as service systems and they are defined as “a value-coproduction configuration of people, technology, other internal and external service systems, and shared information (such as language, processes, metrics, prices, policies, and laws)” (Spohrer et al., 2007) (italics added). This definition reveals the recursive nature of service systems, meaning that service systems are part of both the internal structure and the external environment of one service system.

In this paper, we explore what defines the structure of service systems. We do that through a reflection on how people conceptualize service systems. Such a reflection implies working on an ontology. We work on the ontology of a specific service modeling method called SEAM (Wegmann, 2003; Wegmann et al., 2008). The ontology we develop represents a generic, scalable, but yet rigorous basis for the SEAM modeling language.

In the remaining of the paper, in Section 2 we present the context and motivation of our work. In Section 3 we present the related work and in Section 4, we present the service modeling with SEAM through an example. The research method we follow is described in Section 5. In Section 6, we formalize the constructs used to build SEAM service models. Finally, we conclude in Section 7.

2 CONTEXT AND MOTIVATION

The inspiration for our work came from our collaboration with our university’s IT department, where we were involved in modeling existing and new services. Through modeling real services in real projects we noticed that service systems often do not relate to a pre-defined entity in the reality, such as department or organizational unit. For example, what is the service system that embodies an unofficial collaboration between two departments that provide services? What if the collaboration is between two different companies? When the collaboration becomes official, how will the service system be called? This brought us to the research question:

RQ: What defines a service system?

(Pidd, 2003) explains that “a model is an external and explicit representation of a part of reality as seen by the people who wish to use that model to understand, change, manage, and control that part of reality”. Before doing the model, people first concep-
ualize the reality they perceive. A conceptualization is formed of all objects, concepts, entities and relationships among them that are assumed to exist in the reality perceived. (Gruber, 1995, p. 908) defines ontology as “an explicit specification of a shared conceptualization”. More concretely, the ontology is “a set of representational primitives with which to model a domain of knowledge or discourse” (Gruber, 2009), so a modeling language conforms to an ontology.

The modeling language we use is called SEAM (Wegmann, 2003)\(^1\). Being developed in our laboratory (LAMS, nd) and a result of over 15 years of research, SEAM has always been our first choice for modeling service systems. As suggested by S-D logic and service science, SEAM (1) considers services as the fundamental basis of exchange and (2) models the observed reality as a recursive hierarchy of service systems. As system thinkers, when using SEAM, the entities we choose to perceive are systems, where a service is the behavior of a system, observed from the system’s environment, that brings value to another system in the same environment. We find all perceived systems to be service systems, so we use these two terms interchangeably.

We seek an answer to our research question by designing a minimalistic ontology of the SEAM service modeling language. In this process we found that in service systems the emphasis is on the behavior. The contribution of our ontology is that service modelers are encouraged to consider the system structure as being emergent from the perceived or desired behavior of the service system. Note that our goal was not to develop a universal ontology of what exists, but to develop an ontology that can be used to design and describe services in a model.

3 RELATED WORK

Many different perspectives can be adopted for the management of services (Bardhan et al., 2010). A service modeling language is adapted not only to the perspective, but to the motivation and the needs for representing the information around services. In this section we give an overview of the modeling languages in two of the five proposed perspectives by (Bardhan et al., 2010): computer science and marketing.

**Computer Science Perspective**

The computer science perspective of services is widely known as service-oriented architecture (SOA) and mostly applies to the usage of software solutions to facilitate the interaction of value co-creation between providers and consumers. SOA research and application focuses on technical architecture that orchestrates software services, such as WS-*\(^2\) web services, APIs and RESTful services, in heterogeneous and distributed environments. As a service approach, SOA tries to separate the concern between the service description and implementation (Arsanjani, 2004). In an SOA context, services are loosely coupled, platform-independent, abstract the implementation and enable interoperability among systems.

Service Oriented Architecture Modeling Language (SoaML) (SoaML, v1.0.1, 2012) is specification project from the Object Management Group (OMG) (OMG, na) that provides a standard way to design, architect and model services within an SOA. The SoaML specification describes (1) a metamodel and (2) a set of extensions to the basic UML model elements, called a UML profile.

The Service Modeling Language (SML) (Popescu et al., 2009) and the Web Service Description Language (WSDL) (Christensen et al., 2001), are XML-based modeling languages. The XML files describing the service contain information about the service configuration, deployment, monitoring, etc. XML is not graphical, so it is not used in people’s communication, but it is suitable for task automation, implementing interoperability, communication and exchange between applications, etc. The Unified Service Description Language (USDL) (Cardoso et al., 2010) is also based on XML that aims at unifying the technical and the business perspectives of a given service. The Web Services Business Process Execution Language (WS-BPEL, only BPEL for short) (Rosen et al., 2008) is another XML-based language used to define the coordination and integration of Web Services within higher-level business processes of a company. BPEL is platform independent and provides independence and flexibility by allowing the separation of the business process interaction from the web services (Rosen et al., 2008).

The visual representation of the company’s processes that are exposed as business services is done with Business Process Modeling Notation (BPMN) diagrams (Rosen et al., 2008). BPMN has a notation that is understandable by business analysts, managers and technical developers. There is a possibility to compile BPMN diagrams into executable BPEL, and (White, 2005) has demonstrated how. This makes BPMN and BPEL the bridge between the computer science and marketing/management perspectives.

**Enterprise Architecture (EA)** is another discipline where services are modeled. ArchiMate® is a wi-
dely adopted EA modeling language that aids the technology-integration efforts by creating models that within and between different domains. ArchiMate expresses service-orientation with the so-called service layers and service implementation layers that realize the services. Services from a higher layer are linked with and typically use services from the lower layers. ArchiMate identifies three main layers: business, application and technology (Lankhorst, 2009), but the most recent specification includes a strategy and a physical layer (Josey et al., 2016).

Marketing Perspective
Even before the introduction of SOA, services existed in the marketing discipline (Hill, 1977) with the focus on the service consumers, such as customers, users and clients. We find that the marketing perspective of services always takes into consideration the value that might arise from using information systems (IS) and IT in the service customization, and uses modeling languages that express service offerings, without showing the technical implementation.

The e3service is an approach for generating service bundles, by using the notion of functional consequence to match the customer perspective and the supplier perspective (Razo-Zapata et al., 2015). The approach includes an ontology of constructs for service marketing and belongs to the e3family of ontologies for building service networks (Razo-Zapata et al., 2012).

... The service blueprint (Shostack, 1984) is a flowchart of all interactions belonging to the service delivery. It represents a precise definition allowing to explicitly depict roles of consumers, service providers, and supporting services.

Business models are used for the analysis and design of the business logic of a company (Osterwalder, 2004). There are several service approaches that have been inspired by the widely cited and broadly applied Business Model Canvas (BMC) (Osterwalder and Pigneur, 2010). The Service Logic Business Model Canvas (SLBMC) (Ojasalo and Ojasalo, 2015) includes the original nine blocks from the BMC and considers a provider viewpoint (“From our point of view”) and a customer viewpoint (“From customer point of view”) in each of the blocks. Another approach is the Service Business Model Canvas (SBMC) (Zolnowski et al., 2014) that modifies the BMC to represent co-creation in the model. Finally, there is another canvas visualization approach, the Service Model Canvas (SMC) (Turner, 2015a; Turner, 2015b), designed by a user-experience professional.

SEAM is a modeling method, with its own language, that is used for services modeling. It enables modelers to explicitly show different viewpoints of an organization. It embeds modeling principles, heuristics and constructs for representing and analyzing different abstraction levels of systems and behavior. SEAM can be used both in the computer science and in the marketing perspective. In the next section we present details of the SEAM modeling technique on a concrete example.

4 SEAM SERVICE MODELING
In a nutshell, SEAM represents an organization as a hierarchy of systems (from business down to IT) that provide services, where a system refers to entities in the reality perceived: a department, an employee, an IT system, or an application (Wegmann et al., 2008).

In the mentioned hierarchy, a system can have two views: abstract and concrete. To show the abstract view, a system is modeled as a whole, in which the systems components are ignored and the focus is on the behavior provided as a service. In the concrete view, a system is modeled as a composite in which other systems as a whole are displayed with the relationships among these systems’ services. A brief overview of the SEAM modeling constructs used in this paper is presented in Table 1.

In the next part, we present the Infoscience example where we apply SEAM concepts for creating a two-level service model. The purpose of this model is to illustrate the SEAM modeling process on a real scenario. Note that to keep the model simple, we omit many details.

Example: A University’s Infoscience Tool
Imagine reading a university’s annual report in which there is a section about the research performance in terms of scientific publications. How does the university’s management measure such performance? The answer lies in Infoscience, a tool that enables the management and access to scientific outputs. This tool is the result of a partnership between the librarians and the IT department. The main users of Infoscience are the university’s researchers, who use it in the process of archiving their scientific production, organizing it, and afterwards, if needed, reclaiming the content. Besides researchers, Infoscience is used by the university’s management and deans offices in the analysis of publications and citations.

In SEAM, such a scenario is conceptualized as following. Every actor in the case description corresponds to a service system. We do not know the details of the partnership around Infoscience, so it is a black box (system as a whole), that we name Infoscience value network. In this case, the name is the choice of the modeler because no such department or
organization (Infoscience value network) exists. The behavior of this partnership is the service that we call Manage and facilitate access to scientific outputs. Similarly, we conceptualize University’s direction and deans offices, existing entities, as a black box, with the behavior Measure the university’s research performance. These two systems combine their behavior in a the process we conceptualize as Perform publications and citations analysis. They are also the components of the composite system (the white box) that we name Value network of open access scientific literature at a university. Again, this value network is not recognized as an official entity. This is depicted on the right-hand side in Figure 1.

We further refine the Infoscience value network to include other service systems that contribute to the implementation of Manage and facilitate access to scientific outputs.

• A steering committee consists of high level stakeholders and experts like the dean of research, the head of the library, the head of an IT unit, the IT systems coordinator, etc. This committee is responsible for making strategic decisions.

Table 1: SEAM Modeling language visual vocabulary.

<table>
<thead>
<tr>
<th>System</th>
<th>System – an entity in the perceived reality, such as a company, a department, an organization. A system has two views, whole, marked with ‘[w]’, and composite, marked with ‘[c]’.</th>
</tr>
</thead>
<tbody>
<tr>
<td>System behavior (Wegmann et al., 2008)</td>
<td>Service – the behavior of a system as a whole representing a service offered by a system. Process – the behavior of a system as a composite defining a service implementation.</td>
</tr>
<tr>
<td>Links</td>
<td>Use (invoke) link between services and processes, in a system as a composite. This link means that the process uses (invokes) the connected services. Refinement (decomposition) link, connecting the abstract and concrete view of a system, [w] and [c] respectively. The services from the system as a whole have corresponding processes in the system as a composite. These processes show the implementation of services.</td>
</tr>
</tbody>
</table>

- Representative librarians for the university sections and faculties, responsible for providing support to researchers.
- The Infoscience technical coordinator, responsible for the day to day operations, third level user support and development of new features.
- The Infoscience developer, responsible for the web development and implementation of new Infoscience features.
- The Infoscience web application, serving as a web interface to the digital document repository.
- A bundle of IT infrastructure resources and people providing the execution environment for the Infoscience application.

In the second level we show this Infoscience value network system as a composite with the process that implements the mentioned Manage and facilitate access to scientific resources. This process uses all the services from all of the composing systems seen as a whole that are part of the Infoscience value network system. There is one person, the Developer, and one application, the Infoscience web application, among these systems. In Figure 1 on the left, we illustrate the SEAM service model showing the interactions and the service exchange between the systems collaborating in the implementation of the Manage and facilitate access to scientific outputs.

In a service-oriented environment, there is no definite number of levels between two systems. We can systematically continue the modeling in the lower levels. For example, the Infoscience IT infrastructure system can be expanded to show the service implementation and the composing systems, most of them IT systems. In some modeling approaches, like ArchiMate (Josey et al., 2016), there is only one application layer where all applications must be modeled, so our example with IT systems at the second (Infoscience value network) and third level (Infoscience IT infrastructure) would not be supported. As a consequence, we need a meta-model that allows for scalability in terms of levels. Hence, a taxonomy for the levels is not present in SEAM service models, so modelers are free to embed a taxonomy in their diagrams and say that there is an end user level and several application levels.

5 RESEARCH METHOD

The research method we used in the development of the SEAM service modeling ontology conforms to the design science in information systems research framework and guidelines proposed by (Hevner et al.,
2004). Next, we elaborate how we fulfilled the recommendations in each guideline.

**Guideline 1: Design as an Artifact**

Our research resulted with an artifact, the SEAM service modeling ontology. It is an updated, flexible, abstract, but yet easy to use in constructing service models. The ontology releases the existing constraint of not having a cycle between hierarchical levels and it emphasizes the behavior in service models.

**Guideline 2: Problem Relevance**

The business needs we address with our research come from people that belong to two broad categories: academics and practitioners.

- **Academics** – The simplified SEAM service modeling ontology helps LAMS researchers to apply the SEAM method with ease in projects that span across multiple domains. It also helps them to define the service system as an existing one or as a collaboration between actors that strive towards the same behavior.

- **Practitioners** – Our research is based on a collaboration with practitioners from our university’s IT department. They care about how services are provided to the external customers and which entities are involved in the service implementation. SEAM service models facilitate the discussions through the focus on the behavior of the system.

**Guideline 3: Design Evaluation**

We used two techniques:

1. **Case studies**: Through the course of our research, we have interviewed and collected information on around 20 case studies. Each of the cases involved solving a different problem that included service systems where our ontology was used in conceptualizing and modeling the problem situation and solution. In Section 4 we show only one of them.

2. **Formal model simulation**: We formalized our ontology in a first-order logic language (Alloy) and simulated it with the Alloy analyzer to analyze and derive modeling rules.

**Guideline 4: Research Contributions**

The main contribution of our ontology is the shift from focusing on systems structure towards focusing on the system behavior while modeling in SEAM. In the knowledge base, service science and SEAM benefit from a simplistic ontology applicable in all organizational levels. Both domains benefit from the reflection about the behavior of service systems.

**Guideline 5: Research Rigor**

Our ontology is based on and conforms to the SEAM systemic paradigm (Wegmann, 2003). Consequently, we applied theories and concepts coming from systems thinking and service science. To be able to design and evaluate the ontology artifact, we used metamodeling and the Alloy constraint solver tool.

**Guideline 6: Design as a Search Process**

Our search for an effective artifact had a main constraint of being capable to create “standard” SEAM models. To have such an artifact, we were building and evaluating our meta-model with the LAMS researchers and we included modeling rules coming from the previous developments of SEAM.

**Guideline 7: Communication of Research**

The initial ontology has been communicated to the academic community via a publication and a poster presentation at a conference, (Tapandjieva and Wegmann, 2014), as a meta-model for automatic model generation. Technology-oriented audiences, namely IS architects, have read informal documentation and description of the artifact. We have not communicated the artifact to the management-oriented audiences in the form in which it is presented in this paper. According to our understanding, management-oriented audiences benefit from the visual model that represents an abstraction of their universe of discourse, so they do not need an additional abstraction in the form of an ontology or meta-model.

![Figure 1: SEAM model with two organizational levels for the Infoscience project.](image-url)
Every relationship between entities has a symmetric relationship model interchangeably. From a systems thinking perspective, the distinction between the concepts of a system as a whole and a composite is based in epistemology; these concepts depend on the observer’s (the modeler’s) knowledge and relation to the reality while describing the systems. It is the observer who decides the viewpoint he takes when modeling the reality: the context that he knows (system as a composite) where many systems as a whole interact (services connected to a process). In addition, for newly created services, often based on collaboration, there is no formal entity that hosts the process execution. In such cases, the observer derives the system name from the process (behavior). To conclude, we have two reasons for omitting the concepts of a whole and a composite from the meta-model, the first one being pragmatic (they can be computed), and the second philosophical.

Figure 2: Meta-model of SEAM service modeling concepts used in this paper.

6 ARTIFACT: AN ONTOLOGY FOR SEAM SERVICE MODELS

In this section, we present our artifact, the SEAM modeling language ontology, in a more formal way. We first present the meta-model with the constructs that comprise the ontology for SEAM service models (Subsection 6.1). Then, we list the well-formedness rules that are not captured in the meta-model (Subsection 6.2). Afterwards, we formalize both the meta-model and the rules in a declarative language called Alloy (Jackson, 2002) (Subsection 6.3) with which we verify the correctness of the meta-model and the modeling rules.

6.1 SEAM Meta-model

Since 2008, researchers have been developing metamodels\(^2\) that cover a bigger set of SEAM modeling constructs (Rychkova, 2008; Lê and Wegmann, 2013). In this paper we use SEAM models that focus on collaboration among services from different systems, namely people, IT systems, organizations, companies. Our interest is the value creation through such collaboration, from which new services emerge. Then, we study the surrounding context (upper level) where the new service is used, again in collaboration with other systems. And then again, understand the upper context, until we have interest in doing so. The example in Section 4 illustrates how we model collaborations with SEAM. In this paper we do not use all concepts defined in the existing meta-models, but we use only systems (whole and composite), behavior (service and process) and connections (decomposition and usage). We have built an ontology with the entities: System, Service and Process. Our proposed meta-model for this ontology is depicted in Figure 2. Every relationship between entities has a symmetric

\(^2\)In this paper we use the term meta-model and information model interchangeably.
6.2 Well-formedness Rules

The well-formedness rules of a modeling language complement the meta-model to ensure the consistency of models. They are also created to avoid the semantic ambiguities that might arise in the instances of the meta-model. We summarize the SEAM well-formedness rules in the following list:

R1. A service is unique to one system as a whole, so no two systems have one same service.
R2. A process is unique to one system as a composite, so no two systems have one same process.
R3. A process can implement only one service.
R4. A process must be connected to at least one service. Otherwise, there is no relationship among systems as a whole, and the overall observation of the system as a composite is put in question.
R5. The decomposition relationship shows the refinement from the abstract view of a system (the whole) to the concrete view of a system (the composite). The service present in the whole, becomes a process in the composite view. As a consequence, the process, and the service it implements, must belong to the same system, so a process cannot implement a service from another system as a whole, different from the process’ system as a composite.
R6. Recursion between levels is allowed. A process can be connected with the service it implements. Such recursion does not have to be immediate, it can happen at any level in the organizational hierarchy.

6.3 Formalization in Alloy

We use Alloy to formalize the SEAM meta-model concepts and the well-formedness rules. Then, we use the Alloy Analyzer to generate instance models or counter-examples in a domain we have specified. Getting meaningful instances would indicate that our formalization is consistent in the domain we have set.

Alloy (Alloy, nd; Jackson, 2002) is a declarative structural modeling language based on first-order logic. It comes with a constraint solver, the Alloy Analyzer, that automatically finds models that satisfy the formulas written with the Alloy language. The Alloy code usually describes basic structures, called signatures, and has detailed constraints applied to the structures. Constraints are expressed in terms of facts, predicates, assertions or quantifiers.

The following code shows the complete formalization of the SEAM meta-model and the well-formedness rules. There is a signature (sig keyword) for each concept from the meta-model: System, Service and Process. The cardinalities are coded with the corresponding keywords: 1..* is mapped to some, 0..1 is mapped to lone and 1 is mapped to one.

The well-formedness rules R3 and R4 are already captured with the cardinalities. The remaining rules are written as facts. We finally specify the domain for which we want the Alloy Analyzer to generate an instance model (the run command).

Having an instance means that the Alloy code is correct and not over-constrained for the domain we have set. The instance in Figure 3 is one of the many that satisfies the constraints written for the well-formedness rules for the domain exactly 4 Service, exactly 2 Process, exactly 3 System. Note the immediate cycle that exists between Service3 and Process0. Such cycles are allowed to capture situations found in reality. We demonstrate this with an example.

Imagine the hosting service offered by a data center. Such a service is implemented by using a server room, racks, power supply and other technical resources. In addition, the data center uses a web site to display information about the status of the resources, for monitoring purposes. This website is hosted on a machine in the data center.
6.4 Evaluation

The Alloy checking is a formal evaluation of the ontology. It proves that correct SEAM models can be generated with our meta-model. Around 20 case studies that we modeled with our ontology during our collaboration with the IT department is another form of evaluation. The difficulties we encountered with the case studies in conceptualizing systems were easily overcome by focusing on the behavior, i.e. the process and the emerging service. In addition, one practitioner from the IT department used our meta-model and developed tools upon it. We still plan to conduct additional evaluation in the form of user studies, interviews with more practitioners and survey.

6.5 Limitations

Several other aspects of SEAM are not presented and discussed in this paper. One is the functional hierarchy, more precisely the decomposition of the behavior into sub-services and sub-processes. In addition, the meta-model does not capture temporal and sequential elements, like change of state over time or after an action. This can be done by enriching the meta-model with entities that show properties of systems, services and processes. Previous SEAM meta-models included these concepts (Lê and Wegmann, 2013).

7 CONCLUSION

SEAM modeling focuses not only to the user perception of the value that the service brings, but it also gives details on the perception of the systems in the multiple organizational levels of the service provider. The service exchange and value co-creation among systems are present across the whole organization, not only at the end-user level. Every system, namely organization, person, IT application, provides a service, so with the proposed ontology we leverage on the general SEAM contribution of services being explicitly modeled at any organizational level of interest. Such conceptualization uses the first foundational premise of service-dominant logic: “service is the fundamental basis of exchange” (Vargo and Lusch, 2008).

SEAM had already been used in such contexts, but it provides a set of models and tools, that combined are difficult to use in a large scale projects. Their existing meta-models encompass entirely SEAM. In this paper we suggested to use a stripped down version of SEAM. For this version we propose an ontology that offers a new perspective, the focus on the behavior of the system, not the system itself. All the relationships among the Service and the Process concept in the meta-model shift the modeling focus around the behavior, i.e. what systems do together. In such a version, cycles are allowed and even external actors, such as regulators and suppliers, are considered in a service implementation.

Service science literature discusses mainly one level of value co-creation, the one with the customer or end user. Here, we propose to reuse the same principle inside an organization, by only focusing on what systems do together. With our ontology we do not introduce a classification of service providers and consumers. We focus on the interaction, collaboration and co-creation among systems, regardless of their position in the organizational hierarchy, or in the company. The analysis of the dynamics between a service provider and consumer are systematically applicable on the systems in the service provider, internally, across the whole organization.

During the course of our research, we have realized that the existence of systems (we choose to observe) is strongly dependent on our perception of the behavior of these systems, i.e. services. In SEAM, processes show collaboration and value co-creation, so when we try to define the system with its boundaries where this collaboration happens, the choice for the system name (1) expresses the function of the collaboration, or (2) relates to an organization, department, or an entity from the observed reality. Overall, systems thinking is an epistemology (Mingers, 2006, p. 87). The concepts of a system as a whole and a systems as a composite only describe how we choose to perceive the reality, so they are not strictly represented in the meta-model of the ontology.
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